

ALASKA LEGISLATURE COMMITTEE FILES 1991-1992 8672
7030 HOUSE LABOR & COMMERCE

213

JOHN C. (CHRIS) SWALLING

Partner

Director of Tax

Anchorage Office

ENGAGEMENT
RESPONSIBILITIES

Chris is the director of tax in the Anchorage office. In this position, he has overall responsibility for the tax services provided by the Anchorage office of Ernst & Young.

He has been providing accounting services in Alaska since 1971. In addition to the administrative responsibilities of his present position, Mr. Swalling has direct involvement with both audit and tax engagements. Existing clients include a variety of business entities, including real estate development, construction, retail, native corporations and service organizations.

EDUCATION

Chris is a life-long resident of Anchorage and is a graduate of the University of Notre Dame.

ASSOCIATIONS

He is involved in both professional and community organizations. These include:

- Alaska Society of CPA's: President 1983
President elect 1982
Secretary 1981
Board of Directors 1979-85
Taxation Committee 1977-85
Nomination Committee 1984-88
Legislative Committee
1990-91
Hagelbarger Trustee 1988-90
Public Service Award 1990
 - Anchorage Organizing Committee for the Winter Olympics: Executive Board of Directors 1984-91
Vice-President-Treasurer 1984-88, 1991
Chairman 1989-90
 - Multiple Sclerosis Society: Chairman 1982-85
Vice-Chairman 1980-81
Board of Directors
1979-89
Co-Chairman Dinner of
Champions 1990
 - Providence Hospital: Advisory Board of Directors
1986-91
Vice-Chairman 1991
Executive Committee 1988-91
Finance Committee Co-Chairman
1988-91
 - Anchorage Convention and Visitors Bureau:
Board of Directors 1986-91
Secretary 1988
Vice-Chairman 1989
Chairman 1990-91
 - Alaska Fine Arts Camp: Treasurer 1982-83
Board of Directors 1980-87
-

**BOARD: ARCHITECTS, ENGINEERS, AND LAND SURVEYORS, STATE
BOARD OF REGISTRATION FOR**

BOARD IDENTIFICATION NUMBER: 5

**TITLE: State Board of Registration for Architects, Engineers,
and Land Surveyors**

DEPT: Department of Commerce and Economic Development

AUTHORITY: AS 08.48.011

STATUS: June 30, 1993

REQUIREMENTS: LEGISLATIVE CONFIRMATION

**PROHIBITIONS: Cannot serve more than all or part of two
consecutive terms.**

TERM: 4 years

**DESCRIPTION: 9 members appointed by Governor: 2 civil
engineers; 1 land surveyor; 1 mining engineer; 2 engineers from
other branches of engineering; 2 architects; must be 3-year
Alaska residents; registered, with at least 5 years professional
practice in respective field; and 1 public member.**

**SPECIAL FACTS: Quorum - not less than 5; Governor may remove
for misconduct, incompetency, or neglect of duty; board elects/
appoints officers annually; members serve until reappointed/
replaced; annual report to Governor and Legislature. Members
serve until a successor is appointed. An appointment to fill a
vacancy is for the remainder of the unexpired term. A member
who has served all or part of two successive terms may not be
reappointed unless four years have elapsed since the person has
last served. Serve at the pleasure of the Governor.**

**FUNCTION: Controls registration, revocation of applicants in
the architectural, engineering, and land surveying professions.**

COMPENSATION: Standard travel/per diem.

MEETINGS: 4 regular meetings per year.

***FOR FURTHER INFORMATION CONTACT: Licensing Examiner, Division
of Occupational Licensing, Dept. of Commerce and Economic
Development, P.O. Box 110806, Juneau, AK 99811-0806 PHONE: 465-
2540**

(Revised 12/2/91)

*Architects Eng. &
Land Surveyor*

PHIL R. HOLDSWORTH, P.E.
CONSULTING ENGINEER & LEGISLATIVE COUNSEL
MINING — GEOLOGY — LANDS

PHONE 907-586-1383

326 FOURTH STREET, No. 1009
JUNEAU, ALASKA 99801

July 20, 1991

R E S U M E

Born - Grants Pass, Oregon - August 21, 1910

Married - Violet O. Welsh in Fairbanks, December 22, 1936

Three children - Four grandchildren - One great grandchild

Attended University of Washington between jobs, beginning in winter of 1928-29, receiving a BS degree in Mining Engineering & Geology in 1937.

Professional Mining Engineer licensed to practice in the State of Alaska, and the State of Washington.

Professional Land Surveyor licensed to practice in the State of Alaska

Member of the American Institute of Mining, Metallurgical, and Petroleum Engineers since 1933

EMPLOYMENT RECORD

- June 1926 - September 1926 Mucker, miner. Precambrian Gold Mines, Ewings Landing, B.C.
- July 1927 - September 1928 Draftsman. Cascade Lighting Fixture Co., Seattle, Washington.
- July 1929 - September 1929 Assayer. Precambrian Gold Mines.
- April 1930 - August 1930 Miner, timberman. Precambrian Gold Mines.
- Sept. 1930 - Jan. 1931 Assayer, mine sampler. Woodbine Mining Co., Stewart, B.C.
- April 1931 - December 1936 Mill Superintendent. Nabesna Mining Corporation, Nabesna, Alaska.
- June 1937 - December 1941 Mill Superintendent. Mindanao Mother Lode Mines, Surigao, Mindanao, Philippine Islands.
- December 1941 - May 1942 2nd Lt. AUS, Phillipine Division, Ordinance.
- July 1942 - February 1945 Japanese POW in the Philippines.

Phil R, Holdsworth

R E S U M E

July 20, 1991

May 1945 - October 1945 Consulting Mining Engineer, Seattle, WA

November 1945 - April 1946 Cyanide plant foreman. Holden Mill of Howe Sound Co., Chelan, Washington.

May 1946 - February 1947 General Manager. Independence Mine of Alaska-Pacific-Consolidated Mining Co., Wasilla, Alaska.

September 1947 - May 1949 Project Engineer, B-J-L Contractors. Military construction, Fort Richardson and Elmendorf AFB - Anchorage.

May 1949 - October 1950 General Superintendent & Mining Engineer, Snowbird Mining Co., Palmer, Alaska.

December 1950 - April 1952 Construction Engineer. Corps of Engineers, Alaska District - Anchorage.

April 1952 - April 1959 Commissioner of Mines, Territory of Alaska.

April 1959 - Oct. 1967 Commissioner of Natural Resources for State of Alaska.

October 1968 - Dec. 1974 Manager, Alaskan Exploration, Inexco Mining Company.

Jan. 1975 to the present. Consultant - Mining, Geology, Lands.

OTHER STATE SERVICES

Served as Commissioner on the Joint Federal-State Natural Resources Land Use Planning Commission for Alaska created by the Alaska Native Claims Settlement Act of 1971 - (1971 to 1979).

Appointed to Governor's AK Growth Policy Council on September 2, 1980 to serve until January 31, 1983. This later became the "Alaska Economic Development Forum" under Commerce & Economic Development in late 1981.

Have been serving as the lone Mining Engineer on the Board of Architects, Engineers, and Land Surveyors Examinors since September 10, 1988 with the first term ending July 1, 1991. I will be able to serve one more term according to statute.

Have also been serving on the Citizen's Advisory Commission on Federal Land Areas since May 2, 1983 and have been reappointed for the second time to terminate December 31, 1993.

609 CHENA RIDGE RD:
FAIRBANKS, AK 997

CURRICULUM VITAE - 1990

B. DAVID SPELL

FORMAL EDUCATION

Master of Science, Electrical Engineering -- University of Washington, Seattle, 1982 --
My major interest was in computer-based instrumentation for oceanic or remote
environment research. My thesis was entitled "A Digital Data Acquisition and Analysis
System for Infrasonic Waves in Antarctica".

Bachelor of Science, Electrical Engineering -- University of Washington, Seattle, 1976

Honor Graduate, Instructor Training School -- U.S. Navy, 1969

Honor Graduate, Electronics Technician "B" School -- U.S. Navy, 1969

Honor Graduate, Nuclear Power Training School -- U.S. Navy, 1965

During the years 1980 to 1988, while at the University of Alaska Fairbanks (UAF) and
the University of California Los Angeles (UCLA), I have taken various post-graduate
Engineering courses in Engineering Management, Math, Digital Signal Processing,
Remote Monitoring, and Very Large Scale Integration (VLSI) design techniques. In
addition, I have taken various correspondence courses and nationally broadcast television
courses on Computer Applications, LOTUS in Engineering, Kalman Filtering,
Management Techniques and Administration.

EXPERIENCE

ASSOCIATE IN 1992

1986 - present Assistant Professor of Electrical Engineering -- Department of
Electrical Engineering, University of Alaska Fairbanks (UAF). I am the *ad hoc* head of
the Computer Engineering curriculum option. I teach the senior and graduate level
courses in Computer Engineering, Signal Processing, Digital Design, Digital Control
Theory and Applications, Instrumentation, and VLSI Design. All of these subjects were
either taught at UAF for the first time or substantially upgraded by me. My teaching
effectiveness, as measured by student comments and evaluations has always been
excellent. My major research interests have been in the areas of computer-based
instrumentation for monitoring geomagnetically induced current (GIC) in the Anchorage-
Fairbanks intertie and instrumentation to monitor power distribution, quality and usage in
rural areas of Alaska. For one year, in 1987 and 1988, I conducted research in Synthetic
Array Radar (SAR) processor design and taught design and management techniques at
the Jet Propulsion Laboratory (JPL) in Pasadena, CA. Concurrently, I attended classes,
as listed above, at UCLA. More recently, I have been re-directing my efforts toward
instrumentation, control, neural network and signal processing applications of VLSI
circuitry. In the current climate of drastically reduced funding support for UAF, I have
been very active in trying to maintain the relevance of the computer engineering
curriculum. I am a Faculty Senator, representing the School of Engineering and I am
presently Chairperson of two standing committees of the Faculty Senate.

1983 - 1986 Visiting Assistant Professor of Electrical Engineering -- Department of Electrical Engineering, UAF. I competed for this position with a field of 37 international applicants. It was quite a testimony to my teaching ability and technical skills and background that I was offered this position despite the fact that I do not possess a terminal degree (Ph.D.). I started the Computer Engineering curriculum option at UAF. I was also on the committee which founded the Bachelor of Technology program in Computer Science (changed in 1984 to a Bachelor of Science program). During this period, on an overload basis, I was also an associate Instructor of Electronics Technology and Computers at the Tanana Valley Community College (TVCC), Fairbanks, AK. My major research interests had been in computer-based instrumentation for the detection of long period, atmospheric solitons (shear waves) at Anchorage International Airport, Windless Bight in Antarctica and the Northern Territory of Australia.

1979 - 1983 Research Engineer and Digital Systems Design Engineer -- Geophysical Institute, UAF. During this period, on an overload basis, I was also an associate Department Head, Coordinator and Instructor of Electronics Technology and Computers at the Tanana Valley Community College (TVCC), Fairbanks, AK. In 1982, I completed my thesis, *in absentia*, from the University of Washington and received an MSEE. My primary activities were centered around computer instrumentation in Antarctica and the Australian desert. I had designed computer-based instrumentation to monitor atmospheric infrasonic waves, to detect atmospheric nuclear tests and I had designed a hardware implementation of a data-adaptive filter to increase the signal-to-noise ratio of coherent energy across an array of sensors.

1978 - 1979 Department Head, Coordinator and Instructor of Electronics Technology and Computers -- TVCC. During this period, I and another Engineer developed the Electronics Technology (ET) Associate Degree program at TVCC. The ET program was so well crafted that it was the only Alaska two year program accepted by UAF as the first two years of the Bachelor of Technology program in Computer Science which we instituted in 1983.

1977 - 1978 Ocean Electronics Engineer -- Institute of Marine Science, UAF. My major projects were centered on instrumentation to monitor effluent discharge in Prince William Sound and seismic surveys in the Gulf of Alaska. I designed a computer-based instrument to collect and perform *in situ* analyses of oceanographic data.

1974 - 1977 Ocean Science Engineer -- Pacific Marine Environmental Laboratory (PMEL), National Oceanic and Atmospheric Administration (NOAA), Seattle, WA. During this period, I attended the University of Washington as a full-time student while working full time at this position on ships and at the PMEL. In these three years, I finished a BSEE degree in 2 years as well as a year of graduate school. My civil service rating was Electronics Engineer GS-11; I had entered this position as Electronics Technician GS-11 and was re-classified after completion of my BSEE. My major engineering duties were centered on a computer-based instrumentation system which I had designed and deployed at sea on the Campbell Plateau between New Zealand and Antarctica and on instrumentation for mid-Pacific, manganese nodule mining baseline studies.

1972 - 1974 Electronics Department Head -- Coastal Survey Ship McArthur, National Ocean Survey (NOS), NOAA, Seattle, WA. My civil service rating was equivalent to Electronics Technician GS-10. During this period, I served on various NOAA ships and shore activities in Alaska and Washington state.

I was primarily based on McArthur where we accomplished tides and currents surveys and hydrographic surveys in Kachemak Bay, Cook Inlet, Glacier Bay, the Bering Sea, Hood Canal and numerous other areas.

1970 - 1972 Instructor, later Director, U.S. Navy Electronics Technician "B" School -- Great Lakes, IL. This 45 week long school was the most advanced electronics school in the U.S. Navy. The students were either Commissioned Officers from foreign Navies or senior Petty Officers from the U.S. Navy. I had graduated from this school with the highest grade ever awarded. I left the Navy because of the hostility that I felt from the public towards the military. I was honorably discharged with the rating of E-7, Electronics Technician Chief Petty Officer (ETC).

1962 - 1970 I served in the U.S. Navy continually from 1962 until 1972. Some of the high points of that career were service on U.S.S. Barry as a Fire Control Technician (computer guidance of guns and missiles) during the 1962 Cuban blockade and a first tour of duty in Viet Nam, service as a Reactor Control Operator at a pressurized water reactor training site, service as an Electronics Technician (weather systems) at Chi-Chi Jimia, Bonin Islands, service on U.S.S. Sacramento as Electronics Division Senior Petty Officer, two tours of service in Viet Nam, in the Mekong Delta, as a First Class Electronics Technician in charge of the electronics maintenance of the monitors (gun boats).

REGISTRATION AND CERTIFICATION

Professional Engineer, Electrical Engineering -- Alaska [EE5165] (SINCE 1978)

General Class License with Shipboard Radar Endorsement -- Federal Communication Commission (FCC) [converted from First Class Radiotelephone]

Certified Senior Electronics Technician -- National Association of Business and Educational Radio (NABER)

COMMENDATIONS AND AWARDS

Merit Award Nomination -- Electrical Engineering nominee for the School of Engineering Faculty Merit Award, University of Alaska Fairbanks, 1989 and 1990

Letter of Commendation -- Geophysical Institute, University of Alaska Fairbanks, 1980

Meritorious Performance Award -- National Oceanic and Atmospheric Administration (NOAA), 1973

Letter of Commendation -- Commanding Officer, U.S.S. Buck, U.S. Navy in Viet Nam, 1969

Letter of Commendation -- Commander, U.S. Naval Forces, Marianas Islands, U.S. Navy, 1967

PROFESSIONAL AFFILIATIONS

Senior
Foundation Representative -- Phi Delta Kappa
Member -- Alaska Society of Professional Engineers
Charter Member -- Alaska Academy of Engineering and Sciences
Charter Senior Member -- Association of Communication Technology
Member -- Institute of Electrical and Electronic Engineers (IEEE)
Member -- IEEE Society on Oceanic Engineering
Member -- IEEE Society on Circuits and Systems
Member -- IEEE Society on Acoustics, Speech and Signal Processing
Member -- National Society of Professional Engineers

PUBLICATIONS, REPORTS AND PRESENTATIONS

Spell, B.D., "Salinity Computation with a Microprocessor," *NOAA Technical Report*, ERL-10-PMEL-18, 1974, 14 pages.

Spell, B.D. and S.H. Hayes, "A Data Acquisition System for the Neil Brown Instrument Mark III CTD Profiler," *ERL Technical Note*, PMEL-ERL-78-03, USDOC, 1978, 195 pages.

Spell, B.D. and C.R. Wilson, "Digital Acquisition and Analysis System for Antarctica: Hardware, Software and Testing," *GIR 80-3*, under AFOSR 80-125. Geophysical Institute, UAF, 1980, 170 pages.

Wilson, C.R. and B.D. Spell, "Antarctic Atmospheric Infrasound System Installation Report," Presented at AFTAC meeting at Patrick AFB, Cocoa Beach, FL, under AFOSR 80-125, 1980, 23 pages.

Olson, J.V., C.R. Wilson and B.D. Spell, "Signal Detection in Scalar Arrays, Application of Adaptive, Pure-State Filters to Infrasonic Array Data", Presented at NOAA Wave Propagation Laboratories, Boulder, CO, 1981, 15 pages.

Wilson, C.R., J.V. Olson and B.D. Spell, "Infrasonic Signal Enhancement by a Pure-State Filter," *GIR-81-1*, under AFOSR 80-125, Geophysical Institute, UAF, 1981, 48 pages.

Wilson, C.R. and B.D. Spell, "Digital Infrasonic Installation in Antarctica," *GIR 81-2*, under AFOSR 80-125, Geophysical Institute, UAF, 1982, 240 pages.

Spell, B.D., J.V. Olson and C.R. Wilson, "Antarctic Digital Infrasonic System Upgrade," *GIR 82-1*, under AFOSR 81-0091, Geophysical Institute, UAF, 1982, 156 pages.

Olson, J.V., C.R. Wilson, B.D. Spell, "Annual Report: Antarctic Atmospheric Observations" Subtopics, as chapters, include: "Detection and Filtering of Signals In Scalar Arrays", "Estimates of Energy Release of Recent Volcanic Eruptions", "Examples of Off-Line Analyses of Natural Infrasonic Signals At Windless Bight, Antarctica", "Technical Details of Warramunga, Australia Infrasonic Array and Computer Details", for Air Force Office of Scientific Research, September, 1984, 386 pages.

Spell, B.D., "Listening to Auroras (sic) and Volcanoes", *Northland Notes on Engineering Research in Alaska*, Vol. 1, #1 for Institute of Water Research and the Engineering Experiment Station, UAF, December, 1984, 2 pages.

Woodruff, K.D., B.D. Spell, R.P. Merritt and J.D. Aspnes, "Rural Electric Power Quality Study -- Second Generation (Phase 2)," a final report to the Alaska Department of Transportation and Public Facilities Research Section, Institute of Northern Engineering EES 85.30, UAF, July, 1985, 12 pages.

Dibble, R., B.D. Spell and C.R. Wilson, "Infrasonic Recording of Strombolian Eruptions of Erebus, Antarctica, March - December, 1984, Covering the Jump in Activity on 13 September, 1984," *Journal of Science and Industrial Research*, New Zealand Antarctic Programme, Wellington, New Zealand, pp. 17-32, July, 1985. {refereed}

Spell, B.D., J.D. Aspnes and R.P. Merritt, "A Personal Computer Based Data Acquisition and Analysis System to Monitor the Alaska Intertie," 36th Arctic Science Conference presentation, Fairbanks, AK, October, 1985.

Merritt, R.P., J.D. Aspnes and B.D. Spell, "Monitoring Geomagnetic Storm Interaction with the Alaska Intertie," 36th Arctic Science Conference presentation, Fairbanks, AK, October, 1985.

Spell, B.D., J.D. Aspnes, and S.A. Ko, "Interim Data Analysis Program Development for the Anchorage-Fairbanks Transmission Intertie," a final report to the Alaska Power Authority, Institute of Northern Engineering EES 84.41, UAF, March, 1986, 39 pages.

Spell, B.D., "Windless Bight Infrasonics Recording at Scott Base, Installation," an installation report to the New Zealand Antarctic Research Programme (NZARP), May, 1986, 14 pages.

Spell, B.D., C.W. Wilson, "Antarctic Infrasonic System Final Report," a final report to Defense Advanced Research Project Agency (DARPA), May, 1986, 88 pages.

Aspnes, J.D., R.P. Merritt, B.D. Spell, "Instrumentation System to Measure Geomagnetically Induced Current Effects," IEEE Power Engineering Society Winter Meeting presentation, February, 1987.

Aspnes, J.D., R.P. Merritt, B.D. Spell, K.D. Woodruff, D. Alden and G.W. Mulligan, "Rural Electric Power Quality Analysis Data Base Development," State of Alaska Department of Transportation and Public Facilities Research Section Report No. AK-RD-87-26, March, 1987, 54 pages.

Aspnes, J.D., R.P. Merritt and B.D. Spell, "Instrumentation System to Measure Geomagnetically Induced Current Effects," *IEEE Transactions on Power Delivery*, Vol. PWRD-2, No. 4, pp. 1031-1036, October, 1987. {refereed}

Zhao, Y.Q., J.D. Aspnes, R.P. Merritt and B.D. Spell, "Rural Facility Electric Power Quality Analysis", a final report to the Alaska Department of Transportation and Public Facilities Research Section, Institute of Northern Engineering under INE88.13, UAF, June, 1988, 88 pages.

Spell, B.D., "The Hardware Implementation of The Synthetic Aperture Radar (SAR) Processing Algorithm for the Alaska SAR Facility", 39th Arctic Science Conference presentation, Fairbanks, AK, October, 1988.

Wilson, M.W., J.D. Aspnes, R.P. Merritt and B.D. Spell, "Selecting Power Conditioning Equipment for Essential Loads and Sensitive Electronics in Rural Alaska", 39th Arctic Science Conference presentation, Fairbanks, AK, October, 1988.

Aspnes, J.D., B.D. Spell and R.P. Merritt, "Instrumentation to Investigate the Effects of Geomagnetically Induced Current (GIC) on the Alaska Intertie", 39th Arctic Science Conference presentation, Fairbanks, AK, October, 1988.

Zhao, Y.Q., J.D. Aspnes, R.P. Merritt and B.D. Spell, "Rural Facility Electric Power Quality Analysis", 39th Arctic Science Conference presentation, Fairbanks, AK, October, 1988.

Spell, B.D., "Instrumentation to Monitor Geomagnetically Induced Current (GIC) in the Fairbanks-Anchorage Intertie", presented to the Fairbanks Subsection of the IEEE, December, 1988.

Aspnes, J.D., R.P. Merritt and B.D. Spell, "Rural Facility Electric Power Quality Analysis - Instrumentation Considerations", a final report to the Alaska Department of Transportation and Public Facilities Research Section, Institute of Northern Engineering under INE88.13, UAF, March, 1989, 25 pages.

Aspnes, J.D., R.P. Merritt and B.D. Spell, "Geomagnetic Disturbances and Their Effect on Electric Power Systems," *IEEE Power Engineering Review*, Vol. 9, No. 7, pp. 10-13, July, 1989. (invited paper) {refereed}

Spell, B.D., J.D. Aspnes and R.P. Merritt, Effects of Geomagnetically Induced Current (GIC) on the Alaska intertie," 40th Arctic Science Conference presentation, September, 1989.

Rice, D.D., R.P. Merritt, B.D. Spell and J.D. Aspnes, "The Effects of Direct Current on Three-Phase Power Transformers," 40th Arctic Science Conference presentation, September, 1989.

Wilson, M., J.D. Aspnes, R.P. Merritt and B. D. Spell, "Power Conditioning Equipment for Sensitive Loads and Essential Equipment in Alaskan Villages," 40th Arctic Science Conference presentation, September, 1989.

Zhao, Y.Q., J.D. Aspnes, R.P. Merritt and B.D. Spell, "Electric Power Analysis at Remote Sites," 40th Arctic Science Conference presentation, September, 1989.

Spell, B.D., "Effects of Geomagnetically Induced Current (GIC) on the Fairbanks-Anchorage Intertie", presented to the Alaska Section of the IEEE, October, 1989.

Aspnes, J.D., B.D. Spell and C.E. Mayer, "Electric Power Engineering 2000", 1990 Frontiers in Education Conference Proceedings, sponsored by IEEE Education Society and ASEE Educational Research and Methods Division, November, 1989. {refereed}

Spell, B.D., "VLSI Design in a 'Third World Country - Alaska'", presented to the Fairbanks Subsection of the IEEE, April, 1990.

Spell, B.D., "Utilities Working Group Report", Design and Construction of Remote Facilities under Arctic Conditions Conference Proceedings, July, 1990.

Spell, B.D. and Krenz, J.H., "Creating a Design-Oriented Curriculum", Teaching Design in Electrical Engineering, Educational Board of the IEEE, pp. 65-78, November, 1990. {refereed}

Aspnes, J.D., B.D. Spill and C.E. Mayer, "Future Directions in Electric Power Engineering Education", International Journal of Applied Engineering Education, special issue in publication, (invited paper) {refereed}

Mike Tauriainen, P.E.

MICHAEL J. TAURIAINEN

EDUCATION

B.S., Civil Engineering, University of Alaska, 1967
M.S., Arctic Engineering, University of Alaska, 1972

PROFESSIONAL REGISTRATION

Registered Professional Engineer (Civil), Alaska
Certified Construction Specifier, CSI

PROFESSIONAL AFFILIATION

American Society of Civil Engineers
American Council of Independent Laboratories
National Water Well Association
American Water Works Association
American Consulting Engineers Council
American Society For Testing and Materials
Alaska Groundwater Association
Association of Groundwater Scientists and Engineers

PROFESSIONAL HISTORY

President and Principal Engineer Mike Tauriainen, P.E., Consulting Engineers, and Northern Test Lab, Soldotna, Alaska. General civil engineering - soils and site investigations, water and sewer systems, airports, roads and streets, building and structural design, environmental engineering, construction inspection, construction materials testing and engineering, hydrogeologic investigations, hazardous materials management.

Mr. Tauriainen has 24 years professional experience in civil, materials, geotechnical and environmental engineering and has managed a consulting engineering and testing business in Soldotna for 13 years.

Prior to establishing consulting engineering practice, served as Kenai Peninsula Borough Engineer for over five years in charge of a wide variety of capital projects and construction; four years Arctic Engineering and research with the University of Alaska, two years oilfield production engineering with Shell Oil.

BOARD: ELECTRICAL EXAMINERS, BOARD OF

BOARD IDENTIFICATION NUMBER: 120

TITLE: Board of Electrical Examiners

DEPT: Department of Commerce and Economic Development

AUTHORITY: AS 08.40.011

STATUS: June 30, 1991

REQUIREMENTS: LEGISLATIVE CONFIRMATION

PROHIBITIONS: Cannot serve more than all or part of two consecutive terms.

TERM: 4 years

DESCRIPTION: 3 members appointed by the Governor; 2 of whom are licensed electrical administrators and 1 of whom is a public member.

SPECIAL FACTS: Members serve until a successor is appointed. An appointment to fill a vacancy is for the remainder of the unexpired term. A member who has served all or part of two successive terms may not be reappointed unless four years have elapsed since the person has last served. Serve at the pleasure of the Governor.

FUNCTION: To protect the safety of people and property in the state from the danger of improperly installed electrical wiring and equipment, by providing a procedure to assure the public that persons responsible for making electrical installations in this state are qualified; and licensing of qualified applicants.

COMPENSATION: Standard travel/per diem.

MEETINGS: At least once annually and may also meet at other times as determined by the board.

*FOR FURTHER INFORMATION CONTACT: Licensing Examiner, Division of Occupational Licensing, Department of Commerce and Economic Development, P.O. Box 110806, Juneau, AK 99811-0806 PHONE: 465-2551

(Revised 12/2/91)

ALASKA UTILITY CONSTRUCTION, INC.

Aaron H. Downing, P.E.
President

Experience Summary

Mr. Downing is a Registered Electrical Engineer and also holds both Inside and Outside Electrical Administrators Licenses. He has been involved with Alaskan electrical construction projects since 1953. These have included high voltage transmission systems, distribution systems, substations, powerhouses, pump houses, and Class I, Division I facilities. He is CEO of Alaska Utility Construction, Inc.

Detailed Experience

1986 to present: President
Alaska Utility Construction, Inc., Wasilla, Alaska

Managed and directed A.U.C. from inception to present. The largest job to date is \$7,000,000.

1981 to 1988: President
Northwest Hazelnut Company, Wasilla, Alaska

Established and directed Northwest Hazelnut Company, an agricultural processing company for hazelnuts. Increased volume of packing from 500 tons to 2,000 tons per year, and profits from approximately \$50,000 to 250,000 per year. Sales volume for 1985-1986 packing year in excess of \$250,000.

1972 to 1981: President
Downing Electric Company, Wasilla, Alaska

Performed outside line construction for Matanuska Electric Association, Copper Valley Electric Association, and Chugach Electric Association. The work was principally negotiated and consisted of transmission overhead and underground distribution and substations. Downing Electric's average annual volume in excess of \$2,000,000.

1969 to 1972: Vice President (Construction Division)
W.R. Grasle Company

Responsible for all construction projects of corporation, contract work in Washington, Oregon, Idaho, Alaska, and overseas. Also steam generating stations, diesel power plants, AC-DC converter

H. Downing

stations, hydro facilities, aluminum plants, atomic test facilities, industrial plants, transmission lines, distribution lines, substations. Directed seven area managers and up to fifteen job superintendents as well as all purchasing and expediting functions for the corporation.

1965 to
1969:

Line Department Manager, Anchorage area Manager
W.R. Grasle Company

Responsible for all outside line construction, including telephone for State of Alaska, inside wiring work (i.e., industrial, commercial, power plants, schools, hotels, etc.) Annual excess of \$ 5,000,000.

1962 to
1965:

Line Department Manager
W.R. Grasle Company, Fairbanks, Alaska

Estimated, bid, and managed transmission line distribution and telephone construction projects throughout the State of Alaska.

1958 to
1961:

System Engineer
Golden Valley Electric Association, Inc., Fairbanks, Alaska

Responsible for the total engineering requirements of the electrical utility consisting of directing the design and construction of distribution and transmission lines, substations, material selections and inventory levels, contract administration and inspections, and right of way procurement.

1956 to
1958:

Assistant System Engineer
Chugach Electric Association, Inc., Anchorage, Alaska

Engineer in charge of distribution design, right of way, staking crews, and inspections.

Promoted to Assistant System Engineer and in charge of Engineering Department Personnel.

1953 to
1956:

Staking Engineer & Draftsman
Golden Valley Electric Association, Inc., Fairbanks, Alaska

Education:

University of Alaska, Fairbanks
B.S. Electrical Engineering, 1956
Minor in Business Management

Ralph W. Perdue
P.O. Box 2267
Fairbanks, Alaska 99707
907-479-2491

Fax 907-456-3948

PERSONAL

Lifelong Alaskan, born in 1929 in Koyukuk, Alaska. Former member of the U.S. Army, graduate of Bradley University in Peoria, Illinois. Married to Dorothy and father of two daughters.

PROFESSIONAL EXPERIENCE

For thirty years I have been a small business owner in Fairbanks, Alaska. As a master goldsmith, I have operated a manufacturing and retail jewelry business, specializing in gold nugget and Alaskan design. I am trained to set diamonds and other valuable colored stones.

COMMUNITY ACTIVITIES AND AWARDS

Over the past 30 years I have been involved in numerous civic efforts. Here is a partial listing.

President Emeritus, Fairbanks Native Association

Past President (twice), Tanana Chiefs Conference

Distinguished Panelist, Alaska Federation of Natives 1991

Small Businessman of the Year, 1968

Member, Board of Trustees Alaska Native Foundation

President, College Rotary 1971 Lifelong rotary member

Member, Fairbanks North Star Borough School Board

First President of Alaska Office of Economic Opportunity

Member, Advisory Committee to the Bureau of Land Management

Member, Governor Hickel's Committee on Manpower Services

Member, Board of the Red Cross

Member, Fairbanks Mayor's Committee on Human Rights

REFERENCES AVAILABLE UPON REQUEST

BOARD: LABOR RELATIONS AGENCY, ALASKA

BOARD IDENTIFICATION NUMBER: 55

TITLE: Alaska Labor Relations Agency

DEPT: Department of Labor

AUTHORITY: AS 23.05.360

STATUS: ACTIVE

REQUIREMENTS: LEGISLATIVE CONFIRMATION

PROHIBITIONS: Not more than two members of the agency may be members of the same political party.

TERM: 3 years.

DESCRIPTION: 3 members appointed by the Governor. One member must have background in management, one member must have a background in labor, and one member from the general public. All must have relevant experience in labor relations matters.

SPECIAL FACTS: May be removed by the Governor only for cause. Must submit an annual report on labor relations problems to the Governor and the Legislature. 2 members constitute a quorum. The Railroad Labor Relations Agency, as described in AS 42.40.730 was repealed and reenacted, effective 7/1/90, to read that the Alaska Labor Relations Agency, established in AS 23.05.360, is the sole railroad labor relations agency.

FUNCTION: Serve as the labor relations agency under the Public Employment Relations Act; serve as the railroad labor relations agency for the Alaska Railroad under the Alaska Railroad Corporation Act.

COMPENSATION: Standard travel/per diem.

MEETINGS: 15-20 times per year.

*FOR FURTHER INFORMATION CONTACT: Department of Labor,
Commissioner's Office, P.O. Box 21149, Juneau, AK 99802-1149
PHONE: 465-2700 (Revised 12/2/91)

RESUME

NAME: Elliott, James W.
2440 East Tudor Road, No. 1135
Anchorage, Alaska 99507-1185
(907) 344-1590-Telecopier (907) 349-9943

OCCUPATION: Self-employed Labor Relations Consultant; Collective Bargaining and Contract Administration, 1967 to Present.

QUALIFICATIONS: Twenty-six Years' Experience in Alaska Public and Private Employment (1964 to Present); Negotiations and Contract Administration Experience (1972 to Present).

FORMERLY: Superintendent of Schools, Northwest Arctic Borough School District, 1963-1967; Special Assistant to the Commissioner of Education, Alaska Department of Education, 1962-1963; Education Administrator, Alaska Department of Education, 1975-1982; Administrator and Classroom Teacher, Alaska State-Operated Schools, 1964-1967 and 1969-1975; Secretary-Treasurer and President, Metlakatla Education Association (1970-1973); Delegate, NEA-Alaska Delegate Assembly (1967 AND 1972); Executive Boardmember and President, District One Education Association (1973-1974); Alaska Delegate to NEA Representative Assembly (1974).

EDUCATION: Post-doctoral Studies - Collective Bargaining and Contract Administration (1968), University of Oregon; Ph. D. (1969) and M. Ed. (1974), University of Oregon; B. S. (1966), East Carolina University.

REFERENCES: Jerry Covey, Commissioner of Education
Alaska Department of Education
(907) 466-2600

Saul Friedman, Attorney-at-Law
(907) 279-5526

Dr. Nathaniel Cole, Deputy-Commissioner (Retired)
Alaska Department of Education
(907) 364-3103

Charles Mason, Principal
Tikigaq School
Point Hope, Alaska 99766
(907) 368-2662

BOARD: OCCUPATIONAL SAFETY AND HEALTH REVIEW BOARD

BOARD IDENTIFICATION NUMBER: 69

TITLE: Occupational Safety and Health Review Board

DEPT: Department of Labor

AUTHORITY: AS 18.60.057

STATUS: ACTIVE

REQUIREMENTS: LEGISLATIVE CONFIRMATION AND FINANCIAL DISCLOSURE

PROHIBITIONS: State employee or member of board/commission with compensation other than travel/per diem.

TERM: 4 years

DESCRIPTION: 3 members appointed by Governor: 1 labor representative, 1 industry representative (each with adequate experience in field), and 1 public member; Governor designates chair (1 year term).

SPECIAL FACTS: Quasi-judicial. May be removed for inefficiency, neglect of duty, or malfeasance in office.

FUNCTION: Ensures protection of life, health, and safety of employees; hears appeals of citations issued by department.

COMPENSATION: \$50/day plus travel/per diem.

MEETINGS: As necessary; approximately 4 times a year.

*FOR FURTHER INFORMATION CONTACT: Special Assistant to the
Commissioner, Occupational Safety and Health Review Board, Dept.
of Labor, P.O. Box 21149, Juneau, AK 99802 PHONE: 465-2709
(Revised 12/2/91)

/OSH#

RESUME
WAYNE GREGORY
309 FAREWELL
FAIRBANKS, AK 99701
456-1397

PERSONAL DATA: DOB 9/16/55
Height: 6'
Health: Excellent
Family: Carol, wife
Three sons, Wesley 9, David 6, Stephen 3

TRAINING/EDUCATION:

Redlands High School, Redlands, CA - Diploma 1973
GPA 3.6
Universal Tech Institute, Phoenix, AZ - Diploma 1975
IBEW Apprenticaship 1980-84.
class average 97%
8000 OJT
certificates in First Aid, National Electrical Code,
Controls Refrigeration, Oil Burners

AWARDS:

High school - perfect attendance 3 yrs.
Tech school - Doctor motors - automatic transmissions
Doctor motors - gasoline engines
Doctor motors - diesel engines
Alumni award - top student
IBEW school - Brotherhood award

EMPLOYMENT HISTORY:

12/90-present Golden Valley Electric Association
title: journeyman wireman
duties: electrical maintenance and construction in
GVEA'S power distribution system Healy to Delta

7/89-11/90 Alcan Electric, Fairbanks
title: journeyman electrician
duties: security system, Bldg 1555 Ft. Wainwright
refrigeration system, commissary "
fire alarm system, med/dent bldg. "
fire alarm system, comp. maint. bldg. "
slab service, composite warehouse "
misc. service calls, trouble shooting,
various Alcan projects in Fai & Ft. WW

5/89-7/89 Norcon, Valdez Terminal
title: journeymen electrician
duties: construct/design portable boiler and pumping
units on sea vessels for Prince William Sound
clean up

10/88-5/89 United Parcel Service, Fairbanks
title: Independent fleet service operator
duties: maintain 14 unit fleet for UPS/Fairbanks

RESUME
WAYNE GREGORY

- 6/85 - 4/88 Northern Power Systems, Fairbanks
title: journeyman wireman
projects: Wendy's 3rd Ave.
Minto School
Barter Island ground grid
Nenana High School
Eielson Hanger remodel
Brown Elementary School
Chena Bldg., downtown Fai
- 1980 - 1985 Apprenticeship Training program - IBEW Local 1547, Fai
8000 hrs w/ Power Comm, Grasle Assoc., Newberry
North Slope time total 672 hrs.
- 1974 - 1980 Mechanic/various dealerships, Fai

PERSONAL REFERENCES:

- 1) John Teeter - Pres. Power Comm, NECA member
479-6700 (Power Comm)
- 2) George Bringman - Alcan Elec., NECA leader
563-3787 (Alcan - Anc)
- 3) Bert Sharp - Dist. 20 Representative, GVEA member
452-2427 (home)
- 4) Richard Giese - Honeywell Alaska
564-8120 (Honeywell - Anc)
- 5) Ron Desrochers - GVEA vehicle maintenance
457-3102 hm 452-1151x227 (GVEA)
- 6) Dave Hebner - UPS Fairbanks Operations Manager
474-8889 (UPS) 452-2013 hm
- 7) John Giuchici - IBEW Union Hall
456-4248 (IBEW) 456-8586 hm

BOARD: REAL ESTATE APPRAISERS, BOARD OF CERTIFIED

BOARD IDENTIFICATION NUMBER: 154

TITLE: Board of Certified Real Estate Appraisers

DEPT: Department of Commerce and Economic Development

AUTHORITY: AS 08.87.010 **STATUS:** June 30, 1994

REQUIREMENTS: LEGISLATIVE CONFIRMATION

PROHIBITIONS: Members serve until a successor is appointed. An appointment to fill a vacancy is for the remainder of the unexpired term. A member who has served all or part of two successive terms may not be reappointed unless four years have elapsed since the person has last served.

TERM: 4 years

DESCRIPTION: 5 members appointed by the Governor: At least 1 shall be a person licensed under AS 08 as a general real estate appraiser; at least 1 shall be a person licensed under AS 08 as a residential real estate appraiser; at least 1 shall be an executive in a mortgage banking entity; and at least 1 shall represent the public.

SPECIAL FACTS: Serve at the pleasure of the Governor. Board shall elect a chair from its membership. For initial appointments only: persons are eligible as an appraiser member if the person has at least 10 years of experience as a real estate appraiser or if the person is a designated member in good standing of a real estate appraisal organization that, as of January 1, 1989, required appraisal experience, appraisal education, and testing to become a member, and required adherence to generally accepted standards of professional practice in order to retain designated membership.

FUNCTION: In addition to the powers and duties conferred on the board by AS 08.01, the board shall establish the examination specifications for certification as a general and residential real estate appraiser; adopt rules of professional conduct; and adopt regulations to carry out the purposes of AS 08.01.

COMPENSATION: Standard traveler per diem. **MEETINGS:** As needed.

***FOR FURTHER INFORMATION CONTACT:** Licensing Examiner, Division of Occupational Licensing, Department of Commerce and Economic Development, P.O. Box 10806, Juneau, AK 99801-0806
PHONE: 465-2542

Revised 11-2-91

LLP/Real Es.
App.

RESUME

Joseph P. Donahue
P. O. Box 1736
Kenai, Alaska 99611

Telephone: (907) 283-8051

Experience:

Twenty five years progressively responsible experience in supervisory, management, and policy level positions within the Department of Interior, Bureau of Indian Affairs. Technical experience in Agricultural and Civil Engineering, Real Estate Management, Natural Resources Management, Human Resource Management, Executive Direction, Policy Development and Liaison functions.

Expertise:

General expertise on federal government policy, budget, and systems, with special knowledge of Department of Interior and Bureau of Indian Affairs. Special knowledge of Alaska natural resources issues, legislation and policy. Expertise on Alaska Native issues, legislation and policy gained from 18 years working on Alaska issues.

Education:

Bachelor of Science - Agricultural Engineering,
University of Montana, Bozeman, Montana - 1966

Training:

Extensive training in supervision and management, real estate, natural resources and environment, contract management, communications, and executive development. Comprehensive listing available upon request.

Awards:

High School Valedictorian, Certificate of Special Achievement (1974), Letters of Commendation (1974, 1977, 1980, 1982), Who's Who in the West (1975, 1976, 1977), Federal Employee of the Year Award (Juneau 1979), Superior Performance Awards, Outstanding Performance Merit Awards (1984, 1985, 1986, 1987, 1988, 1990)

Miscellaneous:

Married, 2 grown children, no military, excellent health,
Born: 12/14/43. Youth Activity leadership, School Board Experience

References: (Available upon request)

Scott Keep - Assistant Solicitor, Indian Affairs - Alaska
Robert Faithful - Director, Support Services - BLM
Jacob Lestenkof - (retired) Juneau Area Director, BIA
Curt McVee - Special Assistant to Secretary - DOI

Real Estate Appraisers

SHORETT & RIELY

REAL ESTATE APPRAISERS & CONSULTANTS

310 K Street, Suite 402, Anchorage, Alaska 99501-2046

ANCHORAGE (907) 276-1881
Anchorage Fax (907) 276-8403
SEATTLE (206) 441-4900
FAX (206) 441-4733

APPRAISER'S EXPERIENCE DATA

KEN E. KINCAID

Ken E. Kincaid, an Alaskan resident for 30 years, is the manager of the Alaska division of Shorett & Riely, a real estate appraisal, market analysis and consulting firm. He is an MAI Candidate with the Appraisal Institute and, to date, has successfully completed all seven of the required courses which are "Real Estate Appraisal Principles" and "Basic Valuation Procedures", 1985; "Capitalization Theory and Technique Part A & B", 1986; "Case Studies", "Variation Analysis of Report Writing" and "Standards of Professional Practice", 1987. He has also successfully completed a demonstration appraisal report for the Appraisal Institute.

Mr. Kincaid is a 1982 graduate of Merced College in Merced, California with an Associates Degree in computer science and accounting and is a 1984 graduate of California State University, Chico with a Bachelor of Science Degree in economics. He has a diversified background in commercial real estate appraisal. Property types upon which full narrative appraisals have been made include commercial and residential subdivision developments, apartments, warehouses, office buildings, shopping centers, hotels, restaurants, free-standing banks and various special purpose properties. He also completes and publishes a semi-annual Anchorage apartment survey. Mr. Kincaid has qualified as an expert witness in the State of Alaska Superior Court and U.S. Federal Bankruptcy Court in Anchorage, Alaska; Portland, Oregon; and Houston, Texas.

The following is a partial list of appraisal assignments he has completed since joining Shorett & Riely:

Anchorage Office Buildings

Realty Center Office Building
Crowntree Office Building
West Center Retail/Office Building

Ocean Technology Office Building
Dale Street Professional Building
Bank of the North Data Building

Retail Buildings

Diamond Center
Muldoon Mall
Geneva Woods Shopping Center
Proctor's Grocery Retail Center
E Street Terrace

Northway Mall
Olympic Center @ 36th & Arctic
Independence Park Shopping Center
Creekside Village Shopping Center

Hotel Properties

Executive Suite Hotel (110 rooms)

Northern Lights Inn (142 rooms)

Industrial

Middtown Business Park
Alaska Steel Warehouse
Ship Creek Transfer Warehouse
Van Dusen Aircraft Hanger

B Street Industrial Park
K & L Distributors
Skoglund Warehouse
Kenworth Alaska Building

Apartments

King Henry's Court (44 Units)
Nelchina Point (84 Units)
Susitna Ridge (88 Units)
Independence Park (84 Units)
Park Plaza (110 Units)
Arctic Gardens (60 Units)
Sunrise East (144 Units)

Eden Lane (72 Units)
College View (98 Units)
Calais Manor (164 Units)
Lakeshore Park (80 Units)
Lampighter Apartments (288 Units)
Polar Apartments (111 Units)
Fontaine Bleau (60 Units)

Eagle River

Area Realty Office Building
20 Acre Industrial Tract

Sherwood Arms Apartments
Frontier Mall

Mat-Su Borough

Westside Center
120 Acre Residential Subdivision
95 Acre Industrial/Residential Tract
The Shores of Ancient Tree Estates
Alaska Potato Chip Building

Phillips Plaza
Wasilla Business Park
Lake Lucille Lodge
Proposed Camal Nursing Home
First Interstate Bank Building

Kenai Peninsula

Rig Tenders, Nikiski Dock Facility
Kasilof Site Planning Report

Offshore Systems Dock Facility

Fairbanks

100 Unit Apartment Feasibility Study
C.J.M. Office/Warehouse

The Village Apartments (52 Units)
Chandalar Apartments (58 Units)

Seattle

Juanita Heights Office Building

Waterford Condominiums

SHORETT & RIELY

REAL ESTATE APPRAISERS & CONSULTANTS

310 K Street, Suite 402,

Anchorage, Alaska 99501-2046

(907) 276-1851

Fax: (907) 276-8403

FAX TRANSACTION

AND COMMISSIONS

MAY 28 1991

FROM: Ken E. Kincaid

TO: Mr. Don Tanner

Director of Boards + Comm.

fax no: 463-3454

RE: Appraiser's Experience Data

Date: May 28, 1990

Pages (Incl Cover): 3

COMMENTS: Don I have also passed the "Certified General" real estate appraiser exam on 4-20-91. This is the State of Alaska test administered by ASI.

QUALIFICATIONS

THOMAS P. KING
P.O. Box 34703
Juneau, Alaska 99803-4703
(907) 789-2536

EDUCATION

B.A. Degree, English & Philosophy, Manhattan College, Bronx, New York

Uniform Standards of Professional Practice, Parts A & B, March 1991

Passed Alaska State Certification Test, April, 1991.

Completed Courses 1-A, 1-B, II, conducted by American Institute of Real Estate Appraisers, and Course 201, by the Society of Real Estate Appraisers.

Completed numerous short courses on Feasibility Analysis, Investment Analysis, Syndications, R-41-b & c, Energy Rated Homes, Alaska Craftsman Homes Program, Income Properties, Market Extraction and others.

EMPLOYMENT HISTORY

Since 1987, an Appraiser and Consultant in Real Estate with Thomas P. King & Associates, Owner.

From October, 1986 to September, 1987, an Appraiser/Consultant in Real Estate with P,D&T Appraisal Group, Partner.

From July 1980 to October, 1986, an Appraiser/Consultant in Real Estate with Thomas P. King & Associates, Inc., being president of company.

From June, 1977 to June, 1980, an Appraiser/Consultant in Real Estate with Street King & Associates, Inc., Fairbanks, Alaska, being president of company.

From May 1975 to June, 1977, an Appraiser/Consultant in Real Estate with Yerkes, Street & Associates, Inc.

PROFESSIONAL AFFILIATIONS

Candidate with the Society of Real Estate Appraisers.

Member of the Juneau Home Builders Association

Member of the Juneau Multiple Listing Service

TYPICAL ASSIGNMENTS

Residential Properties, i.e. single family, condominium, 2-4 family properties
Vacant/Unimproved Sites for either residential or commercial development or acquisition.

Commercial and Industrial Valuations, Office Buildings, warehouses, lodges.
These appraisals were for business loan purposes for various clients.
Multi-family, residential and commercial appraisals for Alaska banks, and mortgage companies and several outside banks including Seattle First National Bank, Prudential Home Mortgage, Seattle Pacific Savings & Loan.

Land and improved property appraisals throughout Southeast Alaska for various clients including village corporations and towns, regional Native Corporations, other private clients, state and federal agencies.

City Assessments for Petersburg, Craig, Skagway

Other clients include: Alaska Federal Savings & Loan, Alaska Housing Finance Corporation, Alaska State Housing Authority, Alaska Electric Light & Power Company, Alaska USA Federal Credit Union, Alaska State Employees Federal Credit Union, Key Bank of Alaska, First National Bank of Alaska, National Bank of Alaska, City Mortgage Corporation, Federal National Mortgage Corporation, Tlingit-Haida Regional Housing Authority, Sealaska Corporation, Kotznoowoo Native Corporation, Better Homes & Garden Relocation, Homequity Relocation Services, Prudential Relocation Services, Associates Relocation Services, Mortgage Guaranty Insurance Corporation, Ticor Relocation Management Company and various others.

Qualified Expert Witness, Superior Court, Juneau and Fairbanks, Alaska.

AREAS COVERED

Juneau, Haines, Skagway, Yakutat, Hoonah, Gustavus, Pelican, Tenakee

State Printing



STATE OF ALASKA
OFFICE OF THE GOVERNOR
POUCH A
Juneau, Alaska 99811-0101

BOARDS AND COMMISSIONS RESUME

INSTRUCTIONS

A separate application is required for each position for which you apply. Complete and specific answers will aid in rapid and accurate processing of your resume. The initial determination of whether you qualify for the position specified will be based on this application.

Please type or print legibly in ink. Forward to the above address. Be sure your answers are correct. A willfully false answer may result in your disqualification or removal from office if you are appointed.

Position for which I am applying:

Board of Certified Real Estate Appraisers

Please list any other State Board or Commission on which you serve:

None

REPORT ADDRESS AND TELEPHONE CHANGES PROMPTLY

Name William K. Simon		Previous Name (signed under)
Mailing Address 1397 Ithaca Street	Residence Address Same	
City, State and Zip Code Fairbanks, AK 99709		
Telephone (907) 479-4814	Business or Message Telephone (907) 452-1751	

AS 39.06.100 requires that a person appointed to a board or commission be a registered voter BEFORE the last general election held _____.

Are you a registered voter? YES NO

Voter Registration Number (Optional)

Social Security Number (Optional)

Have you ever been convicted of a misdemeanor within the past five years or a felony within the past ten years? YES NO
If "YES", explain the circumstances on a separate sheet of paper and attach it to this application. A conviction is not necessarily grounds for disqualification. The number of convictions, nature, recency, and relationship to the board position applied for will be evaluated and a determination will be made after a review of all relevant facts.

A policy in the Governor's Office pertaining to boards and commissions is that a member attend at least 75% of the meetings. Are there any circumstances in either your professional or personal life which would prevent you from participating at the required meetings? YES NO

If "YES", explain on a separate sheet of paper and attach to this application.

This position may require that the member travel to either urban or rural (or both) areas. Are there any circumstances which would prevent you from participating?

The most major conflict is if the meeting is at the same time Mt. McKinley Bank's Board meets.

CONFLICTS OF INTEREST: Certain Boards and Commissions require full disclosure of personal financial data under AS 39.50.010. If required for the Board or Commission for which you are applying, are you willing to do so?

YES NO

Could you or any member of your family be affected financially by decisions to be made by the Board or Commission for which you have applied?

YES NO If "YES", explain:

TRAINING & EXPERIENCE: (If resume attached, it is not necessary to complete items A-D)

A. List any professional licenses, certifications, or registrations and dates obtained that may be used as qualifying criteria:

None

B. List both formal and informal education and training experience (use additional paper if necessary):

Business Administration - Foreign Trade

American Institute of Banking - Mortgage Lending and Installment Lending

C. List any community service positions, municipal government positions, state positions held, and list any awards received. These include both compensated and uncompensated positions (for example, president of a service organization or a mayor). Also include length of time served in the positions.

See Attached

D. Employment work history: paid, unpaid, or voluntary (use additional paper if necessary):

1959-1963 Budget Finance in Tacoma, WA. Last position was Assistant Manager.

1963-1971 Alaska State Bank. Installment Loan Officer for 5 yrs. Commercial Loan Officer for 3 yrs. Last position was Assistant Vice President and Assistant Manager for the Fairbanks office.

1971-Present Mt. McKinley Mutual Savings Bank. Served as Chief Credit Administrator for 18 yrs. Currently President/CEO/Chairman of the Board.

The Office of the Governor and the State of Alaska have an Affirmative Action Equal Employment Opportunity Program. To assist in the program, you are asked to voluntarily answer the following questions to provide the information necessary for reporting purposes. Under State and Federal law, the information you provide will not be used to illegally discriminate against you.

SEX

- Male
- Female

ETHNIC BACKGROUND

- White
- Black
- Alaska Native
- Asian or Pacific Islander
- American Indian
- Hispanic
- Other

Date of Birth

CERTIFICATION: I swear that the information I have entered on this form is true to the best of my knowledge. I understand that if I deliberately conceal or enter false information on the form my application may be rejected, I may be removed from the list of eligible candidates or I may be removed from the position. I agree that the Office of the Governor may contact present or former employers or other persons who know me to obtain additional information about my skills and abilities. I understand that the information on this application is public information and may be released through a legal request for such information.

Signature in Ink



Date: May 27, 1981

State of Alaska
Department of the Governor
Boards and Commissions Resume

C. Community Service, etc.

Fairbanks Jaycees - 1963 to 1967. Held the office of Treasurer of the local club and also the State of Alaska Jaycee organization as Treasurer.

Fairbanks Business Mens Credit Association - 1963 to 1968. Served as President in 1964.

Red Cross Board - 1966 to 1968. Served as Financial Chairman.

Hillcrest Home for Boys - 1968 to 1971. Served as a Board Member and was Chairman in 1970.

American Institute of Banking (AIB) - Served as President of the Fairbanks Chapter in 1976. Member of the State AIB Committee for 3 years. 1979 to 1981 - Instructor in Mortgage Lending and Commercial Lending.

United States Air Force Association - 1975 to 1991. Served as Finance Chairman in 1976.

Interior Mortgage & Housing Association - 1978 to 1980. Founder and President in 1978.

Review Board for the City of Fairbanks Police Department - 1979 to 1980.

Young Life - 1979 to 1985. Board Member and Chairman in 1984.

Festival Fairbanks - 1982 to present. Currently President of the Board.

Monroe Foundation - 1989 to present. Board Member and Treasurer in 1990. Served as President of HIPOW in 1990.

College Rotary - 1973 to present.

BOARD: WORKERS' COMPENSATION BOARD, ALASKA

BOARD IDENTIFICATION NUMBER: 110

TITLE: Alaska Workers' Compensation Board

DEPT: Department of Labor

AUTHORITY: AS 23.30.005

STATUS: ACTIVE

REQUIREMENTS: LEGISLATIVE CONFIRMATION AND FINANCIAL DISCLOSURE

PROHIBITIONS:

TERM: 3 years

DESCRIPTION: 11 members, 10 appointed by Governor: includes 5 panels--southern panel of 3 members for first judicial district, northern panel of 3 for second/fourth judicial district, two southcentral panels of 3 for third judicial district, and one panel of 3 at-large members; each panel includes the Commissioner of the Department of Labor or designee as a member and chair; a representative of industry and a representative of labor; the latter 2 members of each panel are appointed by the Governor; the terms of management and labor members of each panel may not expire in the same year.

SPECIAL FACTS: Quorum - 2 members of panel; quasi-judicial.

FUNCTION: Administers Workers' Compensation Act; sits as judge/jury for irreconcilable disputes between injured workers and employers.

COMPENSATION: \$50/day and standard travel/per diem.

MEETINGS: Regular meetings once a year; hearings: Fairbanks 1 day/month. Anchorage 3 days/biweekly, Juneau 1 day/month, Ketchikan twice a year; 97 days total.

*FOR FURTHER INFORMATION CONTACT: Director, Division of Workers' Compensation, Dept. of Labor, P.O. Box 25512, Juneau, AK 99802-5512 PHONE: 465-2790
(Revised 12/2/91)

**THE FOLLOWING PAGES MAY
NOT FILM LEGIBLY BECAUSE OF
THE POOR QUALITY OF THE ORIGINAL**



OFFICE OF THE GOVERNOR
Pouch A
Juneau, Alaska 99811-0101

ST
FC
10/1/77

BOARDS AND COMMISSIONS RESUME

INSTRUCTIONS

A separate application is required for each position for which you apply. Complete and specific answers will aid in rapid and accurate processing of your resume. The initial determination of whether you qualify for the position specified will be based on this application.

Please type or print legibly in ink. Forward to the above address. Be sure your answers are correct. A willfully false answer may result in your disqualification or removal from office if you are appointed.

Position for which I am applying
Alaska Laborers' Compensation Board - Labor Seat 3

Please fill in any other Board or Commission on which you serve

REPORT ADDRESS AND TELEPHONE CHANGES PROMPTLY

Name: **John Giuchicci** Previous Name applied under:

Mailing Address: **60 Hall Street** Residential Address: **639 Slater Drive**

City, State and Zip Code: **Fairbanks, Alaska 99701**

Home Telephone: **456-8586** Business or Message Telephone: **452-1547**

AS 39.05.100 requires that a person appointed to a board or commission be a registered voter BEFORE the last general election held **11/6/90**
Are you a registered voter? YES NO
Voter Registration Number (Optional): **482372** Social Security Number (Optional): **574-18-2769**

Have you ever been convicted of a misdemeanor within the past five years or a felony within the past ten years? YES NO
If "YES", explain the circumstances on a separate sheet of paper and attach it to this application. A conviction is not necessarily grounds for disqualification. The number of convictions, nature, recency, and relationship to the board position applied for will be evaluated and a determination will be made after a review of all relevant facts.

A policy in the Governor's Office pertaining to Boards and commissions is that a member attend at least 75% of the meetings. Are there any circumstances in either your professional or personal life which would prevent you from participating at the required meetings? YES NO
If "YES", explain on a separate sheet of paper and attach to this application.

This position may require that the member travel to either urban or rural (or both) areas. Are there any circumstances which would prevent you from participating? NO

CONFLICTS OF INTEREST: Certain Boards and Commissions require full disclosure of personal financial data under AS 39.60.010. If required for the Board or Commission for which you are applying, are you willing to do so?
 YES NO
Could you or any member of your family be affected financially by decisions to be made by the Board or Commission for which you have applied?
 YES NO - If "YES", explain.

The Office of the Governor will not discriminate against an applicant for a Board or Commission based on Sex, Age, National Origin, Marital Status, Pregnancy, Handicap, Religion, or Parenthood.

A. List any professional licenses, certifications, or registrations and dates obtained that may be used as qualifying criteria:

Certificate of Fitness - Lineman Journeyman - State of Alaska

B. List both formal and informal education and training experience (use additional paper if necessary):

Lathrop High School - 1964 through 1967
Alaska Joint Electrical Apprenticeship Training - Lineman Journeyman Certificate - 1980
Meat Cutting Apprenticeship, Journeyman Certificate - 1972

C. List any community service positions, municipal government positions, state positions held, and list any awards received. These include both compensated and uncompensated positions (for example, president of a service organization or a mayor). Also include length of time served in the positions.

Commission on Salaries and Emoluments of Elected Officials, North Star Borough- 1 year
Award - Assistance in Employing Disabled Alaskans from State of Alaska,
- Division of Vocational Rehabilitation

D. Employment work history: paid, unpaid, or voluntary (use additional paper if necessary):

1985 - Present Business Agent, IBEW L.U. 1547, Fairbanks, AK.
1983 - 1985 Golden Valley Electric Association, Fairbanks AK.
1980 - 1983 Gracie & Associates, Fairbanks, AK
1978 - 1980 Meyers/Hardline, J.V., Valdez, AK
1977 - 1978 Primary Electric, Fairbanks, AK
1975 - 1977 PDQ Construction, Fairbanks, AK
1970 - 1975 Carrs Foodland, Fairbanks, AK

The Office of the Governor and the State of Alaska have an Affirmative Action Equal Employment Opportunity Program. To assist in the program, you are asked to voluntarily answer the following questions to provide the information necessary for reporting purposes. Under State and Federal law, the information you provide will not be used to illegally discriminate against you.

SEX Male Female
ETHNIC BACKGROUND White Black Alaska Native Asian or Pacific Islander
 American Indian Hispanic Other

Date of Birth
1/27/49

CERTIFICATION: I swear that the information I have entered on this form is true to the best of my knowledge. I understand that if I deliberately conceal or enter false information on the form my application may be rejected, I may be removed from the list of eligible candidates or I may be removed from the position. I agree that the Office of the Governor may contact present or former employers or other persons who know me to obtain additional information about my skills and abilities. I understand that the information on this application is public information and may be released through a legal request for such information.

Signature of Applicant: *John A. ...* Date: *Sept 10, 1991*

STEPHEN T. HAGEDORN
6927 Fountain Drive
Anchorage, Alaska 99502
(907) 248-1818

Education

Bettendorf High School - Bettendorf, Iowa: Graduated 1966

University of Northern Iowa - Cedar Falls, Iowa: Graduated 1971
BA in History

Work Experience

Present Position (1985 - Present): Coordinator, Risk Management, Alaska Railroad Corporation ("ARRC"). In this position, I administer the risk management program for ARRC, with an emphasis in workers' compensation claims, third party tort claims, and freight loss and damage claims. The acquisition of various insurance policies which address ARRC's exposures are also within my area of responsibility. I direct activities of insurance brokers and adjusters, and provide analysis of exposures faced by ARRC, to senior management.

1979 - 1985: Manager, Loss and Damage Claims, ARRC. In this position, I was responsible for the adjudication of freight loss and damage claims, in compliance with the Association of American Railroads rules and regulations. I left to take the position of risk manager.

1976 - 1979: Payroll Specialist, ARRC. In this position, I was responsible for all facets of the Payroll Department. I supervised two employees and was responsible for the proper accounting of time for the 500+ employees of the Alaska Railroad. I left to take the position of claims manager.

1975 - 1976: Timekeeper, ARRC. In this position I was responsible for the procurement and submission of weekly time cards for all Engineering Department employees. I left to take the position of the Payroll Specialist.

Fall 1974 - Spring 1975: Clerk/Typist, Bureau of Land Management. In this position I worked with the "Corridor Planning Team", a task force which was formed to identify corridors for various modes of transportation, within the State of Alaska. This was a temporary position.

Military Service

United States Army 1971 - 1972. Honorably Discharged.

Personal

Born February 17, 1948, in Waukesha, Wisconsin. Married with two boys (13 & 10). My wife is the librarian at Willow Crest Elementary School in Anchorage. I am 6'0" 177 lbs., a non-smoker, in excellent health. I have been an Anchorage resident for 20 years. I enjoy traveling, golf, fishing, cycling, and skiing.

References

References available upon request.

W. J. Koenigs

Resume - Don Koenigs

Born: September 23, 1938

Education: Fairmont High School 1956, Fairmont, Minn.
University of Minnesota 1962 B.S. Forest Management
American Management School on Personal Mgt. 1973
College of Engineering, University of Washington 1987
Drilling and Blasting Techniques for Construction

Service: U.S.Navy 1962 Officer School, Honorable Discharge

Occupational Experience:

1982 to present: President of Constructech Inc. Providing construction staking, and construction management services. Work on the Tye Hydroelectric Project at Bradfield Canal, and the Greens Creek Mining Co. Project west of Juneau.

1979 to present: President of Four Kings Inc. Providing retail business spaces and operation of a movie theatre.

1979 to 1990: Self employed consultant. Services included logging engineering, transportation planning, cost analysis of logging operations, bid proposals on timber sales, land use and resource planning, timber appraisals, contract analysis, timber inventory, cruising and road construction and logging management consulting and inspection. Permit application with state and federal agencies. Served as an arbitrator on legal case.

1979 to 1986: Partner in Papkes Sawmill located in Petersburg. A very small mill for custom cutting lumber for domestic and locale use only.

1975 to 1979: Company Vice President of Valentine Logging Co. and Superintendent of logging operation at Coffman Cove, Alaska. Duties were to develop, coordinate and implement plans for logging 32 million board feet of timber annually. Reviewed designated logging areas and plans, modified when necessary to meet the needs of operational goals and objectives. Involved in the decision making process as to equipment purchases as to cost benefits ratios, production objectives, long range goals and ability to pay for such expenditures. Responsible for the supervision of all logging operations involving 45 employees.

1962 to 1975: Logging engineer and timber sale administrator for Ketchikan Pulp Co. Work involved coordinating activities of seven logging companies and three road builders. Reviewed federal

1962 to 1975 cont.

timber sales and made economic evaluations concerning their acquisition by this firm. Responsible for the administration of contracts between the government and the Pulp Co. and thier subcontractors. In conjunction with the administration and actual layout of 100 million board feet annually, I was responsible for the engineering of all roads into this timber and the supervision of the construction and the logging. I have developed log handling facilities and logging communities.

1959 to 1962: Prior to graduating, I spent 3 years with the U.S. Forest Service in forest management research, working in Alaska and California. Work related experience involved extensive forest inventory work requiring skills to judge forest understory and overstory, timber types, density, size classes, diseases present, growth rates, log grades, stocking densities and site conditions and mortality factors. Located research plots with desired basal area by the use of the prism. Marked areas to be studied and selected trees to be left in experiments. Cruised timber and wrote timber appraisals for small sales.

1956 to 1959: Worked at the University of Minnesota Plant Pathology Department as a laboratory technician.

1950 to 1956: Worked as a farm hand in Minnesota.

Other Experiences:

1971 - 1972 Chairman School Board, Whale Pass , Alaska
1974 - 1975 Member City of Petersburg Utility Board
1975 - 1991 Member of Elks Lodge
1979 - 1990 Member of Chamber of Commerce
1981 - 1987 Member of Rotary International
1981 - 1985 Member of Alaska Municipal League
1981 - 1985 Mayor, City of Petersburg
1991 Chairman City of Petersburg Utility Board

Family: Wife - Barbara, 2 children - Mark, Katrina

RESUME OF
MICHAEL MC KENNA

PERSONAL

Born: April 19, 1948
 Parents: Mary McKenna, Anchorage, Alaska
 Eric McKenna, Carson City, Nevada
 Marital Status: Divorced - 3 teenage sons
 Residence: 1364 W. 23rd Avenue, Anchorage, AK 99503
 Phone: 907-258-6355 - Home
 907-269-4202 - Work
 Employer: Alaska Teamster Local 959
 Health: Excellent

EDUCATION

Grade School - Fairbanks, Alaska
 Central Jr. High, Anchorage, AK - 1961 - 1963
 West High School, Anchorage, AK - 1964 - 1966
 Washington State and Univ. of Alaska 1966-1971

EMPLOYMENT HISTORY

July, 1965 - December, 1973

Alaska Van	Sealand
Peter Kiewit	Grocers Wholesale
Locher Const.	Spruce Equipment
Alagco	Burgess Const.
Bechtel Const.	Mikluk
Surfcoate N.W.	Arden Mayfair
Ken Brady Const.	

May, 1974 - July, 1984

Alaska Teamster Employment Service Corporation.
 Assistant Administrator

Alaska Teamster Health & Welfare
 Alaska Teamster Pension Plan
 Alaska Teamster Propair Legal Plan

Page two

RESUME OF MICHAEL MC KENNA

August, 1984 - August, 1989

Administrator
Alaska Teamster Employers Service Training Corp.
Alaska Teamster Training Trust

December, 1989 to Present

Business Agent
Alaska Teamster Local 959

ACTIVITIES

President
Anchorage Joint Crafts Council
12/89 to Present

Executive Board
Alaska Teamster Local 959
6/76 - 4/89

Positions held: Trustee, Recording Secretary,
Vice President

LC [unclear]
Copy

Resume

Robert "Bob" W. Nestel
16810 Easy St. #2
Eagle River, Ak. 99577
(907) 694-4372

Resident of Alaska since 1964

Work History

1961 Chapter Property and Casualty Underwriter designation received.

1972-74 Alaska Municipal League Seminars & Risk & Insurance Management society conferences.

1975 State of Alaska Medical Malpractice Advisory Committee
Advisor to Governor Hammond
Received Associate in Risk Management

1978-79 President Alaska Surplus Line Assoc.
President of Alaska Chapter of Chartered Property
Casualty Underwriters

1980-81 Educational Coordinator CPCU Society

Have also held Property & Casualty Broker Position With:

LaBow Haynes Co. of Alaska Inc.

Leo Oberts Insurance Inc.

Insurance Brokers of Alaska

Rollins Burdick Hunter of Alaska

Greatland General Agency

Comp. Ins. Agency

Have also held Risk Manager Consultant Position With:

Municipality of Anchorage

Kenai Peninsula Borough

City and Borough of Juneau

City of Ketchikan

City of Cordova

City of Fairbanks

Fairbanks North Star Borough

City of Nome

Professional Associations and organizations.

Legislative Committee. Workers Comp. Committee of Ak.- Board Member and Chairman

Alaska Chapter of CPCU - President, 1979

Alaska Chapter of CPCU - Educational Chairman, 1980-81

Alaska Chapter of CPCU - Course Leader, 1972-84

Alaska Surplus Lines Assoc.- President, 1980

Alaska Medical Malpractice Commission

Risk & Insurance Management Society

Central Alaska Independent Insurance Agents & Brokers, Inc.



STATE OF ALASKA
OFFICE OF THE GOVERNOR
P.O. Box A
Juneau, Alaska 99811

BOARDS AND COMMISSIONS RESUMÉ

INSTRUCTIONS

A separate application is required for each position for which you apply. Complete and specific answers will aid in rapid and accurate processing of your resumé. The initial determination of whether you qualify for the position specified will be based on this application.

Please type or print legibly in ink. Forward to the above address. Be sure your answers are true. A willfully false answer may result in your disqualification or removal from office if you are appointed.

Position for which I am applying:

Alaska Worker's Compensation Board

Please list any other Board or Commission on which you serve:

NONE

Name Darrell F. Smith		Previous Name applied under
Mailing Address 610 West 54th. Street		Residence Address 8147 Lloyd dr.
City, State and Zip Code Anchorage, Alaska. 99518		Anchorage, Alaska. 99502
Home Telephone (907) 248-9548		Business or Message Telephone (907) 562-2810

REPORT ADDRESS AND TELEPHONE CHANGES PROMPTLY

AS 39.05.100 requires that a person appointed to a board or commission be a registered voter before the last general election.

Are you a registered voter? YES NO

Voter Registration Number (Optional) 177859

Social Security Number (Optional) 549-48-2798

Have you ever been convicted of a misdemeanor within the past five years or a felony within the past ten years? YES NO

If "YES", explain the circumstances on a separate sheet of paper and attach it to this application. A conviction is not necessarily grounds for disqualification. The number of convictions, nature, recency and relationship to the board position applied for will be evaluated and a determination will be made after a review of all relevant facts.

A policy in the Governor's Office pertaining to boards and commissions is that a member attend at least 75% of the meetings. Are there any circumstances in either your professional or personal life which would prevent you from participating at the required authorized meetings? YES NO

If "YES", explain on a separate sheet of paper and attach to this application. None, except for work scheduling.

This position may require that the member travel to either urban or rural (or both) areas. Are there any circumstances which would prevent you from participating? Same as above.

CONFLICTS OF INTEREST: Certain Boards and Commissions require full disclosure of personal financial data under AS 39.50.010. If required for the Board or Commission for which you are applying, are you willing to do so? YES NO

Could you or any member of your family be affected financially by decisions to be made by the Board or Commission for which you have applied? YES NO If "YES", explain.

The Office of the Governor will not discriminate against an applicant for a Board or Commission based on Sex, Age, National Origin, Marital Status, Pregnancy, Handicap, Religion or Parenthood.

TRAINING & EXPERIENCE. (If résumé attached, not necessary to complete items A-D)

A. List any professional licenses, certifications, or registrations and dates obtained that may be used as qualifying criteria:

State of Alaska Journeyman Plumbers Lic. # 000006
 Municipality of Anchorage Journeyman Plumber Lic. #JP-0427
 International Foundation of Employee Benefits Plan # 066998 (labor trustee)

B. List both formal and informal education and training experiences: (Use additional paper if necessary)

1956 Graduate Anchorage High School
 1962 Graduate Plumber Apprentice Training Program

C. List any community service positions, municipal government positions, state positions held, and list any awards received. These include both compensated and uncompensated positions (for example, president of a service organization or a mayor). Also include length of time served in the positions.

Executive Board Member Resource Development Council. 1987.
 Department of Labor Seminar's. Federal 1985. State of Alaska 1986.

D. Employment work history: paid, unpaid or voluntary: (Use additional paper if necessary)

31 year member of Plumbers & Pipefitters Union Local 367. Of which, 19 year's as an officer, including 9 years as a Business Representative and 4 year's as the elected Business Manager.
 Lobbied in Juneau and Washington D.C. on issues concerning labor including the recent changes to the Workmans Compensation Law this past session.

The Office of the Governor and the State of Alaska have an Affirmative Action Equal Employment Opportunity Program. To assist in the program, you are asked to voluntarily answer the following questions to provide the information necessary for reporting purposes. Under State and Federal law, the information you provide will not be used to illegally discriminate against you.

SEX Male Female
 ETHNIC BACKGROUND White Black Hispanic Alaska Native Asian or Pacific Islander American Indian (partial)

Date of Birth	Military Service (if applicable, give dates)
July 25, 1937	California Nat. Guard 1953/55. Alaska Army Reserve 1956/62

CERTIFICATION: I swear that the information I have entered on this form is true to the best of my knowledge. I understand that if I deliberately conceal or enter false information on the form my application may be rejected, I may be removed from the list of eligible candidates or I may be removed from the position. I agree that the Office of the Governor may contact present or former employers or other persons who know me to obtain additional information about my skills and abilities. I understand that the information on this application is public information and may be released through a legal request for such information.

Signature in Ink

Date

August 2, 1988

MARC D. STEMP
P.O. Box 206
Copper Center, Alaska 99573
Work: (907) 822-3476 Home: (907) 279-0128

EDUCATION: B.S.B. in Accounting, with Distinction
University of Minnesota, 1972

I have been privileged to work closely with the Ahtna people in moving their regional corporation to a point of endowed security. This experience has been formative for my career development and professional education.

Experience makes clear that the opportunity for meaningful cross-cultural financial leadership that I have enjoyed also exists independent of Alaska Native Regional Corporations. The skills I have acquired can be usefully employed by other companies working throughout the world with a different, albeit equally valid approach, to the exciting challenge of cross-cultural management. I am ready for such challenges.

CAREER HISTORY:

TREASURER/CONTROLLER - AHTNA, INC., JANUARY 1983 - PRESENT.

Ahtna, Inc., is one of the twelve Alaskan Native Regional Corporations. It is one of the most profitable of the regional corporations and is the only such corporation to have achieved profitability every year since incorporation.

My association with Ahtna has been central to these efforts. I am responsible for all financial elements of the company including functioning as Chief Operating Officer, in the absence of the President.

More specifically, I:

- Managed the daily accounting and financial reporting requirements;
- Oversaw the financial management of Ahtna's \$20M portfolio;
- Established a Native Heritage Foundation for the Ahtna people;
- Directed the Investment Committee for corporate acquisitions;

- .. Maintained the operation of four subsidiary companies doing \$10M per annum;
- Participated in the development and the management of major corporate/Native joint ventures with responsibilities in excess of \$200M for the construction of the OTH-B radar;
- Worked closely with national tax counsel in the reporting of significant tax considerations on behalf of Ahtna;
- .. Attacked subsidiary financial and management problems as needed with energy and expertise;
- .. Developed a company-wide financial reporting system and installed the necessary computer support; and
- .. Engaged in cross-cultural challenges and exchanges presented by the implementation of the Alaska Native Claims Settlement Act with Native employees, shareholders, officers and directors.

VICE PRESIDENT, FINANCE/ADMINISTRATION, EXECUTONE OF ALASKA, INC., ANCHORAGE, ALASKA, JULY, 1981- NOVEMBER, 1983.

I was responsible for all financial aspects of the company, including the preparation of financial statements, forecasts, cash management, tax returns and supervision of accounting and administrative staff. Designed and implemented accounting, inventory and credit systems.

TREASURER, BRISTOL BAY NATIVE CORPORATION, ANCHORAGE, ALASKA, OCTOBER 1976 - JULY, 1981.

I was responsible for the financial reporting and planning of the company; including financial statement reporting, budgeting and acquisition review. Directly supervised accounting staff. Designed and implemented an EDP system for shareholder accounting.

ASSISTANT CONTROLLER, TESORO-ALASKAN PETROLEUM, ANCHORAGE, ALASKA, MARCH 1976 - OCTOBER 1976.

I Prepared and monitored operating budgets for major departments of the company.

Marc D. Stemp
Page 3

TAX SPECIALIST, COOPERS & LYBRAND, ANCHORAGE, ALASKA,
AUGUST 1974 - MARCH 1976.

I progressed from Staff Auditor, who performs basic functions on audit engagements to the position of Tax Specialist. This position consisted of tax return review, tax planning and tax research.

STAFF ACCOUNTANT, TAYLOR, McCASKILL & COMPANY, ST. PAUL,
MINNESOTA, JULY 1972 - JULY 1974.

I performed basic tasks on audit engagements; preparation of tax returns.

PROFESSIONAL MEMBERSHIP: Financial Executives Institute.

REFERENCES: Available upon request.

PERSONAL DATA:

Height: 6'0"
Weight: 190 lbs.
Health: Excellent

JUL-23-91 10:51 AM FAXERS LOCAL 1-70
F.B.I.
LC file/Worham
C.M.

JEFFERY A. WERTZ
2027 DUKE DRIVE
ANCHORAGE, ALASKA 99508
279-6636 OFFICE
272-7305 HOME

EDUCATION

UNIVERSITY OF ALASKA, ANCHORAGE
1987 TO 1988
CERTIFICATE, LABOR STUDIES

UNIVERSITY OF ALASKA, ANCHORAGE
1975 TO 1979
DEGREE, A.A.S., AIR TRAFFIC CONTROL

EAST ANCHORAGE HIGH SCHOOL
1962 TO 1965

PERSONAL

BORN 1947, HOLIDAYSBURG, PENN.
ALASKA RESIDENT 1949
MARRIED
TWO CHILDREN
EXCELLENT HEALTH

COMMITTEES AND BOARDS

CURRENT MEMBER OF THE WORKMANS COMPENSATION JOINT MANAGEMENT /
LABOR AD HOC ADVISORY COMMITTEE.

CURRENT MEMBER OF THE PROJECT WITH INDUSTRIES, BUSINESS ADVISORY
COUNCIL.

REFERENCES

COMPLETE LIST OF REFERENCES ARE AVAILABLE
UPON YOUR REQUEST.

JUL-25-91 170 12:00 PRINTERS LOCAL 170
JEFFERY A. WERTZ
2027 DUKE DRIVE
ANCHORAGE, ALASKA 99508
279-6636 OFFICE
272-7305 HOME

EMPLOYMENT HISTORY

DECEMBER, 1985 TO PRESENT
INTERNATIONAL ASSOCIATION OF MACHINISTS
AND AEROSPACE WORKERS
BUSINESS REPRESENTATIVE, RESPONSIBLE FOR CONTRACT NEGOTIATIONS,
CONTRACT ADMINISTRATION, ARBITRATIONS AND DISPUTE RESOLUTION.

DECEMBER, 1981 TO DECEMBER, 1985
ALASKA AIRLINES
AIRFRAME AND POWERPLANT MECHANIC SERVICING BOEING B-747, DOUGLAS
DC-8 AND DOUGLAS DC-10 AIRCRAFT ON CONTRACT TO JAPAN AIRLINES.

JULY, 1972 TO AUGUST, 1981
FEDERAL AVIATION ADMINISTRATION
AIR TRAFFIC CONTROLLER AT ANCHORAGE APPROACH CONTROL, ANCHORAGE
TOWER, LAKE HOOD TOWER AND MERRILL FIELD.

OCTOBER, 1978 TO FEBRUARY, 1979
UNIVERSITY OF ALASKA, ANCHORAGE
INSTRUCTOR, AVIATION AIR TRAFFIC CONTROL

JUNE, 1976 TO JUNE, 1977
SPERNAK AIRWAYS
AIR TAXI COMMERCIAL PILOT

JUNE, 1965 TO JULY, 1971
STANDARD OIL COMPANY OF CALIFORNIA
DATA AND COMPUTER TECHNICIAN FOR THE GEOPHYSICAL
AND GEOLOGICAL DEPARTMENTS

WALTER J. HICKEL
GOVERNOR



STATE OF ALASKA
OFFICE OF THE GOVERNOR
JUNEAU

April 16, 1992

The Honorable Ben Grussendorf
Speaker of the House
Alaska State Legislature
State Capitol
Juneau, AK 99801-1182

Dear Speaker Grussendorf:

In accordance with AS 39.05.080 and Article III, Sections 25 and 26, of the Alaska Constitution, I submit the following names for legislative confirmation of appointment to the positions noted:

State Board of Registration for Architects, Engineers, and Land Surveyors

Edward P. Young - Anchorage
Term began 4/14/92 expires 7/1/94

L E C

Board of Game

Roger Huntington - Galena
Term began 4/14/92 expires 1/31/93

RES

State Medical Board

David A. McGuire, M.D. - Anchorage
Term begins 4/21/92 expires 4/21/96

HES

State Physical Therapy and Occupational Therapy Board

Bonnie W. Tisler, P.T. - Anchorage
Term began 4/14/92 expires 9/1/94

HES

The resumes for these appointments have been submitted to the offices of the Senate Secretary and the Chief Clerk of the House.

Sincerely,

A handwritten signature in cursive script that reads "Walter J. Hickel".

Walter J. Hickel
Governor

**BOARD: ARCHITECTS, ENGINEERS, AND LAND SURVEYORS, STATE
BOARD OF REGISTRATION FOR**

BOARD IDENTIFICATION NUMBER: 5

**TITLE: State Board of Registration for Architects, Engineers,
and Land Surveyors**

DEPT: Department of Commerce and Economic Development

AUTHORITY: AS 08.48.011

STATUS: June 30, 1993

REQUIREMENTS: LEGISLATIVE CONFIRMATION

**PROHIBITIONS: Cannot serve more than all or part of two
consecutive terms.**

TERM: 4 years

**DESCRIPTION: 9 members appointed by Governor: 2 civil
engineers; 1 land surveyor; 1 mining engineer; 2 engineers from
other branches of engineering; 2 architects; must be 3-year
Alaska residents; registered, with at least 5 years professional
practice in respective field; and 1 public member.**

**SPECIAL FACTS: Quorum - not less than 5; Governor may remove
for misconduct, incompetency, or neglect of duty; board elects/
appoints officers annually; members serve until reappointed/
replaced; annual report to Governor and Legislature. Members
serve until a successor is appointed. An appointment to fill a
vacancy is for the remainder of the unexpired term. A member
who has served all or part of two successive terms may not be
reappointed unless four years have elapsed since the person has
last served. Serve at the pleasure of the Governor.**

**FUNCTION: Controls registration, revocation of applicants in
the architectural, engineering, and land surveying professions.**

COMPENSATION: Standard travel/per diem.

MEETINGS: 4 regular meetings per year.

***FOR FURTHER INFORMATION CONTACT: Licensing Examiner, Division
of Occupational Licensing, Dept. of Commerce and Economic
Development, P.O. Box 110806, Juneau, AK 99811-0806 PHONE: 465-
2540**

(Revised 12/2/91)

*I believe Edward
Young is filling the
1 public seat*

REVISION DATE: 12/20/91

ARCHITECTS, ENGINEERS, AND LAND SURVEYORS

MEMBER	APPT	REAPPT	REAPPT	TERM
✓ Rajsev Bhargava 301 East Fireweed Lane Anchorage 99503 Engineer	84/11/14	88/09/02	0/00/00	92/07/01
✓ George W Davidson E.M.P.S./P.O. Box 32317 Juneau 99803 Civil Engineer	90/06/15	0/00/00	0/00/00	94/07/01
✓ Phil R Holdsworth 326 Fourth Street, #1009 Juneau 99801 Mining Engineer	88/09/02	91/07/22	0/00/00	95/07/01
✓ Patrick Kalen 1041 Chena Ridge Road Fairbanks 99709 Land Surveyor	88/09/02	0/00/00	0/00/00	92/07/01
✓ Alan Rogers P.O. Box 34401 Juneau 99803 Architect	88/09/02	0/00/00	0/00/00	92/07/01
✓ Sandra L Sampson Jones 3000 A Street, Suite 202 Anchorage 99503 Architect	83/10/19	89/10/20	0/00/00	93/07/01
✓ B. David Spell 609 Chena Ridge Road Fairbanks 99709 Engineer	91/09/20	0/00/00	0/00/00	95/07/01
✓ Mike Taurianen 35186 Spur Hwy Soldotna 99669 Civil Engineer	91/07/01	0/00/00	0/00/00	93/07/01
VACANT	0/00/00	0/00/00	0/00/00	94/07/01

Public

REVISION DATE: 12/20/91

ARCHITECTS, ENGINEERS, AND LAND SURVEYORS

MEMBER	APPT	REAPPT	REAPPT	TERM
VACANT	0/00/00	0/00/00	0/00/00	92/01/31

Public

EDWARD P. YOUNG

625 North Lane/Mtn. View
Anchorage, Alaska 99508

(907) 258-3520
(907) 279-8894

SUMMARY OF QUALIFICATIONS

- * 18 years of extensive technical, supervisory, and managerial experience in the field of metrology.
- * Established a corporation with the only private repair and calibration metrology laboratory in Alaska specializing in troubleshooting, repair and calibration of industrial, test and measurement and diagnostic electronic equipment, devices and machinery. Laboratory also designs, constructs and modifies electronic systems and circuits; rents and sells test equipment.
- * 8+ years of safety consultation experience.
- * 7+ years experience in industrial electronics.
- * 5+ years experience in general accounting.

EXPERIENCE

ALASCAL, INC. (formerly E & T Electronics, Inc.) - President and Chief Executive Officer. 1986 to present

Responsible for overseeing the daily operations and management of a corporation employing metrologists, administrative and sales staffs. Ensure laboratory meets regulatory agency requirements and maintains traceability to the National Institute of Standards and Technology (NIST).

U. S. AIR FORCE RESERVES - Section Supervisor/Electrical Power Production Technician, 941st Civil Engineering Squadron. 1986 to 1989

Supervised electrical power production activities and personnel for the inspection, operation, maintenance, and modification of electrical generating plants, equipment and aircraft arresting barriers.

ALASKA RAILROAD CORPORATION - Locomotive Electronics Mechanic. 1982 to 1986

Responsible for the full range of electronic troubleshooting, repair and calibration on all equipment/machinery of the Mechanical Dept. Electrical Division.

ALASKA AIR NATIONAL GUARD - General Accounting Technician. 1982 to 1986

Supervised and trained subordinates in Commercial Services and Material area. Handled contracts of over \$100,000 annually.

U.S. AIR FORCE - Precision Measuring Equipment Technician. 1975 to 1982

Supervised and performed repair to component level, modification, calibration and certification of precision measuring equipment using advanced principles of metrology in the areas of voltage, current, power, impedance, frequency, microwave, temperature, physical-dimensional, mechanical, optical, and radiation.

U.S. AIR FORCE - Accounting Technician, last station Thailand. 1971 to 1975

Approved purchase requests and maintained accounts payable (\$10M annually) for unaccompanied baggage, motor vehicle rentals, construction projects, contractor-operated auto parts store, civil engineer service contracts, and interdepartment purchases. Computed and adjudicated civilian and military travel vouchers.

ANACONDA COPPER COMPANY - Brick Mason. Anaconda, Montana. 1970 to 1971

Brick Mason for manufacturing operation.

EDUCATION

Currently enrolled at Cook's Institute of Electronics Engineering, pursuing a Bachelor of Science degree in Electronics Engineering, March 1990 to present.

University of Alaska at Anchorage, 12 sem. hours in general studies, August 1983.

Community College of the Air Force, 100 sem. hours towards Associates of Applied Science degree in Electronic Systems Technology, Montgomery, Alabama, October 1989.

Numerous Department of Defense, college courses and professional seminars resulting in certificates in the areas of Electrical, Electronics, and Safety.

SPECIAL ACCOMPLISHMENTS AND AWARDS

Anchorage Crime Commission:

Citation from the Sixteenth Alaska Legislature honoring the Anchorage Crime Commission "under the active leadership of their 1989-1990 Chairman, Edward Young...for providing an excellent public service in focusing attention on reforming our criminal code...(and) addressing quality of life issues of concern to the community." April 1990.

Also as Chairman, led delegation for the Citizens' Coalition for the Recriminalization of Marijuana that turned over petitions totaling 40,950 signatures to Lt. Governor Stephen McAlpine at the Division of Elections in Juneau on November 7, 1989. Petition signatures were gathered to put the issue on the voters ballot in November 1990.

Feature Article, The Anchorage Times, November 3, 1989. The Commission's legislative subcommittee, working with Representative Loren Leman, drafted legislation amending current Alaska Statute to allow for criminal charges against adults (school employees or volunteers) who engage in sexual activity with students over the age of consent (16 or 17 years) but still under the care of the school system. The legislation would also allow for the lifetime revocation of a teacher or administrator's certificate if convicted of a crime under this amended Alaska Statute.

AlasCal, Inc. (E & T Electronics, Inc.):

Delegate to the 1989 Governor's Conference on Small Business, September 1989.

Nominee for the Anchorage Chamber of Commerce Jim Dodson Memorial Gold Pan Award for distinguished service in Chamber activities, September 1989.

Feature Article in Alaska Journal of Commerce for the design and establishment of a Transportable Field Calibration Unit, a van equipped with calibration standards to perform on-site calibration services statewide, September 1988.

Guest Speaker, Rainier Bank and Small Business Development Center's Breakfast Kickoff to Small Business Week, April 1988. "Practical management of a new business, and converting Anchorage to look within its own community for in-state services."

Feature Article in Alaska Journal of Commerce for the marketing, financing and establishment of the only metrology laboratory serving all industries within Alaska, September 1987.

U. S. Air Force Reserve:

Honor Graduate, Power Production School, May 1987. 1986 NCO of the Year for "contributions performed in a civilian capacity within the Anchorage community."

Alaska Railroad Corporation:

Represented the ARC in Washington, D.C. to receive the Second Place, Class C Railroad, Silver E.H. Harriman Award for employee safety; the highest award the ARC has earned, 1985.

U.S. Air Force:

As an Accounting Technician was instrumental in closing out commercial accounts at Tan Son Nhut Air Base, Republic of South Vietnam, while stationed at Thailand, 1974.

Instrumental in initial set-up/organization of Type IV Precision Measurement Equipment Laboratory during transition of F-15 aircraft at Elmendorf A.F.B.

COMMUNITY & CIVIC INVOLVEMENT

President, elected, Mountain View Community Council, 1989 to 1990.

Chairman, appointed, Anchorage Crime Commission, 1988 to 1990.

Board of Directors, elected, Anchorage Chamber of Commerce, 1988 to present.

Member, appointed, Alaska Judicial Council Citizens Advisory Committee for the Evaluation and Retention of Judges, 1989 to 1990.

Second Lieutenant and Emergency Services Officer, Polaris Composite Squadron, Civil Air Patrol, 1989 to present.

Member, Associate, Institute of Electrical and Electronic Engineers (IEEE), 1988 to present.

Member, Instrument Society of America (ISA), 1982 to present.

Volunteer Instructor for CPR and First Aid, American Red Cross, 1985 to present.

Past Advisory Board Member, Fort Richardson Flying Club, 1989.

Past Recording Secretary, 1985-1986, and Member, 1983-1986, International Association of Machinists and Aerospace Workers, Local 1735.

GEORGE C. SILIDES, P.E.

Post Office Box 555

Kenai, Alaska 99611

Tel. (907) 283 - 4690

Hon. David Finkelstein
House of Representatives
Alaska State Legislature
Post Office Box V
Juneau, Alaska 99811

May 5, 1992

RE: Ed Young nomination -- Board of Architects, Engineers and Land Surveyors

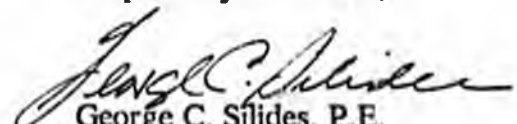
Dear Representative Finkelstein,

This letter is to express my opposition to the confirmation of Mr. Ed Young to serve on the Board of Architects, Engineers and Land Surveyors. Please be so kind as to have a copy of this letter distributed to the other members of the Committee. I also request that my comments be made a part of the official record of your proceedings.

I have resided in Alaska since 1947, and have been a Registered Professional Civil Engineer and Land Surveyor in the State of Alaska since 1954. Since May 1957 I have been in private practise, doing business in all areas of the State as George C. Silides, Engineering Associates.

My Professional Engineer Registration was secured in 1954 after examination by my peers, other professionals then sitting on the Board. This is important, because Engineers are routinely involved with both the physical and economic safety of the public. To maintain those safeguards, a member of the Board should be a professional in the dicipline involved. Mr. Ed Young does not have those qualifications.

Respectfully Submitted,


George C. Silides, P.E.
Reg. No. 345 - E

BOARD: ARCHITECTS, ENGINEERS, AND LAND SURVEYORS, STATE
BOARD OF REGISTRATION FOR

BOARD IDENTIFICATION NUMBER: 5

TITLE: State Board of Registration for Architects, Engineers,
and Land Surveyors

DEPT: Department of Commerce and Economic Development

AUTHORITY: AS 08.48.011

STATUS: June 30, 1993

REQUIREMENTS: LEGISLATIVE CONFIRMATION

PROHIBITIONS: Cannot serve more than all or part of two
consecutive terms.

TERM: 4 years

DESCRIPTION: 9 members appointed by Governor: 2 civil
engineers; 1 land surveyor; 1 mining engineer; 2 engineers from
other branches of engineering; 2 architects; must be 3-year
Alaska residents; registered, with at least 5 years professional
practice in respective field; and 1 public member.

SPECIAL FACTS: Quorum - not less than 5; Governor may remove
for misconduct, incompetency, or neglect of duty; board elects/
appoints officers annually; members serve until reappointed/
replaced; annual report to Governor and Legislature. Members
serve until a successor is appointed. An appointment to fill a
vacancy is for the remainder of the unexpired term. A member
who has served all or part of two successive terms may not be
reappointed unless four years have elapsed since the person has
last served. Serve at the pleasure of the Governor.

FUNCTION: Controls registration, revocation of applicants in
the architectural, engineering, and land surveying professions.

COMPENSATION: Standard travel/per diem.

MEETINGS: 4 regular meetings per year.

*FOR FURTHER INFORMATION CONTACT: Licensing Examiner, Division
of Occupational Licensing, Dept. of Commerce and Economic
Development, P.O. Box 110806, Juneau, AK 99811-0806 PHONE: 465-
2540

(Revised 3/24/92)



Alaska State Legislature

HOUSE OF REPRESENTATIVES
COMMITTEE ON RESOURCES

POUCH V
JUNEAU, ALASKA 99811
(907) 485-3718

The Honorable Ben Grussendorf
Speaker of the House
Alaska State House of Representatives
State Capitol
Juneau, Alaska 99801-1182

Dear Mr. Speaker:

The House Resources Committee held a hearing on March 25, 1992, on the qualifications of Peter R. Buist to be confirmed as a member of the Big Game Commercial Services Board.

Members of the Resources Committee have signed below indicating their individual recommendations to the House concerning Mr. Buist's confirmation:

DO RECOMMEND

DO NOT RECOMMEND

NO RECOMMENDATIONS

FINKELSTEIN

MOYER

[Signature]

[Signature]

[Signature]

DAVIDSON

[Signature]

IYAN

[Signature]

ZAWACKI

[Signature]

CARNEY

[Signature]

HUDSON

[Signature]

LEMAN

[Signature]

REPRESENTATIVE CLIFF DAVIDSON, CHAIRMAN

25 Mar '92

DATE

HOUSE LABOR AND COMMERCE COMMITTEE

ALASKA STATE LEGISLATURE

P.O. BOX Y, JUNEAU 99811

(907) 465-3892



TO: Rep. Grussendorf, Speaker
Alaska House of Representatives

FR: Rep. David Finkelstein, Chair
House Labor and Commerce Committee

DT: March 31, 1992

Pursuant to AS 39.05.080, the House Labor and Commerce Committee has considered the Governor's appointment of Charles Mahlen as the Commissioner of the Department of Labor. Members have signed below indicating their individual recommendations to the House considering confirmation.

DO CONFIRM

DO NOT CONFIRM

OTHER

~~David Donley~~
 (Donley) David Donley
 (Bruckman) Clay Bruckman
 (Taylor) Adrian Taylor
 (Finkelstein) David Finkelstein
 (Parnell) Kevin Parnell

~~David Donley~~
 No Rec Charles Mahlen (Ivan)

Jim Zawacki NO REC (Zawacki)

David Finkelstein
 (Chairman's Signature)

Problem -

Rexwinkel

(Wörter)

Comp. (1992)

DIVISION OF LEGAL SERVICES

LEGISLATIVE AFFAIRS AGENCY STATE OF ALASKA

(907) 465-3867 or 465-2450
FAX (907) 465-2029
Mail Stop 3101

240 Main Street, Suite 500
Juneau, Alaska 99801-2101

MEMORANDUM

April 6, 1992

SUBJECT: Administering an Oath to a Witness

TO: Representative David Finkelstein, Chair
House Committee of Labor and Commerce

FROM: Tamara Brandt Cook *TBC*
Director
Division of Legal Services

You asked whether an oath may be administered to a witness before the witness testified before a legislative committee. The usual practice has not been to administer an oath in those situations, however, the chairman of a committee has specific statutory authority to do so. AS 24.25.060 provides:

Sec. 24.25.060 OATH AND PENALTY FOR VIOLATION OF OATH.

The president of the senate and speaker of the house of representatives and the chairman of every committee of either body may administer an oath to a witness appearing before the respective bodies. A person who wilfully swears or affirms falsely concerning any matter material to the subject under investigation or inquiry is guilty of perjury and upon conviction is punishable by imprisonment for not less than one year nor more than five years.

If it is deemed important to administer an oath, the form of the oath could be based on the oath used by the court system:

Do you (NAME), solemnly swear or affirm that the testimony you are about to give in this matter will be the truth, the whole truth, and nothing but the truth?

TCB:gc
92-279.glc

STATE OF ALASKA

MEMORANDUM

To: Arbe Williams
Special Assistant

Date: March 31, 1992

From: *W*Linda Rexwinkel
Director
Workers' Compensation

Subject: Rep. Finkelstein
3/24 information request

I just received a copy of the information request to you from Katherine in Rep. Finkelstein's office regarding "Book on WC Issues" that Rexwinkel refers to during her testimony.

I assume Katherine is referring to comments made during the Senate Labor & Commerce Committee meeting of March 23, 1992. During the testimony I said "Board members were provided a copy of the WC Act, division regulations, budget forms, and an information book on workers' compensation. Subsequent distribution included a hearing officer manual, The division maintains several copies of (Professor) Larson' Workers' Compensation Desk Edition Manuals. All current copies were located and retrieved from former board members and distributed to several new members. The division has ordered sufficient sets to provide each board member a copy."

Attached is a copy of the hearing officer manual and the information book on workers' compensation. A copy of the Larson desk manuals will not be provided. Cost for each manual is about \$800.

364-3322(h)
Arbe

Department of

MAR 31 1992

Commissioner

011



ALASKA STATE EMPLOYEES ASSOCIATION
AFSCME Local 52, AFL-CIO

VIA FAX
April 6, 1992

Senator Drue Pearce, Chairman
Senate Labor & Commerce Committee
Alaska State Legislature
State Capitol, room 101
Juneau, Alaska 99801-1182

Post-It™ brand fax transmittal memo 7671

To: W. Rexwinkel

From: Alan

Co.:

Dept.:

Fax #:

Phone #:

Pages: 2

Dear Senator Pearce:

Today the Senate Labor and Commerce Committee will hear testimony from a number of employees from the Department of Labor, Division of Workers' Compensation.

These employees' testimony comes after witnessing and enduring months of harassment and coercion by Division Director Linda Rexwinkel. While the employees' testimony is protected under the Whistleblower Act AS 39.90, it is my professional experience that the employees' fear of retaliation is real for the following reasons.

As the Union Business Agent assigned to the Department of Labor in Anchorage, I have been called upon to represent a number of employees charged with "insubordination" by Ms. Rexwinkel. It is my professional experience that "insubordination" is a word Ms. Rexwinkel fancies and uses frequently.

In one instance, Ms. Rexwinkel described an employee's actions as "insubordinate" when the employee sought clarification by writing a memorandum regarding office procedures. In another instance, Ms. Rexwinkel charged an employee as "insubordinate", when the employee requested clarification from Ms. Rexwinkel regarding her policy on processing leave slips. In both instances, the employees had not, in any way, disobeyed a management directive, but instead were merely seeking clarification of inconsistent prior directives given by Ms. Rexwinkel.

While both of these instances did not result in the filing of formal grievances by the Union, I believe, the context in which Ms. Rexwinkel uses the term "insubordination" is indicative of her general attitude toward her employees. It has been my professional experience that Ms. Rexwinkel is not interested in gathering the facts or listening to her employees before she reaches a conclusion or accuses employees erroneously.

ANCHORAGE OFFICE

3510 Spenard Road, Suite 110
Anchorage, AK 99503
(907) 552-2300 FAX (907) 577-5206

JUNEAU OFFICE

641 West Willoughby, Suite 103
Juneau, AK 99801
(907) 463-4949 FAX (907) 463-4750

FAIRBANKS OFFICE

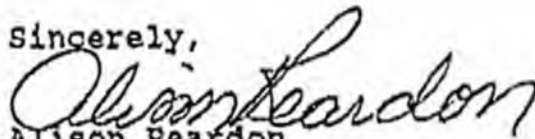
315 Barnette Street, Suite 104
Fairbanks, AK 99701
(907) 452-2100 FAX (907) 452-2107
TOLL free: 800-478-2305

Senator Drue Pearce
April 6, 1992
Page 2

Ms. Rexwinkel's conduct has created an extremely hostile work environment in which employees do not feel free to seek clarification of management policies, or safe in expressing professional opinions.

I hope you will give serious consideration to how difficult it is for these employees to come forward and appear before the Senate committee. The threat intimidation is real.

Sincerely,



Alison Reardon
Business Agent III
AESA/AFSCME Local 52

cc: Commissioner Mahlen
Representative Donley

STATE OF ALASKA

WALTER J. HICKEL, GOVERNOR

DEPARTMENT

WORKER'S COMPEN

March 31, 1992

Post-It brand fax transmittal memo 7671		# of pages >
To: <i>LRW</i>	From: <i>HARREL</i>	
Co.	Co.	
Dept.	Phone #	
Fax # <i>465-2299</i>	Fax # <i>277-5206</i>	

Senator Drue Pearce, Chair
Senate Labor and Commerce Committee
P.O. Box V
Juneau, Alaska 99811

Dear Senator Pearce:

Thank you for the opportunity to appear before your committee on April 6, 1992. We appreciate the committee taking the time to fully investigate the facts of this case. This is to advise you that we, the undersigned members of the Anchorage and Fairbanks Workers' Compensation Board and hearing officers in those locations, will be available to testify before your committee on April 6, 1992 at 3:30 p.m.

However, we find it is neither feasible nor efficient to travel to Juneau and appear personally before your committee. We believe it is inconsistent to spend Department of Labor funds for air fare and per diem at a time when Director Rexwinkel has reduced the number of hearing days in Anchorage and Fairbanks during the past year, and she has curtailed board travel, all for the sole purpose of conserving department funds.

Moreover, this type of expenditure appears unreasonable during the current budget crisis facing our State. Finally, the Anchorage panels have three days of hearings to conduct next week, and it would be extremely inconvenient for board members to be absent from their full-time employment for perhaps the entire week.

Therefore, we will be available to testify by teleconference in Anchorage and Fairbanks, and we request that you make arrangements to take our sworn testimony telephonically. We note that Alaska law allows for the taking of sworn testimony by telephone.

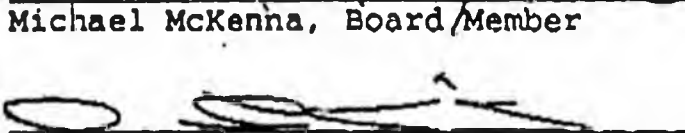
For your information, we have been notified that board members Robert Nestel and Steve Hagedorn will be available in Anchorage, but members Marc Stemp and John Giuchici will be unavailable.

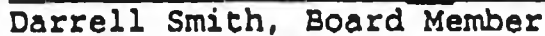
Senator Drue Pearce
March 31, 1992
Page 2

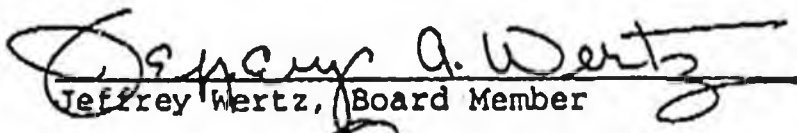
Thank you for your consideration.


Sincerely,

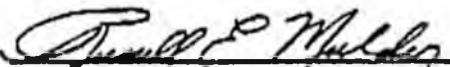

Steve Thompson, Board Member


Michael McKenna, Board Member

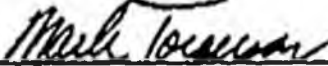

Darrell Smith, Board Member


Jeffrey Wertz, Board Member


Rebecca Ostrom, Hearing Officer


Russell Mulder, Hearing Officer


Paul Lisankie, Acting Chief of Adjudications


Mark Torgerson, Hearing Officer


Fred Brown, Hearing Officer


William Walters, Hearing Officer

cc: The Honorable Walter J. Hickel, Governor
Senator Rick Halford
Senator Virginia Collins
Senator Jalmar M. Kerttula
Commissioner Charles W. Mahlen, Department of Labor



INTRODUCTION

Statute provides the Department of Labor the authority for the administration of the workers' compensation programs. The administration of the process is carried out by the Division of Workers' Compensation. AS 23.30.005(h) states on part, *The department shall adopt rules for all panels, and procedures for... and shall adopt regulations to carry out the provisions of this chapter... .* AS 23.30.005(i) goes on to state, *the department may adopt regulations concerning the medical care provided for in this chapter... .*

Statute provides for a Workers' Compensation Board composed of 11 members. These 11 members are given the authority for specific actions within AS 23.30 as well as approval of department regulations. Ten members are appointed by the governor and confirmed by the legislature to seats on the basis of judicial districts for terms of three years. Five of the board members are chosen to represent labor interests and five to represent management/industry interests. The 11th member is the commissioner of labor/designee.

The hearings before the board to arbitrate disputes between employee and employers are really hearings before panels of the board. The panels are composed of one lay member representing labor, one representing management/industry and a designee of the commissioner (usually a hearing officer). Until the work load became unmanageable some years ago, the director was the commissioner's designee.

The key players in the workers' compensation process are the employee and the employer. The system provides balance and equity in the rights and responsibilities to the participants. Outside interests such as the court, employer and employee groups, and the economy, can and do exert pressure on the system which impacts administration and policy.

The department of labor via the division provides that the provisions of the WC Act are carried out to provide prompt and appropriate benefits to injured workers in the most expeditious and economical fashion possible.

STATUS OF THE DIVISION AT THE TIME OF APPOINTMENT

Three years ago, J. McClintock, the division's director for eight years, retired. Shari Kochman was appointed to replace her during the last year of the Cowper Administration. Shortly before Ms. Kochman's departure in the fall, 1990, the administrative officer retired. The division was then without either a director or administrative officer. Subsequently, William Walters was appointed as acting director. At the same time, he was a hearing officer who was in turn supervised by the chief of adjudications. A rather odd set of events.

During that time, the division out of necessity developed a "coping" posture. The Juneau office, without the benefit of an administrative officer, director or even office manager proceeded on it's course. Fairbanks, with the acting director, went on it's way. The Anchorage office under the supervision of the chief of adjudications went it's way as well. "Regionalism" was apparent in the attitudes of staff as each office was really unto itself. There was no common set of goals or directives for the division.

On February 26, 1991, I was appointed by then Commissioner, Nancy Usera as director of Workers' Compensation Division. It was the middle of the legislative session. The budget had already been submitted and a general direction plotted for the division.

OVERVIEW

The approved budget for the WC division (general fund) for FY 92 was \$2,644,300. The budget contained some of the across-the-board reductions for personal services, travel, and boards and commissions. Additionally, the budget contained a miscellaneous cut of \$130,000 (originally targeted in the Senate at two PCNs). The total reduction for FY 92 amounted to approximately \$210,000 plus the effects of inflation.

As a necessary course of action, division operations were reviewed to eliminate or reduce unnecessary or duplicative activities. The following are examples of procedure changes:

Mail

The division files are stored in Juneau to facilitate filing, data entry and other claims administration functions. The files were shipped by courier service (express mail) to other offices. Several concerns required attention: first, the costs of shipping; secondly, security of the files.

- The cost for this shipping was \$4500-\$7000/month. Files are now shipped only to Anchorage or Fairbanks offices as adjudication/rehab venue activity demands. Effective April, 1991, we began to ship files using registered mail instead of express mail. Our shipping costs have now been reduced to about \$1500/month.
- Registered mail provides tracking at each step of the mailing process. If files are lost, we can now retrace the path and hopefully recover the file.

Request for Information

Previously, upon request of anyone, the division would simply ship the file by express mail to a branch office. When the requestor completed their review, the file would be shipped back to Juneau, again by express mail. The cost was totally absorbed by the division. Two concerns required attention: first, file security; and secondly, the costs to the division.

- To reduce the possibility of files lost in the mail or documents disappearing, files would only be shipped for division activities, i.e. adjudications or rehab,

- not for requestor review.
- Original files would no longer be shipped to anyone requesting information. File copies only would be provided. Note: This has angered some attorneys, most notably in Fairbanks.
- Information requests were defined into formal and informal. Informal or verbal requests would be honored for forms, brochures, claimants' questions/assistance. Formal or written requests would be required for file information/copies. This practice alleviated any confusion/conflict regarding what was requested and who was requesting it.
- Previously, the division would mail statements on a monthly basis to requestors. The policy was changed so that invoices for copy charges would be included with the file copies. If not paid within 30 days, copy privileges would be suspended. Several attorneys previously had outstanding balances in excess of several hundred dollars and beyond several months. One had a bill in excess of \$1000. This policy has effectively remedied the problem.
- The public was allowed to access information on the mainframe computer system by having staff sign them on using staff's security ID. This practice is a breach of security and was discontinued. Until dial-up access is available, staff are no longer allowed to log people onto the computer system. This too has angered some attorneys.

Because of changes such as these, file security is enhanced, security violations have ceased, allowable program receipts to assist the division in providing services to the public have improved, and the division operates more efficiently.

Statistical Information

Additionally, the division began a comprehensive effort to compile statistical information relating to the division's workload. We began tracking activities by office, function and in adjudications by individual. The effort provided us insight into the types of activities, total number of activities, individuals performing the tasks. The goal was to identify areas of task duplication and non-essential tasks in an effort to develop efficiencies in office operations. An attachment in section / is the current organizational chart. A restructuring of the organization has provided consistency and uniformity in Division activities within all offices. The information was used in the preparation of the FY 93 operating budget and in the cost benefit analysis for the capital budget request for imaging. The workflow chart (an attachment in section /) is a result of the process. Automation of staff functions has been a priority. The division received two capital grants (FY 91, FY 92) for personal computers totaling approximately \$150,000. The computers were to provide automation of hearing officer activities and availability of access to mainframe system via toggling. This would eliminate the necessity of IBM 3270 machines for mainframe access. Unfortunately, in early FY 91, equipment was purchased that was not of sufficient capacity

to provide the division the desired result. Consequently, the division is now exploring ways to upgrade/enhance the equipment. Additionally, the division received a capital grant (FY 92) to upgrade the mainframe system and provide for a conversion of WC data base to a DB II environment. This grant in addition to grants received by Employment Securities Division will allow for a common data base of employers within Department of Labor. Recently, the division became aware of computer sabotage on one of our personal computers. Fortunately, the lan coordinator was alert, took corrective action and no harm resulted. The division is conducting a thorough review of all computers within the division.

Budget Reduction Implementation

As a last resort to cope with budget reductions, the division laid-off several employees, eliminated or didn't fill vacant positions and where the opportunity was available and in accordance with collective bargaining agreements, re-classed positions. The layoffs and vacancies created some administrative problems. The analyst/programmer V for the division after receiving a layoff notice bumped into the analyst/programmer IV position and subsequently resigned on July 1. The A/P V position was re-classed down to A/P IV and not filled until August 1. The A/P IV position was re-classed down to a DP tech I. A current employee transferred into the position, thereby allowing the elimination of a CLK IV position. As previously mentioned the administrative officer position was vacant and not filled until August 1. The chief of adjudications resigned on July 26, 1991. For a period of time, I was the director, administrative officer, chief of adjudications and in charge of data processing for the division. As well on July 1, one hearing officer was re-classed to part time and one workers comp officer was laid off. Quite frankly, it is a wonder we survived at all.

The departures of certain staff who had been with the division for some time created hard feelings in the hearts of some close associates who were left behind. The loss of a chief of adjudications was the most difficult. Many activities and functions revolved around the chief position. Unfortunately, many of those functions were solely performed by the chief with no input or involvement from other staff. With her departure and little or no file documentation, it was like starting from scratch with absolutely no instructions available. But several individuals, notably Ginny Lyman, Paul Lisankie, Betty Johnson, and Richard Austerman came forward and offered assistance.

In the proposed FY 93 budget document, all vacant positions have been eliminated. The vacancy assessment for the WC division for FY 93 is 7.25% or \$166,265. Based on an average salary of \$53,000 and the assumption that \$50,000 in vacancy is more realistic, the division is prepared to layoff 2 employees for FY 93. An attachment in section / is a copy of the policy budget as submitted by the division. An attachment in section / is a copy of the commissioner's directives. We are continuing with the directive

implementation until otherwise instructed. An attachment in section 1 is the proposed budget as submitted. In it, you will notice documentation regarding the personal services expenditure detail report date stamped 11/14/91 which shows PCN 073020, worker comp hearing officer (William Walters) location as Anchorage for FY 93. The position transfer was made on the basis of statistical data collected (an attachment in section 1). The transfer to Anchorage is in response to workload demands and other budgetary considerations. Mr. Walters position was selected in lieu of the other hearing officer because Mr. Walters is the least senior of the two. We did recognize that his position transfer would create some problems based on the fact that the remaining hearing officer has a spousal relationship with an attorney who frequently practices before the Fairbanks panel. An attachment in section 1 is a copy of a letter written by Ms. Brown regarding division activities. With budget documentation dated 11/14/91, the transfer of Mr. Walters' position could not have been in retaliation for any testimony on 3/10/92.

House Finance Labor and Commerce Subcommittee Testimony

An attachment in section 1 is the memo from Mr. Walters to the director dated March 5, stating that it was after our conversation on the morning of March 5 that he accepted Rep. Donley's invitation to testify. Although the memo was addressed to the director, Mr. Walters faxed copies to board members on the afternoon of March 5. The director's copy was sent by regular courier and in my mail box on the afternoon of March 6. I notified the Commissioner's office of Mr. Walters' memo on March 6. Mr. Walters subsequently testified before House Finance, Labor and Commerce Subcommittee on the morning of March 10, 1992. There was no communication, either written or verbal between Mr. Walters and myself after the morning of March 5 until the afternoon of March 10, 1992, during the executive session of the AK Workers' Compensation Board. Without knowledge of Mr. Walters' intent to testify until after the conversation on the morning of March 5 and the fact that there was no other contact until March 10, I could not and did not forbid Mr. Walters from testifying.

BOARD ACTIVITIES

In the spring, 1991, the director received notification that the governor would not forward the names of three individuals who had been appointed under the previous administration to the legislature for confirmation. Without confirmation, they would no longer be able to sit on the board. Additionally, five members' terms expired on June 30, 1991. The commissioner established a policy that board members should not serve more than two terms or six years on the board. Consequently, eight new board members were appointed by fall, 1991. Additionally, the memo directing the discontinuance of per diem payments to board members while conducting business in their home base substantially affected several WC board members. One particular member was receiving \$1200-\$2000/month. The amount is now reduced to around \$150-250/month. Out of respect to outside business activities that require the attention of board members, I limited board

member contact 1/week.

The following is chronological list of events since the fall, 1991.

- The director requested and met with board members in Anchorage during a 4 hour orientation session to brief them on the division, activities, budget, requirements, per diem, hearing officer roles, etc.. The same briefing occurred in Fairbanks the next month. Board members were provided a copy of the WC Act, division regulations, budget forms, and an information book on workers' compensation. Subsequent distributions included a hearing officer manual. The division maintains several copies of (Professor) Larson's Workers' Compensation Desk Edition Manuals. All current copies were located and retrieved from former board members and distributed to several new members. The division has ordered sufficient sets to provide each board member a copy. Procedures are now in place to maintain an inventory listing of the manuals. 9/10/91
- To allow the public an opportunity to comment on the changes in policy regarding access to records, the AG recommended in late December that a board meeting be scheduled as soon as possible to discuss the proposed regulation. By the end of December, board members were notified of the board meeting scheduled for January 10. Board members were mailed a packet including proposed bulletins, regulations and synopsis to familiarize the board with the subjects to be discussed. A quorum of six was not available. Public comment was taken. No business could be conducted.
- Another board meeting was scheduled for February 7. Board members were again mailed packets. Additionally, the director attempted to contact all members the morning of the meeting to see if there were any questions. One member requested a copy of a form identified in a bulletin; one requested information regarding cost of living adjustment. Other than that there were no comments or questions. As the meeting began, the board members refused to approve the agenda. Board members discussed the possibility of a closed work session. They were informed that all meetings of the board are open to the public except for executive session. The board was informed as to the limited issues for which an executive session may be called. A motion for executive session was called and passed to discuss personnel (Director Linda Rexwinkel). The executive session however did not involve personnel but instead focused on a discussion of the agenda, strategy etc. which should have been conducted during the regular meeting in appears to be willful violation of the open meetings act. Subsequently, the agenda was adopted, business conducted and the meeting continued to March 9. A request was made of board members to return all TR books in their possession to the division. This was requested because board not true - white

members have no signature authority and to allow the director to maintain budgetary oversight.

- The division began an intensive effort to coordinate an orientation, March 9 for the board. An attachment in section 3 is a copy of the agenda. All board members, Toby Steinberger (Asst AG assigned to DOL), the Commissioner and Deputy Commissioner were invited to attend. Six board members, Toby Steinberger, as well as Carol Vandor from Legislative Research were in attendance. At the business meeting on March 10, the board passed a motion requiring the division to propose additional training be made available to board members. Surveys have recently been mailed to board members to identify types of training, time available for training, etc. . It should be noted that training costs were not anticipated in the FY 92 or FY 93 budget. Additionally, the board has indicated that minutes of board meetings should be provided. As discussed below, minutes have not been provided for at least the last five years. Staffing is not available for this purpose and transcription costs were not anticipated in the FY 92 or FY 93 budget. The board has scheduled another meeting on April 27. The board also passed motions regarding personnel staffing in the Fairbanks office. Again, this cost was not anticipated in the FY 93 budget.

on line
about
B W m.
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A copy of a 1990 memo to board members supports the fact that the full membership of the AK Workers' Compensation Board met in the Fall of 1990. Board members were flown into Anchorage for the meeting. The purpose of the meeting was to discuss proposed regulations. Records (minutes) of the full board meeting activities are not available. The last record (minutes) of board's activities of the full board meeting appears to be 1986. The full board did not meet during 1991. There is no indication in division records that bulletins or forms were approved by the full board prior to issuance as mandated by statute.

20762
don't
need to be

As previously stated, out of necessity, the division reviewed operating procedures to accommodate budget reductions. One of those procedures involved the annual report as required under AS 23.30.155(m). Data entry on an individual claim basis requires approximately 4 months time of this personnel section. With the layoff of the workers' comp officer, his duties and others were absorbed by this same personnel section. AS 23.30.155(m) requires the reporting of totals only. A decision was made to change the annual reporting form to eliminate any activities that were not absolutely necessary. Based on information that the board had not previously approved bulletins, the division issued the bulletin in fall, 1991. Subsequently, objections to the division release have necessitated a retraction. The board chose not to approve the change in format at the February meeting. The board made it very clear that they want staff to continue the entry on an



individual claim basis. The division has now begun the process of data entry. It should be noted that up until this year, no annual report has been produced by the division. An attachment in section 3 is the first attempt by the division to produce in any format, a compilation of data submitted to the division under AS 23.30.155(m).

INVOLVEMENT AND CONCERNS REGARDING BOARD ACTIVITIES

The following detail reflects events and concerns regarding board activity.

Rondal Whitley

Mr. Whitley was an employee of the State of Alaska who was injured on the job. He applied for reemployment benefits through workers' compensation and was deemed ineligible because he was offered alternative employment. AS 23.30.041(f) states, *An employee is not eligible for reemployment benefits if (1) the employer offers employment within the employee's predicted post-injury physical capacities at a wage equivalent to at least the state minimum wage under AS 23.10.065...* The state, in its own personnel rules provides for preferential rehire (AS 39.25.158). The Fairbanks panel issued a decision and order which substantially extended the authority of the WC Board into the state's personnel activities. Consequently, the State of Alaska, Department of Public Transportation and Public Facilities sued the Alaska Workers' Compensation Board and Rondal Whitley based on the jurisdictional dispute. Upon my review of the facts in the case, the division began negotiations to settle the suit. By agreement to the stipulation, the WC Board will not intrude into the employer/employee relationship established between the State of Alaska and its employees. The original decision and order was written in the spring of 1991.

Litigation costs based on erroneous decisions of the board panels are an unnecessary drain on the financial and personnel resources of the division. Hearing officers should be held accountable for the validity of the decisions they write. The board should be accurately informed as to its proper jurisdiction. Without intervention of the Director, this matter would have resulted in a needless court action and costs to the State.

An attachment in section 4 is the decision and order of the board in this matter.

Edgar Stephens

Edgar Stephens was an injured worker who brought an action before the Fairbanks panel of the WC Board. In September, 1991, a letter from Joe Cooper, attorney for the defense was brought to my attention by Ms. Toby Steinberger, Asst. Attorney General regarding a "settlement offer" made by the WC division. Upon review I found several procedures that did not conform to established division procedures.

A hearing officer chaired a pre-hearing in this matter. AS 23.30.110 and 8 AAC 45.070 governs the procedure for pre-hearings; section (c) states: " Following a

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[Handwritten signatures and initials]
Fred Brown BT
3/20/92

pre-hearing the chairman will issue a summary of the actions taken at the pre-hearing, the amendments to the pleadings, and the agreements made by the parties or their representatives concerning the matters considered. ..." The hearing officer did not take notes or issue a summary for this pre-hearing, in violation of division regulations. If he had, the subsequent events may have been avoided.

The panel chaired by a hearing officer ordered an Independent Medical Evaluation (IME). Statute provides for this exam only when a dispute exists between an employee doctor and the employer medical doctor. In the decision and order written by the hearing officer, there were not sufficient facts given to justify the IME. It appeared from the decision and order that there only existed a dispute between two of the employee's treating physicians. AS 23.30.095(k) only allows for a board ordered I.M.E. in cases of dispute between the employee's treating physician and the employer's physician. Upon the employer's refusal to pay for services of the IME, the hearing officer instructed a WC officer, a WC Officer II, to approach the employer's representative and say "If you pay the IME bill we will recommend the AG not appear in this case." I spoke to the WC officer who stated that after she relayed the hearing officer's message to the employer's representative, she was relieved that the attorney's response did not somehow think this was blackmail on the part of the board. The hearing officer has no authority to make offers of settlement on behalf of the WC Board. This action was inappropriate and placed the division at risk.

The employer, who had originally refused to pay the IME charges, under an agreement with WC, agreed to pay, subject to the court's ruling in this matter. Without the financial agreement, the IME would not be paid for his services and he probably would not consent to perform another examination. If hearing officer did err, the division will be financially responsible for the reimbursement to the employer. The original decision and order for the charges was written in June, 1991. The court actions continue even at this time. On December 27, 1991, the Superior Court issued a remand to the Fairbanks panel to rewrite the decision and order. This situation has placed the whole IME process and the division at risk. The whole IME process will now be in question causing delay to settlements of injured workers' claims and additional costs to the system.

An attachment in section 5 is a copy of the decision and order; attachment 5 is a copy of the correspondence of the employer representative and my communication notes; an attachment in section 5 is the Superior Court order agreeing with the employer and ordering the hearing officer to provide evidence to support the WC Board order for an IME because confusion exists over who the

doctors were who had the dispute.

F. G. Patterson

The facts of this matter are as follows. Approximately two weeks after my appointment, on March 19, 1991, I received a call from representatives of both the employee and employer regarding a hearing scheduled in Fairbanks. A hearing was scheduled in Fairbanks regarding AWCB #8101238. The representatives had attempted to contact the hearing officer in Fairbanks to inform him that they (the employee and the employer) had reached a settlement. If a settlement is reached less 14 days before the hearing and if the hearing officer does not agree with the settlement, the hearing officer can order the parties to proceed with the hearing. And in fact, it has been brought to my attention, that this particular hearing officer has done so in the past. Of concern to the parties was the fact they both intended to call several expert witnesses to testify if the hearing was to go forward. Based on the fact they had settled, they believed the hearing was an unnecessary expense. The hearing officer however refused to talk to them. Consequently, they called me. I placed a call to Mr. Walters to solicit information from him. He stated that what they were asking was not within his interpretation of the law. He continued that the only way he could do it was for me to order him to do it. I told him that I didn't like "order" and couldn't he just do it. He reiterated that he would only do it if I ordered him. I issued the directive. Mr. Walters placed a call to Steve Thompson, a board member from Fairbanks. Mr. Thompson had no objection; I notified one of the representatives of the cancellation. An attachment in section 6 is a letter from Mr. R. Weddle, attorney for the employer; an attachment in section 6 is the decisions & orders written by the hearing officer, Mr. Walters. An attachment in section 6 are examples of cases where hearing officers have routinely granted continuances.

March 5

There have been no repetitions of my actions taken in this case. This instance occurred over a year ago. When I spoke with Mr. Walters on March 5, 1992 regarding this issue, he stated, the settlement was approved; Mr. Patterson got his money; I (meaning Mr. Walters) consider this case closed. I (Linda Rexwinkel) concur.

City of Fairbanks

Upon receipt of an application for self insurance, division staff review the qualifications of the applicant and upon approval of the director move for board approval. Qualifications for self insurance are outlined in division regulation and include: financial reserves, debt ratios, existence of a safety program, ability to pay etc.. It should be noted that while a financial analysis was done on all self-insurance certificates, the usual course of action in the past was one of approval. I believe the division should take its responsibility seriously. We have a responsibility to the workers of those self insurance applicants, that the division has scrutinized those applications for financial capability as well as safety

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procedures. Just as an insurance carrier would review the qualifications of an applicant so should we.

The City of Fairbanks applied to the board for approval of a self insurance certificate of \$750,000. I recollected newspaper headlines within the last year concerning the City of Fairbanks' inability to meet its payroll and requested staff to research the issue. Staff contacted Hearing Officer Brown in Fairbanks. In that conversation, the hearing officer recollected that the City of Fairbanks had defaulted on a WC judgement. Subsequent research proved this information was erroneous. However, in the course of the research, we did find newspaper articles that caused us concern regarding the financial capabilities of the City of Fairbanks. This information prompted the division to request additional information from the applicant.

The applicant requested a self insurance certificate of \$750,000 while maintaining only an unencumbered fund balance (in the general fund) of \$4 million. The fact that there was no safety program in existence; incurred losses for workers' compensation over the last few years were increasing, outstanding liabilities for all self-insured programs (employee medical, workers' compensation, and general liability) were increasing caused me to recommend an approval which included several stipulations. Those stipulations included but were not limited to the implementation of a safety program and a reduction of the self insurance certificate to a limit of \$250,000. The southeast board panel concurred with division recommendations.

The City of Fairbanks requested a hearing. The hearing was held in Fairbanks. During a break in the hearing, there were conversations between the hearing officer, the director and other staff. The charge of ex parte communication is unfounded. The discussion concerned the comparison of this application as opposed to the \$500,000 self insurance certificate for the Municipality of Anchorage and the maintenance of an unencumbered fund balance of \$30 million. There was no warning from the hearing officer regarding this issue. Upon returning from the break, the entire conversation was repeated for the benefit of the City of Fairbanks. Throughout the hearing, the division argued for the reduction. Without benefit of the city's financial reports and against the division's recommendation and the Southeast panel, the Fairbanks panel approved the certificate. Subsequently, there have been many articles in the newspapers substantiating the financial problems of Fairbanks. The division has continued to follow the situation, requesting verification from the city regarding their ability to meet the workers' compensation responsibilities and assure payment to injured workers. The decision as rendered by the board panel chaired by Mr. Brown did not fulfill statutory requirements of satisfactory proof of an employer's financial ability to meet the liability under AS 23.30.

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~~The division continues to monitor the City of Fairbanks.~~

An attachment in section 7 is a copy of the decision and order. An attachment in section 7 is Mr. Brown's correspondence to the Commissioner. An attachment in section 7 is the commissioner's response to Mr. Brown's memo. An attachment in section 7 is Mr. Brown's memo with my margin notes on both Mr. Brown's memo and decision and order.

Myron Schweigert

The facts of this case are as follows. The division is currently involved in a lawsuit brought by a group called Chiropractors for Justice. They are represented in this matter by attorney, Paul Davis. The issue revolves around multiple treatment plans outlined in AS 23.30.095(c) and defined in regulation. This particular section was implemented as a cost containment measure by the legislature in 1988.

Myron Schweigert, D.C. is a member of the Chiropractors for Justice. He was in a dispute with the Adjustor regarding multiple treatment plans. He was represented in this matter before the board by Paul Davis.

As Division Director, I was aware of the lawsuit. Board member Steve Hagedorn was a new board member and I would assume was not aware of the lawsuit. Recognizing that the hearing may be on these same issues, I placed a call to Lisa Fitzpatrick, Asst. AG who is representing the division in this action. I told her of the hearing and asked her if she should review the decision & order. Ms. Fitzpatrick is the attorney. Not being an attorney, I would think that if the review was improper, Ms. Fitzpatrick would have stated so. After all, she has the experience and is the attorney of record. Not hearing any objection from the AG, I notified the hearing officer and board member. I did not participate in the review nor did I approve/disapprove any portion of the decision & order. Several weeks later, I inquired of Mark Torgerson how it went, any problems. At that time, he said the AG had suggested some minor changes. This is the first time, there as been any indication to me of a problem.

An attachment in section 8 is the original decision & order as written by hearing officer Torgerson. An attachment in section 8 is the final decision as issued. Upon review of the two documents, it appears that the final version contains syntactical changes and a calculation correction to allow a medical provider fee payment of \$60 and attorney fees of \$15.

It should be noted that during this period, the chief of adjudications position remained vacant. The chief's duties were spread between several different individuals because staffing allocations are very tight. Paul Lisankie reviewed decisions & orders and

she said "changes" when she read this

coordinated board activity. Ginny Lyman assumed many of the office management duties. Betty Johnson worked on special projects such as the bulletins. The director assumed supervision for leave and payroll.

Looking at evaluation written by the former chief, consistently there are references to the chief making changes to hearing officer's decisions & orders. "I seldom need to make suggestions for significant editing revisions"; "I don't often need to make suggestions for significant changes, but when I do ___ is usually responsive"; "I seldom need to recommend any significant changes or additions... and usually only minor changes or additions". It appears that the chief regularly edited decisions & orders. It seems inconsistent for hearing officers not to oppose the editing by the chief in their decisions; yet, object to the review of an AG who is defending the board in a court action.

Days to issue

Attachments in section 9 are copies of the decision number reports. Staff brought to my attention in January, concerns over decisions & orders being drafted prior the hearings being held. While there may be legitimate reasons for a zero-days-to-issue, it seems highly unlikely that a hearing could be held, the decision drafted, typed, proof-read and finalized, obtain board member signatures and issue the decision & order all on one day on a regular basis. The division is taking appropriate steps to curtail this activity. If the allegations are true, action should be taken to restore the integrity of the hearing process.

It should be noted that previously, a hearing officers' evaluation was based in part on the days to issue. Review of the file prior to the hearing, assists the hearing officer in framing the issues and providing a familiarity with the case, especially one that is complex. However, this criteria proved to be discriminatory to the staff the Anchorage office based on the fact that they were denied access to the case files until the day prior the hearings.

CONCLUSIONS

There have been allegations of mismanagement, board interference and reprisals. What the record does indicate is that as director, I have implemented: the necessary management tools to identify efficiencies and improve productivity; procedures that provide for the fair treatment of all employees; as well as a redistribution of the workload to provide equity amongst the employees.

The WC division has undergone a tremendous amount of change this past year as a direct result of budget reductions, the need for administrative oversight, and consistency in the three offices. Changes to operations effect not only staff but the clients we serve. Change is not always pleasant; however sometimes necessary for survival.