

1008 HJ AK BAR ASSN SUNSET REVIEW FILE NO. 19

- c. Asserting personal knowledge of the facts in issue except when testifying as a witness DR 7-106 (C) (3)
- d. Asserting personal opinion as to the justness of a cause, credibility of a witness or guilt or innocence of an accused DR 7-106 (C) (4)
- e. Failing to comply with known local customs of courtesy or practice of the Bar or a particular tribunal without giving to opposing counsel timely notice of intent not to comply DR 7-106 (C) (5)
- f. Engaging in undignified or discourteous conduct degrading to a tribunal DR 7-106 (C) (6)
- g. Intentionally or habitually violating any established rule of procedure or evidence DR 7-106 (C) (7)

G. Trial Publicity DR 7-107

- * Also applicable to professional or juvenile disciplinary proceedings DR 7-107 (F)
- 1. Making or participating in making an extra judicial statement for public dissemination in a criminal matter:
 - a. During investigation DR 7-107 (A)
 - b. During pre-trial procedures DR 7-107 (B) (1)-(C)
 - c. During jury trial selection DR 7-107 (D)
 - d. Post-trial or disposition without trial and prior to the imposition of sentence DR 7-107 (D)
- ② Making or participating in making a proscribed extra-judicial statement for public dissemination in a civil matter that relates to: (see 7-107 (G) (1)-(5) and Chicago Council of Lawyers v. Bauer, 544 F 2d 242, 7 CA (1975)) DR 7-107 (G) (1)-(5)
- 3. Making or participating in making a proscribed statement outside the official course of an administrative proceeding DR 7-107 (H)
- 4. Failing to prevent employees or associates from making proscribed extra-judicial statements DR 7-107 (J)

- H. Communicating with or investigating veniremen, jurors or family members DR 7-108
1. Communicating with a member of the venire from which the jury will be selected for trial DR 7-108 (A)
 2. During the trial of the case: DR 7-108 (B)
 - a. Communicating with any member of the jury DR 7-108 (B) (1)
 - b. Communicating with any member of the jury even though unconnected with the case DR 7-108 (B) (2)
 3. Harassing or embarrassing member of jury after discharge DR 7-108 (D)
 4. Conducting or causing harassing investigation of a venireman or juror DR 7-108 (E)
 5. Communicating with or investigating family members of veniremen or jurors DR 7-108 (F)
 6. Failing to promptly reveal improper conduct by a venireman or juror or by another towards a venireman or juror or family member DR 7-108 (G)
- I. Contact with witnesses DR 7-109
1. Suppressing evidence that lawyer or client has legal obligation to reveal or produce DR 7-109 (A)
 2. Advising or causing a person to secrete self or leave the jurisdiction to avoid testifying DR 7-109 (B)
 3. Paying, offering to pay or acquiescing in the payment of compensation witness contingent upon the content of testimony or the outcome of the case DR 7-109 (C)
- J. Contact with officials DR 7-110
1. Giving or lending anything of value to a judge, official, or employee of a tribunal except as permitted by Section (C) (4) of Canon 5 of the Code of Judicial Conduct DR 7-110 (A)
 2. In an adversary proceeding, communicating or causing another to communicate, as to the merits of the cause with a judge or an official before whom the proceeding is pending (note exceptions) DR 7-110 (B)

- K. False statements concerning judges and other adjudicatory officers DR 8-102
 - 1. False statements concerning judicial candidates DR 8-102 (A)
 - 2. False accusations against a judge or other adjudicatory officer DR 8-102 (B)

- L. Noncompliance of a lawyer candidate for judicial office with Canon 7 of the Code of Judicial Conduct DR 8-103 (A)

- M. Failure to avoid the appearance of professional impropriety DR 9-101
 - 1. Improper statements if implications of influence on tribunals, legislative bodies or public officials DR 9-101 (C)

- N. Accepting employment for legal service to harass, maliciously injure or present unwarranted claim DR 2-109 (A)

- C. Failing to maintain the integrity and competence of the legal profession DR 1-101

- P. Misconduct DR 1-102
 - 1. Violating attorneys oath or disciplinary rule DR 1-102 (A) (1)
 - 2. Circumventing disciplinary rule through actions of another DR 1-102 (A) (2)
 - 3. Conduct prejudicial to the administration of justice DR 1-102 (A) (5)

- Q. Accepting employment for legal service to harass, maliciously injure or present unwanted claim DR 2-109 (A)

- R. Withdrawal from employment Dr 2-110
 - 1. Withdrawal generally
 - a. Withdrawing without tribunal approval DR 2-110 (A) (1)
 - b. Withdrawing without taking reasonable steps to avoid perceivable prejudice to client DR 2-110 (A) (2)
 - c. Failing to refund fee advanced upon withdrawal DR 2-110 (A) (3)
 - 2. Mandatory withdrawal DR 2-110 (B)
 - a. Failing to withdraw from legal service to harass or maliciously injure DR 2-110 (B) (1)

- b. Failing to withdraw when continued employment results in disciplinary rule violation DR 2-110 (B) (
 - c. Failing to withdraw when mental and physical condition renders employment ineffective DR 2-110 (B) (
 - d. Failing to withdraw after discharge by client DR 2-110 (B) (
 - S. Restrictive employment agreements DR 2-108 (A) (
 - T. Aiding the unauthorized practice of law
 - 1. Aiding a nonlawyer in the unauthorized practice of law DR 3-101 (A)
 - 2. Unauthorized practice - jurisdictional regulations DR 3-101 (B)
 - 3. Forming a partnership with a non-lawyer DR 3-103 (A)
8. Improper advertising* and solicitation
- A. Prohibited publicity generally DR 2-101
 - 1. Self-laudatory public solicitation DR 2-101 (A)
 - 2. Prohibited commercial publicity DR 2-101 (B)
 - 3. Compensation for professional publicity DR 2-101 (C)
 - B. Prohibited notices DR 2-102
 - 1. Prohibited professional notices, letterheads, offices and law lists DR 2-102 (A)
 - 2. Prohibited names in private practice DR 2-102 (B)
 - 3. Misleading partnership names DR 2-102 (C)
 - 4. Nondisclosure of partnership jurisdictional limitations DR 2-102 (D)
 - 5. Separation of professions DR 2-102 (E)
 - C. Prohibited recommendation of professional employment DR 2-103
 - 1. Self-recommendation without request DR 2-103 (A)
 - 2. Prohibited reward practices DR 2-103 (B)
 - 3. Prohibited request practices DR 2-103 (C)

- D. Accepting employment from unsolicited advice DR 2-104 (A)
- E. Prohibited publicity limiting or specializing practice DR 2-105 (A)
- * The code sections affected by recent Supreme Court decisions have not been deleted.
9. Criminal Conviction Dr 1-102 (A) (3)
10. Personal Behavior
- A. Offensive personalities - Attorneys Oath
- B. Conduct reflecting on fitness to practice law DR 1-102 (A) (6)
- C.
11. Wilful Failure To Cooperate With Discipline Authorities
- A. Non-disclosure of information to authorities DR 1-103
1. Failing to report knowledge of a violation of Disciplinary Rule 1-102 to investigating authorities DR 1-103 (A)
2. Failing to disclose information concerning another lawyer or a judge DR 1-103 (B)
- B. Local court rules or decisions
- C. ABR-JI-11
1. Knowingly misrepresent any facts or circumstances surrounding a grievance complaint.
2. Failure to answer a Request for Investigation or formal complaint in conformity with ABR.
3. Contempt of the Disciplinary Board of the Alaska Bar Association or of a Hearing Committee
12. Medical Incapacity
- A. Mental infirmity or illness
- B. Alcoholism
- C. Addiction to drugs
- D. Failure to withdraw where mental or physical condition renders it unreasonably difficult for lawyer to discharge duties DR 2-110 (B) (4)
DR 2-110 (C) (4)
- E.
13. Incompetence DR 6-101 (A) (1)
DR 6-102

14. No Jurisdiction Or [Matters Which Set Forth
No Unethical Conduct Under Agency's Jurisdiction]

- A. Judicial conduct
- B. Matters involving attorneys outside
the agency's jurisdiction
- C. Advice - requests for legal advice/
assistance
- D. Fee disputes
- E. Minor disagreements in personal business
transactions
- F.
- Q.

DISCIPLINARY REPORT

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
73/74-33	1/18/74	Client	Conflict of Interest 2A-3a	In Abeyance pending Respondent's resignation from practice of law		
73/74-69	6/3/75	Alaska Bar Assn.	Criminal Conviction 9	Supreme Court Decision rendered 7/20/79 Closed	Order for disbar- ment effective 7/30/79	7/30/79
75-39	7/75	Alaska Bar Assn.	Conflict of Interest 2A-5b--Relationship with Client 4-A-2 Interference w/justice 7-E	Supreme Court Decision Rendered 7/13/79 Closed	Public Censure on three counts	7/15/79
75-60	10/10/75	Judge	Interference w/justice 7-P-3	Disposition delayed pending Supreme Court Review--Closed	Dismissed	4/24/79
76-12	4/76	Client	Conflict of Interest 2	Matter held in abeyance due to Resp. illness	Dismissed	9/11/79
77-15	3/9/77	Opposing Counsel	Interference w/justice 7-J-2	Investigation delayed due to absence of wit- nesses--Referred to RCM 7/28/78. RCM report received--Ordered informal admonition--11/24/78-- Respondent request formal hearing 12/6/78--Presented to BOG upon Stip. to waive hearing before Area Hrg. Committee--3/30/79 Closed	Dismissed 3/31/79	4/2/79
77-18	3/22/77	Third Party	Neglect--3 A & E	Petition for formal Hrg. held pending malpractice action	Investigation initially delayed because of absence of witness	

7-25	6/28/77	Client	Misrepresentation, Fraud 5-B	Petition for formal Hearing filed 10/16/78	Hearing delayed due to absence of witnesses	
77-28	6/30/77	Alaska Bar Assn.	Relationship w/client 4-B-3	Closed	Dismissed	4/24/79
77-43	6/30/77	Fee Arb. Panel	Neglect 3A.E	Fee Arb. Pet. and Req- quest for Investigation filed on 6/30/77. Fee Arb. Hrg. originally scheduled 12/7/77--Re- scheduled 3/28/77--Decision rendered 6/6/78-Referred to Discipline-6/6/78. Referred to RCM 9/8/78-RCM opinion received 7/18/79	Informal Admonition 8/31/79	10/8/79
78-1	2/15/78	Client	Relationship with Client	Investigation and disposi- tion delayed due to non- resident complainant's failure to respond to request for information--Closed	Dismissal	5/2/79
78-2	2/15/78	Client	Relationship with Client 4-B-3	Complainant notified 7/5/78 that investigation did not reveal grounds for disciplinary action. Complainant given opportunity to respond--No answer received Closed	Dismissed	5/2/79
78-4	3/8/78	Fee Arb. Panel	Interference with Justice 7-T-1	Under Investigation- Malpractice suit pending		
78-6	3/30/78	Adverse Party	Interference with Justice 7-D-1	Disposition delayed- absence of Complainant Closed	Dismissal	5/2/79
78-8	4/5/78	Opposing Counsel	Personal Behavior 10-B	Closed	Dismissed	4/24/79

78-9	4/24/78	Client	Neglect 3-A	Investigation & Disposition delayed due to Complainant failure to respond to request for info	Dismissal	5/2/79
78-10	4/25/78	Client	Conflict of Interest 2-A-5a	Dismissal pending preparation of notice and service of same		
78-13	6/2/78	Alaska Bar Assn.	Interference w/justice 7-B -1-f	Held in abeyance pending disposition of criminal prosecution		
78-15	6/19/78	Opposing Counsel	Interference w/justice 7-B-1-h	Referred back to atty. complainant for review and clarification of issues		
78-19	7/17/78	Client	Relationship w/client 4-B-3	Closed	Dismissal	5/7/79
78-20	7/11/78	Alaska Bar Assn.	Misrep./Fraud 5-B	To Review Committee Member 9/27/78--To Hearing Committee 6/12/79--Awaiting Report from Area Hearing Committee		
78-22	8/3/78	Opposing Counsel	Relationship w/client 4-A-2	Investigation delayed pending civil action in process		
78-24	8/28/78	Client	Relationship w/client 4-A-3	Closed	Dismissed	10/1/79
78-25	10/4/78	Opposing Counsel	Interference w/justice 7-D-1	Atty v. Atty complaint Parties instructed to attempt to come to some agreement		

78-26	11/6/78	Judge	Interference w/justice 7-F-1	Closed	Dismissed	10/1/79
78-27	4/28/78	Atty-Employer	Personal Behavior 10-B	Closed	Dismissed	4/30/79
78-28	10/2/78	Adverse Party	Interference w/justice 7-A-1	Closed	Dismissed	6/13/79
78-29	11/20/78	Client	Incompetence 13	Investigation pending resoltuion of civil malpractice suit		
78-30	11/14/79	Client	Conflict of Interest 2-A-5-A	Closed	Dismissed	10/1/79
79-1-F	2/9/79	Opposing Counsel	Interference w/justice 7-D-1--Personal Behavior 10-B Failure to co-operate with Disciplinary Authorities 11-C-2	Petition for formal Hearing sent to Resp. 9/20/79		
79-2	3/6/79	Alaska Bar Assn.	Trust Violation 1-A 1,2c	Accounting in Process		
79-3	3/8/79	Alaska Bar Assn.	Improper Advertising 8-A-2	Closed	Informal Admonition 3/20/79	4/30/79
79-4	3/22/79	Alaska Bar Assn.	Criminal Conviction 9	Pet. for Formal Hrg. 4/24/79--Set for formal Hrg. 6/12/79-Rescheduled 7/12/79--Record to BOG 9/8/79--Record to Supreme Court 9/17/79		

79-5	4/24/79	Attorney	Interference w/justice 7-G-2	Sen. to Committee member for advisory opinion 8/29/79		
79-6	5/3/79	Alaska Bar Assn.	Criminal Conviction 9	Supreme Court order Suspending Resp. issued 6/18/79--Resp. previously suspended for non- payment of dues--Present address unknown		
79-7	5/6/79	Alaska Bar Assn.	Interference w/justice 7-T-1	BOG assigned matter to special prosecutor--under investigation		
79-8	5/18/79	Alaska Bar Assn. Client	Relationship w/client 4-B-2,3 12 Complaints	ABR-29 Petition filed and granted--9/28/79--Attorney appointed--9/28/79--Motion to suspend for failure to pay dues filed 9/28/79		
79-9	7/17/79	Fee Arb. Panel	Conflict of Interest 2-A-1	Under Investigation		
79-10	4/18/79	Third Party	Interference w/justice	Closed	Dismissed	5/2/79
79-11	3/1/79	Client	Relationship w/client	Closed	Dismissed	5/14/79
79-12	5/2/79	Third Party	Conflict of Interest 2-A-5-a	Closed	Dismissed	6/27/79
79-13	5/19/79	Client	Neglect 3A,E	Under investigation Investigator delayed pending resolution of probate matter		
79-14	5/2/79	Third Party	Conflict of Interest 2-A-5-A	Closed	Dismissed	7/16,'79
79-15	6/12/79	Client	Relationship w/client 4-B-2	Closed	Dismissed	8/28/79

79-16	6/13/79	Judge	Incompetence 13	Under Investigation		
79-17	9/5/79	Third Party	Interference w/justice 7-B-1-a	Under Investigation		
79-18	9/4/79	Opposing Counsel	Conflict of Interest 2-A-5b	Investigation delayed pending resolution of civil action		
79-19	9/13/79	Client	Relationship w/client 4-C-1	Closed	Dismissed	9/5/79
79-20	9/6/79	Opposing Counsel	Interference w/justice T-2	Under investigation		
79-21	9/12/79	Alaska Bar Assn.	Improper Advertising 8-2	Under investigation		
79-22	9/12/79	Alaska Bar Assn.	Improper Advertising 8-2	Under investigation		
79-23	9/12/79	Client	Neglect--3 A,B	Under investigation		
79-24	9/19/79	Alaska Bar Assn.	Interference w/justice 7-J-2	Under investigation		
79-25	9/24/79	Alaska Bar Assn.	Improper Advertising 8-2	Under investigation		
79-26	9/24/79	Client	Relationship w/ client 4-B-2,3	See 79-8 Consolidated		
79-27	9/24/79	Third Party	Interference w/justice 7-C	Under investigation		
79-28	9/27/79	Alaska Bar Assn.	Interference w/justice 7-T-2	Under investigation		

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Alaska Bar Association's Discipline Report
Fourth Quarter of 1979

ALASKA BAR ASSOCIATION
 SURVEY OF GRIEVANCES
 Closed from 10-1-79 to 12-31-79

In an effort to better inform the court, bar and public of the source and nature of grievances, and the areas of practice from which grievances arise, the following is a sample based on the files closed in the Bar Association office from October 1, to December 31, 1979.

In describing the nature of the grievance, only the most serious allegation is reflected. In fact, more grievances allege various acts of misconduct. It is not practical to attempt to reflect all allegations.

I. NATURE OF GRIEVANCE	#	%
1. Trust violations (embezzlement/ conversion/withholding client's property)	0	0
2. Conflict of Interest	1	.9
3. Neglect (Failure to perform, delay abandonment)	0	0
4. Relationship with client	0	0
5. Misrepresentation/Fraud	1	.9
6. Excessive Fees	0	0
7. Interference with justice	6	54.5
8. Improper advertising & solicitation	2	18.1
9. Criminal conviction	0	0
10. Personal Behavior	1	.9
11. Failure to cooperate with investigation	0	0
12. Medical incapacity	0	0
13. Incompetence	0	0
14. Other	0	0
TOTAL	11	

An additional 77 matters were handled which did not involve violations of the Code of Professional Responsibility, but did involve an interview, require a response on the rendering of assistance to resolve the matter.

FEE ARBITRATION REPORT

October 1, 1979 - December 31, 1979

CASELOAD

1. Cases pending & carried forward on October 1, 1979 .	12
2. Cases filed since October 1, 1979	13
TOTAL CASELOAD FOR PERIOD	25
3. Cases Closed since October 1, 1979	10
 TOTAL PENDING CASES ON December 31, 1979	 15

DISCIPLINARY REPORT
4th Quarter
October 1, 1979 - December 31, 1979

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
73/74-33	1/18/74	Client	Conflict of Interest 2A-3a	In Abeyance pending Respondent's resignation from practice of law		
77-18	3/22/77	Third Party	Neglect--3 A & E	Petition for formal Hrg. held pending malpractice action	Investigation initially delayed because of absence of witness	
77-25	6/28/77	Client	Misrepresentation, Fraud 5-B	Petition for formal Hearing filed 10/16/78	Hearing delayed due to absence of witnesses	
78-4	3/8/78	Fee Arb. Panel	Interference with Justice 7-T-1	Under Investigation- Malpractice suit pending		
78-10	4/25/78	Client	Conflict of Interest 2-A-5a	Dismissal pending prepara- tion of notice and service of same -- Closed	Dismissed	10/22/79
78-13	6,2/78	Alaska Bar Assn.	Interference w/justice 7-B -1-f	Held in abeyance pending disposition of criminal prosecution--Criminal Prosecution Dismissed Investigation in Progress		
78-15	6/19/78	Opposing Counsel	Interference w/justice 7-B-1-h	Referred back to atty. complainant for review and clarification of issues		

DISCIPLINE REPORT
October 1, 1979 - December 31, 1979

I. CASELOAD

1. Cases pending & carried forward on October 1, 1979	32
2. Cases filed or reactivated since October 1, 1979	17
TOTAL CASELOAD FOR PERIOD	49
3. Cases closed since October 1, 1979:	
(a) Dismissed by Bar Counsel	9
(b) Informal Admonitions	2
(c) Disbarred	0
TOTAL	11
4. Total Pending Cases on December 31, 1979	38

II. STATUS OF PENDING ACTIVE CASES:

1. Investigative Stage:	
(a) To Committee Member for Advisory Opinion	0
(b) Bar Counsel	35
(c) Special Prosecutor	1
(d) Matters held in abeyance	1
(e) Conciliation Panel	1
TOTAL	36
2. Before Hearing Committee	0
3. Before Board of Governors	0
4. Before Supreme Court	2
TOTAL PENDING ACTIVE CASES ON DECEMBER 31, 1979	38

III. MATTERS NOT CONSTITUTING VIOLATION OF RULES

Investigator:	77
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FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
78-20	7/11/78	Alaska Bar Assn.	Misrep./Fraud 5-B		To Review Committee Member 9/27/78--To Hearing Committee 6/12/79--To BOG 10/10/79 To Supreme Court 10/31/79	
78-22	8/3/78	Opposing Counsel	Relationship w/client 4-A-2		Investigation delayed pending civil action in process	
78-25	10/4/78	Opposing Counsel	Interference w/justice 7-D-1		Atty v. Atty complainant Parties instructed to attempt to come to some agreement	
78-29	11/20/78	Client	Incompetence 13		Investigation pending resolution of civil malpractice suit	
79-1-F	2/9/79	Opposing Counsel	Interference w/justice 7-D-1--Personal Behavior 10-B Failure to co-operate with Disciplinary Authorities 11-C-2		Petition for formal Hearing sent to Resp. 9/20/79. Answer received Investigation continuing on affirmative defenses	
79-2	3/6/79	Alaska Bar Assn.	Trust Violation 1-A 1,2c		Accounting in Process	
79-4	3/22/79	Alaska Bar Assn.	Criminal Conviction 9		Pet. for Formal Hrg. 4/24/79--Set for formal Hrg. 6/12/79-Rescheduled 7/12/79- To BOG 9/8/79-- To Supreme Court 9/17/79	

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
79-5	4/24/79	Attorney	Interference w/justice 7-G-2	Sent to Committee member for advisory opinion 8/29/79 Closed	Dismissed	10/31/79
79-6	5/3/79	Alaska Bar Assn.	Criminal Conviction 9	Supreme Court order Suspending Resp. issued 6/18/79--Resp. previously suspended for non- payment of dues--Present address unknown		
79-7	5/6/79	Alaska Bar Assn.	Interference w/justice 7-T-1	BOG assigned matter to special prosecutor--under investigation		
79-8	5/18/79	Alaska Bar Assn. Client	Relationship w/client 4-B-2,3 12 Complaints	ABR-29 Petition filed and granted--9/28/79--Attorney appointed--9/28/79--Motion to suspend for failure to pay dues filed 9/28/79 Mtn. to Suspend granted 10/4/79		
79-9	7/17/79	Fee Arb. Panel	Conflict of Interest 2-A-1	Under Investigation		
79-13	5/19/79	Client	Neglect 3A,E	Under investigation Investigation delayed pending resolution of probate matter		
79-16	6/13/79	Judge	Incompetence 13	Under Investigation		
79-17	9/5/79	Third Party	Interference w/justice	Under Investigation		
79-18	9/4/79	Opposing Counsel	Conflict of Interest 2-A-5b	Investigation delayed pending resolution of civil action		

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
79-20	9/6/79	Opposing Counsel	Interference w/justice T-2	Under investigation		
79-21	9/12/79	Alaska Bar Assn.	Improper Advertising 8-2	Closed	Dismissed	10/8/79
79-22	9/12/79	Alaska Bar Assn.	Improper Advertising 8-2	Closed	Dismissed	10/10/79
79-23	9/12/79	Client	Neglect--3 A,B	Under investigation		
79-24	9/19/79	Alaska Bar Assn.	Interference w/justice 7-J-2	Closed	Informal Admonition	11/28/79
79-25	9/24/79	Alaska Bar Assn.	Improper Advertising 8-2	Under investigation		
79-26	9/24/79	Client	Relationship w/ client 4-B-2,3	See 79-8 Consolidated		
79-27	9/24/79	Third Party	Interference w/justice 7-C	Closed	Dismissed	11/28/79
79-28	9/27/79	Alaska Bar Assn.	Interference w/justice 7-T-2	Closed	Dismissed	10/22/79
79-29	8/2/79	Opposing Counsel	Personal Behavior 10 B	Closed	Dismissed	12/13/79
79-30	6/1/79	Third Party	Interference w/justice 7-A	Closed	Dismissed	10/17/79

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
79-31	6/1/79	Judge	Interference w/justice 7-D-1	Under investigation		
79-32	10/11/79	Client	Relationship w/Client 4 B 2	Under investigation		
79-33	9/27/79	Third Party	Interference w/justice 7 G 2	Under investigation		
79-34	10/11/79	Opposing Counsel	Interference w/justice 7N	Under investigation		
79-35	11/3/79	Opposing Counsel	Interference w/justice 7-D-1	Closed	Informal Admonition	11/8/79
79-36	11/30/79	Third Party	Misrepresentation/Fraud 5 B	Under investigation		
79-37	10/26/79	Third Party	Misrepresentation/Fraud 5B	Closed	Dismissed	12/13/79
79-38	10/30/79	Client	Relationship w/client 4 E	Under investigation		
79-39	11/2/78	Alaska Bar Assn	Improper advertising 8 A 2	Under investigation		
79-40	11/6/79	Client	Relationship w/client 4 B 2	Under investigation		
79-41	11/8/79	Client	Relationship w/client 4 B 1	Under investigation		
79-42	9/26/79	Third Party	Solicitation 8 C 1	Under investigation		

FILE NO.	DATE FILED	COMPLAINANT'S RELATIONSHIP TO RESPONDENT	MOST SERIOUS CHARGE	STATUS	DISPOSITION	DATE CLOSED
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79-43	11/28/79	Attorney	Relationship w/client 4 B 3		Referred to Conciliation Panel--Suggested Resolution issued 12/19/79	
79-44	11/29/79	Opposing Counsel	Interference w/justice 7 D 1		Under Investigation	
79-45	12/10/79	Third Party	Interference w/justice 7 0		Under investigation	

APPENDIX 0

DOCKET #	OPENED	ACTION		
1	11/71	Informal adm 12/9/77	12/9/77	7 years
7	5/17/73	Dismissed 12/12/77 (HC)	12/12/77	7
8	6/4/73	Dismissed 10/15/77	10/15/77	52
13	9/14/73	Informal adm 12/6/77	12/6/77	51
23	1/8/74	Combined with #234 12/31/77; before hearing comm by 9/30/78		
35	4/8/74	Ready for Brd 1/21/78; to be informally adm 3/31/78; private reprimand 8/24/78	8/24/78	52
46	10/7/76	On Brd agenda 10/78; S.C. decision pending 3/3/79		
48	8/2/74	Ready for Brd 1/21/78; to be informally adm 3/31/78; private reprimand 8/24/78	8/24/78	48
57	10/17/74	Dismissed 10/26/77	10/26/77	3 years
59	11/9/74	Argument before S.C. 2/78; public censure 9/5/78	9/5/78	46
70	2/18/74	Informal adm 10/15/77	10/15/77	44
87	5/9/75	Dismissed 11/1/77	11/1/77	28
93	5/29/75	Dismissed 12/30/77	12/30/77	29
94	6/2/75	To rev comm member 8/9/77; informal adm 2/15/78	2/15/78	32
102	7/?/75	Before hearing comm 12/31/77; S.C. decision pending 3/31/79		
104	7/ /75	10 of 12 original charges dismissed in 1977 (RCMR); 2 charges to review comm 3/28/78; dismissed 4/5/78 (RCMR)	4/5/78	33
123	10/10/75	Abeyance before hearing comm; pending matters before S.C. 12/31/77		
130	10/25/75	Brd ordered abeyance 10/15/77 pending dispo of civil lit		
133	11/25/75	Ready for hearing 12/31/77; dismissed 11/24/78	11/24/78	36
139	12/12/75	Dismissed 3/8/78 (RCMR)	3/8/78	27
147	3/10/76	To rev comm member 9/9/77; returned from rev comm 3/31/78; dismissed 9/11/78 (RCMR)	9/11/78	30
148	3/5/76	In abeyance until <u>Bates</u> interpretation; dismissed 5/9/78 (RCMR)	5/9/78	26

<u>DOCKET #</u>	<u>OPENED</u>	<u>ACTION</u>		
151	4/5/76	Brd held in abeyance 12/11/78; combined with #178; ready for hearing 12/31/78		
154	4/10/76	Ready for Brd 1/21/78; to be informally adm 3/31/78; informal adm by Pres. 5/78	5/?/78	25
156	5/25/76	Informal adm 10/15/77	10/15/77	17
163	8/4/76	Informal adm 10/15/77	10/15/77	14
178	9/21/76	Ready for hearing 12/31/77; combined with #220 12/31/78		
187	11/9/76	Dismissed 10/4/77	10/4/77	11
196	11/30/76	To rev comm member 11/15/77; dismissed 3/31/78 (RCMR)	3/31/78	16
198	11/30/76	Held in abeyance; under invest by 3/31/78; dismissed 6/2/78 (RCMR)	6/2/78	19
199	10/30/76	S.C. ordered suspension	?	
200	12/8/76	Informal adm (RCMR) date ?	?	
203	1/10/77	Informal adm w/stip to conditions by respondent as of 10/31/77; dismissed 1/20/78	1/20/78	12
204	1/17/77	Dismissed 11/1/77	11/1/77	10
205	1/19/77	Dismissed 12/1/77	12/1/77	11
206	1/24/77	Informal adm 11/1/77	11/1/77	10
208	2/25/77	Abeyance pending therapy outcome; petition inactive status to SC 3/31/78; inactive status SC 4/20/78	4/20/78	14
215	3/8/77	Dismissed 3/29/78 (RCMR)	3/29/78	12
216	3/9/77	Informal adm 11/16/77	11/16/77	8
217	3/9/77	To rev comm member 9/28/78; informal adm 11/24/78 (RCMR); reopened by respondent 1/19/79		
218	3/11/77	Dismissed 9/28/78 (RCMR)	9/28/78	18
219	3/14/77	To rev comm member 3/10/78; dismissed 4/13/78 (RCMR)	4/13/78	13
220	3/22/77	Ready for hearing 9/30/78; combined with #178 on _____		
221	4/18/77	Dismissed 11/1/77 (RCMR)	11/1/77	7

DOCKET #	OPENED	ACTION		
222	?	In abeyance 12/31/77 pending civil lit		
223	5/17/77	Dismissed 5/9/78 (RCMR)	5/9/78	12
225	5/17/77	To rev comm member 9/17/78; informal adm 10/25/78 (RCMR); reopened by respondent 1/19/79		
226	5/20/77	To rev comm member 5/30/78; dismissed 9/18/78 (RCMR)	9/18/78	16
227	6/2/77	Dismissed 9/11/78 (RCMR)	9/11/78	15
228	6/28/77	To review comm member 5/9/78; ready for hearing 9/30/78		
229	6/28/77	To review comm member 9/29/78; dismissed 12/18/78 (RCMR)	12/18/78	18
230	?	Dismissed 8/25/78 (RCMR)	8/25/78	?
231	6/30/77	Under investigation		
233	7/5/77	Dismissed 12/30/77 (RCMR)	12/30/77	5
234	7/11/77	Combined with #26 3/31/78; to rev comm member 9/30/78; combined with #23 12/31/78; ready for hearing comm 9/30/78		
235	8/1/77	Dismissed 9/5/78 (RCMR)	9/5/78	13
236	7/28/77	Dismissed 5/22/78 (RCMR)	5/22/78	10
237	8/3/77	To rev comm member 9/25/78; dismissed 10/28/78 (RCMR)	10/28/78	14
238	7/26/77	Brd held in abeyance 1/21/78 crim; dismissed 9/28/78 (RCMR)	9/28/78	14
239	7/26/77	Brd held in abeyance 1/21/78 crim; dismissed 9/28/78 (RCMR)	9/28/78	14
240	8/24/77	Dismissed 8/28/78 (RCMR)	8/28/78	12
241	9/16/77	Dismissed 9/22/78 (RCMR)	9/22/78	12
242	9/28/77	Dismissed 9/15/78 (RCMR)	9/15/78	12
243	10/10/77	Dismissed 12/6/77 (RCMR)	12/6/77	2
244	10/21/77	Dismissed 12/12/77 (RCMR)	12/12/77	2
245	10/21/77	Brd held in abeyance 1/21/78		

<u>DOCKET #</u>	<u>OPENED</u>	<u>ACTION</u>		
246	11/8/77	To rev comm member 9/28/78		
248	11/18/77	Dismissed 7/21/78 (RCMR)	7/21/78	8
249	2/15/78	Under investigation		
250	2/15/78	Under investigation		
251	3/8/78	Dismissed 8/28/78 (RCMR)	8/28/78	5
252	3/8/78	Under investigation		
253	3/24/78	Dismissed 12/11/78 (RCMR)	12/11/78	9
254	3/30/78	Under investigation		
255	4/4/78	Dismissed 12/4/78 (RCMR)	12/4/78	8
256	4/5/78	Under investigation		
257	4/24/78	Under investigation		
258	4/25/78	Under investigation		
259	5/23/78	Under investigation		
260	6/2/78	Reinstatement petition; S.C. reinstatement 7/25/78	7/25/78	1
261	6/2/78	Under investigation		
262	6/2/78	Dismissed by 9/30/78 (RCMR)	9/30/78	3
263	6/19/78	Under investigation		
264	7/5/78	Dismissed 12/26/78 (RCMR)	12/26/78	5
265	7/5/78	Dismissed 12/26/78 (RCMR)	12/26/78	5
266	7/18/78	Dismissed 8/16/78 (RCMR)	8/16/78	1
267	7/24/78	Under investigation		
268	7/19/78	To rev comm member 9/27/78; ready for hearing 12/31/78		
269	7/24/78	Dismissed 12/18/78 (RCMR)	12/18/78	5

<u>DOCKET #</u>	<u>OPENED</u>	<u>ACTION</u>		
270	8/3/78	Under investigation		
271	8/9/78	Dismissed 12/20/78 (RCMR)	12/20/78	4
272	8/28/78	Under investigation		
273	10/4/78	Under investigation		
274	11/6/78	Under investigation		
275	4/28/78	Under investigation		
276	11/20/78	Under investigation		
277	11/20/78	Under investigation		
278	11/14/78	Under investigation		
279	2/9/79	Under investigation		
280	3/6/79	Under investigation		
281	1/25/79	Informal adm 3/20/79 (RCMR)	3/20/79	2