

**ALASKA LEGISLATURE**

**2386**

**HOUSE and SENATE FINANCE COMMITTEE FILES,**

**2001 - 2002**

**SB**

**244**

SFIN

FILE

**SENATE FINANCE COMMITTEE REPORT**

DATE: 2/8/02

REPORTED OUT  
FFB 1 2 2002  
SENATE FINANCE  
COMMITTEE

FURTHER:

DATE TURNED IN TO OFFICE: 02/12/02

Finance Committee considered **SENATE BILL NO. 244**

*SB 244 OPTOMETRISTS: SUNSET AND MISCELLANEOUS*

"An Act extending the termination date of the Board of Examiners in Optometry; and relating to optometrists."

and recommends:

- be replaced with CS SB 244 (FIN)
- adopt previous CS FORTH COMING ( )
- attached amendment(s)
- adopt Letter of Intent by \_\_\_\_\_ Committee
- further referral to \_\_\_\_\_ Committee

- Senate Bill:**  
 same title  
 new title  
**House Bill:**  
 same title  
 technical title  
 new: SCR # \_\_\_\_\_

**NEW FISCAL NOTE(S):**

| Department | Date | Fiscal | Zero | FN# |
|------------|------|--------|------|-----|
|            |      |        |      |     |
|            |      |        |      |     |
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|            |      |        |      |     |

**PREVIOUS FISCAL NOTE(S):**

| Department | Date     | Fiscal | Zero | FN# |
|------------|----------|--------|------|-----|
| DCED       | 11/30/02 | 17.7   |      | 1   |
|            |          |        |      |     |
|            |          |        |      |     |
|            |          |        |      |     |
|            |          |        |      |     |

APPROPRIATION - no fiscal note

| SIGNATURES AND RECOMMENDATIONS: | DO PASS | DO NOT PASS | NO REC | AMEND |
|---------------------------------|---------|-------------|--------|-------|
| <i>[Signature]</i>              | —       |             |        |       |
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| <i>[Signature]</i>              |         |             | ✓      |       |
| <i>[Signature]</i>              |         |             | ✓      |       |
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| <i>[Signature]</i>              | ✓       |             |        |       |
| <i>[Signature]</i>              |         |             | ✓      |       |
| COCHAIR: <i>[Signature]</i>     |         |             |        |       |
| COCHAIR: <i>[Signature]</i>     | ✓       |             |        |       |

# FISCAL NOTE

**STATE OF ALASKA  
2002 LEGISLATIVE SESSION**

FEB 12 2002  
SENATE FINANCE  
COMMITTEE

Fiscal Note Number: 1  
 Bill Version: SB 244  
 (S) Publish Date: 2/8/02

Revision Date/Time (Note if correction): 01/30/2002 8:39 a.m. Dept. Affected: DCED  
 Title: An Act extending the termination date of the BRU: Occupational Licensing (117)  
Board of Examiners in Optometry Component: Occupational Licensing  
 Sponsor: Rules by Request  
 Requester: Senate Labor & Commerce Component No.: 2360

**Expenditures/Revenues (Thousands of Dollars)**

Note: Amounts do not include inflation unless otherwise noted below.

| OPERATING EXPENDITURES | FY 2003     | FY 2004     | FY 2005     | FY 2006     | FY 2007     | FY 2008    |
|------------------------|-------------|-------------|-------------|-------------|-------------|------------|
| Personal Services      | 8.4         | 8.4         | 8.4         | 8.4         | 8.4         |            |
| Travel                 | 7.6         | 7.6         | 7.6         | 7.6         | 7.6         |            |
| Contractual            | 1.7         | 1.7         | 1.7         | 1.7         | 1.7         |            |
| Supplies               | 0.0         | 0.0         | 0.0         | 0.0         | 0.0         |            |
| Equipment              | 0.0         | 0.0         | 0.0         | 0.0         | 0.0         |            |
| Land & Structures      |             |             |             |             |             |            |
| Grants & Claims        |             |             |             |             |             |            |
| Miscellaneous          |             |             |             |             |             |            |
| <b>TOTAL OPERATING</b> | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>0.0</b> |

| CAPITAL EXPENDITURES | FY 2003 | FY 2004 | FY 2005 | FY 2006 | FY 2007 | FY 2008 |
|----------------------|---------|---------|---------|---------|---------|---------|
|                      |         |         |         |         |         |         |

|                        |      |      |      |      |      |  |
|------------------------|------|------|------|------|------|--|
| CHANGE IN REVENUES ( ) | 17.7 | 17.7 | 17.7 | 17.7 | 17.7 |  |
|------------------------|------|------|------|------|------|--|

**FUND SOURCE (Thousands of Dollars)**

|                                       |             |             |             |             |             |            |
|---------------------------------------|-------------|-------------|-------------|-------------|-------------|------------|
| 1002 Federal Receipts                 |             |             |             |             |             |            |
| 1003 GF Match                         |             |             |             |             |             |            |
| 1004 GF                               |             |             |             |             |             |            |
| 1005 GF/Program Receipts              |             |             |             |             |             |            |
| 1037 GF/Mental Health                 |             |             |             |             |             |            |
| Other 1156-Receipt Supported Services | 17.7        | 17.7        | 17.7        | 17.7        | 17.7        |            |
| <b>TOTAL</b>                          | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>17.7</b> | <b>0.0</b> |

Estimate of any current year (FY2002) cost: 0.0

Check this box (X) if funding for this bill is included in the Governor's FY 2003 budget proposal:  X

**POSITIONS**

|           |  |  |  |  |  |  |
|-----------|--|--|--|--|--|--|
| Full-time |  |  |  |  |  |  |
| Part-time |  |  |  |  |  |  |
| Temporary |  |  |  |  |  |  |

**ANALYSIS:** *(Attach a separate page if necessary)*  
 The bill extends the Board of Examiners in Optometry to June 30, 2006. In accordance with AS 08.03.020, funding is extended one year following the termination date allowing the board to conclude its affairs. The information above identifies direct expenditure and revenue information included in the FY 2003 Operating Budget request. The bill also amends application for examination requirements; written exam content; and licensure by credentials. New funds are not required to implement these changes.

Prepared by: Jennifer Strickler, Administrative Manager Phone (907) 465-2144  
 Division: Occupational Licensing Date/Time 01/30/2002 8:30 a.m.  
 Approved by: Deborah B. Sedwick, Commissioner Date 1/30/2002  
 Agency: Department of Community & Economic Development

# Audit Report

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DEPARTMENT OF COMMUNITY  
AND ECONOMIC DEVELOPMENT  
BOARD OF EXAMINERS IN OPTOMETRY  
SUNSET REVIEW

September 12, 2001

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Audit Control Number:

08-20011-01

Division of Legislative Audit  
P.O. Box 113300, Juneau, Alaska 99811-3300

# LEGISLATIVE BUDGET AND AUDIT COMMITTEE

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## DIVISION OF LEGISLATIVE AUDIT

The Legislative Budget and Audit Committee is a permanent interim committee of the Alaska Legislature. The committee is made up of five senators and five representatives, with one alternate from the Senate and two from the House. The chairmanship of the committee alternates between the two chambers every legislature.

The committee is responsible for providing the legislature with audits of state government agencies. The programs and activities of state government now cost more than \$6 billion a year. As legislators and administrators try increasingly to allocate state revenues effectively and make government work more efficiently, they need information to evaluate the work of governmental agencies. The audit work performed by the Division of Legislative Audit helps provide that information.

As a guide to all their work, the Division of Legislative Audit complies with generally accepted auditing standards established by the American Institute of Certified Public Accountants and with government auditing standards established by the U.S. General Accounting Office.

Audits are performed as mandated by Alaska Statutes or at the direction of the Legislative Budget and Audit Committee. Individual legislators or committees can submit requests for audits of specific programs or agencies to the committee for consideration. Copies of all completed audits are available from the Division of Legislative Audit's offices in either Juneau, Anchorage, or our web site <http://www.legis.state.ak.us/legaud/web/default.htm>.

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# ALASKA STATE LEGISLATURE

## LEGISLATIVE BUDGET AND AUDIT COMMITTEE

Division of Legislative Audit



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October 1, 2001

Members of the Legislative Budget  
and Audit Committee:

In accordance with the provisions of Title 24 of the Alaska Statutes, the attached report is submitted for your review.

DEPARTMENT OF COMMUNITY  
AND ECONOMIC DEVELOPMENT  
BOARD OF EXAMINERS IN OPTOMETRY  
SUNSET REVIEW

September 12, 2001

Audit Control Number

08-20011-01

This audit was conducted as required by AS 44.66.050 and under the authority of AS 24.20.271(1). Alaska Statute 44.66.050(c) lists criteria to be used to assess the demonstrated public need for a given board, commission, agency, or program subject to the sunset review process. Currently under AS 08.03.010(c)(14), the Board of Examiners in Optometry is scheduled to terminate on June 30, 2002. The board would be allowed one year in which to conclude its administrative operations.

In our opinion, the termination date for the Board of Examiners in Optometry should be extended. The regulation and licensure of optometrists contributes to the protection of the public welfare. We recommend the legislature extend the termination date of the Board of Examiners in Optometry to June 30, 2006.

This audit was conducted in accordance with generally accepted government auditing standards. Fieldwork procedures utilized in the course of developing the findings and discussion presented in this report are discussed in the Objectives, Scope and Methodology section of this report.

A handwritten signature in cursive script that reads "Pat Davidson".

Pat Davidson, CPA  
Legislative Auditor

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## OBJECTIVES, SCOPE, AND METHODOLOGY

In accordance with the intent of Title 24 and 44 of the Alaska Statutes (sunset legislation), we have reviewed the activities of the Board of Examiners in Optometry. Under AS 44.66.050(a), the legislative committee of reference is to consider this report during the legislative oversight process to determine whether the board should be reestablished. Currently, AS 08.03.010(c)(14) requires the board to terminate on June 30, 2002. If the legislature takes no action to extend the termination date, the board will have one year from that date to conclude its operations.

### Objectives

There are three central, interrelated, objectives of our report. They are:

1. To determine if the termination date of the board should be extended.
2. To determine if the board is operating in the public interest.
3. To determine if the board has exercised appropriate regulatory oversight of licensed optometrists.

The assessment of the operations and performance of the board was based on criteria set out in AS 44.66.050(c). Criteria set out in this statute relate to the determination of a demonstrated public need for the board.

### Scope and Methodology

Under the direction and supervision of the Division of Legislative Audit, another auditor conducted the majority of this review. We followed professional standards to determine that the other auditor was independent and that their work was competent and sufficient.

Our audit reviewed the operations and activities of the Board of Examiners in Optometry for the period of FY 99 through FY 01.

During the course of our examination, we reviewed and evaluated the following:

1. Compliance with statutes and regulations related to the licensing of optometrists. Our evaluation addressed consideration of applications, testing of candidates, and continuing education necessary for an individual to maintain his/her optometry license in good standing.
2. Minutes of meetings of the Board of Examiners in Optometry.
3. Annual reports issued by the board.
4. Complaints filed with the Division of Occupational Licensing.

We also conducted interviews with employees of the Department of Community and Economic Development, Division of Occupational Licensing.

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## ORGANIZATION AND FUNCTION

The Board of Examiners in Optometry was established under the provisions of Title 8, Chapter 72 of the Alaska Statutes. The board consists of five members appointed by the Governor and subject to legislative confirmation. Four board members must be licensed, practicing optometrists who have been residents in the State for at least three years. The statute also requires one member of the general public to sit on the board.

The board regulates the practice of optometry in the state by setting education, training, and work experience standards necessary for an individual to be licensed as an optometrist.

Alaska Statute (AS 08.72) defines the practice of optometry as the examination, diagnosis, and treatment of conditions of the human eyes and visual system, other than by use of laser, x-rays, surgery, or pharmaceutical agents.<sup>1</sup>

Board of Examiners in Optometry  
(As of June 30, 2001)

Aharon Sternberg, Optometrist, Chairman  
Erik Christianson, Optometrist, Secretary  
John Cobbett, Optometrist  
William Faulkner, Optometrist  
Thomas Carter, Public Member

The board's duties and responsibilities under statute include:

1. Holding a minimum of one meeting per year.
2. Examining and issuing licenses to qualified applicants.
3. Holding hearings in order to impose disciplinary sanctions on persons who violate optometry licensing statutes and/or regulations.
4. Adopting regulations necessary to enforce the statutes relating to the Board.
5. Adopting a code of ethical practice for optometry.

Applicants must be a high school graduate or equivalent in addition to having graduated from a recognized college or school of optometry. Additionally, all applicants must pass all parts of the National Board of Examiners in Optometry (NBEO) examination, the Treatment and Management of Ocular Disease (TMOD) examination and all sections of the state board examination (written, practical and oral). Licensed optometrists are required to obtain various amounts of continuing education every year depending on the endorsements to their license.

To become licensed in Alaska, optometrists licensed in other states must provide the board with official documentation verifying Alaskan optometry educational requirements have been met. They must also apply for and pass all parts of the state optometry examination, pay all examination and application fees, and fulfill all other licensing requirements. Optometrists must submit completed applications and pay all the required fees to the Division of Occupational Licensing biennially.

<sup>1</sup> Optometrists practicing in the State of Alaska may apply for one of two types of endorsements. One type permits optometrists to administer topical pharmaceutical agents (DPA Endorsement) and the other permits optometrists to administer and prescribe pharmaceutical agents (TPA Endorsement.) Currently there is not an endorsement whereby an optometrist may use or prescribe internal pharmaceutical agents either by oral or injection; however, the Board has drafted legislation which is before the Alaska State Legislature which will allow them to use and prescribe either oral or injectable pharmaceutical agents.

The board grants license endorsements for optometrists to prescribe and use pharmaceutical agents. The board also grants endorsements for practitioners to only use pharmaceutical agents. Currently, all applicants graduating from optometry schools automatically qualify for pharmaceutical prescription and use license endorsement, after meeting all other licensing requirements.

Department of Community and Economic Development, Division of Occupational Licensing

The Department of Community and Economic Development, Division of Occupational Licensing provides administrative and investigative assistance to the Board of Examiners in Optometry. Administrative assistance includes budgetary services, functions such as collecting fees, maintaining files, receiving and issuing application forms, and publishing notices of examinations and meetings.

Alaska Statute 08.01.065 mandates the Department of Community and Economic Development, with the concurrence of the board, adopt regulations to establish the amount and manner of payment of application fees, examination fees, license fees, registration fees, permit fees, investigation fees, and all other fees as appropriate for the occupations covered by the statute.

Alaska Statute 08.01.087 empowers the Division of Occupational Licensing with the authority to act on its own initiative or in response to a complaint. The division may:

1. Conduct an investigation if it appears a person engaged or is about to engage in a prohibited professional practice.
2. Bring an action in Superior Court to enjoin the act.
3. Examine the books and records of an individual.
4. Issue subpoenas for the attendance of witnesses and records.

## REPORT CONCLUSIONS

In our opinion, the termination date for the Board of Examiners in Optometry should be extended. The regulation and licensing of qualified optometrists is necessary to protect the public's health, safety, and welfare. The board assists in providing this public benefit by establishing minimum educational and work experience standards for licensure. Such standards provide reasonable assurance that persons licensed as optometrists are qualified. Standards of practice are enforced through the active investigation of complaints and revocation or suspension of licenses when appropriate.

The Board of Examiners in Optometry has been found to satisfy a public purpose and has demonstrated its ability to conduct its business in a satisfactory manner. The board carries out its regulatory oversight function in a professional, competent, and efficient manner.

Alaska Statute 08.03.010(c)(14) requires the State Board of Examiners of Optometry be terminated on June 30, 2002. Under AS 08.03.020, the board has a one-year period to administratively conclude its operations. Based upon our review of the board's performance, we recommend the legislature extend the board's termination date to June 30, 2006.

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## FINDINGS AND RECOMMENDATIONS

### Recommendation No. 1

The Division of Occupational Licensing should rescind the licensing application requirement that is unreasonable.

In the prior sunset audit of the Board of Examiners in Optometry (Department of Commerce and Economic Development Board of Examiners in Optometry 08-1436-96), we identified two application requirements that were unreasonable. These requirements were (1) a 3"x3" photograph of the applicant, and (2) submission of official transcripts from all colleges and universities that the applicant attended prior to graduating from an accredited school of optometry.

The Division of Occupational Licensing has dropped the requirement for the extraneous official transcripts from colleges and universities other than from the accredited school of optometry. However, the photograph is still required to accompany the application. It is our understanding the photo requirement grew out of a concern regarding examination security and verifying the identity of the applicants at the test site.

Identification with an individual's photograph such as a driver's license, passport, or state identification is currently required by examination candidates when entering the examination site, and should be sufficient to maintain examination security.

We continue to believe the application photograph is unnecessary and recommend the Division of Occupational Licensing eliminate that requirement from the application.

### Recommendation No. 2

The Board of Examiners in Optometry should develop statutory amendments for legislative consideration which will allow the board to license optometrists by credentials.

Issuing a license based on past performance and licensure in another jurisdiction, in place of licensure by examination, is termed licensure by credentials. The standards adopted by the board for licensure to practice optometry in the State of Alaska are equivalent to standards in the majority of states and territories of the United States and virtually identical to standards of the National Board of Examiners in Optometry (NBEO).

Currently under AS 08.72.170(a), the board may waive the written portion of the state examination for an individual who holds a current license (received by examination in another state or Canadian province), has been practicing for at least three years in good standing, and otherwise meets the educational requirements for licensure in Alaska. However, under AS 08.72.170(b) the board is prohibited from waiving the practical examination requirement. As a result, the state does not have full licensure by credential. In our view, this provides an unreasonable impediment to entry into the profession.

We recommend the board develop proposed specific statutory language related to allowing full licensure by credentials. As is done elsewhere in statute, we suggest a provision permitting licensing by credentials in instances where the applicant can provide satisfactory documentation that they are licensed in another jurisdiction where requirements and standards are essentially equivalent to those of Alaska. In our view, developing a proposed statutory amendment for consideration by the legislature would not negatively impact the

public's protection, and would allow less restrictive entry into the optometrist profession in the state.

### Recommendation No. 3

The Legislature should consider amending optometry licensing statutes related to continuing education requirements for optometrists licensed in Alaska.

Alaska Statute 08.72.181(d), which relates to license renewal, states that:

*Before a license may be renewed the licensee shall submit to the board evidence of 48 hours of postgraduate continuing education instruction as prescribed by regulations of the board. The board may specify by regulation those circumstances under which the requirements of this subsection may be waived. [emphasis added]*

The board adopted regulation 12AAC48.210(a) requires the following:

*An applicant for renewal of an optometry license is required to document an average of 12 contact hours for continuing education credit that meets the requirements of 12AAC48.200, for each complete calendar year that the applicant has been licensed in the concluding licensing period. [emphas's added]*

As is apparent, state law requires optometrists renewing their license to obtain 48 hours of continuing education, while the regulations only require 24 hours for each standard two year licensing period. From our discussions with the Division of Occupational Licensing staff, the statute was developed based on a previous four-year licensing cycle rather than the current two year-cycle. Accordingly, when the legislature considers extending the termination date of the board, it may want to consider amending this statute to come into agreement with current regulation and practice.

### Recommendation No. 4

The Board of Examiners in Optometry should revise regulation 12AAC48.015 to require the National Board of Examiners in Optometry (NBEO) examination and a State jurisprudence examination, eliminating the practical portion of the State examination.

State regulation (12 AAC 48.015) requires that applicants for licensure as an optometrist in the State of Alaska pass both the NBEO and the State examinations. The nationwide NBEO examination consists of four parts: 1) Basic Science, 2) Clinical Science, 3) Clinical Skills and 4) Treatment and Management of Ocular Diseases.

The State examination consists of eight parts: 1) Biomicroscopy, 2) Goldmann Applanation Tonometry, 3) Gonioscopy, 4) Contact Lenses and Spectacles, 5) Patient Examination, 6) Visual Fields, 7) Binocular Indirect Ophthalmoscopy and 8) Written Examination on Alaska Optometry Statutes and Regulations.

A current licensee wrote to the board in May 1999 stating that he believed the NBEO clinical skills examination was comparable to the State of Alaska's practical examination, and could be used in lieu of it. He noted that many state licensing boards accept the clinical skills portion of the NBEO examination in lieu of a separate state test.

From our review of the requirements of the NBEO examination compared with the state examination, it appears the NBEO examination mirrors the state examination with the exception of Part 6 (Visual Fields) and Part 8 (Written Examination on Alaska Optometry Statutes and Regulations).

Also, from research performed through the National Board of Examiners in Optometry (<http://www.optometry.org>), it was noted that the majority of states and licensing boards have decided to utilize the NBEO testing process and provide licenses based on certified scores received from that national organization.

From our review of all the US licensing jurisdictions utilizing the NBEO testing process in whole or part, 37 of them (70%) have dropped the requirement of a state optometry examination and are accepting the NBEO examination. Of those 37 jurisdictions, 14 are requiring only the NBEO examination with no further state examination and 23 are requiring a state jurisprudence examination.

We recommend the Board of Examiners in Optometry revise regulation 12AAC 48.015 requiring only the NBEO examination along with the State jurisprudence examination. This would remove redundancies in testing that currently require optometrists to pass two exams that are virtually identical.

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## ANALYSIS OF PUBLIC NEED

The following analysis of the Board of Examiners in Optometry (board) activities relates to the public need factors defined in the "sunset" review law, AS 44.66.050. These analyses are not intended to be comprehensive, but address those areas we were able to cover within the scope of our review.

***Determine the extent to which the board, commission, or agency has operated in the public interest.***

The board has kept to a reasonable meeting schedule, has consistently considered ways to make the licensing process more efficient, and has continued licensing qualified applicants through the use of a valid and relevant examination process.

***Determine the extent to which the operations of the board has been impeded or enhanced by existing statutes, procedures, and practices, which it has adopted, and any other matter, including budgetary, resource, and personnel matters.***

As discussed in Recommendation No. 2, the board has not been able to establish a process whereby individuals can be licensed solely by credentials. Such constraints serve to unduly restrict access into the profession.

The board has also been impeded in the licensing of qualified optometrists by the requirement to administer a state practical exam. The state practical exam is similar to the exam offered by the National Board of Examiners in Optometry. For further discussion see Recommendation No. 4.

***Determine the extent to which the board has recommended statutory changes that are generally of benefit to the public interest.***

The board has recommended statutory changes which would permit licensure by credential, licensure by endorsement, and substituting the use of recognized national examinations with an appropriate national board equivalent.

***Determine the extent to which the board, commission or agency has encouraged interested persons to report to it concerning the effect of its regulations and decisions on the effectiveness of services, economy of service, and availability of services that it has provided.***

The location, date, and time of upcoming meetings and exams were advertised in Alaskan newspapers, as well as on the board's web page, with adequate time for interested individuals to attend or to submit written comment for review. The board's meeting agenda sets aside suitable time for public comment. Board minutes reflect public participation at various meetings.

***Determine the extent to which the board has encouraged public participation in the making of its regulations and decisions.***

Public notice was given for all proposed regulations in major Alaskan newspapers. The board meeting minutes document that unlimited time was allotted for the scheduled public comment period. All proposed regulation changes are submitted to the public participation process.

***Determine the efficiency with which public inquiries or complaints regarding the activities of the board, commission, or agency filed with it, with the department to which a board or commission is administratively assigned, or with the Office of the Ombudsman have been processed and resolved.***

For the period between July 1, 1998 and June 30, 2001 (FY 99 – FY 01) the Division of Occupational Licensing opened six cases involving optometrists. The complaints involved:

1. Practice without a license. Two of the complaints were made by optometrists regarding the activities and alleged practice of optometry without a license carried out by representatives of Lasik Vision USA. The division sent out warning letters to the company, stating that any optometric work carried out in the future in conjunction with their commercial activities would have to be performed by individuals licensed in Alaska.
2. Inadequate supervision. In a shop visit conducted by the Division of Occupational Licensing investigators, unlicensed individuals were conducting or assisting in examinations without the appropriate supervision. The optometrist involved entered into a memorandum of agreement with the board and paid a fine.
3. Inappropriate and improper practice. Three complaints were received regarding the inappropriate practice or malpractice of optometry. In one instance no violation was found, the other two were pending further investigation as of August 2001.

We have reviewed the nature and extent of complaints filed involving examiners in optometry. In our view, the Division of Occupational Licensing, in conjunction with the board, took appropriate investigative actions, prioritized complaints in a reasonable manner, and proceeded in a manner consistent with the potential threat the complaints posed to the public welfare.

***Determine the extent to which the board regulates entry into an occupation or profession and whether it has presented qualified applicants to serve the public.***

| <b>New Licenses Issued<br/>(Exclusive of Renewals)</b> | <b>FY 99</b> | <b>FY 00</b> | <b>FY 01</b> | <b>Total</b> | <b>Issued to<br/>Date</b> |
|--|--------------|--------------|--------------|--------------|---------------------------|
| Optometrists with<br>No Endorsement                    | 1            | 0            | 0            | 1            | 5                         |
| Optometrists with<br>Therapeutic Endorsement           | 9            | 2            | 0            | 11           | 95                        |
| Optometrists with Diagnostic<br>Endorsement            | 1            | 0            | 2            | 3            | 5                         |

The Board of Examiners in Optometry has adequate procedures and policies in place regarding the examination process. Applicants for the practice of optometry in Alaska must take all parts of the written and practical examination administered by the NBEO. Board members administer, proctor and grade the state examination while national examinations are graded and proctored by separate organizations. We noted that there are some redundancies with the state practical examination and the practical examination administered by the NBEO. See Recommendation No. 4 for further discussion.

***Determine the extent to which state personnel practices, including affirmative action requirements, have been complied with by the board to its own activities and the area of activity or interest.***

We did not find any evidence that the board was not complying with the state personnel practices, including affirmative action in qualifying applicants. In no instances has the board denied an applicant a license based on personal attributes.

***Determine the extent to which statutory, regulatory, budgeting or other changes are necessary to enable the board to better serve the interest of the public and to comply with the factors enumerated in this subsection.***

There are four changes involving statutes, regulations or procedures that are necessary so the board can better operate in the public interest.

1. Eliminate the unnecessary element currently required in the licensing application. (See Recommendation No. 1.)
2. Develop statutes and regulations that provide for licensure by credentials. (See Recommendation No. 2.)
3. Eliminate the conflict between the board's statutes and regulations related to continuing education. (See Recommendation No. 3)
4. Revise regulations related to the practical portion of the state examination. (See Recommendation No. 4.)

(Intentionally left blank)



**Department of Community  
and Economic Development**

**Division of Occupational Licensing**

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NOV 28 2001

LEGISLATIVE AUDIT

November 27, 2001

Pat Davidson, Legislative Auditor  
Legislative Budget and Audit Committee  
Division of Legislative Audit  
PO Box 113300  
Juneau, AK 99811

Dear Ms. Davidson:

Thank you for the opportunity to comment on the Board of Examiners in Optometry preliminary audit.

The division agrees that the board is operating in an efficient and effective manner and should continue. The board will meet November 30 and will have an opportunity to comment on the preliminary audit recommendations. The following are department comments.

**Recommendation No. 1**

The Division of Occupational Licensing should rescind licensing application requirements that are unreasonable and have no basis in occupational licensing statutes or regulations.

The preliminary audit recommends elimination of the requirement that an applicant photograph be included in the application for licensure. The division will ask the board to review the need for the photograph during its meeting later this week. In Alaska and in other states individuals have assumed the identities of other professionals and attempted to obtain licensure. Photographs are useful in determining which individual submitted an application.

**Recommendation No. 2**

The Board of Examiners in Optometry should develop statutory amendments for legislative consideration which will allow the board to license optometrists by credentials.

The board sent a letter to its licensees on September 12, 2001 identifying licensure by credentials as a board goal. The letter stated that the board was soliciting input on statutory language from the American Optometric Association and the Alaska Optometric Physicians Association.

**Recommendation No. 3**

The Alaska State Legislature should consider amending optometry licensing statutes related to continuing education requirements for optometrists licensed in the State of Alaska.

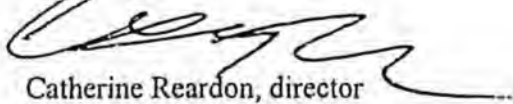
The division agrees that it would be helpful to amend this statute to conform to current regulation and practice.

**Recommendation No. 4**

The Board of Examiners in Optometry should revise regulation 12 AAC 48.015 to require the NBEO examination and a State jurisprudence examination, eliminating the practical portion of the State examination.

The division supports this recommendation. The board has asked the division to issue public notice of the proposal to revise the examination regulations. The board announced its desire to eliminate the practical exam in its September 12, 2001 letter to licensees.

Sincerely,



Catherine Reardon, director

# Alaska State Legislature

SENATOR  
GENE THERRIAULT  
Chair



SESSION ADDRESS  
State Capitol  
Juneau, Alaska 99801-1182  
(907) 465-4797  
Fax: (907) 465-3884

## Legislative Budget and Audit Committee

Senate Bill 244

"An Act extending the termination date of the Board of Examiners in Optometry."

Sponsor:

Senate Rules Committee by Request of the  
Legislative Budget and Audit Committee

### Sponsor Statement

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Alaska Statute 08.72.010 established the State Board of Examiners in Optometry in 1949 to regulate and control the practice of optometry in Alaska. The Board is charged with protecting and promoting the public's health, welfare, and safety by ensuring the professionals it licenses have met and adhered to the requirements of licensure. The Board currently oversees 110 licensed optometrists.

The Board consists of five members appointed by the governor and are subject to legislative confirmation. Four board members shall be licensed, practicing optometrists who have been residents for at least three years. Statute requires one board member to be a member of the general public.

The Board regulates the practice of optometry in the state by setting education, training, and work experience standards necessary for an individual to be licensed. Such standards provide reasonable assurance that persons licensed as optometrists are qualified.

Under AS 08.03.010, Termination of State Boards and Commissions, the State Board of Examiners in Optometry is set to expire June 30, 2002. If the Legislature does not act to extend the Board, it will have one year, until June 30, 2003, to administratively conclude its affairs. Senate Bill 244 will extend the Board for another four years and make changes to its licensure requirements.

Contact: Heather Brakes, Legislative Budget and Audit Committee Aide

### INTERIM ADDRESS

119 N. Cushman Suite 101, Fairbanks, Alaska 99701 • (907) 488-0857 • Fax: (907) 488-4271

CS SB 244 (L&C)

Sectional Analysis

Section 1. This section extends the Board to June 30, 2006, the standard four-year extension as recommended by the audit report released on December 5, 2001 by the Division of Legislative Audit.

Section 2. This section implements Recommendation No. 4 of the audit report. It eliminates the reference to the state exam, and mandates an exam designed to test the applicant's knowledge of Alaska laws in optometry.

Section 3. This section addresses Recommendation No. 2 of the audit report implementing full licensure by credentials by repealing AS 08.72.170 and reenacting it with requirements acceptable to the board, such as education, current licensure, active clinical practice, and no pending disciplinary actions.

Section 4. This amendment implements Recommendation No. 3 of the audit report reducing the number of continuing education hours required by an applicant for license renewal to bring it into compliance with current licensing cycles and requirements under regulation. The statute was written when the board was on a four-year renewal cycle; it has since gone to a two-year cycle.

Section 5. This section helps to implement the new licensure by credentials by acknowledging it in the fee structure.

## Sectional Analysis

### **CS SB 244 (L&C)**

*"An Act Extending the termination date of the Board of Examiners in Optometry; and relating to optometrists."*

Section 1. This section extends the Board to June 30, 2006, the standard four-year extension as recommended by the audit report released on December 5, 2001 by the Division of Legislative Audit.

Section 2. This section is intended to allow the division to keep a photo of licensed applicants on file for verification of identity. However, it does not allow the board to view any photograph of an applicant while considering licensure.

Section 3. This section implements Recommendation No. 4 of the audit report. It eliminates the reference to the state exam, and mandates an exam designed to test the applicant's knowledge of Alaska laws in optometry.

Section 4. This section addresses Recommendation No. 2 of the audit report implementing full licensure by credentials by repealing AS 08.72.170 and reenacting it with requirements acceptable to the board, such as education, current licensure, active clinical practice, and no pending disciplinary actions.

Section 5. This amendment implements Recommendation No. 3 of the audit report reducing the number of continuing education hours required by an applicant for license renewal to bring it into compliance with current licensing cycles and requirements under regulation. The statute was written when the board was on a four-year renewal cycle; it has since gone to a two-year cycle.

Section 6. This section helps to implement the new licensure by credentials by acknowledging it in the fee structure.

Amend #1  
ADOPTED

22-LS1267F

CS FOR SENATE BILL NO. 244(L&C)  
IN THE LEGISLATURE OF THE STATE OF ALASKA  
TWENTY-SECOND LEGISLATURE - SECOND SESSION

BY THE SENATE LABOR AND COMMERCE COMMITTEE

Offered: 2/8/02  
Referred: Finance

Sponsor(s): SENATE RULES COMMITTEE BY REQUEST OF THE LEGISLATIVE BUDGET AND  
AUDIT COMMITTEE

A BILL

FOR AN ACT ENTITLED

1 "An Act extending the termination date of the Board of Examiners in Optometry; and  
2 relating to optometrists."

3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:

4 \* Section 1. AS 08.03.010(c)(14) is amended to read:

5 (14) Board of Examiners in Optometry (AS 08.72.010) -- June 30,  
6 2006 [2002];

7 \* Sec. 2. AS 08.72.150 is amended to read:

8 Sec. 08.72.150. Application for examination and issuance of license. An  
9 applicant shall apply for the examination by filing an application with the department  
10 together with the examination fee by the deadline established by the department in  
11 regulations. The <sup>Board</sup> department may require the applicant to submit a photograph  
12 of the applicant for its files; however, the photograph may not be forwarded with  
13 the application to the board for review. Upon successful completion of the  
14 examination by the applicant and payment of the license fee, the board shall issue a

Amend #1  
ADOPTED

1 license to the successful applicant. The applicant may practice optometry in the state  
2 upon receipt of the license.

3 \* Sec. 3. AS 08.72.160(a) is amended to read:

4 (a) The examination must [SHALL BE WRITTEN , PRACTICAL, AND  
5 ORAL IN NATURE. THE WRITTEN PORTION OF THE ALASKA  
6 EXAMINATION SHALL] consist of two sections: (1) all or part of a national or  
7 international examination designated by regulation by the board; and (2) an  
8 examination approved by the board that is designed to test the applicant's  
9 knowledge of the laws of Alaska governing the practice of optometry and the  
10 regulations adopted under those laws [. THE ORAL PORTION OF THE  
11 EXAMINATION SHALL BE RECORDED AND RETAINED FOR TWO YEARS].

12 \* Sec. 4. AS 08.72.170 is repealed and reenacted to read:

13 **Sec. 08.72.170. Licensure by credentials.** The board shall issue a license by  
14 credentials to an applicant who

15 (1) has had education equivalent to four years of attendance at a state  
16 high school;

17 (2) is a graduate of a recognized school or college of optometry;

18 (3) has passed a written examination approved by the board that is  
19 designed to test the applicant's knowledge of the laws of Alaska governing the practice  
20 of optometry and the regulations adopted under those laws;

21 (4) holds a current license to practice optometry in another state or  
22 territory of the United States or in a province of Canada;

23 (5) at some time in the past, received a license to practice optometry  
24 from another state or territory of the United States or from a province of Canada that  
25 required the person to successfully complete an examination in optometry;

26 (6) was engaged in the active licensed clinical practice of optometry in  
27 a state or territory of the United States or in a province of Canada for at least 3120  
28 hours during the 36 months preceding the date of application under this section;

29 (7) has not committed an act in any jurisdiction that would have  
30 constituted a violation of this chapter or regulations adopted under this chapter at the  
31 time the act was committed; and

Amend #2

SENATE FINANCE COMMITTEE  
2/12/2002 COMMITTEE ACTION

|                            |          |             |          |
|----------------------------|----------|-------------|----------|
| Bill Number                | SB 244   |             |          |
| Amendment                  | #2       |             |          |
| Motion                     | Adopt    |             |          |
| <u>Motion by</u>           | Leman    |             |          |
| <u>Objection by</u>        | none     |             |          |
| <u>Removed</u>             |          |             |          |
| <u>Second Objection by</u> |          |             |          |
| <u>Committee Member</u>    | <u>Y</u> | <u>Vote</u> | <u>N</u> |
| Senator Hoffman            |          |             |          |
| Senator Leman              |          |             |          |
| Senator Olson              |          |             |          |
| Senator Ward               |          |             |          |
| Senator Wilken             |          |             |          |
| Senator Austerman          |          |             |          |
| Senator Green              |          |             |          |
| Co-Chair Donley            |          |             |          |
| Co-Chair Kelly             |          |             |          |
|                            |          |             |          |
| <u>Tally</u>               |          |             |          |
| Yea                        |          |             |          |
| Nay                        |          |             |          |
| Absent                     |          |             |          |
|                            |          |             |          |
| <u>MOTION</u>              | Pass     |             |          |

delete section ~~#2~~

SB 244-OPTOMETRISTS: SUNSET AND MISCELLANEOUS  
SENATE FINANCE COMMITTEE

SIGN-IN

NAME: Deborah Banks Subject/Bill No: SB244  
Co./Dept./Title: Sen Insurance Phone: X6590  
Address: Office Zip: \_\_\_\_\_  
Do you wish to testify?  Yes  No  Respond To Questions

NAME: Pat Davidson Subject/Bill No: SB244  
Co./Dept./Title: Leg Audit Phone: 2830  
Address: \_\_\_\_\_ Zip: \_\_\_\_\_  
Do you wish to testify?  Yes  No  Respond To Questions

NAME: Catherine Reardon Subject/Bill No: SB244  
Co./Dept./Title: Director Division of Phone: 4165-2538  
Occupational Licensing  
Address: DCED PO 110806 Tulsa Zip: 99811  
Do you wish to testify?  Yes  No  Respond To Questions

NAME: \_\_\_\_\_ Subject/Bill No: \_\_\_\_\_  
Co./Dept./Title: \_\_\_\_\_ Phone: \_\_\_\_\_  
Address: \_\_\_\_\_ Zip: \_\_\_\_\_  
Do you wish to testify?  Yes  No  Respond To Questions

**SB**

**245**

SFIN

FILE

SB 245

was referred to the  
Senate Finance  
Committee

Hearing(s) were held

The bill did not move  
from Committee

TONY KNOWLES  
GOVERNOR  
[governor@gov.state.ak.us](mailto:governor@gov.state.ak.us)



STATE OF ALASKA  
OFFICE OF THE GOVERNOR  
JUNEAU

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Juneau, Alaska 99811-0001  
(907) 465-3500  
Fax (907) 465-3532  
[www.gov.state.ak.us](http://www.gov.state.ak.us)

January 16, 2002

Rick Halford  
President of the Senate  
Alaska State Legislature  
State Capitol  
Juneau, AK 99801

Dear President Halford:

The Fiscal Year 2003 operating budget I am transmitting today addresses three key goals:

- It continues our investments for Alaska's children in education and provides for their health and safety;
- It maintains the existing level of vital public services Alaskans count on;
- It encourages responsible resource development to help create and protect jobs for Alaska families.

Together with the capital budget and my legislative proposals for K-12 education and veterans, this budget is a \$180 million general fund increase over the current fiscal year. Some \$81 million of this increase is a direct result of actions you have taken in approving laws, budgets, contracts and replacing lost federal and other funding sources. It includes debt for approved new schools. Operating new facilities, from the Ketchikan youth home to the Anchorage jail. Obligations you approved for pupil transportation and state worker contracts. Medical care required by law for more seniors and Alaskans with disabilities. And holding constitutionally required elections this fall. The remaining amount is for fuel and utility price increases and heavier workloads, such as more state prisoners, or backlogs in permitting and safety inspections.

I am indeed proposing some additional investments next year — to improve educational opportunities for our children in K-12 and the university, to keep our children safe in their homes, and to free them from the dangers of tobacco, alcohol abuse, inhalants and suicide. On these investments, I recognize there is a fundamental difference of opinion

The Honorable Rick Halford

Page 2

between some legislators and the many Alaskans who, like me, believe this is a top priority. So I welcome a healthy, open, honest debate on two different visions of what our Alaska can be.

There can be an Alaska where our children can learn to the best of their abilities, provide for their families, be good citizens. An Alaska that has saved hundreds of millions of dollars in fewer prisons, less welfare, lower health costs and fewer broken lives. I want to realize tomorrow's returns by making wise investments today.

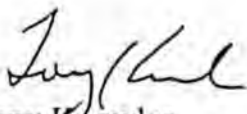
Each year my budget proposals in education and Smart Start have been declared dead on arrival by the Legislative majority. Yet thanks to the vision and grassroots advocacy of thousands of Alaskans and eventually bipartisan support in the Legislature, good ideas have prevailed. Rather than tying the commitment for our kids to the price of oil, I believe Alaskans will always put children first.

It is worth noting that under my proposed investments, Alaska would spend \$1,100 less per person in today's general fund dollars than in Fiscal 1979 — the year before the pipeline boom began. Budgets have been cut in five of the past six years.

I urge you to consider the future impacts of making similar investments again this year — better schools, an even more vibrant university, fewer children abused or in foster care, fewer youth in detention and young adults in prison, Alaskans filling the best jobs in the economy of the future. These opportunities for tomorrow are well worth the investments we must make today.

I stand ready to work with you to develop a responsible FY2003 budget for Alaskans.

Sincerely,

  
Tony Knowles  
Governor

**SB**

**246**

SFIN

FILE

SB 246

was referred to the  
Senate Finance  
Committee

Hearing(s) were held

The bill did not move  
from Committee

# STATE OF ALASKA

## OFFICE OF THE GOVERNOR

### OFFICE OF MANAGEMENT AND BUDGET

TONY KNOWLES, GOVERNOR

P.O. BOX 110020  
JUNEAU, ALASKA 99811-0020  
PHONE: (907) 465-4660  
FAX: (907) 465-3008

January 16, 2001

Ms. Caren Robinson, Chair  
Members of the Board of Trustees  
Mr. Jeff Jessee, Executive Director  
Alaska Mental Health Trust Authority  
550 W. 7<sup>th</sup> Avenue, Suite 1820  
Anchorage, AK 99501

Dear Ms. Robinson, Members of the Board of Trustees and Mr. Jessee:

Thank you for your continuing efforts and dedication to the goals of the Mental Health Trust. As always, we appreciate the work you do to better serve the beneficiaries of the Trust.

Attached is a summary of the Governor's actions on the Trustees' recommendations. The operating program funding decreased by \$2,166,800 in Mental Health Trust Authority Authorized Receipts (MHTAAR) funds, from \$11,105,900 to \$8,939,100 because of the reduced income estimates. Replacing MHTAAR funds with general funds accounts for \$1,552,500 of a \$2.2 million general fund/mental health increase in the mental health budget. Another \$614,300 of general funds will be used to provide additional services to children, adults, and elderly Alaskans under the State's Comprehensive Integrated Mental Health plan. After careful consideration of the mental health needs of Alaskans and the overall budget situation, the Governor's proposal increases the operating GF/MH budget by \$8,208,300, including additional funding for the Infant Learning Program, statewide dual diagnosis treatment and improved crisis respite.

As we have discussed, the inclusion of MHTAAR program funded projects in this year's budget does not guarantee future GF/MH funding. As Trust income increases, your commitment to innovative projects and requests for replacement of GF/MH funding may increase as well.

The Governor approved funding some Trust recommendations with straight general funds or other funds that are not reflected on the attached spreadsheet. They are:

- \$491,000 general fund for Department of Administration's assisted living rate increase;
- \$25,000 general fund for Department of Corrections' Spring Creek initiative;
- \$550,000 interagency receipts for the mental health stabilization home within the Department of Health & Social Services;
- \$566,100 for Alcohol Safety Action Program (ASAP) and juvenile ASAP programs within the Department of Health & Social Services; and
- \$354,000 interagency receipts (found in the regular operating budget bill) for the Trust Authority's long-term care ombudsman operating costs.

COMMITTEE COPY

Caren Robinson  
Page 2  
January 16, 2002

The Governor's budget funds most, but not all, of the Trust's recommendations. Many GF/MH projects, although recommended by the Trust and very worthwhile, were not funded because funds are not unlimited. Nearly \$100 million more general funds will be needed next year just to maintain the current level of services, including \$43 million to replace short-term funding that will not be available to the state next year. Some of these services, such as Medicaid, are critically important for Mental Health Trust beneficiaries and not fully funding them would have an extremely adverse effect. We also needed to invest general funds in much-needed improvements, particularly for child protection and health, and education. The programs we were not able to fund include the Geropsychiatrist (\$250,000 GF/MH), Assisted Living Licensing (\$150,000 GF/MH), Positive Behavior Supports (\$200,000 GF/MH), Expansion of Family Support programs (\$400,000 GF/MH), and Developmental Disabilities Quality Assurance (\$185,000 GF/MH).

I hope you will actively help us secure legislative approval of the Governor's proposed alcohol treatment and Smart Start / Strong Future children's packages. These programs, like those recommended by the Trust, help ensure a better quality of life for all Alaskans.

We continue to commend your work on results based budgeting and your on-going work toward replacing the Alaska Psychiatric Institute and continuing the API Mental Health Community plan.

We look forward to working with you on securing passage of this separate mental health bill.

Sincerely,

s/s Annalee McConnell

Annalee McConnell  
Director

Attachment

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT                     | FY2003 TRUST RECOMMENDATIONS |             |          |                |          | FY2003 GOVERNOR'S BUDGET |             |          |                |          | Total Funds Difference |
|--|------------------------------|-------------|----------|----------------|----------|--------------------------|-------------|----------|----------------|----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH    | AHFC Dividends | Total    | MHTAAR                   | MHT - Admin | GF/MH    | AHFC Dividends | Total    |                        |
| <b>ADMINISTRATION</b>                        |                              |             |          |                |          |                          |             |          |                |          |                        |
| Alaska Longevity Programs                    |                              |             |          |                |          |                          |             |          |                |          |                        |
| Pioneer Homes                                |                              |             | 10,340.8 |                | 10,340.8 |                          |             | 10,340.8 |                | 10,340.8 | 0.0                    |
| <i>Change in labor costs</i>                 |                              |             |          |                | 0.0      |                          |             | 234.1    |                | 234.1    | 234.1                  |
| AK Longevity Programs Mgt.                   |                              |             | 64.3     |                | 64.3     |                          |             | 64.3     |                | 64.3     | 0.0                    |
| Alaska Longevity Programs                    | 0.0                          | 0.0         | 10,405.1 | 0.0            | 10,405.1 | 0.0                      | 0.0         | 10,639.2 | 0.0            | 10,639.2 | 234.1                  |
| Senior Svcs.                                 |                              |             |          |                |          |                          |             |          |                |          |                        |
| Protection, Comm Svcs, Admin (PCSA)          |                              |             | 910.6    |                | 910.6    |                          |             | 910.6    |                | 910.6    | 0.0                    |
| Rural Long-Term Care Development             | 97.3                         |             |          |                | 97.3     | 97.3                     |             |          |                | 97.3     | 0.0                    |
| Quality Assurance Unit Development (Yr2of3)  | 175.0                        |             |          |                | 175.0    | 175.0                    |             | 0.0      |                | 175.0    | 0.0                    |
| Assist Living Rate Increase                  |                              |             | 491.0    |                | 491.0    |                          |             |          |                | 0.0      | -491.0                 |
| Board Development on Rural Issues ACoA       | 15.0                         |             |          |                | 15.0     | 15.0                     |             |          |                | 15.0     | 0.0                    |
| Assisted Living Licensing - DOA              |                              |             | 150.0    |                | 150.0    |                          |             | 0.0      |                | 0.0      | -150.0                 |
| Geropsychiatrist                             |                              |             | 250.0    |                | 250.0    |                          |             | 0.0      |                | 0.0      | -250.0                 |
| Delegation Adult Protective Svcs Rural Areas |                              |             | 150.0    |                | 150.0    |                          |             | 0.0      |                | 0.0      | -150.0                 |
| Collaborative Coord Ed Planner               | 75.5                         |             |          |                | 75.5     | 75.5                     |             |          |                | 75.5     | 0.0                    |
| Year 2 labor costs                           |                              |             |          |                | 0.0      |                          | 1.4         |          |                | 1.4      | 1.4                    |
| Year 3 labor costs                           |                              |             |          |                | 0.0      |                          | 2.5         |          |                | 6.1      | 6.1                    |
| Home and Community Based Services            |                              |             | 1,871.6  |                | 1,871.6  |                          |             | 1,871.6  |                | 1,871.6  | 0.0                    |
| Innovative Respite for ADRD                  | 375.0                        |             | 375.0    |                | 750.0    | 375.0                    |             | 0.0      |                | 375.0    | -375.0                 |
| Substance Abuse Treatment for Elderly        | 299.0                        |             |          |                | 299.0    | 299.0                    |             |          |                | 299.0    | 0.0                    |
| Mini-grants for Beneficiaries with ADRD      | 200.0                        |             |          |                | 200.0    | 200.0                    |             |          |                | 200.0    | 0.0                    |
| Day Treatment for CMI Elderly                | 350.0                        |             |          |                | 350.0    | 350.0                    |             |          |                | 350.0    | 0.0                    |
| Family Caregiver Support                     | 300.0                        |             |          |                | 300.0    | 300.0                    |             |          |                | 300.0    | 0.0                    |
| ADRD Training for In-home Providers (Yr1of2) | 250.0                        |             |          |                | 250.0    | 250.0                    |             |          |                | 250.0    | 0.0                    |
| Adult Day Quality Enhancement                | 25.0                         |             |          |                | 25.0     | 25.0                     |             |          |                | 25.0     | 0.0                    |
| Expand Adult Day Center Hours                |                              |             | 400.0    |                | 400.0    |                          |             | 0.0      |                | 0.0      | -400.0                 |
| Care Coord - 4 new grants                    |                              |             | 400.0    |                | 400.0    |                          |             | 0.0      |                | 0.0      | -400.0                 |
| Home Health                                  |                              |             |          |                |          |                          |             |          |                |          |                        |
| Comprehensive Assessment                     | 51.3                         |             |          |                | 51.3     | 51.3                     |             |          |                | 51.3     | 0.0                    |
| Senior Services                              | 2,213.1                      | 0.0         | 4,998.2  | 0.0            | 7,211.3  | 2,217.0                  | 0.0         | 2,785.8  | 0.0            | 5,002.8  | -2,208.5               |
| Legal and Advocacy Services                  |                              |             |          |                |          |                          |             |          |                |          |                        |
| Office of Public Advocacy                    |                              |             | 1,400.5  |                | 1,400.5  |                          |             | 1,400.5  |                | 1,400.5  | 0.0                    |
| Guardianship Resources                       | 45.0                         |             |          |                | 45.0     | 45.0                     |             |          |                | 45.0     | 0.0                    |
| Year 3 labor costs                           |                              |             |          |                | 0.0      |                          |             | 20.7     |                | 20.7     | 20.7                   |
| Public Defender Agency                       |                              |             | 130.3    |                | 130.3    |                          |             | 130.3    |                | 130.3    | 0.0                    |
| Add 4 new positions                          |                              |             | 300.0    |                | 300.0    |                          |             | 0.0      |                | 0.0      | -300.0                 |
| Year 3 labor costs                           |                              |             |          |                | 0.0      |                          |             | 2.9      |                | 2.9      | 2.9                    |
| Legal and Advocacy Services                  | 45.0                         | 0.0         | 1,830.8  | 0.0            | 1,875.8  | 45.0                     | 0.0         | 1,554.4  | 0.0            | 1,599.4  | -276.4                 |
| DOA Total                                    | 2,258.1                      | 0.0         | 17,234.1 | 0.0            | 13,492.2 | 2,262.0                  | 0.0         | 14,979.4 | 0.0            | 17,211.4 | -2,250.8               |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT   | FY2003 TRUST RECOMMENDATIONS |             |          |                |          | FY2003 GOVERNOR'S BUDGET |             |          |                |          | Total Funds Difference |
|--|------------------------------|-------------|----------|----------------|----------|--------------------------|-------------|----------|----------------|----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH    | AHFC Dividends | Total    | MHTAAR                   | MHT - Admin | GF/MH    | AHFC Dividends | Total    |                        |
| <b>CORRECTIONS</b>   |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>Administration &amp; Operations</b>   |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>Data and Word Processing</b>  |                              |             |          |                |          |                          |             |          |                |          |                        |
| * Title 47 Data System   | 25.0                         |             |          |                | 25.0     | 25.0                     |             |          |                | 25.0     | 0.0                    |
| Inmate Health Care   |                              |             | 3,988.5  |                | 3,988.5  |                          |             | 3,988.5  |                | 3,988.5  | 0.0                    |
| Spring Creek Correct Center initiative   | 100.0                        |             | 25.0     |                | 125.0    | 100.0                    |             |          |                | 100.0    | -25.0                  |
| Jail Alternative Services  | 130.0                        |             |          |                | 130.0    | 130.0                    |             |          |                | 130.0    | 0.0                    |
| Culturally Relevant Programming  |                              |             |          |                | 0.0      |                          |             | 60.0     |                | 60.0     | 60.0                   |
| Year 3 labor costs   |                              |             |          |                | 0.0      | 1.1                      |             | 43.8     |                | 44.9     | 44.9                   |
| Inmate Health Care   | 230.0                        | 0.0         | 4,013.5  | 0.0            | 4,243.5  | 231.1                    | 0.0         | 4,092.3  | 0.0            | 4,323.4  | 79.9                   |
| Inmate Programs  |                              |             | 483.0    |                | 483.0    |                          |             | 483.0    |                | 483.0    | 0.0                    |
| DOC Culturally Relevant Programming  |                              |             |          |                | 0.0      |                          |             | -60.0    |                | -60.0    | -60.0                  |
| Residential Substance Abuse Treatment for Women                                  | 58.9                         |             |          |                | 58.9     | 58.9                     |             |          |                | 58.9     | 0.0                    |
| Residential Substance Abuse Treatment for Men                                    | 82.9                         |             |          |                | 82.9     | 82.9                     |             |          |                | 82.9     | 0.0                    |
| Substance Abuse Assessment Specialist transferred to Transport & Class component | 60.2                         |             |          |                | 60.2     |                          |             |          |                | 0.0      | -60.2                  |
| Inmate Programs  | 202.0                        | 0.0         | 483.0    | 0.0            | 685.0    | 141.8                    | 0.0         | 423.0    | 0.0            | 564.8    | -120.2                 |
| Transport & Class  |                              |             |          |                | 0.0      | 60.2                     |             |          |                | 60.2     | 60.2                   |
| Transfer Substance Abuse Specialist from Inmate Programs                         |                              |             |          |                | 0.0      | 60.2                     | 0.0         | 0.0      | 0.0            | 60.2     | 60.2                   |
| Transport & Class  | 0.0                          | 0.0         | 0.0      | 0.0            | 0.0      | 60.2                     | 0.0         | 0.0      | 0.0            | 60.2     | 60.2                   |
| DOC TOTAL  | 457.0                        | 0.0         | 4,496.5  | 0.0            | 4,953.5  | 458.1                    | 0.0         | 4,515.3  | 0.0            | 4,973.4  | 19.9                   |
| <b>EDUCATION</b>   |                              |             |          |                |          |                          |             |          |                |          |                        |
| Special and Supplemental Services  |                              |             | 110.9    |                | 110.9    |                          |             | 110.9    |                | 110.9    | 0.0                    |
| Secondary Transition Capacity Building   | 100.0                        |             |          |                | 100.0    | 100.0                    |             |          |                | 100.0    | 0.0                    |
| Year 3 labor costs   |                              |             |          |                | 0.0      |                          |             | 0.7      |                | 0.7      | 0.7                    |
| EDUCATION TOTAL  | 100.0                        | 0.0         | 110.9    | 0.0            | 210.9    | 100.0                    | 0.0         | 111.6    | 0.0            | 211.6    | 0.7                    |
| <b>HEALTH AND SOCIAL SERVICES (HSS)</b>  |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>Medical Assistance</b>  |                              |             |          |                |          |                          |             |          |                |          |                        |
| Medicaid Services  |                              |             | 29,333.1 |                | 29,333.1 |                          |             | 29,333.1 |                | 29,333.1 | 0.0                    |
| Transfer DSH match to API  |                              |             |          |                |          |                          |             | -390.9   |                | -390.9   | -390.9                 |
| Medical Assistance   | 0.0                          | 0.0         | 29,333.1 | 0.0            | 29,333.1 | 0.0                      | 0.0         | 28,942.2 | 0.0            | 28,942.2 | -390.9                 |
| <b>Purchased Svcs.</b>   |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>Family Preservation</b>   |                              |             |          |                |          |                          |             |          |                |          |                        |
| Family Support Services to Beneficiary Parent Groups                             | 250.0                        |             | 500.0    |                | 750.0    | 250.0                    |             | 0.0      |                | 250.0    | -500.0                 |
| Foster Care Augmented Rate   |                              |             | 500.0    |                | 500.0    |                          |             | 500.0    |                | 500.0    | 0.0                    |
| Foster Care Special Need   |                              |             | 747.9    |                | 747.9    |                          |             | 747.9    |                | 747.9    | 0.0                    |
| Foster Care AYI  |                              |             | 400.0    |                | 400.0    |                          |             | 400.0    |                | 400.0    | 0.0                    |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT                                   | FY2003 TRUST RECOMMENDATIONS |             |         |                |         | FY2003 GOVERNOR'S BUDGET |             |         |                |         | Total Funds Difference |
|--|------------------------------|-------------|---------|----------------|---------|--------------------------|-------------|---------|----------------|---------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH   | AHFC Dividends | Total   | MHTAAR                   | MHT - Admin | GF/MH   | AHFC Dividends | Total   |                        |
| Residential Child Care                                     |                              |             | 3,956.3 |                | 3,956.3 |                          |             | 3,956.3 |                | 3,956.3 | 0.0                    |
| <i>Establish Five-Bed Mental Health Stabilization Home</i> | 150.0                        |             | 50.0    |                | 200.0   | 150.0                    |             | 50.0    |                | 200.0   | 0.0                    |
| <i>Purchased Services</i>                                  | 400.0                        | 0.0         | 6,154.2 | 0.0            | 6,554.2 | 400.0                    | 0.0         | 5,654.2 | 0.0            | 6,054.2 | -500.0                 |
| Front Line Social Workers                                  |                              |             | 148.5   |                | 148.5   |                          |             | 148.5   |                | 148.5   | 0.0                    |
| <i>Year 3 labor costs</i>                                  |                              |             |         |                | 0.0     |                          |             | 0.1     |                | 0.1     | 0.1                    |
| <i>Front Line Social Workers</i>                           | 0.0                          | 0.0         | 148.5   | 0.0            | 148.5   | 0.0                      | 0.0         | 148.6   | 0.0            | 148.6   | 0.1                    |
| Juvenile Justice   |                              |             |         |                |         |                          |             |         |                |         |                        |
| McLaughlin Youth Facility                                  |                              |             | 159.5   |                | 159.5   |                          |             | 159.5   |                | 159.5   | 0.0                    |
| <i>Alternative to Institutionalization</i>                 |                              |             | 213.5   |                | 213.5   |                          |             | 0.0     |                | 0.0     | -213.5                 |
| <i>Youth Offender/Adolescent Treatment Bundle</i>          |                              |             | 200.0   |                | 200.0   |                          |             | 0.0     |                | 0.0     | -200.0                 |
| Fairbanks Youth Facility                                   |                              |             | 79.9    |                | 79.9    |                          |             | 79.9    |                | 79.9    | 0.0                    |
| <i>Year 3 labor costs</i>                                  |                              |             |         |                | 0.0     |                          |             | 2.0     |                | 2.0     | 2.0                    |
| Bethel Youth Facility                                      |                              |             | 50.0    |                | 50.0    |                          |             | 50.0    |                | 50.0    | 0.0                    |
| <i>Year 3 labor costs</i>                                  |                              |             |         |                | 0.0     |                          |             | 0.9     |                | 0.9     | 0.9                    |
| <i>Juvenile Justice</i>                                    | 0.0                          | 0.0         | 702.9   | 0.0            | 702.9   | 0.0                      | 0.0         | 292.3   | 0.0            | 292.3   | -410.6                 |
| Designated BRU's   |                              |             |         |                |         |                          |             |         |                |         |                        |
| Manilaq ADA  |                              |             |         |                | 0.0     |                          |             | 522.4   |                | 522.4   | 522.4                  |
| Manilaq MH/DD  |                              |             |         |                | 0.0     |                          |             | 350.0   |                | 350.0   | 350.0                  |
| Norton Sound PH  |                              |             |         |                | 0.0     |                          |             | 98.3    |                | 98.3    | 98.3                   |
| Norton Sound ADA   |                              |             |         |                | 0.0     |                          |             | 232.2   |                | 232.2   | 232.2                  |
| Norton Sound MH/DD   |                              |             |         |                | 0.0     |                          |             | 402.4   |                | 402.4   | 402.4                  |
| SEARHC ADA   |                              |             |         |                | 0.0     |                          |             | 140.6   |                | 140.6   | 140.6                  |
| Tanana Chiefs Conference ADA                               |                              |             |         |                | 0.0     |                          |             | 202.4   |                | 202.4   | 202.4                  |
| Tanana Chiefs Conference MH                                |                              |             |         |                | 0.0     |                          |             | 534.8   |                | 534.8   | 534.8                  |
| Tlingit-Haida ADA  |                              |             |         |                | 0.0     |                          |             | 6.0     |                | 6.0     | 6.0                    |
| Yukon-Kuskokwim ADA  |                              |             |         |                | 0.0     |                          |             | 418.5   |                | 418.5   | 418.5                  |
| Yukon-Kuskokwim MH   |                              |             |         |                | 0.0     |                          |             | 907.4   |                | 907.4   | 907.4                  |
| <i>Designated BRU's</i>                                    | 0.0                          | 0.0         | 0.0     | 0.0            | 0.0     | 0.0                      | 0.0         | 3,815.0 | 0.0            | 3,815.0 | 3,815.0                |
| State Health Services                                      |                              |             |         |                |         |                          |             |         |                |         |                        |
| Maternal, Child, Family Health                             |                              |             | 102.5   |                | 102.5   |                          |             | 102.5   |                | 102.5   | 0.0                    |
| <i>Birth Defects Register</i>                              |                              |             | 150.0   |                | 150.0   |                          |             | 150.0   |                | 150.0   | 0.0                    |
| <i>Behavioral/Mental Health Svcs &amp; Supports</i>        | 150.0                        |             |         |                | 150.0   | 150.0                    |             |         |                | 150.0   | 0.0                    |
| <i>Young Children</i>                                      |                              |             |         |                |         |                          |             |         |                |         |                        |
| <i>Newborn Hearing Screening</i>                           |                              |             | 400.0   |                | 400.0   |                          |             |         |                | 0.0     | -400.0                 |
| <i>Year 2 labor costs</i>                                  |                              |             |         |                | 0.0     | 0.7                      |             |         |                | 0.7     | 0.7                    |
| <i>Year 3 labor costs</i>                                  |                              |             |         |                | 0.0     | 1.1                      |             | 3.3     |                | 4.4     | 4.4                    |
| Community Health Grants                                    |                              |             | 98.3    |                | 98.3    |                          |             | 98.3    |                | 98.3    | 0.0                    |
| <i>Transfer to Designated BRU's</i>                        |                              |             |         |                | 0.0     |                          |             | -98.3   |                | -98.3   | -98.3                  |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT                                | FY2003 TRUST RECOMMENDATIONS |             |         |                |         | FY2003 GOVERNOR'S BUDGET |             |          |                |          | Total Funds Difference |          |
|---|------------------------------|-------------|---------|----------------|---------|--------------------------|-------------|----------|----------------|----------|------------------------|----------|
|   | MHTAAR                       | MHT - Admin | GF/MH   | AHFC Dividends | Total   | MHTAAR                   | MHT - Admin | GF/MH    | AHFC Dividends | Total    |                        |          |
| Healthy Families Program                                |                              |             |         |                |         |                          |             |          |                |          |                        |          |
| Healthy Families Control Group Study (Yr4of5)           | 100.0                        |             |         |                | 100.0   | 100.0                    |             |          |                | 100.0    |                        | 0.0      |
| Infant Learning Program Grants                          |                              |             | 4,553.3 |                | 4,553.3 |                          |             | 4,553.3  |                | 4,553.3  |                        | 0.0      |
| Expand ILP Services                                     |                              |             |         |                | 0.0     |                          |             | 200.0    |                | 200.0    |                        | 200.0    |
| State Health Services                                   | 250.0                        | 0.0         | 5,304.1 | 0.0            | 5,554.1 | 251.8                    | 0.0         | 5,009.1  | 0.0            | 5,260.9  |                        | -293.2   |
| Alcohol & Drug Abuse Svcs.                              |                              |             |         |                |         |                          |             |          |                |          |                        |          |
| ADA Administration                                      |                              |             | 1,131.0 |                | 1,131.0 |                          |             | 1,131.0  |                | 1,131.0  |                        | 0.0      |
| Transfer to CAASA Grants                                |                              |             |         |                | 0.0     |                          |             | -143.0   |                | -143.0   |                        | -143.0   |
| Transfer to ADA Treatment Grants                        |                              |             |         |                | 0.0     |                          |             | -574.6   |                | -574.6   |                        | -574.6   |
| Year 3 labor costs                                      |                              |             |         |                | 0.0     |                          |             | 20.3     |                | 20.3     |                        | 20.3     |
| Alcohol /Drug Abuse Treatment Grants                    |                              |             | 9,143.4 |                | 9,143.4 |                          |             | 9,143.4  |                | 9,143.4  |                        | 0.0      |
| Transfer from ADA Administration                        |                              |             |         |                | 0.0     |                          |             | 574.6    |                | 574.6    |                        | 574.6    |
| Transfer to Designated BRU's                            |                              |             |         |                | 0.0     |                          |             | -1,522.1 |                | -1,522.1 |                        | -1,522.1 |
| Transfer to CAPI Grants                                 |                              |             |         |                | 0.0     |                          |             | -631.1   |                | -631.1   |                        | -631.1   |
| Transfer from Correctional ADA                          |                              |             |         |                | 0.0     |                          |             | 281.8    |                | 281.8    |                        | 281.8    |
| Maintain Anchorage Detox and DD Svcs                    |                              |             |         |                | 0.0     |                          |             | 1,078.5  |                | 1,078.5  |                        | 1,078.5  |
| Statewide Dual Diagnosis Treatment                      |                              |             |         |                | 0.0     |                          |             | 1,442.6  |                | 1,442.6  |                        | 1,442.6  |
| Mini-Grants for Chronic Alcoholic Beneficiaries         | 240.0                        |             |         |                | 240.0   | 240.0                    |             |          |                | 240.0    |                        | 0.0      |
| Substance Abuse Treatment for Rural Women with Children | 250.0                        |             | 250.0   |                | 500.0   | 250.0                    |             | 250.0    |                | 500.0    |                        | 0.0      |
| Transitional Housing Operating Funds (Yr1of2)           | 500.0                        |             | 250.0   |                | 750.0   | 500.0                    |             | 250.0    |                | 750.0    |                        | 0.0      |
| Women & Children Collaboration Project                  | 0.0                          |             | 50.0    |                | 50.0    |                          |             | 50.0     |                | 50.0     |                        | 0.0      |
| Family Wellness Camps                                   | 143.3                        |             | 257.0   |                | 400.3   | 143.3                    |             |          |                | 143.3    |                        | -257.0   |
| Statewide Title 47 Initiative - Grants                  |                              |             | 500.0   |                | 500.0   |                          |             |          |                | 0.0      |                        | -500.0   |
| CJAC Recommendation Proposal Bundle                     |                              |             | 250.0   |                | 250.0   |                          |             | 0.0      |                | 0.0      |                        | -250.0   |
| Year 3 labor costs                                      |                              |             |         |                | 0.0     |                          |             | 12.5     |                | 12.5     |                        | 12.5     |
| Comm Action Prevention & Intervention Grants            |                              |             |         |                |         |                          |             |          |                |          |                        |          |
| Transfer Peer Helper funding from Rural Svcs            |                              |             |         |                | 0.0     |                          |             | 255.1    |                | 255.1    |                        | 255.1    |
| Transfer from ADA Treatment Grants                      |                              |             |         |                | 0.0     |                          |             | 631.1    |                | 631.1    |                        | 631.1    |
| Transfer from CAASA Grants                              |                              |             |         |                | 0.0     |                          |             | 146.3    |                | 146.3    |                        | 146.3    |
| Corrections Alc/Drug Abuse Services                     |                              |             | 281.8   |                | 281.8   |                          |             | 281.8    |                | 281.8    |                        | 0.0      |
| Transfer to ADA Treatment Grants                        |                              |             |         |                | 0.0     |                          |             | -281.8   |                | -281.8   |                        | -281.8   |
| Rural Services and Suicide Prevention                   |                              |             |         |                |         |                          |             |          |                |          |                        |          |
| Transfer from Rural Services Grants                     |                              |             |         |                | 0.0     |                          |             | 2,565.9  |                | 2,565.9  |                        | 2,565.9  |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT   | FY2003 TRUST RECOMMENDATIONS |             |          |                |          | FY2003 GOVERNOR'S BUDGET |             |          |                |          | Total Funds Difference |
|--|------------------------------|-------------|----------|----------------|----------|--------------------------|-------------|----------|----------------|----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH    | AHFC Dividends | Total    | MHTAAR                   | MHT - Admin | GF/MH    | AHFC Dividends | Total    |                        |
| <i>Village-based Mental Health/Substance Abuse Counselor Program</i>                           |                              |             |          |                | 0.0      |                          |             | 867.7    |                | 867.7    | 867.7                  |
| <b>CAASA Grants</b>  |                              |             |          |                |          |                          |             |          |                |          |                        |
| <i>Transfer from ADA Administration</i>  |                              |             |          |                | 0.0      |                          |             | 143.0    |                | 143.0    | 143.0                  |
| <i>Year 3 labor costs</i>  |                              |             |          |                | 0.0      |                          |             | 3.3      |                | 3.3      | 3.3                    |
| <i>Transfer to CAPI Grants</i>   |                              |             |          |                | 0.0      |                          |             | -146.3   |                | -146.3   | -146.3                 |
| <b>Rural Services Grants</b>   |                              |             | 2,821.0  |                | 2,821.0  |                          |             | 2,821.0  |                | 2,821.0  | 0.0                    |
| <i>Village-based Mental Health Counselor Program/ Alcohol &amp; Substance Abuse Counselors</i> |                              |             | 1,464.5  |                | 1,464.5  |                          |             |          |                | 0.0      | -1,464.5               |
| <i>Transfer to Rural Svcs and Suicide Prevention</i>   |                              |             |          |                | 0.0      |                          |             | -2,565.9 |                | -2,565.9 | -2,565.9               |
| <i>Transfer to CAPI Grants</i>   |                              |             |          |                | 0.0      |                          |             | -255.1   |                | -255.1   | -255.1                 |
| <b>ASAP</b>  |                              |             |          |                | 0.0      |                          |             |          |                | 0.0      | 0.0                    |
| <b>Juvenile ASAP</b>   |                              |             |          |                | 0.0      |                          |             |          |                | 0.0      | 0.0                    |
| <i>Alcohol &amp; Drug Abuse Services</i>   | 1,133.3                      | 0.0         | 16,398.7 | 0.0            | 17,532.0 | 1,133.3                  | 0.0         | 15,830.0 | 0.0            | 16,963.3 | -568.7                 |
|  |                              |             |          |                |          |                          |             |          |                |          |                        |
|  |                              |             |          |                |          |                          |             |          |                |          |                        |
|  |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>Community Mental Health Grants</b>  |                              |             |          |                |          |                          |             |          |                |          |                        |
| <b>General Community Mental Health Grants</b>  |                              |             | 3,045.8  |                | 3,045.8  |                          |             | 3,045.8  |                | 3,045.8  | 0.0                    |
| <i>Mini-Grants for Beneficiaries with Mental Illness</i>                                       | 300.0                        |             |          |                | 300.0    | 300.0                    |             |          |                | 300.0    | 0.0                    |
| <i>Mental Health Consumer &amp; Family Conference</i>  | 50.0                         |             |          |                | 50.0     | 50.0                     |             |          |                | 50.0     | 0.0                    |
| <i>Services for People with Brain Injuries (Yr2of3)</i>  | 100.0                        |             |          |                | 100.0    | 100.0                    |             |          |                | 100.0    | 0.0                    |
| <i>Rural Svcs for the Deaf/Hearing Impaired</i>  | 65.0                         |             |          |                | 65.0     | 65.0                     |             |          |                | 65.0     | 0.0                    |
| <i>Transfer to Designated BRU's</i>  |                              |             |          |                | 0.0      |                          |             | -2,272.0 |                | -2,272.0 | -2,272.0               |
| <b>Psychiatric Emergency Services</b>  |                              |             | 6,525.6  |                | 6,525.6  |                          |             | 6,525.6  |                | 6,525.6  | 0.0                    |
| <i>Rural Emergency Svcs &amp; Training</i>   | 250.0                        |             |          |                | 250.0    | 250.0                    |             |          |                | 250.0    | 0.0                    |
| <i>Enhanced Crisis Respite</i>   |                              |             |          |                | 0.0      |                          |             | 495.6    |                | 495.6    | 495.6                  |
| <i>Community Mental Health Center Infrastructure/Clinician Services for Small Communities</i>  |                              |             | 539.3    |                | 539.3    |                          |             | 0.0      |                | 0.0      | -539.3                 |
| <b>Services/Chronically Mentally Ill (CMI)</b>   |                              |             | 12,324.9 |                | 12,324.9 |                          |             | 12,324.9 |                | 12,324.9 | 0.0                    |
| <i>Assisted Living Rate Increase CMI &amp; DD</i>  |                              |             | 952.8    |                | 952.8    |                          |             | 952.8    |                | 952.8    | 0.0                    |
| <i>Integrated Supports for Co-occurring Disorders</i>  | 405.0                        |             |          |                | 405.0    | 405.0                    |             |          |                | 405.0    | 0.0                    |
| <i>Consumer-Directed Programs &amp; Clubhouses</i>   | 125.0                        |             |          |                | 125.0    | 125.0                    |             |          |                | 125.0    | 0.0                    |
| <i>LINK Project</i>  | 38.0                         |             |          |                | 38.0     | 38.0                     |             |          |                | 38.0     | 0.0                    |
| <b>Designated Eval &amp; Treatment</b>   |                              |             | 1,146.3  |                | 1,146.3  |                          |             | 1,146.3  |                | 1,146.3  | 0.0                    |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT   | FY2003 TRUST RECOMMENDATIONS |             |          |                |          | FY2003 GOVERNOR'S BUDGET |             |          |                |          | Total Funds Difference |
|--|------------------------------|-------------|----------|----------------|----------|--------------------------|-------------|----------|----------------|----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH    | AHFC Dividends | Total    | MHTAAR                   | MHT - Admin | GF/MH    | AHFC Dividends | Total    |                        |
| Svcs to SED Youth  |                              |             | 6,219.4  |                | 6,219.4  |                          |             | 6,219.4  |                | 6,219.4  | 0.0                    |
| <i>Community Mental Health Grants</i>                                    | 1,333.0                      | 0.0         | 30,754.1 | 0.0            | 32,087.1 | 1,333.0                  | 0.0         | 28,438.4 | 0.0            | 29,771.4 | -2,315.7               |
| Community Dev. Disabilities (DD) Grants                                  |                              |             | 18,626.7 |                | 18,626.7 |                          |             | 18,626.7 |                | 18,626.7 | 0.0                    |
| <i>Mini-Grants for Beneficiaries with Developmental Disabilities</i>     | 175.0                        |             |          |                | 175.0    | 175.0                    |             |          |                | 175.0    | 0.0                    |
| <i>Americans Disability Act Community Program Access</i>                 | 100.0                        |             |          |                | 100.0    | 100.0                    |             |          |                | 100.0    | 0.0                    |
| <i>Learn as You Earn Program</i>   | 100.0                        |             |          |                | 100.0    | 100.0                    |             |          |                | 100.0    | 0.0                    |
| <i>Developmentally Disabled Risk Mgmt &amp; Institutional Prevention</i> |                              |             | 950.0    |                | 950.0    |                          |             | 120.0    |                | 120.0    | -830.0                 |
| <i>Expansion of Family Support Programs</i>                              |                              |             | 400.0    |                | 400.0    |                          |             |          |                | 0.0      | -400.0                 |
| <i>Positive Behavior Supports</i>  |                              |             | 200.0    |                | 200.0    |                          |             |          |                | 0.0      | -200.0                 |
| <i>Community DD Grants</i>   | 375.0                        | 0.0         | 20,176.7 | 0.0            | 20,551.7 | 375.0                    | 0.0         | 18,746.7 | 0.0            | 19,121.7 | -1,430.0               |
| Institutions and Administration  |                              |             |          |                |          |                          |             |          |                |          |                        |
| Mental Health/DD Administration  |                              |             | 2,700.3  |                | 2,700.3  |                          |             | 2,700.3  |                | 2,700.3  | 0.0                    |
| <i>Transfer to Admin Svcs for programmer</i>                             |                              |             |          |                | 0.0      |                          |             | -69.2    |                | -69.2    | -69.2                  |
| <i>Inpatient Quality Assurance</i>                                       | 30.0                         |             |          |                | 30.0     | 30.0                     |             |          |                | 30.0     | 0.0                    |
| <i>MHDD Quality Assurance Package</i>                                    | 276.2                        |             | 241.9    |                | 518.1    | 276.2                    |             | 360.5    |                | 636.7    | 118.6                  |
| <i>Assisted Living Licensing/Health and Safety Quality Assurance</i>     | 145.0                        |             |          |                | 145.0    | 145.0                    |             |          |                | 145.0    | 0.0                    |
| <i>DD Combined QA Package</i>  |                              |             | 185.0    |                | 185.0    |                          |             |          |                | 0.0      | -185.0                 |
| <i>Year 3 labor costs</i>  |                              |             |          |                | 0.0      | 5.0                      |             | 65.1     |                | 70.1     | 70.1                   |
| Alaska Psychiatric Institute (API) Administration                        |                              |             | 6,501.9  |                | 6,501.9  |                          |             | 6,501.9  |                | 6,501.9  | 0.0                    |
| <i>Transfer of DSH from Medical Assl.</i>                                |                              |             |          |                | 0.0      |                          |             | 390.9    |                | 390.9    | 390.9                  |
| <i>API 2000 Community Serv. costs/Covering lost DSH</i>                  |                              |             | 3,041.0  |                | 3,041.0  |                          |             | 1,249.2  |                | 1,249.2  | -1,791.8               |
| <i>Increased fuel costs</i>  |                              |             |          |                | 0.0      |                          |             | 7.3      |                | 7.3      | 7.3                    |
| <i>Year 3 labor costs</i>  |                              |             |          |                | 0.0      |                          |             | 203.5    |                | 203.5    | 203.5                  |
| <i>Institutions and Administration</i>                                   | 451.2                        | 0.0         | 12,670.1 | 0.0            | 13,121.3 | 456.2                    | 0.0         | 11,409.5 | 0.0            | 11,865.7 | -1,255.6               |
| Mental Health Trust Boards   |                              |             |          |                |          |                          |             |          |                |          |                        |
| Alaska Mental Health Board   |                              |             | 346.4    |                | 346.4    |                          |             | 346.4    |                | 346.4    | 0.0                    |
| <i>Board-Trust Partnership AMHB</i>                                      | 27.0                         |             |          |                | 27.0     | 27.0                     |             |          |                | 27.0     | 0.0                    |
| <i>Public Awareness Campaign</i>   | 49.7                         |             |          |                | 49.7     | 49.7                     |             |          |                | 49.7     | 0.0                    |
| <i>Board Development on Rural Issues AMHB</i>                            | 15.0                         |             |          |                | 15.0     | 15.0                     |             |          |                | 15.0     | 0.0                    |
| <i>Collab Coord Ed-Augment Plan</i>                                      | 30.0                         |             |          |                | 30.0     | 30.0                     |             |          |                | 30.0     | 0.0                    |
| <i>Year 3 labor costs</i>  |                              |             |          |                | 0.0      |                          |             | 8.3      |                | 8.3      | 8.3                    |
| Gov.'s Cncl on Disabilities and Special                                  |                              |             | 10.0     |                | 10.0     |                          |             | 10.0     |                | 10.0     | 0.0                    |
| <i>Board-Trust Partnership GCDSE</i>                                     | 5.0                          |             |          |                | 5.0      | 5.0                      |             |          |                | 5.0      | 0.0                    |
| <i>Partners in Policymaking</i>  | 200.0                        |             |          |                | 200.0    | 200.0                    |             |          |                | 200.0    | 0.0                    |

**FY2003 Operating and Capital Mental Health Budget Recommendations**

| DEPARTMENT/BRU/COMPONENT                         | FY2003 TRUST RECOMMENDATIONS |             |           |                |           | FY2003 GOVERNOR'S BUDGET |             |           |                |           | Total Funds Difference |
|--|------------------------------|-------------|-----------|----------------|-----------|--------------------------|-------------|-----------|----------------|-----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH     | AHFC Dividends | Total     | MHTAAR                   | MHT - Admin | GF/MH     | AHFC Dividends | Total     |                        |
| <i>Recruitment of Direct Service Workers</i>     | 150.0                        |             |           |                | 150.0     | 150.0                    |             |           |                | 150.0     | 0.0                    |
| <i>Collab Coord Ed-Research Analyst</i>          | 80.0                         |             |           |                | 80.0      | 80.0                     |             |           |                | 80.0      | 0.0                    |
| <i>Inclusive Childcare</i>                       | 100.0                        |             |           |                | 100.0     | 100.0                    |             |           |                | 100.0     | 0.0                    |
| <i>Advis. Board on Alcoho' &amp; Drug Abuse</i>  |                              |             | 292.2     |                | 292.2     |                          |             | 292.2     |                | 292.2     | 0.0                    |
| <i>Board-Trust Partnership ABADA</i>             | 18.0                         |             |           |                | 18.0      | 18.0                     |             |           |                | 18.0      | 0.0                    |
| <i>Statewide Title 47 Initiative</i>             | 50.0                         |             |           |                | 50.0      | 50.0                     |             |           |                | 50.0      | 0.0                    |
| <i>Board Development on Rural Issues ABADA</i>   | 15.0                         |             |           |                | 15.0      | 15.0                     |             |           |                | 15.0      | 0.0                    |
| <i>Collab Coord Ed-Reclass, Contracts, Space</i> | 85.0                         |             |           |                | 85.0      | 85.0                     |             |           |                | 85.0      | 0.0                    |
| <i>Year 3 labor costs</i>                        |                              |             |           |                | 0.0       |                          |             | 6.8       |                | 6.8       | 6.8                    |
| <i>Mental Health Trust Boards</i>                | 824.7                        | 0.0         | 648.6     | 0.0            | 1,473.3   | 824.7                    | 0.0         | 663.7     | 0.0            | 1,488.4   | 15.1                   |
| <b>Administrative Services</b>                   |                              |             |           |                |           |                          |             |           |                |           |                        |
| <b>Commissioner's Office</b>                     |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>Suicide Prevention Council</i>                |                              |             | 100.5     |                | 100.5     |                          |             | 225.5     |                | 225.5     | 125.0                  |
| <i>Year 3 labor costs</i>                        |                              |             |           |                | 0.0       |                          |             | 3.2       |                | 3.2       | 3.2                    |
| <b>Administrative Support Services</b>           |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>Transfer from MHDD Admin for programmer</i>   |                              |             |           |                | 0.0       |                          |             | 69.2      |                | 69.2      | 69.2                   |
| <b>Health Planning and Facilities Mgmt</b>       |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>Comprehensive Integrated MH Plan</i>          | 50.0                         |             |           |                | 50.0      | 50.0                     |             |           |                | 50.0      | 0.0                    |
| <i>Administrative Services</i>                   | 50.0                         | 0.0         | 100.5     | 0.0            | 150.5     | 50.0                     | 0.0         | 297.9     | 0.0            | 347.9     | 197.4                  |
| <b>DHSS TOTAL</b>                                | 4,817.2                      | 0.0         | 122,391.5 | 0.0            | 156,541.8 | 4,824.0                  | 0.0         | 119,247.6 | 0.0            | 153,404.7 | -3,137.1               |
| <b>LAW (DOL)</b>                                 |                              |             |           |                |           |                          |             |           |                |           |                        |
| <b>Civil Division</b>                            |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>Human Services Section</i>                    |                              |             | 63.8      |                | 63.8      |                          |             | 63.8      |                | 63.8      | 0.0                    |
| <i>Year 3 labor costs</i>                        |                              |             |           |                | 0.0       |                          |             | 1.4       |                | 1.4       | 1.4                    |
| <b>DOL TOTAL</b>                                 | 0.0                          | 0.0         | 63.8      | 0.0            | 63.8      | 0.0                      | 0.0         | 65.2      | 0.0            | 65.2      | 1.4                    |
| <b>NATURAL RESOURCES (DNP)</b>                   |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>MH Trust Lands Administration</i>             | 983.2                        |             |           |                | 983.2     | 983.2                    |             |           |                | 983.2     | 0.0                    |
| <i>Year 3 labor costs</i>                        |                              |             |           |                | 0.0       | 20.2                     |             |           |                | 20.2      | 20.2                   |
| <i>Reduce contractual svcs</i>                   |                              |             |           |                | 0.0       | -20.2                    |             |           |                | -20.2     | -20.2                  |
| <b>DNR TOTAL</b>                                 | 983.2                        | 0.0         | 0.0       | 0.0            | 983.2     | 983.2                    | 0.0         | 0.0       | 0.0            | 983.2     | 0.0                    |
| <b>REVENUE (DOR)</b>                             |                              |             |           |                |           |                          |             |           |                |           |                        |
| <i>AK MH Trust Authority</i>                     |                              | 1,157.6     |           |                | 1,157.6   |                          | 1,040.3     |           |                | 1,140.3   | -117.3                 |
| <i>State facilities rent increase</i>            |                              |             |           |                | 0.0       |                          | 1.4         |           |                | 1.4       | 1.4                    |
| <i>Graduate intern and deputy director costs</i> |                              |             |           |                | 0.0       |                          | 117.3       |           |                | 117.3     | 117.3                  |
| <i>Year 3 labor costs</i>                        |                              |             |           |                | 0.0       |                          | 19.0        |           |                | 19.0      | 19.0                   |
| <b>DOR TOTAL</b>                                 | 0.0                          | 1,157.6     | 0.0       | 0.0            | 1,157.6   | 0.0                      | 1,178.0     | 0.0       | 0.0            | 1,178.0   | 20.4                   |
| <b>UNIVERSITY OF ALASKA (UA)</b>                 |                              |             |           |                |           |                          |             |           |                |           |                        |
| <b>Budget Reductions/Additions-Sys Wide</b>      |                              |             | 200.8     |                | 200.8     |                          |             | 200.8     |                | 200.8     | 0.0                    |
| <i>Distance Delivery BSW</i>                     | 136.8                        |             |           |                | 136.8     | 136.8                    |             |           |                | 136.8     | 0.0                    |

FY2003 Operating and Capital Mental Health Budget Recommendations

| DEPARTMENT/BRU/COMPONENT   | FY2003 TRUST RECOMMENDATIONS |             |           |                |           | FY2003 GOVERNOR'S BUDGET |             |           |                |           | Total Funds Difference |
|--|------------------------------|-------------|-----------|----------------|-----------|--------------------------|-------------|-----------|----------------|-----------|------------------------|
|  | MHTAAR                       | MHT - Admin | GF/MH     | AHFC Dividends | Total     | MHTAAR                   | MHT - Admin | GF/MH     | AHFC Dividends | Total     |                        |
| <b>UA TOTAL</b>  | 136.8                        | 0.0         | 200.8     | 0.0            | 337.6     | 136.8                    | 0.0         | 200.8     | 0.0            | 337.6     | 0.0                    |
| <b>ALASKA COURT SYSTEM</b>   |                              |             |           |                |           |                          |             |           |                |           |                        |
| Trial Courts   |                              |             | 79.3      |                | 79.3      |                          |             | 79.3      |                | 79.3      | 0.0                    |
| <i>Court Coordinated Resources</i>   | 175.0                        |             |           |                | 175.0     | 175.0                    |             |           |                | 175.0     | 0.0                    |
| <b>* COURT SYSTEM TOTAL</b>  | 175.0                        | 0.0         | 79.3      | 0.0            | 254.3     | 175.0                    | 0.0         | 79.3      | 0.0            | 254.3     | 0.0                    |
| <b>OPERATING BUDGET</b>  | 8,927.3                      | 1,157.6     | 144,576.9 | 0.0            | 154,661.8 | 8,939.1                  | 1,178.0     | 139,199.2 | 0.0            | 149,316.3 | -5,345.5               |
| <b>CAPITAL PROJECTS</b>  |                              |             |           |                |           |                          |             |           |                |           |                        |
| <b>ADMINISTRATION</b>  |                              |             |           |                |           |                          |             |           |                |           |                        |
| Pioneer Homos ADRD Modifications   |                              |             | 525.5     |                | 525.5     |                          |             |           |                | 0.0       | -525.5                 |
| DSS Data Management  | 238.0                        |             |           |                | 238.0     | 238.0                    |             |           |                | 238.0     | 0.0                    |
| Adult Day Facility Modifications   |                              |             | 250.0     |                | 250.0     |                          |             | 250.0     |                | 250.0     | 0.0                    |
| Plan Grants - new Adult Day  |                              |             | 200.0     |                | 200.0     |                          |             |           |                | 0.0       | -200.0                 |
| <b>ADMINISTRATION TOTAL</b>  | 238.0                        | 0.0         | 975.5     | 0.0            | 1,213.5   | 238.0                    | 0.0         | 250.0     | 0.0            | 488.0     | -725.5                 |
| <b>HEALTH AND SOCIAL SERVICES</b>  |                              |             |           |                |           |                          |             |           |                |           |                        |
| Program Equip Grants to Svc Providers for Beneficiaries and People with Disabilities | 250.0                        |             | 250.0     |                | 500.0     | 250.0                    |             | 50.0      |                | 300.0     | -200.0                 |
| Provider Resource Sharing and Coordination   | 150.0                        |             |           |                | 150.0     | 150.0                    |             |           |                | 150.0     | 0.0                    |
| Rural Facility Renovations/Defrd Maint   | 400.0                        |             | 1,600.0   |                | 2,000.0   | 400.0                    |             | 400.0     |                | 800.0     | -1,200.0               |
| Dfrd Maint for Rural SA/Co-located Pgms  | 400.0                        |             | 1,600.0   |                | 2,000.0   | 400.0                    |             | 400.0     |                | 800.0     | -1,200.0               |
| Micrc Enterprise Funds   |                              |             | 200.0     |                | 200.0     |                          |             |           |                | 0.0       | -200.0                 |
| Consumer Designed and Managed Projects   | 175.0                        |             | 175.0     |                | 350.0     |                          |             |           |                | 0.0       | -350.0                 |
| Hearing Screening Assistive Technology   |                              |             | 50.0      |                | 50.0      |                          |             |           |                | 0.0       | -50.0                  |
| Housing Modifications for Beneficiaries  | 150.0                        |             |           | 100.0          | 250.0     | 150.0                    |             |           | 100.0          | 250.0     | 0.0                    |
| Special Needs Non-residential Housing Program  |                              |             |           | 500.0          | 500.0     |                          |             |           |                | 0.0       | -500.0                 |
| API - Replace Existing Facility  | 1,000.0                      |             |           |                | 1,000.0   |                          |             |           |                | 0.0       | -1,000.0               |
| API Stop-Gap Repairs   |                              |             |           |                | 0.0       |                          |             | 379.5     |                | 379.5     | 379.5                  |
| Crisis Respite Design  |                              |             | 450.0     |                | 450.0     |                          |             | 350.0     |                | 350.0     | -100.0                 |
| Autism Study   |                              |             | 150.0     |                | 150.0     |                          |             |           |                | 0.0       | -150.0                 |
| Van Modifications  |                              |             | 50.0      |                | 50.0      |                          |             |           |                | 0.0       | -50.0                  |
| <b>HEALTH AND SOCIAL SERVICES TOTAL</b>  | 2,525.0                      | 0.0         | 4,525.0   | 600.0          | 7,650.0   | 1,350.0                  | 0.0         | 1,579.5   | 100.0          | 3,029.5   | -4,620.5               |
| <b>NATURAL RESOURCES</b>   |                              |             |           |                |           |                          |             |           |                |           |                        |
| Land & Value Enhancement   | 800.0                        |             |           |                | 800.0     | 800.0                    |             |           |                | 800.0     | 0.0                    |
| <b>NATURAL RESOURCES TOTAL</b>   | 800.0                        | 0.0         | 0.0       | 0.0            | 800.0     | 800.0                    | 0.0         | 0.0       | 0.0            | 800.0     | 0.0                    |
| <b>REVENUE / AHFC</b>  |                              |             |           |                |           |                          |             |           |                |           |                        |
| AHFC Homeless Assist Program   | 500.0                        |             |           | 500.0          | 1,000.0   | 500.0                    |             |           | 500.0          | 1,000.0   | 0.0                    |
| AHFC Benef & Special Needs Housing   |                              |             |           | 1,750.0        | 1,750.0   |                          |             |           | 800.0          | 800.0     | -950.0                 |
| <b>REVENUE / AHFC TOTAL</b>  | 500.0                        | 0.0         | 0.0       | 2,250.0        | 2,750.0   | 500.0                    | 0.0         | 0.0       | 1,300.0        | 1,800.0   | -950.0                 |
| <b>TRANSPORTATION AND PUBLIC FACILITIES</b>  |                              |             |           |                |           |                          |             |           |                |           |                        |
| Coordinated Transportation & Vehicles  | 150.0                        |             | 250.0     |                | 400.0     | 150.0                    |             | 250.0     |                | 400.0     | 0.0                    |

**FY2003 Operating and Capital Mental Health Budget Recommendations**

| DEPARTMENT/BRU/COMPONENT             | FY2003 TRUST RECOMMENDATIONS |             |           |                |           | FY2003 GOVERNOR'S BUDGET |             |           |                |           | Total Funds Difference |
|--------------------------------------|------------------------------|-------------|-----------|----------------|-----------|--------------------------|-------------|-----------|----------------|-----------|------------------------|
|                                      | MHTAAR                       | MHT - Admin | GF/MH     | AHFC Dividends | Total     | MHTAAR                   | MHT - Admin | GF/MH     | AHFC Dividends | Total     |                        |
| TRANSPORTATION AND PUBLIC FACILITIES | 150.0                        | 0.0         | 250.0     | 0.0            | 400.0     | 150.0                    | 0.0         | 250.0     | 0.0            | 400.0     | 0.0                    |
| <b>CAPITAL PROJECTS TOTALS</b>       | 4,213.0                      | 0.0         | 5,750.5   | 2,850.0        | 12,813.5  | 3,038.0                  | 0.0         | 2,079.5   | 1,400.0        | 6,517.5   | -6,296.0               |
| <b>OPERATING PROJECTS TOTALS</b>     | 8,927.3                      | 1,157.6     | 144,576.9 | 0.0            | 154,661.8 | 8,939.1                  | 1,178.0     | 139,199.2 | 0.0            | 149,316.3 | -5,345.5               |
| <b>Total</b>                         | 13,140.3                     | 1,157.6     | 150,327.4 | 2,850.0        | 167,475.3 | 11,977.1                 | 1,178.0     | 141,278.7 | 1,400.0        | 155,833.8 | -11,641.5              |



TONY KNOWLES  
GOVERNOR  
governor@gov.state.ak.us

STATE OF ALASKA  
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JUNEAU

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January 16, 2002

The Honorable Rick Halford  
President of the Senate  
Alaska State Legislature  
State Capitol  
Juneau, AK 99801-1182

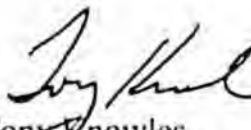
Dear President Halford:

I am today transmitting a separate FY2003 appropriations bill for the state's comprehensive mental health program. As required by statute, it includes both operating and capital appropriations and is accompanied by a report outlining the differences between my budget and the recommendations of the Alaska Mental Health Trust Authority.

Alaska continues to improve services to beneficiaries of the mental health settlement and has become a national leader in the transition to community-based programs. To continue that progress, this proposal effectively uses a combination of Trust Authority receipts, general funds and Alaska Housing Finance Corporation receipts.

The proposed mental health budget represents a cooperative effort between state agencies and the Trustees and staff of the Trust Authority. Together with the resources we have appropriated in recent years, their productive working relationships have helped make a positive difference for Alaska's mental health beneficiaries.

Sincerely,

  
Tony Knowles  
Governor

**SB**

**247**

HAFIN

FILE



*NEW*  
Amendment

*11 failed*

OFFERED IN HOUSE FINANCE COMMITTEE  
To: HCS CSSB 247(FIN)

BY: REP CROFT

Page 71, line 28, delete:

"1,450,000 450,000 1,000,000"

and replace with:

"<sup>565</sup>1,525,000 <sup>565</sup>525,000 1,000,000"

failed

1

AMENDMENT

OFFERED IN HOUSE FINANCE REPRESENTATIVE DAVIES

TO: House CS for Cs for SB 247 (RLS)

Grants to Municipalities

Fairbanks North Star Borough-Road Service Areas in Senate District O 200,000

Amendment to HCS CSSB 247 (FIN)

Failed

CROFT 2

Offered By:

ADD

Department: Education and Early Development

Project: Yuut Elitnaurviat People's Learning Center -  
Phase 1 Construction

| <u>Fund Source</u> | <u>Amount</u> |
|--------------------|---------------|
| General Fund       | \$900,000     |

**DESCRIPTION**

This amendment will provide state support for construction of a vocational training center to serve the Yukon-Kuskokwim Delta Region. The center will meet both academic and industry standards in the area's most in-demand industries. Numerous partners will combine resources to provide a comprehensive program and include:

- Association of Village Council Presidents, Inc.
- Association of Village Council Presidents, Regional Housing Authority
- Bethel Native Corporation
- City of Bethel
- Coastal Villages Region Fund
- Lower Kuskokwim School District
- University of Alaska Fairbanks, Kuskokwim Campus
- Yukon Kuskokwim Health Corporation
- Alaska National Guard

During Phase I, these partners will cover \$3.3 million of the \$12.2 million construction cost. The USDA-Rural Development has set aside another \$3 million and an earmark for \$1 million is in the Department of the Interior federal budget. This amendment for \$900,000 in state funds will help leverage the remaining \$4 million from other sources.

failed

AMENDMENT TO CS for CS for SB 247 (FIN) (Version B)

3

Offered By: Representative DAVIES

Page 7, line 31

Insert

City of Shishmaref – Erosion Control (ED 37)

Appropriation Items - \$3,100,000

General Funds - \$3,100,000

A M E N D M E N T

*failed*

*Cross*

4

TO: FCS CSSB 247 (Finance work draft 5/11/02)

Department of Environmental Conservation

Page 28, after line 20 INSERT:

|  | Approp Items | Other Funds |
|--|--------------|-------------|
| Corrosion Monitoring and<br>Control - Best Management<br>Practices (ED 99) | 200,000      | 200,000     |

Amendment to HCS CSSB 247(FIN)

WTD  
CROFT  
5

By Representative \_\_\_\_\_

**Amending Sec 13 by adding new subsections to read:**

Sec. 13. DEPARTMENT OF LAW. ( c) The sum of \$131,100 is appropriated to the Department of Law, office of the attorney general, for increased costs of law office assistant positions throughout the department for the fiscal year ending June 30, 2002, from the following sources in the amounts listed:

| SOURCE                | AMOUNT  |
|-----------------------|---------|
| Federal receipts      | 1,700   |
| Inter-agency receipts | 129,400 |

(d) The unexpended and unobligated balance of the appropriation made by sec. 41, ch. 61, SLA 2001 (Bank of America case - \$425,000) after the reappropriations made in sec. 21( c) is reappropriated to the Department of Law, office of the attorney general, in the amount of \$150,000 for increased costs of law office assistant positions throughout the Department of Law for the fiscal year ending June 30, 2002.

Effective date of (c) and (d) is June 30, 2002.

Amendment to HCS CSSB 247(FIN)

By: Representative

*Failed*

*DANIEL*

*b*

*#50*  
Sec. .14 (e). DEPARTMENT OF MILITARY AND VETERANS' AFFAIRS. The sum of \$100,000 is appropriated from the general fund to the Department of Military and Veterans' Affairs, disaster planning and control, for operating costs of the 24-hour state emergency coordination center for the fiscal year ending June 30, 2002.

Add to Sec. 77 - May 14, 2002 effective date

A M E N D M E N T

Failed 7  
BY: CROFT

TO: HCS CSSB 247 (Fin) Work Draft 5/11/02

Section 27

Page 108, line 5

Delete: "Legislative Budget and Audit"

Insert: "Department of Administration"

Page 108, line 6

Delete: "Legislative Budget and Audit Committee"

Insert: "Department of Administration"

Page 108, line 7

Delete: "stand-alone"

Section 28

Page 108, line 10

Delete: "Legislative Council"

Insert: "Department of Health and Social Services"

Page 108, line 11

Delete: "Legislative Council"

Insert: "Department of Health and Social Services"

*It might be more appropriate to have the Department of Administration conduct a feasibility study of veterans' home than the Legislature. The same logic would also apply for the Department of Health and Social Services to conduct a study of how and why people become recipients of welfare and Medicaid services than having the Legislature contract for these services.*



Failed

DAVIES 9

Amendment to HCS CSSB 247(FIN)

By: Representative

Amend section 1 to read:

ADD

Department of Public Safety

Project: Aircraft and Vessel Repair and Maintenance

| <u>Fund Source</u> | <u>Amount</u> |
|--------------------|---------------|
| General Funds      | \$445,900     |

Explanation: Fully fund critical aircraft and vessel repair and maintenance.

Aircraft and large vessels that are used by both Alaska State Troopers and the Fish and Wildlife Projection troopers must be maintained on a regular basis to replace obsolete and worn out parts and equipment. This is necessary to ensure that aircraft and vessels are air and sea worthy, safe and dependable. Without all funding the aircraft and vessels cannot be repaired and maintained at the level needed to carry out the department's mission.

This amendment will bring the total for this project to \$1,645,900.

AMENDMENTS  
PASSED FOR  
HCS CSSB  
247 (FIN)

Adopted 10

AMENDMENT

OFFERED IN HOUSE FINANCE  
TO: HCS CSSB 247(FIN)

BY REPRESENTATIVE Hudson

Page 115, Section 42, following line 15:  
Insert a new subsection to read:

The sum of \$3,335,700 is appropriated from federal receipts to the Office of the Governor, division of governmental coordination, for the coastal impact assistance program.

Page 130, Line 23  
Include new subsection under:  
  
Lapse Provision: Section 75 b

Page 130, Line 31  
Include new subsection under:

Effective Date: Section 77

Jan 1, 2003.

**EXPLANATION**

Under the federal Coastal Impact Assistance Program (CIAP), the State received a one-time allocation of \$7.9 million to deal with a variety of coastal issues. As required by the CIAP legislation, the State developed a plan for use of the funds.

This request is for the additional authorization needed to complete receipt of the federal Coastal Impact Assistance Program (CIAP) funds. The division of Governmental Coordination received authorization from LB&A for the initial \$4,600,00 federal funding in 09/2001. Receipt of this federal authority of \$3,335,700 will bring the total CIAP authorization to \$7,935,700.

DGC solicited grant applications for coastal conservation, restoration, enhancement, protection, and education projects. 150 applications were submitted totaling over \$12 million in requests. Regardless of what projects are funded, the result will be small but beneficial projects for Alaska's coastal communities that enhance use of our coastal areas.

Grant applications were received from 34 communities from all around Alaska's coast. Proposed projects include fishing and viewing platforms on the Little Susitna and Gulkana rivers and Potato Patch Lake; coastal mapping in Nome, Wrangell, Anchorage, Western Alaska, and the Mat-Su and Lake and Peninsula boroughs; marine debris removal in the Pribilofs and Southeast; bridges in the Caribou Hills; culvert replacement on the Silver Salmon River; Duck Creek restoration; Nelson Lagoon erosion control; and coastal education programs that will benefit students and teachers statewide.

|    | Appropriation                  |         | General<br>Funds | Other<br>Funds |
|----|--------------------------------|---------|------------------|----------------|
|    | Allocations                    | Items   |                  |                |
| 1  |                                |         |                  |                |
| 2  |                                |         |                  |                |
| 3  | Highway, Mile 119-133          |         |                  |                |
| 4  | Project (ED 26-28)             |         |                  |                |
| 5  | Midnight Sun Council Boy       | 150,000 | 150,000          |                |
| 6  | Scouts of America -            |         |                  |                |
| 7  | Challenged Camper Campsite     |         |                  |                |
| 8  | (ED 29-34)                     |         |                  |                |
| 9  | Midnight Sun Council Boy       | 67,650  | 67,650           |                |
| 10 | Scouts of America - COPE       |         |                  |                |
| 11 | Training Course Climbing       |         |                  |                |
| 12 | Tower (ED 29-34)               |         |                  |                |
| 13 | National Council on            | 48,000  | 48,000           |                |
| 14 | Alcoholism & Drug              |         |                  |                |
| 15 | Dependence-Juneau Wellness     |         |                  |                |
| 16 | Court (ED 3-4)                 |         |                  |                |
| 17 | National Federation of the     | 50,000  | 50,000           |                |
| 18 | Blind, Alaska Affiliate -      |         |                  |                |
| 19 | Newsline Project (ED 99)       |         |                  |                |
| 20 | Native Village of              | 500,000 | 500,000          |                |
| 21 | Kluti-Kaah - Community         |         |                  |                |
| 22 | Learning & Recreation          |         |                  |                |
| 23 | Center (ED 36)                 |         |                  |                |
| 24 | Native Village of Tanacross    | 18,000  | 18,000           |                |
| 25 | - Old Tanacross Village        |         |                  |                |
| 26 | Cemetery Dike and Repairs      |         |                  |                |
| 27 | (ED 36)                        |         |                  |                |
| 28 | Nome Joint Utilities -         | 500,000 | 500,000          |                |
| 29 | Power Line Extensions (ED      |         |                  |                |
| 30 | 38) <i>And Rehabilitations</i> |         |                  |                |
| 31 | North Peninsula Community      | 75,000  | 75,000           |                |
| 32 | Council - North Peninsula      |         |                  |                |
| 33 | Road Traffic Study (ED 7-9)    |         |                  |                |

| 1  | 2                           | Appropriation |         | General | Other |
|----|-----------------------------|---------------|---------|---------|-------|
|    |                             | Allocations   | Items   |         |       |
| 3  | Kodiak Area Native          |               | 8,000   | 8,000   |       |
| 4  | Association (KANA)/ Akhiok  |               |         |         |       |
| 5  | - Multipurpose Building     |               |         |         |       |
| 6  | Remodel (ED 6)              |               |         |         |       |
| 7  | Kodiak Area Native          |               | 5,000   | 5,000   |       |
| 8  | Association (KANA)/ Karluk  |               |         |         |       |
| 9  | - Church Remodel (ED 6)     |               |         |         |       |
| 10 | Kodiak Area Native          |               | 10,000  | 10,000  |       |
| 11 | Association (KANA)/ Old     |               |         |         |       |
| 12 | Harbor - Preschool          |               |         |         |       |
| 13 | Equipment and Repair (ED 6) |               |         |         |       |
| 14 | Kodiak Area Native          |               | 7,000   | 7,000   |       |
| 15 | Association (KANA)/         |               |         |         |       |
| 16 | Ouzinkie - Lead Paint       |               |         |         |       |
| 17 | Abatement (ED 6)            |               |         |         |       |
| 18 | Kodiak Area Native          |               | 15,000  | 15,000  |       |
| 19 | Association (KANA)/ Village |               |         |         |       |
| 20 | Wide - Single Side Band     |               |         |         |       |
| 21 | Radio Disaster Preparedness |               |         |         |       |
| 22 | (ED 6)                      |               |         |         |       |
| 23 | Kodiak College - Voc/Tech   |               | 30,000  | 30,000  |       |
| 24 | Center Review and           |               |         |         |       |
| 25 | Development (ED 6)          |               |         |         |       |
| 26 | Kodiak Maritime Museum -    |               | 10,000  | 10,000  |       |
| 27 | Maritime Guide Design and   |               |         |         |       |
| 28 | Production (ED 6)           |               |         |         |       |
| 29 | Kodiak Native Area          |               | 10,000  | 10,000  |       |
| 30 | Association - Village VPSO  |               |         |         |       |
| 31 | Holding Cells (ED 6)        |               |         |         |       |
| 32 | Matanuska Electric          |               | 200,000 | 200,000 |       |
| 33 | Association - Parks         |               |         |         |       |

Amendment 12

Amendment to HCS CSSB 247(FIN)

Page 105 Starting line 31 thru Page 106 ending on line 4:

\* Sec. 21. HOUSE DISTRICTS 10 -25. (a) The unexpended and unobligated balance of the appropriation made in sec. 1, ch. 60, SLA 2001, Page 26, line 14 (statehood defense \$1,095,400) is reappropriated to the Department of Community and Economic Development for payment as a grant under AS 37.05.315 to the Municipality of Anchorage for ~~[planning and operation of the Winter Cities Anchorage 2004 Conference.]~~ 40<sup>th</sup> Avenue Intersection and Road Improvements.

Am 13

|    | Appropriation                                |         | General | Other |
|----|--|---------|---------|-------|
|    | Allocations                                  | Items   | Funds   | Funds |
| 1  |  |         |         |       |
| 2  |  |         |         |       |
| 3  | Highway, Mile 119-133                        |         |         |       |
| 4  | Project (ED 26-28)                           |         |         |       |
| 5  | Midnight Sun Council Boy                     | 150,000 | 150,000 |       |
| 6  | Scouts of America -                          |         |         |       |
| 7  | Challenged Camper Campsite                   |         |         |       |
| 8  | (ED 29-34)                                   |         |         |       |
| 9  | Midnight Sun Council Boy                     | 67,650  | 67,650  |       |
| 10 | Scouts of America - COPE                     |         |         |       |
| 11 | Training Course Climbing                     |         |         |       |
| 12 | Tower (ED 29-34)                             |         |         |       |
| 13 | National Council on                          | 48,000  | 48,000  |       |
| 14 | Alcoholism & Drug                            |         |         |       |
| 15 | Dependence-Juneau Wellness                   |         |         |       |
| 16 | Court (ED 3-4)                               |         |         |       |
| 17 | National Federation of the                   | 50,000  | 50,000  |       |
| 18 | Blind, Alaska Affiliate -                    |         |         |       |
| 19 | Newsline Project (ED 99)                     |         |         |       |
| 20 | Native Village of                            | 500,000 | 500,000 |       |
| 21 | Kluti-Kaah - Community                       |         |         |       |
| 22 | Learning & Recreation                        |         |         |       |
| 23 | Center (ED 36)                               |         |         |       |
| 24 | Native Village of Tanacross                  | 18,000  | 18,000  |       |
| 25 | - Old Tanacross Village                      |         |         |       |
| 26 | Cemetery Dike and Repairs                    |         |         |       |
| 27 | (ED 36)                                      |         |         |       |
| 28 | Nome Joint Utilities -                       | 500,000 | 500,000 |       |
| 29 | Power Line Extensions (ED and Rehabilitation |         |         |       |
| 30 | 38)  |         |         |       |
| 31 | North Peninsula Community                    | 75,000  | 75,000  |       |
| 32 | Council - North Peninsula                    |         |         |       |
| 33 | Road Traffic Study (ED 7-9)                  |         |         |       |

|    | Appropriation               |         | General<br>Funds | Other<br>Funds |
|----|-----------------------------|---------|------------------|----------------|
|    | Allocations                 | Items   |                  |                |
| 1  |                             |         |                  |                |
| 2  |                             |         |                  |                |
| 3  | Kodiak Area Native          | 8,000   | 8,000            |                |
| 4  | Association (KANA)/ Akhiok  |         |                  |                |
| 5  | - Multipurpose Building     |         |                  |                |
| 6  | Remodel (ED 6)              |         |                  |                |
| 7  | Kodiak Area Native          | 5,000   | 5,000            |                |
| 8  | Association (KANA)/ Karluk  |         |                  |                |
| 9  | - Church Remodel (ED 6)     |         |                  |                |
| 10 | Kodiak Area Native          | 10,000  | 10,000           |                |
| 11 | Association (KANA)/ Old     |         |                  |                |
| 12 | Harbor - Preschool          |         |                  |                |
| 13 | Equipment and Repair (ED 6) |         |                  |                |
| 14 | Kodiak Area Native          | 7,000   | 7,000            |                |
| 15 | Association (KANA)/         |         |                  |                |
| 16 | Ouzinkie - Lead Paint       |         |                  |                |
| 17 | Abatement (ED 6)            |         |                  |                |
| 18 | Kodiak Area Native          | 15,000  | 15,000           |                |
| 19 | Association (KANA)/ Village |         |                  |                |
| 20 | Wide - Single Side Band     |         |                  |                |
| 21 | Radio Disaster Preparedness |         |                  |                |
| 22 | (ED 6)                      |         |                  |                |
| 23 | Kodiak College - Voc/Tech   | 30,000  | 30,000           |                |
| 24 | Center Review and           |         |                  |                |
| 25 | Development (ED 6)          |         |                  |                |
| 26 | Kodiak Maritime Museum -    | 10,000  | 10,000           |                |
| 27 | Maritime Guide Design and   |         |                  |                |
| 28 | Production (ED 6)           |         |                  |                |
| 29 | Kodiak Native Area          | 10,000  | 10,000           |                |
| 30 | Association - Village VPSO  |         |                  |                |
| 31 | Holding Cells (ED 6)        |         |                  |                |
| 32 | Matanuska Electric          | 200,000 | 200,000          |                |
| 33 | Association - Parks         |         |                  |                |

15 Adopted

22-GS2035B.2  
Kurtz  
5/12/02

AMENDMENT

OFFERED IN THE HOUSE

TO: HCS CSSB 247(FIN), Draft Version "B"

1 Page 101, following line 8:

2 Insert a new subsection to read:

3 "(f) Section 1, ch. 61, SLA 2001, page 20, lines 3 - 5, is amended to read:

| 4   | APPROPRIATION | GENERAL |
|---|---------------|---------|
| 5   | ITEMS         | FUND    |
| 6 Wesley Nursing Home [NEEDS FOR            | 50,000        | 50,000  |
| 7 LONG TERM COMMUNITY AND                   |               |         |
| 8 HOME BASED CARE] <u>Planning, Design,</u> |               |         |
| 9 <u>and Hazardous Material Remediation</u> |               |         |
| 10 (ED 7-9)"                                |               |         |

AMENDMENT

OFFERED IN THE HOUSE

- 1     \* Sec. A. DEFERRED MAINTENANCE. The sum of \$10,000,000 is appropriated from  
2 the general fund to the Department of Administration for deferred maintenance of state  
3 facilities. The Department of Administration may use these funds for deferred maintenance  
4 of state-owned facilities operated by other state departments.
- 5     \* Sec. B. The appropriation made by sec. A of this Act is for a capital project and lapses  
6 under AS 37.25.020.
- 7     \* Sec. C. Section A of this Act takes effect May 14, 2002.

AMENDMENT

OFFERED IN THE HOUSE

- 1     \* Sec. A. ALASKA DEBT RETIREMENT FUND. The sum of \$20,000,000 is  
2     appropriated from the general fund to the Alaska debt retirement fund (AS 37.15.011).  
3     \* Sec. B. The appropriation made by sec. A of this Act capitalizes a fund and does not  
4     lapse.  
5     \* Sec. C. Section A of this Act takes effect May 14, 2002.

A M E N D M E N T

OFFERED IN THE HOUSE

- 1     \* **Sec. A.** ALASKA MARINE HIGHWAY SYSTEM FUND. The sum of \$20,000,000 is  
2 appropriated from the general fund to the Alaska marine highway system fund  
3 (AS 19.65.060).  
4     \* **Sec. B.** The appropriation made by sec. A of this Act capitalizes a fund and does not  
5 lapse.  
6     \* **Sec. C.** Section A of this Act takes effect May 14, 2002.

22-GS2035\B.8  
Kurtz  
5/12/02

AMENDMENT

OFFERED IN THE HOUSE

TO: HCS CSSB 247(FIN), Draft Version "B"

1 Page 100, lines 4 - 6:

2 Delete "The unexpended and unobligated balance, not to exceed \$300,000, of that  
3 portion of the appropriation made in sec. 1, ch. 60, SLA 2001, page 4, line 25, and allocated  
4 on lines 27 - 28 (information services technology study - \$300,000) is reappropriated"

5 Insert "The sum of \$300,000 is appropriated from the general fund"

6

7 Page 131, line 3:

8 Delete "12(c),"

*Suicide Prevention Study*