

ALASKA LEGISLATURE SPECIAL COMMITTEE / SUBJECT FILES 86 / 2

316 SCOM10: LOCAL GOVERNMENT STUDY 1979

Mr. Jim Sanders
May 10, 1979
Page 2

Please write or give me a call during the last week of this month so that I can give you more details as to the work plan for the interim committee and more details as to your work assignment.

Sincerely,

Palmer McCarter
Director

PMc:edo

Enclosure

cc: Senator Arliss Sturgulewski, Chair ✓
Senate Community and Regional Affairs Committee

Representative Bill Parker, Chair
House Community and Regional Affairs Committee

bcc: Pat Poland - Anchorage

May 10, 1979

Mr. Lamar Cotten
815 Lawrence #7
Eugene, Oregon 97401

Dear Lamar;

RE: GRADUATE INTERN POSITION

As we have discussed by telephone, this letter is to confirm and to offer to employ you as a Graduate Student Intern II, Range 14A (salary \$1,629 per month or \$10.02 per hour) from June 1 - August 31, 1979.

The Division will pay for your and Jim Sanders' salary for the month of June, with the remaining two months of your salary being provided for by a special appropriation through the House and Senate Community and Regional Affairs Committees for interim work on a local government study. I have discussed this arrangement with both Senator Arliss Sturgulewski and Representative Bill Parker, respective Chairmen of the Community and Regional Affairs Legislative Committees.

Although the Division will be providing your salary for a portion of the summer graduate intern program, you will be assigned to prepare a portion or component of the research that will be later outlined for the interim legislative committees' local government study. Both of the legislative committee chair are aware of the requirements that you and Jim Sanders have in terms of your academic requirements during the summer, graduate intern program, and, I am confident that the projects that you will be working on for the interim committee will be suitable for preparation of a document that will satisfy the requirements of your Master of Public Administration program.

Although details have yet to be worked out as to your exact project assignment, where you will be working in Anchorage, and the staff supervisor to whom you will be reporting, I anticipate that the entire work program outline for the interim committee and your specific involvement in that work will be more clearly outlined prior to your beginning June 1 employment date, and I will plan to communicate with you prior to that time.

Also enclosed is a form that needs to be signed by your graduate school advisor indicating that you will be participating in a graduate intern project during your summer employment with the State of Alaska. This form should be signed as soon as possible and returned to me.

Mr. Larmer Cotten
May 10, 1979
Page 2

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Enclosure

cc: Senator Arliss Sturgulewski, Chair ✓
Senate Community and Regional Affairs Committee

Representative Bill Parker, Chair
House Community and Regional Affairs Committee

bcc: Pat Poland - Anchorage

Subj.
May 10, 1979

MEMORANDUM

TO: Mr. M.R. Charney, Executive Director
Legislative Affairs Agency

FROM: Senator Arliss Sturgulewski, Chairman
Senate Community & Regional Affairs

SUBJECT: Staff for Interim Local Government Committee Study
Senate and House Community & Regional Affairs Committees

A copy of my memorandum to Ms. Kathy Martinson (Payroll/Personnel Officer) is attached for your information. You will note that the effective date of the Interim Local Government Committee Study is May 7, 1979.

Due to the need for cooperation of numerous departments of the State Government, as well as the divisions of Legislative Affairs, it is most practical to headquarter the study in my Juneau office. Additionally, Representative Parker will conduct parts of the study from his office.

My time commitments necessitated beginning development of the work plan on May 7, 1979. We will continue to coordinate closely with your offices in the development of our study.

Attachment

cc: Ms. Kathy Martinson

May 9, 1979

MEMORANDUM

TO: Ms. Kathy Martinson
Payroll/Personnel Officer

FROM: Senator Arliss Sturgulewski, Chairman
Community and Regional Affairs

SUBJECT: Staff for Interim Local Government Committee Study
EFFECTIVE DATE: May 7, 1979

Operation of the Interim Local Government Committee Study, composed of the Senate and House Community and Regional Affairs Committees will be conducted out of the Juneau offices of Senator Arliss Sturgulewski and Representative Bill Parker.

Staff effort on behalf of Senator Sturgulewski will be provided by Mr. Gene Walsh, Administrative Assistant, and Mrs. Twyla Hartsock, Secretary.

Mr. Walsh will be employed on a full-time basis during the interim with salary based on the pay levels for interim staff outlined in the April 30, 1979 memorandum from Mr. M.R. Charney to Senator George Hohman.* Mr. Walsh is authorized to sign time sheets for himself and on behalf of Mrs. Hartsock.

Mrs. Hartsock will be employed on a part-time basis as a secretary at the rate set forth in the above memorandum.

Representative Bill Parker will make arrangements with you for the employment of his staff.

*Mr. Charney is currently assessing the impact of legislation passed this session to see what, if any, benefits in addition to basic salary will accrue to interim staff; i.e., retirement, health benefits, etc.

April 30, 1979

TO : Honorable Terry Gardiner ✓
Speaker of the House
Honorable George Hohman
Chairman, Legislative Council

FROM : M. R. Charney, Executive Director *M.R.C.*

SUBJECT: Pay levels for Interim staff

In response to your joint memorandum of April 29, establishing the policy for paying Interim committee staff, the following information is offered:

Administrative Assistants under the existing state pay scale would receive \$13.30 per hour which approximates \$2,161 per month, the salary for state pay range 18B (closest salary to \$2,150). No overtime would be compensated.

Secretaries under the existing state pay scale would receive \$9.20 per hour which approximates \$1,495 per month, the salary for state pay range 13B (closest salary to \$1,500). It has been customary in the past to compensate overtime worked at straight time. Unless I hear further from you, we anticipate that policy would continue.

The above salaries apply in Juneau, Anchorage and Ketchikan. Employees in Fairbanks, Bethel, Nome and other locations would also receive cost of living differentials.

Interim Committee Chairmen, of course, have always had the option of paying a lesser salary than authorized for budgetary reasons, or hiring someone without experience. We assume this policy would also be continued.

Certified time sheets are mandatory to satisfy our annual audit requirements.

It is my understanding that in the event HB 220, or some other pay raise for state employees is enacted by the legislature, Interim staff would receive that increase, thus maintaining pay ranges 18B and 13B on the new pay schedule.

Rep. Terry Gardiner
Sen. George Hohman
April 30, 1979
Page - 2

One avenue that has been attempted in the past to circumvent legislative policy on salaries by various chairmen has been to enter personal services contracts in lieu of hiring employees. Prior to executing any such contracts where we believe your policy is being circumvented, we will bring them to your attention.

cc: Warren Endicott, w/cy 4-29-79 memo
Kathy Martinson, w/cy 4-29-79 memo



Alaska State Legislature House of Representatives

MEMORANDUM

POUCH V
JUNEAU, ALASKA 99811
OFFICIAL BUSINESS

To: M.R. Charney, Executive Director
Legislative Affairs Agency

From: Representative Terry Gardiner *TJ*
Speaker of the House

Senator George Hohman, Chairman *GH*
Legislative Council

Date: April 29, 1979

Re: Pay level for administrative assistants
working for legislative committees

It will be the Legislative policy to pay administrative assistants working for legislative interim committees approximately the same rate as administrative assistants are paid during the legislative session. Calculated on the basis of approximately \$70 per day on a thirty-day work month administrative assistants receive approximately \$2100 per month. We would request that you inform us of the nearest pay range scale on the state pay ranges which is approximately \$2100 or \$2150 per month. That will be the pay for administrative assistants during the interim.

Secretarial pay for work for legislative committees during the interim will be based on the same or approximately the same pay as received during the legislative session. Using the basis of \$50 per day for a thirty-day working month the secretarial pay equals approximately \$1500 per month. We would request that you also inform us of the nearest state pay salary scale which is the equivalent of \$1500 per month.

We also understand that there are some benefits that the state must pay in addition to the salaries and those should be figured in the normal manner.



Official Business

Alaska State Legislature

Senate

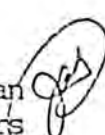
Committee on Community & Regional Affairs

Pouch V
State Capitol
Juneau, Alaska 99811

April 28, 1979

MEMORANDUM

TO: Senator Clem Tillion
President of the Senate

FROM: Senator Arliss Sturgulewski, Chairman 
Senate Community & Regional Affairs

SUBJECT: Interim Local Government Committee composed of the Senate and
House Community and Regional Affairs Committee

LOCAL GOVERNMENT STUDY OBJECTIVES

1. Study and evaluate the sufficiency of available local government structure and methods of financing to meet the needs of existing local government entities. Study and evaluate alternatives.
2. Study and evaluate the sufficiency of available local government structures and methods of financing to meet the need for local government entities at the community and regional level in the unorganized borough. Study and evaluate alternatives.
3. Study and evaluate the difference between the planning for and delivery of services in organized boroughs and the unorganized borough.
4. Review of the question of State vs. municipal responsibility for the delivery of public services and the construction, maintenance, and operation of public facilities.

METHODOLOGY

1. Basic research and analysis work would be accomplished by this Interim Legislative Committee. Assistance and cooperation of other legislative staffs (such as Legislative Division of Legal Services and Division of Research Services), and administrative staffs; i.e., Department of Community and Regional Affairs, would be sought.

- A. Review the available literature and update the available research addressing the study objectives.

- B. Study and evaluate the delivery of services at the local, regional, and State level.
 - C. Review and study various geographic boundaries currently used by State, Federal, and non-profit entities to meet the need for rationally constructed service delivery systems in Alaska. Determine the extent to which these boundaries are adaptable to the need for logically drawn government boundaries.
2. Workshops and public meetings would be conducted to obtain participation by affected groups and persons. For example, organizations to be included would be AFN, RuralCAP, ANF, Alaska Municipal League, etc. Additionally, meetings will be held in rural areas to obtain testimony and views on self-governance, administrative capabilities, fiscal conditions, attitudes toward State vs. local performance of functions, delivery of services, etc.
 3. Analysis and formulation of resultant proposals will be carried out in coordination with legislative staff and administrative staffs.

BUDGET

See attached memorandum.

Attachment

Note: Similar letter sent to:

Lamar Cotten
815 Lawrence # 7
Eugene, Oregon 97401

May 8, 1979

Mr. Jim Sanders
8304 34th Avenue N.E.
Seattle, Washington 98115

Dear Jim;

RE: GRADUATE INTERN POSITION

As we have discussed by telephone, this letter is to confirm and to offer to employ you as a Graduate Student Intern II, Range 14A (salary \$1,629 per month or \$10.02 per hour) from June 1 - August 31, 1979.

As earlier stated to you, the Division will pay for your and Lamar Cotten's salary for the month of June, with the remaining two months of your salary being provided for by a special appropriation through the House and Senate Community and Regional Affairs Committees for interim work on a local government study. I have discussed this arrangement with both Senator Arliss Sturgulewski and Representative Bill Parker, respective chairmen of the Community and Regional Affairs Legislative Committees.

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myt char...
can we talk...
red tape involved

Draft

9/79
comes into
reimbursable
contract re
So C2 can direct
Can be worked
out re
myt
charney
no problem
problem
Archie

Although details have yet to be worked out as to your exact project assignment, where you will be working in Anchorage, and the staff supervisor to whom you will be reporting, I anticipate that the entire work program outline for the interim committee and your specific involvement in that work will be more clearly outlined prior to your beginning June 1 employment date, and I will plan to communicate with you prior to that time.

Also enclosed is a form that needs to be signed by your graduate school advisor indicating that you will be participating in a graduate intern project during your summer employment with the State of Alaska. This form should be signed as soon as possible and returned to me.

Please write or give me a call during the last week of this month so that I can give you more details as to the work plan for the interim committee and more details as to your work assignment.

Sincerely,

Palmer McCarter
Director

PMc:edo

Enclosure

cc: Senator Arliss Sturgulewski, Chair
Senate Community and Regional Affairs Committee

Representative Bill Parker, Chair
House Community and Regional Affairs Committee

Lamar J. Cotten
815 Lawrence #7
Eugene, Oregon 97401
(503) 342-2847 (with Eugene ?)
Alaska message:
(907) 694-2581

BACKGROUND &
EDUCATION

Age: 27. Lived in Palmer, Alaska from 1952-63; Eagle River, Alaska 1963-78 (less two years military, four years college). Attended elementary school in Palmer, junior and senior high school at Chugiak High School, graduated in 1969. Served in the U.S. Navy 1969-71; third class petty officer, navigator, honorable discharge 1971. Attended University of Alaska/Fairbanks 1971-72, Northern Arizona University 1972-73/74-75, Scandinavian Seminar (Denmark) 1973-74. Graduated from Northern Arizona University 1975 B.A. Political Science Cum Laude. Briefly attended Western New England College of Law 8/76. Currently working on a Masters in Urban and Regional Planning, University of Oregon.

RELEVANT WORK
EXPERIENCE

2/76-3/76 - Pollster-Dittman Research, Anchorage, Alaska. I conducted a random sample poll concerning the Hammond Administration. This was a temporary position.

2/76-4/76 - Manpower specialist trainee-Dept. of Labor, Anchorage, Alaska. The work involved disbursement of unemployment checks. This was a temporary position.

4/76-6/76 - Planning aide-City of Anderson Clear, Alaska. My duties included writing zoning proposals, conducting a title search for all the property within the city boundaries and completing a cost analysis report for the local daycare center. This job lasted only twelve weeks, the period needed to complete the work.

RELEVANT WORK
EXPERIENCE
(cont'd)

7/76-11/76 - Campaign Manager-State house candidate, Eagle River, Alaska. The job included precinct organizing, conducting a poll, helping develop issue and policy platforms and designing candidate brochure and other media-related items.

10/76-10/77 - Carpenter-Providence Hospital, Anchorage, Alaska. My work involved construction of industrial shops and some landscaping. I used various tools ranging from welder to driving a front-end loader to a number of drilling instruments.

10/77-8/78 - Research analyst-(VISTA Volunteer)-Alaska Public Interest Research Group (AkPIRG), Anchorage, Alaska. My duties included legal research, writing briefs and motions, public presentation, including radio and television, of policy statements and some community organizing.

VOLUNTEER
WORK

2/72-8/72 - Counselor-Island, Inc., Fairbanks, Alaska. I worked as a part-time volunteer worker at a drug rehabilitation center and coffee house.

11/76-10/77 - Researcher-AkPIRG. I conducted preliminary research dealing with legal limitations of organized labor.

HOBBIES

Skiing, fishing, Alaska history, tennis and basketball.

REFERENCES

By request.

Lamar J. Cotten
815 Lawrence #7
Eugene, Oregon 97401
(503) 342-2847 (*with 29 June 7*)
Alaska message:
(907) 694-2581

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HOBBIES

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REFERENCES

By request.

May 16, 79

Dear Palmer -

Your letter certainly brought a sense of relief! Having everything hanging in the air until the middle of May was a bit nerveing.

Enclosed you will find the form affirming my status as a graduate intern. The school was quite pleased with my good fortune.

You asked if there were any particular areas about which I be interested in pursuing this summer. Of course I'm open to what ever area I'm assigned, but there are several areas of particular concern. First, the equity question surrounding the flow of urban tax dollars to rural areas to provide social and governmental services would be an interesting topic to address. Secondly, what are the social consequences (and economic) of not providing or mandating governmental units for the unorganized borough? Thirdly, what alternatives, traditional and non-traditional, ~~exist~~ can be created to address the states position towards the unorganized borough.

I was also pleased to read in your letter that Anchorage will be my work site. This makes the move and accomodation problem much easier.

Thank you — Sincerely
Jim

RESUME

<u>NAME</u>	James C. Sanders	<u>ADDRESS</u>	8304 34th. Ave. N.E.
<u>BIRTHDATE</u>	April 19, 1947		Seattle, Wash. 98115
<u>MARITAL STATUS</u>	Married, 2 Children	<u>PHONE</u>	(206) 525-3580

EDUCATION

- 1965 - High School Graduation from Juneau-Douglas H.S.
Juneau, Alaska
- 1969 - B.S./Psych., recieved from Portland State University,
Portland, Oregon
- 1978 - Masters in Public Administration being completed at
the Univ. of Washington - Seattle, Wash.

WORK HISTORY

- Peace Corps- from Sept., 1969 to Jan., 1972 served as a volunteer
in St. Lucia, West Indies. Worked with juvenile delinquents,
taught pupil teachers, did mental health work, and taught
Nursing Students in Psychology and Sociology.
- Youth Programs Director- from Aug. 72 to Dec. 73 , was the director
for youth programs for the City and Borough of Juneau, Ak.
- Alaska Dept. of Community and Regional Affairs- from Dec. 73 to
April 76, was the Project Field Representative for the Div.
of Rural Development Assistant. Responsibilities included
the administration of RDA grants, NYC funds and CETA programs
in the Southern half of the State.
- Yupikpak Bista Manpower- Director from May 76 to July 78. Was
responsible for all operations of this nonprofit entity.
- Consultant- From July 78 until the present, I have been working
as an authorized a U.S. D.O.L. consultant in the management
of Indian and Native American CETA Programs (done part-time
while attending school).

ORGANIZATIONAL MEMBERSHIP

- Governors Manpower Planning Council- 1977 - 1978.
- Alaska Native Prime Sponers (CETA) Association - Chairperson-
1976- 1978.
- Kuskokwim Community College Policy Council- Vice Chairperson
1976 - 1978.

UNIVERSITY OF WASHINGTON
SEATTLE, WASHINGTON 98195

Graduate School of Public Affairs

March 22, 1979

Mr. Palmer McCarter
Dept. of Community & Regional Affairs
State of Alaska
Pouch B
Juneau, Alaska 99811

RECEIVED
MAR 27 1979
DEPT. OF COMMUNITY
AND REGIONAL AFFAIRS

Dear Mr. McCarter:

I am pleased to learn that there is a good chance of working out an internship for Jim Sanders. Your budget problems are familiar to all of us in the public sector. The School does not have funds to support interns. We ask the agency to cover the intern's salary.

Right now Jim is in Anchorage, and may be stopping in Juneau. I'll be sure he gets a copy of your letter and gets in touch with you to talk about a placement.

It's unfortunate that we can't offer any monetary help. I hope a placement for Jim can still be worked out.

Thanks for the note on Bruce Aronson. Rebecca Burch had told me of his move.

If I can be of any assistance, please call on me.

Sincerely yours,


Sheila Manus Nortman
Assistant Dean

SMV:ma

cc: Jim Sanders

LOCAL GOVERNMENT STUDY STAFF

Committee staff includes:

Gene Walsh, Administrative Assistant, Senate C&RA (full-time)
Marjorie Gorsuch, Administrative Assistant, House C&RA (part-time)
Twyla Hartsock, Secretary (part-time, as needed)

The Joint Local Government Study will be funding the activities of two graduate student interns, who will be hired by the Department of Community and Regional Affairs during June and by the LGS Study through July/August. Their specific work tasks will lend itself to development of papers which will serve as partial fulfillment of degree requirements. They are currently working on specific components of the Study.

Mr. Lamar Cotten, of Eagle River, Alaska, is working toward a Masters in Urban and Regional Planning at the University of Oregon and Mr. Jim Sanders, originally of Juneau, Alaska, is working on a Masters in Public Administration at the University of Washington.

The interns can be contacted at 225 Cordova, Building B, Anchorage, telephone: 276-1721.



Official Business

Alaska State Legislature

JOINT SENATE AND HOUSE
COMMUNITY AND REGIONAL AFFAIRS COMMITTEE
LOCAL GOVERNMENT STUDY

Co-Chairmen
Senator Arliss Sturgulewski
Representative Bill Parker

Address all
correspondence to:

LOCAL GOVERNMENT STUDY

Pouch V
State Capitol
Juneau, Alaska 99811

TO: Lamar Cotten/Jim Sanders
FROM: Marjorie Gorsuch *mg*
Gene Walsh
DATE: 8/20/79
RE: Work Tasks

Lamar & Jim

Thank you for the memo detailing your work program. We still can not confirm the Sept. 7 employment date (unless you have already discussed this with Arliss and she has confirmed independently). You might want to think about what you can complete by the end of August in case our additional CZM appropriation does not come through.

I have only some minor additions/comments on your outline.

Under I (1) You will probably want to include the 10% of state land entitlement which accrues to boroughs as of incorporation. This would seem to function as a disincentive in some areas if the state doesn't yet have title to some of its lands in the specific area.

Also, the need for boroughs to perform the taxing and assessing function is obviously a disincentive. This might be explored.

Under II, there was reference made to viewing the existing contracting procedures as they might function as "operational models" for intergovernmental contracting. I think that is probably what you have in mind under II (1) (iii) and II (2) (iii). You might want to check if the Anchorage Municipality does any of this type of contracting with the Cook Inlet Regional Corporation.

Under III, it might be helpful to have Roger Land and Maurie Thompson look at your review of the State Municipal Land Trustee Program after you have defined the trust relationship and have them look at it in terms of problem solving. There have been questions relative to whether or not the problems in this area are real or imagined. I think we need a definitive statement of why it is not satisfactory to have the state function as the trustee.

You might also find some of the Federal Land Use Planning Commission's work helpful in this area.

Do you see that you will actually have the results of the survey in time to tabulate and analyze them? What deadline are you planning to put on them? That might be something that we will need to finish up on.

We are still doing some of the initial set-up work for the rural regional hearings and village visits..will let you know when we can use any assistance.

Thanks.

P.S. See attached memo re I (2) (ii)

August 14, 1979

Marjorie and Gene:

The following outline describes the work schedule for the duration of our summer employment. We feel that these tasks can be completed by September 7, 1979.

I A Discussion of:

Disincentives of Organizing Regional Government in the Unorganized Borough.

1. Statutory Requirements
2. Consequences of Government Policy/or lack thereof
 - i) REAA's
 - ii) CETA
 - iii) CZM
 - iv) Funding and Training
 - v) Local Government and Quasi-Government Structure
 - a) IRA
 - b) Non-Profit Corporations
 - c) Profit Corporations
 - vi) Special relationship between the Federal Government and the Alaska Natives

II An Analysis of:

Federal and State Contracting in Rural Alaska.

1. Review of BIA Contracting Procedures
 - i) Limitations/Restrictions
 - ii) Scope of Services
 - iii) Applicability to state contracting for Borough Functions
2. Review of State CETA Contracting Procedures
 - i) Limitations/Restrictions
 - ii) Scope of Services
 - iii) Applicability to state contracting for Borough Functions

III A brief memorandum outlining the State Municipal Land Trustee Program which would include:

1. Goals and Objectives of program
2. Review of its strengths/weaknesses
3. Current status of program

IV Rural Second Class City Survey:

1. Completion of Questionnaire
2. Distribution of Questionnaire
3. Tabulation and Analysis of Survey

V Assistance with Regional Legislative Hearings:

SCOMM

10:6

MEMORANDUM

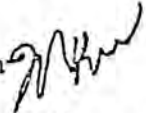
TO: [Senator Arliss Sturgelewski
Representative Bill Parker
Co-Chairmen
Joint Senate-House Committee
on Community & Regional Affairs

DATE: September 27, 1979

FILE NO:

TELEPHONE NO:

FROM:

Murray R. Walsh 
Coordinator
Office of Coastal Management
Division of Policy Development
and Planning
Office of the Governor

SUBJECT: Unorganized Borough
Legislation

I think this bill, in its current form represents an impressive opportunity and comments to follow should not be thought of as detractions to the bill but rather possible additions. My comments are going to be on the radical side and so it will be perfectly understandable if they were set aside. I would still be most supportive of the bill in its current form.

First of all, the procedure for establishing an unorganized borough assembly is roughly similar to that used for establishing coastal resource service area and one of the problems we have encountered is finding some leadership to carry the organizational ball, as it were, at the local level prior to the first election. We would suggest the establishment of interim unorganized borough assemblies by appointment of the governor. Eleven people could be selected from each unorganized borough area, appointed by the governor and charged solely with some of the responsibilities of the elected assemblies. At the current time, we have had to rely, not unsuccessfully, on the leadership provided by non-profit native corporations, principally to achieve the two successful elections we have had thus far for creating coastal resource service areas. While this has not been unworkable, we do think that a more formalized organization appointed by the governor might be more appropriate and eliminate any threat of the native bias that some people may perceive with the coastal resource techniques we have used.

With regard to proposed section 44.47.450, "Powers of the Unorganized Borough Assembly", we would suggest that the planning powers established for the unorganized borough assembly can be made somewhat more detailed without a great excursion into other law or new law. As it happens, the Department of Natural Resources currently does have power to undertake zoning in the unorganized borough. This power has been mentioned repeatedly as one of the implementing techniques for coastal resource service area district coastal management program. It seems to us that without a great deal of legislative effort planning authority could be given to the unorganized borough assembly.

A process could be established for the state review of the unorganized borough assembly plan through the Division of Policy Development and Planning (DPDP) or the Community & Regional Affairs (CRA) and then Department of Natural Resources (DNR) burdened with the responsibility of preparing a zoning ordinance to implement the plan, once it has been approved by the Division of Policy Development and Planning (DPDP) or by the legislature or the Governor or some other entity charged with the responsibility to do so. The legislature could of course engage in a much broader endeavor and create a land and water use policy council akin to the Coastal Policy Council and which would probably replace the Coastal Policy Council and use the Alaska Coastal Management Program (ACMP) set-up as we have it now. That would be a really major step, however again, the Department of Natural Resources (DNR) zoning power could be brought into play without the trappings of a bigger and better state council. There would still be some incentive for the Unorganized Borough assembly to move into home rule at some point because if the Department of Natural Resources (DNR) adopts the zoning ordinance, it will still fall to the Department of Natural Resources (DNR) and probably other state agencies to implement it. The unorganized borough assembly might at some point want to take over that function themselves, but this would probably be left to a more fully constituted local government such as a borough. We do feel that the prospect of this kind of planning power should be offered to the participants in the public hearings in the form of a question so that the attendees of the hearings can get the local feeling as to whether or not this should be part of the act. It may also happen that the bush residents would feel much more supportive of the act if this more defined land use planning authority was added to powers of the unorganized borough assemblies.

Additional questions which should be asked of all participants in this effort relate to the qualifications of the potential assembly members. Do we need to have districts established by CRA within the unorganized borough for the assembly members to be drawn or should they be elected at large? Currently, the Alaska Coastal Management Act does provide for districting so that the Coastal Planning Board members can represent a part of, rather than the whole, service area. Other question which should be asked is whether residents of existing incorporated cities and towns within the unorganized borough should be allowed to sit as assembly members.

Another concern relates to the matter of funding for the operation of the unorganized borough assemblies. This, I think, should be more clearly spelled out in the act and possibly some special appropriation or special funding effort set up.

A great deal of acceptance may depend on how easily people can perceive that the state will make a serious effort to support these assemblies until they reach home rule status. Obviously, unorganized borough assemblies can have no taxing power of their own, yet they should be equipped to receive funds for the state and federal government. I can't offer any concrete proposals here at the present time, although we will continue to ponder this notion.

Yet another item relates to the relationship between the coastal resource services area planning board and the unorganized borough assembly. The act as written would not impair the powers of the planning board, but I do think there should be more of an interlock between the two bodies. In essence, it would be appropriate for the unorganized borough assembly to approve any work done by the planning board before it is transmitted to the Coastal Policy Council. Likewise, it might be appropriate for the planning boards to be appointed by the assembly rather than elected. This would require an amendment to the Coastal Management Act. It would certainly simplify the amount of elections and confusion that will result by having two different boards elected. It might even be appropriate to require appointments of unorganized borough planning commissions to replace the coastal resource planning boards. This would enable planning function to be uniform for interior as well as coastal unorganized boroughs. I suppose it would be foolish to suggest that the school boards be handled in the same ways since school boards are independently elected elsewhere in the state, as well as in many places in the lower 48.

Returning to the matter of funding for a moment, this is likely to be the lynch-pin that can cause failure of this act due to resentment on the part of existing local governments and people in general who have been taxing themselves to provide local services. With that in mind, it may be very difficult politically to have a special new fund created for the purpose of supporting the unorganized borough assemblies. However, there may be another way and this would be for provision of the act to be inserted which would require some entity within the state, possibly Community and Regional Affairs to survey the existing funding situation for bush areas and come up with a proposal to re-route existing funding into the unorganized borough assemblies. This would be awkward but it might be more politically and economically desirable than a new source of funds.

Another financial note, again relating to the likely jealousy that standard local governments might have for fully state supported unorganized borough assemblies. There may yet be a possibility of

Senator Arliss Sturgelewski
Representative Bill Parker

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September 27, 1979

making this package more attractive to all concerned if there is a provision that mandates a transition into more formalized local government when revenue opportunities per capita reach a certain point. Again, the act would have to provide some instrumentality at the state level to monitor population growth and development in the unorganized boroughs and be prepared to declare through a public hearing process that critical ratio of assessed valuation to population had been reached. This would result in some kind of formal finding that would then be noticed to the organized borough assembly which would then have a specified period of time in which to apply for home rule status or risk self-destruction. In view of the Governor's policy on self-support for local government, this might be an important consideration in obtaining administration support for the act.

I would like to emphasize that I think the act in its present form could still be workable although some of the questions about standing for the unorganized borough assembly membership be settled. I do think, though, that the matters raised in this memo should be converted into question and asked at the same time as the bill was discussed. In essence, you would be saying "Here is this bill. In addition to this bill, would you like the following features added to it?". In all, we are very impressed and look forward to a happy conclusion to this effort.

Thank you very much for the opportunity to participate.

STATE
of ALASKA

MEMORANDUM

TO: [Senator Arliss Sturgelewski
Representative Bill Parker
Co-Chairmen
Joint Senate-House Committee
on Community & Regional Affairs

DATE: September 27, 1979

FILE NO:

TELEPHONE NO:

FROM:

Murray R. Walsh 
Coordinator
Office of Coastal Management
Division of Policy Development
and Planning
Office of the Governor

SUBJECT: Unorganized Borough
Legislation

I think this bill, in its current form represents an impressive opportunity and comments to follow should not be thought of as detractions to the bill but rather possible additions. My comments are going to be on the radical side and so it will be perfectly understandable if they were set aside. I would still be most supportive of the bill in its current form.

First of all, the procedure for establishing an unorganized borough assembly is roughly similar to that used for establishing coastal resource service area and one of the problems we have encountered is finding some leadership to carry the organizational ball, as it were, at the local level prior to the first election. We would suggest the establishment of interim unorganized borough assemblies by appointment of the governor. Eleven people could be selected from each unorganized borough area, appointed by the governor and charged solely with some of the responsibilities of the elected assemblies. At the current time, we have had to rely, not unsuccessfully, on the leadership provided by non-profit native corporations, principally to achieve the two successful elections we have had thus far for creating coastal resource service areas. While this has not been unworkable, we do think that a more formalized organization appointed by the governor might be more appropriate and eliminate any threat of the native bias that some people may perceive with the coastal resource techniques we have used.

With regard to proposed section 44.47.450, "Powers of the Unorganized Borough Assembly", we would suggest that the planning powers established for the unorganized borough assembly can be made somewhat more detailed without a great excursion into other law or new law. As it happens, the Department of Natural Resources currently does have power to undertake zoning in the unorganized borough. This power has been mentioned repeatedly as one of the implementing techniques for coastal resource service area district coastal management program. It seems to us that without a great deal of legislative effort planning authority could be given to the unorganized borough assembly.

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Thank you very much for the opportunity to participate.

"City of Petersburg"

*P. O. Box 329
Petersburg, Alaska 99833
(907) 772-4511*

September 27, 1979

Senator Arliss Sturgulewski, Co-Chairman
Representative Bill Parker, Co-Chairman
Joint Senate and House Community & Regional Affairs Committee
Pouch V
Juneau, Alaska
99811

Re: Local Government Study

Dear Senator Sturgulewski and Representative Parker:

A copy of Local Government Study - 1979 has been received and reviewed by myself. The following comments are offered:

1. Apparently there is agreement that "rural Alaska is characterized by lack of adequate governmental services and by absence of appropriate vehicles for meeting local and regional needs". No one should argue the need for adequate services, however, I disagree with the idea that appropriate local governmental structures do not exist. Specifically, organized boroughs (whether general law, home rule, or unified home rule) do provide a great degree of choice so that each region of the state may determine locally its local government needs. You are reminded that there are five types of organized boroughs (three general law, home rule, and unified home rule), and one of those five can certainly provide the basic structure of a regional government.
2. State laws do provide adequate flexibility for rural Alaska. The provisions contained in Title 29 are minimal, so as to protect the public's interest (eg. basic election requirements).
3. Which level of government should provide municipal type services? The question is self answering; municipal services should be provided by cities and boroughs, not unorganized (disorganized ?) boroughs. Until local governments are established throughout Alaska, the State will have to play an ever increasing role to satisfy resident's demands for services.
4. Self government also demands local responsibility. One without the other is irrational, and will perpetuate existing problems. Why should unorganized boroughs be created to provide local participation, when those same residents are unwilling (and in some cases, financially unable) to accept local responsibility.

"City of Petersburg"

P. O. Box 329
Petersburg, Alaska 99833
(907) 772-4511

5. The lack of a coordinated approach to delivery of services will be maintained under the proposed scheme.
6. The State of Alaska is capable of, but unwilling to, provide planning services in rural Alaska (planning, platting & zoning).
7. The proposed unorganized borough's principal "functions will be regional planning and advice on the exercise of state responsibilities within the region". Please tell me what the difference is between the proposed unorganized borough and a second class borough (realizing that a second class borough's minimum legal responsibilities are education and planning, platting and zoning).
8. The concept that cities will retain planning responsibilities while the unorganized borough plans for the area outside cities will not foster good regional planning, but rather, sloppy, disjointed and disorganized planning. Imagine an unorganized borough with five cities within it; six different plans would be prepared, and no coordinated regional planning is possible.

ERGO

1. The idea of creating separate unorganized boroughs from the single unorganized borough will just compound the problem, and delay the inevitable (organized boroughs).
2. An adequate funding mechanism must be found to eliminate current inequities in local and state funding sources. Also, disincentives to organize boroughs must be eliminated.
3. The legislature is unwilling to say that all areas of the state should be in unorganized boroughs. Why?
4. The Local Government Study - 1979 was an arrow missing the target.
5. Interesting that no hearings are scheduled in Southeast Alaska.

If I may provide you with additional comments and criticism please contact me.

Sincerely,

Bruce Aronson
City Manager

BA/plc

Re: 15-26 624

Delta Junction, Alaska 99739
October 25, 1979

Local Government Study Committee
Pouch V
State Capitol
Juneau, Alaska 99811

Dear Senator Sturgulewski and Representative Parker:

Thank you for the invitation to Glennallen for the public hearing concerning creation of regional governmental units in the unorganized borough. Unfortunately, I will be unable to attend, but I do want to provide my views on the subject.

I fully support the broad concept proposed in your letter. I think this is long overdue. But from past experience, I doubt that it will be well received from most of the public because of the general hostility to government spending and taxation. As I understand the concept proposed, the unorganized borough assembly would largely determine the extent of government and taxation. I fully support this concept, but I think it is vital that the revenues for a local government be furnished, at least in part, from local sources. Otherwise, local governing units tend to think of outside revenues (e.g. state or federal) as "someone else's money" and there is less care in its expenditure than if it is locally generated money. Yes, surprising as it may sound, I'm advocating local taxation, but only that which is absolutely necessary as determined by a local assembly.

In Delta Junction we have had to revert to a volunteer fire department because of the inability to tax ourselves. This is absurd and only transfers our fire protection money from a local unit to outside insurance companies. No one in the local community gains from such "independence." Thus, if we don't have the self-discipline to organize ourselves, I think stronger action in this direction by the state is fully warranted.

I believe your proposal to create the unorganized boroughs to correspond to the REAA boundaries is very sensible. Certainly the people in a REAA have common interests and it makes a very logical boundary.

Thank you for writing and for taking the time to read my comments. I am very interested in this subject and wish you well in your efforts.

Sincerely,

William J. Haslem
WILLIAM J. HASLEM

P.O. 15-24
Delta Junction, Alaska 99739
October 25, 1979

Local Government Study Committee
Pouch V
State Capitol
Juneau, Alaska 99811

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Thank you for writing and for taking the time to read my comments. I am very interested in this subject and wish you well in your efforts.

Sincerely,


WILLIAM J. HASLEM

"City of Petersburg"

*P. O. Box 329
Petersburg, Alaska 99833
(907) 772-4511*

November 8, 1979

Arliss Sturgulewski, Senator
Pouch V
Juneau, Alaska
99811

Re: Local Government Study

Dear Senator Sturgulewski:

Thank you for explaining the Local Government Study while attending the annual Alaska Municipal League Convention. There is still considerable confusion surrounding the issue of organizing the unorganized borough. Please express your current thinking on the matter. Also, if I may be of any assistance, please ask me.

Cordially,



Bruce Aronson
City Manager

BA/plc

RR4, Box 4183
Juneau, Alaska 99803
November 14, 1979

Senator Arliss Sturgulewski, Chairman
Local Government Study Committee
2957 Sheldon Jackson
Anchorage, Alaska 99504

Dear Senator Sturgulewski,

It was a pleasure to meet you in Sitka and again, it was reassuring to hear how receptive you were to the comments and statements made by rural Alaskans on your draft bill.

There is one point that I want to clarify regarding the Coastal Resource Service Area Boards and the special assemblies in the unorganized boroughs. Nunam Kitlutsisti is not advocating that the CRSA boards serve as Interim Governments, but that they work with the assemblies as their land planning authority. The CRSA boards will already be working on Coastal Zone Management plans and it makes sense that yet another branch of government not be formed to deal with land and resource management.

If you have any questions on this matter, you can contact me in Juneau (phone: 789-9042) or Harold Sparck in Bethel.

Sincerely,

Elizabeth C. Ali

Elizabeth C. Ali

enclosure

cc: Harold Sparck, Director
Nunam Kitlutsisti

Coastal Zone Management in the Unorganized Borough

Nunam Kitlutsisti has the following legislative goals(1980 session) and seeks the support of the Alaska Coastal Policy Council (ACPC):

1. The Local Government Study Committee's draft bill to create special assemblies in the unorganized borough addressess coastal zone management where the powers and responsibilities of the Coastal Resource Service Area Boards will remain intact. However, these powers are limited and Nunam Kitlutsisti wants the *boards* to have zoning and platting authority. ^{also CEM} (AS 46.35.090)

2. Under the existing state Coastal Zone Management Act of 1977, CRSA boards have a thirty(30) month lifetime and no enforcement authority. Once, the CRSA coastal zone management plans are approved by the ACPC and the State Legislature, the boards dissolve and appropriate state agencies take over to implement and enforce the plans. An amendment is needed in this legislation to extend the boards beyond thirty months. (AS 46.35.050)

Why the changes? There are several reasons:

1. There are vast unorganized areas and at some point in time, they must organize and take the responsibility to govern themselves and manage their coastal zones and resources.

2. State agencies face difficulties in dealing with unorganized districts that cover large geographic areas to accomplish goas and objectives of various programs. An example here is, what is the state's rural policy and who develops it? Ideally, the rural areas should participate in developing this policy and work with the government to implement it. If there is no self government then the alternative is for the state agencies to continue to go out into the rural areas and do the work that rural people should be doing.

3. It is difficult for state agencies to represent regional interests: an example is the dispute between the North Slope Borough and the Department of Natural Resources over the Beaufort Sea Lease sales.

The necessity for these changes can best be seen by describing how the CRSA boards are being formed and the impact of Outer Continental Shelf(OCS) oil development on coastal communities.

Since passage of the state Coastal Zone Management Act two out of five CRSA boards in Western Alaska have been formed. The Yukon Kuskukwim Delta District has elected it's board and has it's first meeting scheduled for November 10 and 11, 1979. (The success in getting this area organized is due to the ability of C&RA Division of Community Planning to contract with Nunam K'itlutsisti, an organization with contacts with villages in the YK Delta). The Northwest Alaska Native Association Area(NANA) has also elected it's board. On the other hand, the Aleutian Pribiloffs, Bristol Bay and Bering Straits have just begun to organize.

The Aleutian Pribiloffs is several months away from getting organized as Community Planning. C&RA is in the process of getting some questions asked and answered (who should get organized? There are three first class cities and there is a question on whether they should be included or not). Bristol Bay is also in the initial stages with inquiries being conducted through Bristol Bay Native Association. Petitions to hold elections are being circulated by Kawerak in the Bering Straits area, a board should be established within the next three months.

If these areas follow the pattern of the other two districts, then they will have their boards elected in 1980 and have their CZM plans developed in 1982. So you see, the Western Alaska districts are still two years away from developing OCS plans. This is due in part to the time restrictions with the impending lease nominations and sales and also to limited manpower within the Division of Community Planning. Presently, there are two planners who work with Western Alaska. They devote part of their time to CRSA related work and

have to deal with regions spread over a large geographic area. (Note: \$2.15 million has been allocated in Direct Planning Assistance in 15 separate programs by the government to speed up efforts to protect areas of imminent impact. Also, I was informed by Larry Kinbell, C&RA that two additional planners will soon be stationed in Anchorage and in Juneau).

In the meantime, a call for nomination for potential lease tracts in the Norton Sound Basin ends today (November 1, 1979). To date, the Division of Community Planning has been unable to contact all the villages in the Norton Sound District to develop an OCS plan. (Community Planning is currently working with Kawerak in Nome on port and OCS development). Since the CRSA board has not been formed yet, no mechanism for local participation exists yet, villages in this area will have been left out in the important decisions on lease tracts and on the location of marine support facilities.

This region may have an opportunity to develop a coastal zone plan if there is a delay in the lease sales. This is unlikely, unless Governor Hammond is successful in delaying the sales. (The Department of Community and Regional Affairs and the Office of the Governor have requested a delay of the lease sales).

It is difficult enough for an organized district such as the North Slope Borough to develop a coastal zone management plan, go through the long process of approval by the ACPC, the State Legislature and then have to contest the government and oil companies over lease sales. Unorganized districts face even more political hurdles since lease sales are impending and by the time CRSA boards are formed, their plans drawn up, the call for nomination for potential lease sales or the sales themselves will have already taken place.

A state agency can not be expected to support regional interests. The North Slope Borough and Department of Natural Resources current conflict over the Beaufort Sea lease sales is an example:

The North Slope Borough developed a plan making a subsistence zone along the North Slope coastline, excluding Prudhoe Bay; and stipulations to protect the marine environment when oil development occurs. Here, the borough sought to have federal tracts north of the barrier islands removed from the sale and proposed that permits be issued to build island in the icefield over a five year period, during which no exploration be allowed. Governor Hammond was unable to get the federal tracts excluded from the sale, but a concession to hold off exploration until manmade structures have withstood two "ice season" and drilling limited to depths of less than 13 meters. The borough is unsatisfied with these environmental concessions. The outcome of this dispute has yet to be seen.

In conclusion, I would like to discuss the Eastern Gulf of Alaska OCS sale. In this instance, the city of Yakutat, the Native village and regional corporations, the state of Alaska(C&RA, Community Planning) and the U.S. Forest Service cooperated to develop an OCS plan which was well thought out and in the end was beneficial for the several parties involved.

In 1975, Arco, Shell and Mobile Oil Companies moved into the municipality of Yakutat to establish marine support facilities for oil exploration in the Eastern Gulf. Private lands within the city proper were purchased. Once, the city, Native village and regional corporations, and the state realized what happened, a plan was developed where the oil companies were to give up holdings within the city proper and move their operations across the harbor where it would not disrupt the community. An industrial park was built involving a land exchange between the state, the native corporations, and the city of Yakutat occurred. Land was made available for marine support facilities away from the community of Yakutat.

The Eastern Gulf of Alaska proved to be a bust as dusters were repeatedly

drilled. The most significant aspect of this operation was that a place for the oil companies was designated for their needs without disrupting the community of Yakutat. This went on over a period of three to four years in a reasonable manner, an example of how development of a nonrenewable resource can occur.

The ACPC gives coordination consistency and public participation a high priority. After all,

Both the federal Coastal Management Act and the Alaska Coastal Management^{*} Act set up a system to coordinate federal, state and private activities so that efforts are not duplicated and standards are consistent and known well in advance of a proposal. A coastal management program will also help us understand the results of choices we have to make when we decide how coastal areas differ greatly from each other, it is very important that local people become involved in developing their own coastal management program.

The rural areas want to make their own choices, take responsibility of own future, that is to have an opportunity at last to govern themselves and to manage their coastal zones and resources.

*District Guidebook Series; Book 1, page 6 Community Planning Divn. C&RA

Questions or comments can be directed to:

*Elizabeth C. Ali
RR 4, Box 4183
Juneau, Alaska 99803*



Official Business

Alaska State Legislature

JOINT SENATE AND HOUSE
COMMUNITY AND REGIONAL AFFAIRS COMMITTEE
LOCAL GOVERNMENT STUDY

Co-Chairmen
Senator Arliss Sturgulewski
Representative Bill Parker

Address all
correspondence to:

LOCAL GOVERNMENT STUDY

Pouch V
State Capitol
Juneau, Alaska 99811

November 29, 1979

TO: Jan Erickson
Office of Coastal Management
Marge Gorsuch, AA
Local Government Study Staff
Gene Walsh, AA
Local Government Study Staff
FROM: Arliss Sturgulewski, Co-Chairman
Local Government Study
SUBJECT: DCRA's Amendment to RSA

I would like to make the following comments on the material from Jan Erickson regarding the revised DCRA's Amendment to RSA for the unorganized borough study. I agree that the completion date for the study should be November 30, 1980, or thereabouts, so that the proposed legislative changes can be considered during the 1981 Session.

Page 4 refers to the purpose of the study - "to examine all the possible options now available or possible for legislative change to effectively plan for and manage coastal resources in the organized borough." It seems to me it might be important to add more explanation to the fact that any changes must be considered in light of possible future organization of local governments. I think special attention needs to be paid to the fact that we do not want to institutionalize any methods that might be barriers toward forming local government. Local government would have the ability, of course, to solve any zoning or implementation of coastal plans.

Page 5 talks about various dates, products and schedules. It is obvious from these dates that there will likely be no developments of the study that will affect the outcome of the legislation during the 1980 Session. Nevertheless, it would be helpful to write in a mandate that there be a sharing of the proposal with the respective Senate and House Community and Regional Affairs Committees.

Pages 8 and 9 talks about specific questions to be addressed. It seems to me that you ought to include questions that would be relevant to the legislation that is being proposed. For example, in Item G you are talking about trends. In the event that legislation is passed, as is currently being considered by the Joint C/RA Committees, definite impacts can be ascertained immediately, as well as new trends, which are being established.

FORM 02 0018C
FOR OFFICE COMMUNICATIONS
MAY BE HANDWRITTEN

MEMORANDUM

TO: ☐

Gene Walsh
Marge Gorsuch
Joint Committee

FROM: Jan Erickson
OCM

State of Alaska

Office of Coastal Management

DIV. _____

SEC. _____

DATE : Nov. 27, 1979

SUBJECT: Various and sundry things

Attached please find a copy of the somewhat revised DCRA RSA for their unorganized borough study. We are reviewing this in-house and will be finalizing the agreement soon. I would appreciate it, if you have any comments, to please let me know ASAP. I also attach comment made by one of our staff people because it relates to your activities.

Also, am returning the color prints which you wanted to show Arliss. We can talk later about copies for OCM.

Finally, Murray would like to meet with Arliss this week; he is available for most of the day tomorrow... will be gone on Friday.

MEMORANDUM

TO: Jan Erickson

DATE: 11/27/79

FILE NO:

FROM: Tom Lawson



SUBJECT: DCRA's amendment to RSA
re: UOB

I've done a "quick and dirty" review of the proposed "Study of Options for Managing Coastal Resources in the Unorganized Borough." Overall all, I think it is a good idea. One basic problem I have with it however is amount of time it is anticipated it will take. Under section B. Products and Schedule, 5. the completion of the expert investigations is scheduled for June 30, 1981. It would seem much more appropriate to push this date to Nov. 30, 1980 so that any proposed legislative changes could be considered during the 1981 session. Otherwise we're looking at the 1982 session before any changes might be dealt with. Further, I don't think that a full year is really necessary for these "expert investigations." The only other comment that I have is that some of the questions to be addressed in 1. Perspective (Attachment 1) are too basic. However from a research standpoint I suppose this information must be documented.

MEMORANDUM

DEPARTMENT OF COMMUNITY AND REGIONAL AFFAIRS

TO: ☐ Murray Walsh
Coordinator, Office of
Coastal Management
Office of the Governor

DATE:

FILE NO:

TELEPHONE NO:

FROM: Lawrence H. Kimball, Jr.
Director
Division of Community Planning

SUBJECT: Amendment to RSA

Attached is a proposed amendment to this Department's FY 1980 ACMP RSA.
The amendment concerns the "Study of Options for Managing Coastal Resources
in the Unorganized Borough."

Attachment

REIMBURSABLE SERVICES AGREEMENT

The Division of Policy Development and Planning, Office of hereby request the following
(Agency) Coastal Management

services to be performed by the Department of Community and Regional Affairs
(Agency)

PROJECT OR PROGRAM TITLE: Alaska Coastal Management Program

DESCRIPTION AND TERMS OF REIMBURSEMENT: to conduct a study pertaining to
implementation of the Alaska Coastal Management Program in the unorganized
borough. To amend RSA # 01-8008

(Attach additional information as required)

DATE COMPLETION REQUIRED: June 30, 1980

ACCOUNT CODE TO BE CHARGED: 01-48-6-019 CONTINUING FUNDS Yes ☐
No ☒

ESTIMATED COST OF PROJECT OR SERVICES REQUESTED

	<u>ESTIMATE</u>	<u>ACTUAL</u>
Planning, engineering, and supervision	\$ _____	\$ _____
Land acquisition and equipment	\$ _____	\$ _____
Construction	\$ _____	\$ _____
Other (itemize) 100 Personnel	\$ <u>32,800</u>	\$ _____
200 Travel	\$ <u>3,200</u>	\$ _____
300 Contractual	\$ <u>40,400</u>	\$ _____
400 Supplies	\$ <u>160</u>	\$ _____
500 Equipment	<u>640</u>	\$ _____
TOTAL	\$ <u>77,200</u>	\$ _____

NOTE: Requesting agency must submit an encumbrance document with this agreement.

I certify, based on the above estimate, that sufficient funds are available to pay this obligation and that the appropriation code to be charged has a sufficient balance to cover this obligation.

APPROVED: _____
REQUESTING AGENCY

APPROVED: _____
SERVICING AGENCY

DATE: _____

DATE: _____

APPROVED: _____
DEPARTMENT OF ADMINISTRATION

Amendment to RSA - July 9, 1979 to June 30, 1980

The Department of Community and Regional Affairs will allocate the following personnel for fulfilling the terms and conditions of this agreement:

See Attached.

FUNDING REQUIREMENTS

	<u>Federal</u>	<u>State</u>	<u>Total</u>
100 Personnel	\$32,800	\$8,200	\$41,000
200 Travel	3,200	800	4,000
300 Contractual	40,400	10,100	50,500
400 Supplies	160	40	200
500 Equipment	<u>640</u>	<u>160</u>	<u>800</u>
	\$77,200	\$19,300	\$96,500

Task E2: Unorganized Borough Management Alternatives

A. Discussion

The Alaska Constitution mandates that the entire State be divided into boroughs, organized and unorganized. A borough is a regional government similar to a county or township. An unorganized borough is an area outside the boundaries of a borough incorporated under State law.

Nearly 435,000 square miles, 75 percent of Alaska's total land area, lies within the unorganized borough. Except for Bristol Bay Borough (1,200 square miles) and part of the North Slope Borough, the entire west coast of the State is in the unorganized borough. Two other coastal areas, Prince William Sound and Southeast Alaska, have large stretches of unincorporated areas.

The unorganized borough is sparsely populated. Rough estimates indicate a civilian population of approximately 10,000 in areas outside of an organized city or borough. In addition, approximately 60,000 persons live in the 116 incorporated cities which lie outside boroughs. Only 11 of these cities have populations of 1,000 and few exercise municipal planning powers.

Though sparsely populated, the unorganized borough warrants greater attention to planning and management of coastal resources for the following reasons: (1) major resource development is either under way in the

unorganized borough or contemplated for the future, (2) subsistence is a valued and irreplaceable way of life for many residents of the unorganized borough, (3) land ownership patterns are changing with millions of acres of federally owned land passing into private, State, or municipal ownership, and (4) provision of public services in the unorganized borough entails massive public investment and extraordinary logistical efforts.

Planning and implementation of coastal management programs in the unorganized borough present many problems. Two regions in the unorganized borough have already organized into coastal resource service areas according to procedures outlined in the Alaska Coastal Management Act and associated regulations. Both regions are predominantly Native and are served by organized Native non-profit organizations which served as catalysts in the organizing service area. Such favorable conditions are not found in all the remaining regional education attendance areas (upon which the coastal resource service areas may be formed). Unorganized areas in the Aleutian Islands, Prince William Sound, and Southeast Alaska have a sizeable non-Native population and in the latter two vast stretches of federal land holdings separate State and private land, which will be most directly affected by coastal management programs developed for the unorganized borough.

Although organization of coastal resource service areas in the Northwest Alaska (NANA) region and the Yukon/Kuskokwim Delta region have commenced, concerns about subsequent stages in program development and implementation for these service areas and organizational problems expected in other parts

of the unorganized borough have prompted the Department of Community and Regional Affairs to propose the attached work program.

The purpose of the study is to examine options now available or possible, with certain legislative changes, to effectively plan for and manage coastal resources in the unorganized borough.

The first step would be to provide perspective on the unorganized borough in general and organizational aspects of service delivery in particular. Key person interviews and case studies will be primary information sources for this part of the study. The interviews will be supported by a literature search and data from secondary sources.

The second element will identify and evaluate planning and management options now available to the unorganized borough, or possible with certain legislative changes. An interim product under this agreement will be a "white paper" discussing major issues in planning and management in the unorganized borough, recommending improvements, and identifying two or three specific areas of further study by experts in law, finance, or public administration. The study approach is described in Attachment 1 of this agreement.

The department will coordinate its study activities with the Joint Senate and House Committee on Regional and Community Affairs Local Government Study in its efforts to prepare a legislative package on the unorganized borough and with the Governor's Office, Division of Policy Development and Planning, in its development of a state rural policy.

B. Products and Schedule

1. On December 31 and March 31, quarterly progress reports.
2. By April 30, a draft of the "white paper" discussing issues in planning and management in the unorganized borough (to be shared with the Joint Senate House Committee on the Regional Affairs, Local Government Study) and detailing further areas of investigation to be undertaken by experts in law, finance, or public administration.
3. By May 15, requests for proposals on investigations identified in the "white paper."
4. By June 30, conclusion of contracts for further study and publication of final version of "white paper," which will include recommendations on legislative and administrative changes (both state and federal) which could improve the management of coastal resources in the unorganized borough.
5. By June 30, 1981, completion of expert investigations conducted under contract.

C. Costs and Contracts

	Federal Share	State Share	Total
Personal Services	\$ 32,800.00	\$ 8,200.00	\$41,000
Travel and per diem	3,200.00	800.00	4,000
Contractual	40,400.00	10,100.00	50,500
Commodities	160.00	40.00	200
Equipment	<u>640.00</u>	<u>160.00</u>	<u>800</u>
Total	\$ 77,200.00	\$19,300.00	\$96,500

The proposed budget would fund 8 person-months of a Planner IV position (range 19), 2 person-months of a Planner VI position, and 4 person-months of a Clerk Typist III position. The Planners assigned to this study would have educational background and professional experience in public administration and planning. The Planner VI would be project manager.

Contractual services would enable the Division to (1) enter into small contracts with research institutes and/or consulting firms to conduct literature

searches and parts of the analysis, especially financial and legal considerations, (2) fund a public involvement component (workshop series and/or survey) and (3) print final products.

Attachment 1

Study Approach

1. Perspective

The first step in the proposed study would be to provide perspective on the unorganized borough in general and organizational aspects of service delivery in particular. Key person interviews and case studies will be primary information sources for this part of the study. The interviews will be supported by a literature search and data gathering from secondary sources.

Specific questions to be addressed include the following:

- a. Who lives in the unorganized borough and why?
- b. What economic activities (market and non-market) provide sources of livelihood to residents of the unorganized borough?
- c. How are coastal resources now managed and by whom? What difficulties or inefficiencies are encountered?
- d. How are "local" decisions made?
- e. What has been the history of local government in the unorganized borough, i.e., why have certain communities in the unorganized borough incorporated, which settlements or regions have attempted incorporation

and failed (or considered it and then rejected it) and why, how effective have incorporated cities in the unorganized borough been and what has contributed to their success or failure?

- f. How effective have the regional educational attendance areas and other service delivery mechanisms been and what problems have they encountered?
- g. What trends are anticipated in any of the factors addressed above?

2. Identification of Planning and Management Options

The second element in the Study will identify planning and management options now available to the unorganized borough, or possible with certain legislative changes. Options will be identified through a literature search, supported by key person interviews. In addition to the Alaska Statutes, sources to be tapped include literature and pertinent expertise on Maine (which has a relatively large unorganized area) and Canada (especially its management system in territories), and prominent public affairs research institutions throughout the country.

Planning options to be considered include organizational alternatives to the service area concept described in the Alaska Coastal Management Act. Management options include concrete mechanisms whereby a district program developed by a coastal resource service area board or other planning organization could be implemented.

3. Evaluation of Planning and Management Options

Planning and management options would be evaluated against the following criteria:

- a. Costs and Incidence of Costs: There will be costs associated with any planning or management options. It is important that the total costs be assessed for each alternative; it is equally important to identify all entities directly and indirectly absorbing the costs.
- b. Availability of Funds: One elementary requirement for any successful planning effort is financial resources; the funds may come from grants, tax revenues, revenue sharing, or some other source, but they must be available for both the development and implementation of a plan. All alternatives should be evaluated for the availability of funds for all stages of the planning program.
- c. Availability of Staff: In line with funds, planning efforts will need and must have easy and continual access to capable staff for both plan development and implementation tasks.
- d. Existing or Politically Feasible Mandates: The viability of a planning structure or program for Alaska's unorganized borough is largely dependent upon a mandate for that planning program. A mandate may occur in a number of ways: a legislative act, an administrative act of the Governor, public referendum or local election, or through a State agency program or regulation.

e. Public Acceptability: Akin to political feasibility is the need for public acceptability of a planning or management option. A planning program is not viable unless it is acceptable to the involved and affected citizens, particularly if the structure or program depends upon formal (electoral) approval of the people.

Assessing the public acceptability of planning and management alternatives could be accomplished through an opinion survey of residents and public leaders in the unorganized borough and of various State leaders. A case study of public reactions in the past to proposed planning structures and programs might be another means of determining public acceptability.

f. Quality of Service Delivery: This is a multi-faceted criterion by which alternative planning structures and programs are to be evaluated. The quality of service delivery depends on a number of things, many of which are included in the other criteria. The objective, however, is not to repeat previous analyses, but to consider whether or not the planning alternatives are based upon "logical" planning units and how they will affect other public services and service areas.

For an unorganized borough, public services such as schools, utilities, and fire protection are usually provided on a service area basis, and individual service areas are established to provide specific services. As the rationale and purpose of the service areas vary, generally, so do their boundaries and jurisdiction.

In identifying and evaluating possible planning structures for an unorganized borough, it is important to consider the logic and efficiency of the unit or area of service. The rationale for and the boundaries of existing service areas may not be appropriate for the delivery of planning services. Geographic features (e.g., mountain ranges, rivers, watersheds), for instance, may be more important than regional corporation boundaries or cultural features for defining a planning area.

On the other hand, before proposing a new service system and area, it is important to consider its effect on existing service areas. Is the planning unit going to compete with, overlap, confuse, or fragment the functioning of and services provided by other resource service areas in a region?

g. Implementation Authority (planning options only): In almost all cases, a plan is only as good as its implementation. Therefore, an effective planning structure or organization must have appropriate implementation authorities and powers.

SPECIFICATIONS

Under the terms of this agreement, the Servicing Agency has the same responsibilities for proper and efficient fiscal and project management as that required of the Division of Policy Development and Planning (lead agency for CZM) by the Office of Coastal Zone Management, Department of Commerce. These requirements are contained in the "Grants Management Manual for Grants Under the CZM Act" and are included in those listed below. The Servicing Agency may obtain a copy of this manual from the Division upon request.

A. Product Specifications

1. The results or summary of results of all activities conducted under the terms of this agreement must be documented in written form and be submitted to the Division by 20 days after the close of the grant year.
2. The Servicing Agency will submit to the Division twenty-seven copies of written products; unless document does not require state library submittal, in which case, the Servicing Agency will submit seven copies. Twenty copies will then be forwarded to the state library to meet state information requirements. The other seven copies will be used by OCM. Printed text documents shall be reproducible by normal copying procedures. For all maps, graphs and tables, at least one set reproducible masters shall be submitted to the Division. Servicing agency may make arrangements with OCM/DPDP to submit seven copies of products to OCM and then submit twenty copies directly to the state library.
3. Written products, (elements, summaries, guides, map text, etc.) submitted to the Division under this agreement shall be in clear, non-technical English for easy public understanding. A short glossary of necessary technical terms shall be provided. Where applicable, an index to localities, species, processes shall be included. When necessary, the Division shall edit for clarity and conciseness, subject to review by the servicing agency to avoid meaning changes.
4. Graphics (maps, illustrations, graphs and tables) shall be submitted at 11" x 17" or smaller (letter size when possible), or reducible to 11" x 17" with easy legibility. Graphics shall be labeled and designed for quick, easy understanding. Letter size, line width and color, and symbols shall be chosen for legibility at this size and for reproduction by blueline or xerox process. All graphics shall include one transparent reproducible and 27 paper-copies where feasible (at least eleven paper copies shall be provided) if state library submittal is required. If state library submittal is not required one reproducible set and seven paper copies are required.

5. The Division and the Federal Office of Coastal Zone Management reserve a royalty-free, non-exclusive, and irrevocable license to reproduce, use and distribute the written product(s) resulting from this agreement to the full extent of the Servicing Agency's right to do so.
6. The Servicing Agency is authorized to publish the results of the work performed under the terms of this agreement, provided that such publication shall not indicate endorsement by the Division or the Federal government of findings, conclusions, or recommendations contained within the publication. All written products and graphic shall bear the following credit:

"This project was supported, in part, by Federal Coastal Zone Management Program Implementation Funds (P.L. 92-583, Sec. 306) granted to the State of Alaska by the Office of Coastal Zone Management, National Oceanic and Atmospheric Administration, U.S. Department of Commerce."
7. The signer of this Reimbursable Service Agreement will be responsible for ensuring that all specifications listed in this attachment are met to the satisfaction of OCM.

B. Subcontracting Specifications

1. If this agreement includes funding in Object Code 300 (Contractual Services), the Servicing Agency will include in all contracts, where applicable, the same specifications listed in Part A and Part G 6-7 of this agreement.
2. All contracts in excess of \$1,000.00 to be let by the Servicing Agency under the terms of this agreement shall be approved by the Division prior to finalization.

C. Quarterly Progress Reports

1. Quarterly progress reports shall be submitted to the Division.
2. Reports will be on an accrued basis of cumulative activity, with particular detail for the preceding quarter's activities.
3. Reports shall include:
 - a. percent project completion with listing of completed portions, phases, geographic areas, etc. (% project completion should approximate the % of expended funds identified in the accompanying financial report);
 - b. a broad description of activities to be completed in the forthcoming quarter;
 - c. problems which have arisen or may arise which could interfere with meeting project time deadlines

or budget ceilings, or in fulfilling all objectives, product components, and other terms specified in this agreement. If such problems are identified, means for their resolution should be suggested;

- d. suggestions (if any) for furthering coordination and productive output of any of the elements contributing to the total effort of developing a coastal management program; and
 - e. requests for amending/clarifying the terms of the existing agreement.
4. The report submitted 20 days after the close of the grant year shall represent the final report, unless otherwise notified by the Division. The final report should demonstrate 100% project completion and completion of all components under the terms of this agreement.

D. Quarterly Financial Reports

- 1. The Servicing Agency agrees to utilize adequate accounting procedures to facilitate complete, correct, and prompt quarterly financial reporting to the Division, and to facilitate full and expedient auditing of transferred funds and state matching contributions.
- 2. Quarterly financial reports shall be submitted 20 days after the end of each quarter.
- 3. Financial reports shall be on an accrued basis. Narration should be attached which details the amount and recipient of funds constituting "Consultants and Contract Service" for all obligations of \$200.00 or more.
- 4. The final report must indicate the final amount of unobligated transferred funds (if any) and must account for at least as much non-federal matching contribution as indicated in this agreement.
- 5. Non-federal funds used as match contribution for the transferred funds shall be identified and supported by documents and records, just as the transferred funds must be documented. To qualify as allowable match contribution, matching funds must:
 - a. be "direct costs" which are identifiable from the records of the Servicing Agency;
 - b. not be included as contributions for any other federally-assisted program or any federal contract;
 - c. not be borne by the Federal government; directly or indirectly under any Federal grant or contract;
 - d. be necessary and reasonable for proper and efficient accomplishment of project objectives stated in this agreement; and
 - e. not include equipment costs (commodities are valid).

For further detail of allowable match costs, the Division will supply the Servicing Agency, upon request with federal Circular No. 87, "Principles for Determining Costs Applicable to Grants and Contracts with State and Local Governments;" and will check with the Federal Office of Coastal Zone Management for specific approval in cases of uncertainty.

E. Quarterly Billing Procedures

1. The Servicing Agency will bill the Division for expenditures on a quarterly basis, at the same time as quarterly financial reports are submitted. When the final reports are submitted, the Servicing Agency must bill for all unexpended encumbrances as well as expenditures.
2. Payment by the Division will be made subject to future reimbursement to cover charged amounts found by the audit to be non-allowable expenses.
3. As part of the Reimbursable Service Agreement, the Servicing Agency is required to estimate quarterly expenditures. These estimates shall cover all quarters of the grant year and shall be broken down by object code as close as practicable to the anticipated expenditures. Within twenty days after the end of each fiscal year quarter, they shall submit the quarterly financial report together with the billing document to OCM. To the extent that the Servicing Agency's billings to the Office of Coastal Management fall short of quarterly estimates, OCM reserves the right to reduce its encumbrance by the amount of shortfall. The Servicing Agency agrees to submit journal vouchers to Budget and Management reducing authorizations to spend and receive by a like amount. If the agency deems the reduction inappropriate, a justification for retaining the funds will be sent to OCM for reconsideration. OCM will notify the agency of its determination within one week of receipt of the objection.

F. Auditing

1. The Servicing Agency agrees that duly authorized representatives of the U.S. Comptroller General, U.S. Secretary of Commerce, or the Division shall have access to and the right to examine any books, documents, papers, and records of the Servicing Agency and sub-contractors of the Servicing Agency which involve transactions related to this agreement.
2. This right to examine and audit financial and project records extends from the initiation of this agreement to three years following the agreement's termination date. During this period, all relevant documents must be maintained by the Servicing Agency or State Archives.

G. Miscellaneous Specifications

1. Amending of Contract. The Servicing Agency will abide by the terms of this agreement, and will promptly notify the Division of any needed changes or clarifications.
2. Contract Administration. The Division reserves the right and holds the

obligation to provide for monitoring of the progress of this agreement. The Servicing Agency must reserve the right and hold the obligation to provide for monitoring of the progress of any subcontracts emanating from the terms of this agreement.

3. Termination of Contract. The Division may terminate this contract in whole or in part, when it is in the best interest of the state by giving written notice at least 14 days prior to the effective day of such termination. In such event, all finished or unfinished documents, data, studies, surveys, maps, models, photographs, and reports or other materials prepared by the Servicing Agency under this Agreement shall, at the option of the Division become its property, and the Servicing Agency shall be entitled to receive just and equitable compensation for any satisfactory work completed on such documents and materials.

Notwithstanding the above, the Servicing Agency shall not be relieved of any liability to the Division for damages sustained by the Division by virtue of any breach of the Agreement by the Servicing Agency and the Division may withhold any payments to the Servicing Agency until such time as the exact amount of damages due to the Division from the Servicing Agency is determined.

4. Proposals for Additional Services. If the Servicing Agency determines that supplementary activities to the existing agreement would further the objectives of this agreement and the development of a coastal management program, and if transferred funds would be necessary for their accomplishment, the Servicing Agency should submit a proposal for such activity and funding prior to the expiration of the sixth month of the grant year. This proposal should distinctly cover the remaining period of the grant year.
5. Irregularities in Grant of Match Expenditures. The Servicing Agency should notify the Division of any changes or clarifications in the match contributions as set forth in this agreement as soon as such changes become known to the agency.
6. Nondiscrimination. The Servicing Agency assures that the program supported by the transferred funds will be conducted in compliance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-4) and the requirements imposed by the Regulations of the Department of Commerce (30 F.R. 305, 15 CFR Part 8) issued pursuant to that Title, applicable and appropriate to the program.
7. Officials not to Benefit. No member of, or delegate to Congress, or resident Commissioner, shall be admitted to any share or part of these transferred funds, or to any benefit that may arise therefrom.
8. Period for Claiming Match. The matching values that must be accrued by the Servicing Agency must be values that were established (i.e., salaries, benefits, etc.) during the period of this agreement.
9. Time Sheets. Staff of the Servicing Agency receiving pay from funds from this agreement shall maintain monthly time sheets which shall be approved by the employee's supervisor and shall include the collocation code to which the time is charged.

Definition of Terms

"Servicing Agency": The agency providing the services herein described.

"Grant Funds": These are funds provided by the Federal Government for the Alaska Coastal Management Program. This money will be paid to the Servicing Agency in the amounts indicated.

"Match Funds": These are funds or values provided by the Servicing Agency itself. Such funds must be provided in at least the value shown in the body of the agreement. The matching funds shall be reported by the Servicing Agency on the forms provided along with the claims for grant funds which also appear on the forms. Only the value of salaries, benefits, travel contractor fees, and supervision may be claimed as match. Performance reports must show matching values as well as work performed with grant funds.

"Division": Refers to the Division of Policy Development and Planning, Office of the Governor.

STATE
of ALASKA

MEMORANDUM

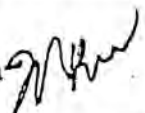
TO: [Senator Arliss Sturgelewski
Representative Bill Parker
Co-Chairmen
Joint Senate-House Committee
on Community & Regional Affairs

DATE: September 27, 1979

FILE NO:

TELEPHONE NO:

FROM:

Murray R. Walsh 
Coordinator
Office of Coastal Management
Division of Policy Development
and Planning
Office of the Governor

SUBJECT: Unorganized Borough
Legislation

I think this bill, in its current form represents an impressive opportunity and comments to follow should not be thought of as detractions to the bill but rather possible additions. My comments are going to be on the radical side and so it will be perfectly understandable if they were set aside. I would still be most supportive of the bill in its current form.

First of all, the procedure for establishing an unorganized borough assembly is roughly similar to that used for establishing coastal resource service area and one of the problems we have encountered is finding some leadership to carry the organizational ball, as it were, at the local level prior to the first election. We would suggest the establishment of interim unorganized borough assemblies by appointment of the governor. Eleven people could be selected from each unorganized borough area, appointed by the governor and charged solely with some of the responsibilities of the elected assemblies. At the current time, we have had to rely, not unsuccessfully, on the leadership provided by non-profit native corporations, principally to achieve the two successful elections we have had thus far for creating coastal resource service areas. While this has not been unworkable, we do think that a more formalized organization appointed by the governor might be more appropriate and eliminate any threat of the native bias that some people may perceive with the coastal resource techniques we have used.

With regard to proposed section 44.47.450, "Powers of the Unorganized Borough Assembly", we would suggest that the planning powers established for the unorganized borough assembly can be made somewhat more detailed without a great excursion into other law or new law. As it happens, the Department of Natural Resources currently does have power to undertake zoning in the unorganized borough. This power has been mentioned repeatedly as one of the implementing techniques for coastal resource service area district coastal management program. It seems to us that without a great deal of legislative effort planning authority could be given to the unorganized borough assembly.

A process could be established for the state review of the unorganized borough assembly plan through the Division of Policy Development and Planning (DPDP) or the Community & Regional Affairs (CRA) and then Department of Natural Resources (DNR) burdened with the responsibility of preparing a zoning ordinance to implement the plan, once it has been approved by the Division of Policy Development and Planning (DPDP) or by the legislature or the Governor or some other entity charged with the responsibility to do so. The legislature could of course engage in a much broader endeavor and create a land and water use policy council akin to the Coastal Policy Council and which would probably replace the Coastal Policy Council and use the Alaska Coastal Management Program (ACMP) set-up as we have it now. That would be a really major step, however again, the Department of Natural Resources (DNR) zoning power could be brought into play without the trappings of a bigger and better state council. There would still be some incentive for the Unorganized Borough assembly to move into home rule at some point because if the Department of Natural Resources (DNR) adopts the zoning ordinance, it will still fall to the Department of Natural Resources (DNR) and probably other state agencies to implement it. The unorganized borough assembly might at some point want to take over that function themselves, but this would probably be left to a more fully constituted local government such as a borough. We do feel that the prospect of this kind of planning power should be offered to the participants in the public hearings in the form of a question so that the attendees of the hearings can get the local feeling as to whether or not this should be part of the act. It may also happen that the bush residents would feel much more supportive of the act if this more defined land use planning authority was added to powers of the unorganized borough assemblies.

Additional questions which should be asked of all participants in this effort relate to the qualifications of the potential assembly members. Do we need to have districts established by CRA within the unorganized borough for the assembly members to be drawn or should they be elected at large? Currently, the Alaska Coastal Management Act does provide for districting so that the Coastal Planning Board members can represent a part of, rather than the whole, service area. Other question which should be asked is whether residents of existing incorporated cities and towns within the unorganized borough should be allowed to sit as assembly members.

Another concern relates to the matter of funding for the operation of the unorganized borough assemblies. This, I think, should be more clearly spelled out in the act and possibly some special appropriation or special funding effort set up.

A great deal of acceptance may depend on how easily people can perceive that the state will make a serious effort to support these assemblies until they reach home rule status. Obviously, unorganized borough assemblies can have no taxing power of their own, yet they should be equipped to receive funds for the state and federal government. I can't offer any concrete proposals here at the present time, although we will continue to ponder this notion.

Yet another item relates to the relationship between the coastal resource services area planning board and the unorganized borough assembly. The act as written would not impair the powers of the planning board, but I do think there should be more of an interlock between the two bodies. In essence, it would be appropriate for the unorganized borough assembly to approve any work done by the planning board before it is transmitted to the Coastal Policy Council. Likewise, it might be appropriate for the planning boards to be appointed by the assembly rather than elected. This would require an amendment to the Coastal Management Act. It would certainly simplify the amount of elections and confusion that will result by having two different boards elected. It might even be appropriate to require appointments of unorganized borough planning commissions to replace the coastal resource planning boards. This would enable planning function to be uniform for interior as well as coastal unorganized boroughs. I suppose it would be foolish to suggest that the school boards be handled in the same ways since school boards are independently elected elsewhere in the state, as well as in many places in the lower 48.

Returning to the matter of funding for a moment, this is likely to be the lynch-pin that can cause failure of this act due to resentment on the part of existing local governments and people in general who have been taxing themselves to provide local services. With that in mind, it may be very difficult politically to have a special new fund created for the purpose of supporting the unorganized borough assemblies. However, there may be another way and this would be for provision of the act to be inserted which would require some entity within the state, possibly Community and Regional Affairs to survey the existing funding situation for bush areas and come up with a proposal to re-route existing funding into the unorganized borough assemblies. This would be awkward but it might be more politically and economically desirable than a new source of funds.

Another financial note, again relating to the likely jealousy that standard local governments might have for fully state supported unorganized borough assemblies. There may yet be a possibility of

Senator Arliss Sturgelewski
Representative Bill Parker

-4-

September 27, 1979.

making this package more attractive to all concerned if there is a provision that mandates a transition into more formalized local government when revenue opportunities per capita reach a certain point. Again, the act would have to provide some instrumentality at the state level to monitor population growth and development in the unorganized boroughs and be prepared to declare through a public hearing process that critical ratio of assessed valuation to population had been reached. This would result in some kind of formal finding that would then be noticed to the organized borough assembly which would then have a specified period of time in which to apply for home rule status or risk self-destruction. In view of the Governor's policy on self-support for local government, this might be an important consideration in obtaining administration support for the act.

I would like to emphasize that I think the act in its present form could still be workable although some of the questions about standing for the unorganized borough assembly membership be settled. I do think, though, that the matters raised in this memo should be converted into question and asked at the same time as the bill was discussed. In essence, you would be saying "Here is this bill. In addition to this bill, would you like the following features added to it?". In all, we are very impressed and look forward to a happy conclusion to this effort.

Thank you very much for the opportunity to participate.

STATE
of ALASKA

MEMORANDUM

DEPARTMENT OF COMMUNITY AND REGIONAL AFFAIRS

TO: ☐ Murray Walsh
Coordinator, Office of
Coastal Management
Office of the Governor

FROM: Lawrence H. Kimball, Jr.
Director
Division of Community Planning

DATE: August 28, 1979

FILE NO:

TELEPHONE NO:

SUBJECT: Amendment to RSA.

Attached is a proposed amendment to this Department's FY 1980 ACMP RSA. The amendment concerns the "Study of Options for Managing Coastal Resources in the Unorganized Borough."

LHKjr:am
Attachment

ACMP RSA: STUDY OF OPTIONS FOR MANAGING COASTAL
RESOURCES IN THE UNORGANIZED BOROUGH

BACKGROUND

Unorganized Borough Defined

The Alaska Constitution mandates that the entire State be divided into boroughs, organized and unorganized. A borough is a regional government similar to a county or township. An unorganized borough is an area outside the boundaries of a borough incorporated under State law.

Geographic Extent

Nearly 435,000 square miles, 75 percent of Alaska's total land area, lies within the unorganized borough. Except for Bristol Bay Borough (1,200 square miles), and part of the North Slope Borough, the entire west coast of the State is in the unorganized borough. Two other coastal areas have large stretches of unincorporated areas. These are Prince William Sound and Southeast Alaska.

Population

The unorganized borough is sparsely populated. Rough estimates indicate a civilian population of approximately 10,000 in areas outside an organized city or borough. In addition, approximately 60,000 persons live in the 116 incorporated cities which lie outside boroughs. Only 11 of these 116 cities have populations over 1,000 and few exercise municipal planning powers.

Importance of Planning and Management in the Unorganized Borough

Though sparsely populated, the unorganized borough warrants greater attention to planning and management of coastal resources for the following reasons: (1) major resource development is either under way in the unorganized borough or contemplated the future, (2) subsistence is a valued and irreplaceable way of life for many residents of the unorganized borough, (3) land ownership patterns are changing with millions of acres of federally owned land passing into private, State, or municipal ownership, and (4) provision of public services in the unorganized borough entails massive public investment and extraordinary logistical efforts.

Among the principal resource developments (existing and proposed) in the unorganized borough are: (1) logging (Chugach and Tongass National Forests and former federally owned forest land to be conveyed to Native corporations), (2) mining (Borax molybdenum mine near Ketchikan, Noranda mining operations on Admiralty Island, Inspiration Mining Company's operation on Chichagof Island, and placer mining on Seward Peninsula and the Norton Sound area), (3) fisheries (expansion of salmon catch, expansion into bottomfisheries in the Aleutian Islands and elsewhere), and (4) energy development (OCS lease sales scheduled for Norton Sound and Bristol Bay).

Legal Context

Article X of the Alaska Constitution addresses local government.

Pertinent sections from that article are the following:

SECTION 1. The purpose of this article is to provide for maximum local self-government with a minimum of local government units, and

to prevent duplication of tax-levying jurisdictions. A liberal construction shall be given to the powers of local government units.

SECTION 2. All local government powers shall be vested in boroughs and cities only.

SECTION 6. The legislature shall provide for the performance of services it deems necessary or advisable in unorganized boroughs, allowing for maximum local participation and responsibility. It may exercise any power or function in an unorganized borough which the assembly may exercise in an organized borough.

Title 29, Chapter 03, of the Alaska Statutes, pertains to the unorganized borough. In addition to defining the unorganized borough, that Chapter describes service areas as a means of providing services in the unorganized borough.

Sec. 29.03.020. Service areas. Allowing for maximum local participation, the legislature may establish, alter, or abolish service areas within the unorganized borough to provide special services, which may include but are not limited to schools, utilities, land use regulations and fire protection. A new service area may not be established if the new service can be provided by an existing service area, by incorporation as a city, or by annexation to a city. (§2 ch 118 SLA 1972)

Under Title 29, first-class and home-rule cities in the unorganized borough must exercise planning powers and second-class cities are authorized but not required to do so.

In 1975, the State Legislature enacted legislation important to provision of services in the unorganized borough. Pertinent excerpts from Chapter 124, Session Laws of Alaska 1975, are the following:

Sec. 14.08.021. Authority. The legislature delegates to school boards for each regional educational attendance area the authority to operate the public schools in those areas in accordance with the provisions of this chapter, subject to the provisions of this title and the regulations promulgated under it that apply to all school districts in the state.

Sec. 14.08.031. Regional Educational Attendance Areas.

(a) The Department of Community and Regional Affairs in consultation with the Department of Education and local communities shall divide the unorganized borough into educational service areas using the boundaries or subboundaries of the regional corporations established under the Alaska Native Claims Settlement Act, unless by referendum a community votes to merge with another community contiguous to it but within the boundaries or subboundaries of another regional corporation.

(b) An educational service area established in the unorganized borough under (a) of this section constitutes a regional educational

attendance area. As far as practicable, each regional educational attendance area shall contain an integrated socio-economic, linguistically and culturally homogeneous area. In the formation of the regional educational attendance areas, consideration shall be given to the transportation and communication network to facilitate the administration of education and communications between communities that comprise the area. Whenever possible, municipalities, other governmental or regional corporate entities, drainage basins and other identifiable geographic features shall be used in describing the boundaries of the regional school attendance areas.

Sec. 14.12.020(c). The legislature shall provide the state money necessary to maintain and operate the regional educational attendance areas. The borough assembly for a borough school district, and the city council for a city school district, shall provide the money which must be raised from local sources to maintain and operate the district.

Criteria specified for delineating boundaries of the regional educational attendance areas are similar to standards for incorporating an organized borough but do not include the following incorporation standard:

Sec. 29.18.030. (3) the economy of the area includes the human and financial resources capable of providing local services; evaluation of an area's economy includes land use, property valuations, total economic base, total personal income, resource and commercial development, anticipated functions, expenses, and income of the

proposed borough;

The Alaska Coastal Management Act used the regional educational attendance area as the basic unit for developing district coastal management programs in the unorganized borough. Residents of regional educational attendance areas may organize a coastal resource service area to develop a program which State agencies will implement.

During the 1979 State legislative session, a bill was introduced which included a provision to allow formation of coastal resource areas in areas smaller than a regional educational attendance area. The provision was deleted from the bill.

Issues

Two regions in the unorganized borough have already organized into coastal resource service areas according to procedures outlined in the Alaska Coastal Management Act and associated regulations. Both regions are predominantly Native and are served by organized Native non-profit organizations which served as catalysts in organizing the service area. Such favorable conditions are not found in all the remaining regional educational attendance areas. Unorganized areas in the Aleutian Islands, Prince William Sound, and Southeast Alaska have a sizeable non-Native population and in the latter two vast stretches of federal land holdings separate State and private land, which will be most directly affected by coastal management programs developed for the unorganized borough.

Although organization of coastal resource service areas in the Northwest

Alaska (NANA) region and the Yukon/Kuskokwim Delta region have commenced, concerns about subsequent stages in program development and implementation for these service areas and organizational problems expected in other parts of the unorganized borough have prompted the Department of Community and Regional Affairs to propose the work program described in the following section.

WORK PROGRAM

Purpose

The purpose of the proposed study is to examine options now available or possible, with certain legislative changes, to effectively plan for and manage coastal resources in the unorganized borough.

Products

There will be four products from this study:

1. A technical report summarizing the study methodology, key findings of a literature search, the identification and analysis of options, and results of public involvement activities.
2. Legislative recommendations, if any, described in sufficient detail to enable the Governor's Office to assess their value and draft appropriate legislation if desired.
3. Recommendations to the Administration on administrative changes which could improve management of coastal resources in the unorganized

borough.

4. A brief written document informing coastal service area board members of mechanisms available to manage coastal resources in the unorganized borough.

Study Approach

I. Perspective

The first step in the proposed study would be to provide perspective on the unorganized borough in general and organizational aspects of service delivery in particular. Key person interviews and case studies will be primary information sources for this part of the study. The interviews will be supported by a literature search and data gathering from secondary sources.

Specific questions to be addressed include the following:

- A. Who lives in the unorganized borough and why?
- B. What economic activities (market and non-market) provide sources of livelihood to residents of the unorganized borough?
- C. How are coastal resources now managed and by whom? What difficulties or inefficiencies are encountered?
- D. How are "local" decisions made?
- E. What has been the history of local government in the unorganized borough, i.e., why have certain communities in the unorganized borough

incorporated, which settlements or regions have attempted incorporation and failed (or considered it and then rejected it) and why, how effective have incorporated cities in the unorganized borough been and what has contributed to their success or failure?

F. How effective have the regional educational attendance areas and other service delivery mechanisms been and what problems have they encountered?

G. What trends are anticipated in any of the factors addressed above?

II. Identification of Planning and Management Options

The second element in the study will identify planning and management options now available to the unorganized borough, or possible with certain legislative changes. Options will be identified through a literature search, supported by key person interviews. In addition to the Alaska Statutes, sources to be tapped include literature and pertinent expertise on Maine (which has a relatively large unorganized area) and Canada (especially its management system in territories), and prominent public affairs research institutions throughout the country.

Planning options to be considered include organizational alternatives to the service area concept described in the Alaska Coastal Management Act. Management options include concrete mechanisms whereby a district program developed by a coastal resource service area board or other planning organization could be implemented.

III. Evaluation of Planning and Management Options

Planning and management options would be evaluated against the following criteria:

- A. Costs and Incidence of Costs: There will be costs associated with any planning or management options. It is important that the total costs be assessed for each alternative; it is equally important to identify all entities directly and indirectly absorbing the costs.
- B. Availability of Funds: One elementary requirement for any successful planning effort is financial resources; the funds may come from grants, tax revenues, revenue sharing, or some other source, but they must be available for both the development and implementation of a plan. All alternatives should be evaluated for the availability of funds for all stages of the planning program.
- C. Availability of Staff: In line with funds, planning efforts will need and must have easy and continual access to capable staff for both plan development and implementation tasks.
- D. Existing or Politically Feasible Mandates: The viability of a planning structure or program for Alaska's unorganized borough is largely dependent upon a mandate for that planning program. A mandate may occur in a number of ways: a legislative act, an administrative act of the Governor, public referendum or local election, or through a State agency program or regulation.

E. Public Acceptability: Akin to political feasibility is the need for public acceptability of a planning or management option. A planning program is not viable unless it is acceptable to the involved and affected citizens, particularly if the structure or program depends upon formal (electoral) approval of the people.

Assessing the public acceptability of planning and management alternatives could be accomplished through an opinion survey of residents and public leaders in the unorganized borough and of various State leaders. A case study of public reactions in the past to proposed planning structures and programs might be another means of determining public acceptability.

F. Quality of Service Delivery: This is a multi-faceted criterion by which alternative planning structures and programs are to be evaluated. The quality of service delivery depend on a number of things, many of which are included in the other criteria. The objective, however, is not to repeat previous analyses, but to consider whether or not the planning alternatives are based upon "logical" planning units and how they will affect other public services and service areas.

For an unorganized borough, public services such as schools, utilities, and fire protection are usually provided on a service area basis, and individual service areas are established to provide specific services. As the rationale and purpose of the service areas vary, generally, so do their boundaries and jurisdiction.

In identifying and evaluating possible planning structures for an

unorganized borough, it is important to consider the logic and efficiency of the unit or area of service. The rationale for and the boundaries of existing service areas may not be appropriate for the delivery of planning services. Geographic features (e.g., mountain ranges, rivers, watersheds), for instance, may be more important than regional corporation boundaries or cultural features for defining a planning area.

On the other hand, before proposing a new service system and area, it is important to consider its effect on existing service areas. Is the planning unit going to compete with, overlap, confuse, or fragment the functioning of and services provided by other resource service areas in a region?

G. Implementation Authority (planning options only): In almost all cases, a plan is only as good as its implementation. Therefore, an effective planning structure or organization must have appropriate implementation authorities and powers.

SCHEDULE

	1979					1980						
	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
I. Perspective	/-----/											
II. Identification	/-----/											
of Planning and												
Management Options												

1979

1980

Jul Aug Sep Oct Nov Dec Jan Feb Mar Apr May Jun

III. Evaluation of

/-----/

Planning and Manage-
ment Options

IV. Preparation of

/-----/

Products (technical
report, legislative and
administrative recommen-
dations, technical
assistance document)

BUDGET

	<u>Federal Share</u>	<u>State Share</u>	<u>Total</u>
Personal services	\$ 32,800.00	\$ 8,200.00	\$ 41,000.00
Travel and per diem	3,200.00	800.00	4,000.00
Contractual	40,400.00	10,100.00	50,500.00
Commodities	160.00	40.00	200.00
Equipment	<u>640.00</u>	<u>160.00</u>	<u>800.00</u>
Total	\$77,200.00	\$19,300.00	\$96,500.00

The proposed budget would fund 6 person-months of a Planner IV position (range 19), 6 person-months of a Planner III position, and 4 person-months of a Clerk Typist III position. The Planner IV assigned to this study has an educational background and professional experience in

public administration and planning and the Planner III has extensive practical experience in the unorganized borough.

Contractual services would enable the Division to (1) enter into small contracts with research institutes and/or consulting firms to conduct literature searches and parts of the analysis, especially financial and legal considerations, (2) fund a public involvement component (workshop series and/or survey) and (3) print final products.

RELATIONSHIP TO OTHER STUDIES

During the past year, the State has experienced an upsurge of interest in the unorganized borough. Major projects and their relationships to the study proposed in this reimbursable services agreement (RSA) are described below:

Local Government Study

The standing committees of the House and Senate CRA Committees are conducting a Local Government Study during the interim. According to the committees' June 14 study plan, the product of the study will be a legislative package to be compiled by December. In comparing the Local Government Study schedule with the schedule proposed for this RSA, there are three distinct points at which a constructive exchange could take place:

1. October. The Division could provide the committees with a draft of its narrative providing a perspective on planning and management in the

unorganized borough. This information could be used as background for the local hearings and field visits planned for October. The Division, in turn, would obtain and use proceedings of the committees' August 4-5 symposium, analysis, and regional workshops.

2. December. The Division could provide the committees a preliminary description of planning and management options which would be evaluated later in the study. The Division, in turn, would obtain and use transcripts of the local hearings scheduled for October. (The Division's public involvement program would span a longer period of time and be integrated into other functions such as board meetings of regional non-profit organizations and would occur during the analysis phase, November through April.)

3. November-December. The Division will share with the committee findings of its evaluation of planning and management options. While the Division's evaluation will continue several months into the 1980 legislative session, it is possible that some of its findings would be available to influence the legislative package either before the package is compiled in December or during committee hearings.

Tanana Chiefs Conference Proposal

The Tanana Chiefs Conference has proposed three alternatives for planning in the unorganized borough: (1) planning undertaken directly by a Native non-profit organization, (2) planning undertaken by advisory planning commissions recognized by the State under AS 44.19.880(c), and (3) planning and implementation undertaken by subregional planning commissions the governmental authority of which would be vested in the