

ALASKA LEGISLATURE

1855

HOUSE and SENATE FINANCE COMMITTEE FILES, 1999 - 2000

DHSS REPORT FOR ELECTION DISTRICT 39

REGION: BRISTOL BAY/BETHEL

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DADA	Community Based Suicide Prevention	Kipnuk Traditional Council	Kipnuk	CONT	\$15,000	Local Activities Promoting Wellness, Preventing Suicide	0.5	\$11,960	GF/IA/MH	\$15,000
DAS	Alaska Children's Trust	KYUK/ARCS	Bethel	CONT	\$22,311	Parenting and Adolescent Family Education	0.05	\$2,250	ACT	\$15,450
DADA	Community Based Suicide Prevention	Levelock Village Council	Levelock	CONT	\$12,000	Local Activities Promoting Wellness, Preventing Suicide	0.42	\$9,680	GF/IA/MH	\$12,000
DADA	Community Based Suicide Prevention	New Stuyahok Traditional Village	New Stuyahok	CONT	\$15,000	Local Activities Promoting Wellness, Preventing Suicide	0.38	\$9,688	GF/IA/MH	\$15,000
DADA	Community Based Suicide Prevention	Organized Village of Kwethluk (IRA)	Kwethluk	CONT	\$13,000	Local Activities Promoting Wellness, Preventing Suicide	0.36	\$10,620	GF/IA/MH	\$13,000
DADA	Community Based Suicide Prevention	Orutsamiut Native Council	Bethel	CONT	\$15,000	Local Activities Promoting Wellness, Preventing Suicide	0.3	\$10,584	GF/IA/MH	\$15,000
DFYS	Family Support/Family Preservation	Orutsamiut Native Council	Bethel	CONT	\$35,000	Parenting Classes/Parenting Support Groups	0.83	\$31,200	FED/GF	\$35,000
DPH	Health Promotion	RurALCAP	Alaknk/Ksiglk/ Npskiak/Nnptch	NEW	\$0	Community-Based Health Promotion	0	\$0	FED	\$25,000
DPH	Healthy Families	RurALCAP	Bethel	CONT	\$150,000	Healthy Families	3	\$114,000	FED/GF/IA/ MHTAAR	\$150,000
DADA	Community Based Suicide Prevention	Togiak, City of	Toglak	CONT	\$15,000	Local Activities Promoting Wellness, Preventing Suicide	0.2	\$8,200	GF/IA/MH	\$15,000
DFYS	Delinquency Prevention & Offender Intervention	Tundra Women's Coalition	Bethel	CONT	\$25,718	Teens Acting Against Violence	0.5	\$19,520	FED	\$25,718
DFYS	Delinquency Prevention & Offender Intervention	Tundra Women's Coalition	Bethel	CONT	\$20,470	Conflict Management	0.5	\$19,020	FED	\$20,470
DAS	Alaska Children's Trust	Tundra Women's Coalition	Bethel	NEW	\$0	Abuse Prevention Education	0.75	\$22,500	ACT	\$22,938
DPH	Women, Infants & Children	Yukon-Kuskokwim Health Corp.	Bethel	CONT	\$346,540	Supplemental Food Program	5.5	\$260,500	FED	\$359,450
DMHDD	Fish Disaster Grants	Yukon-Kuskokwim Health Corp.	Bethel	NEW	\$0	Crisis Management Training, Community Programs	0	\$0	GF/MH	\$57,926

DHSS REPORT FOR ELECTION DISTRICT 39

REGION: BRISTOL BAY/BETHEL

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DMHDD	Seriously Emotionally Disturbed Youth	Yukon-Kuskokwim Health Corp.	Bethel	CONT	\$490,700	Services to SED Youth	17	\$0	GF/MH	\$332,570
DPH	AIDS Prevention	Yukon-Kuskokwim Health Corp.	Bethel	CONT	\$15,000	AIDS Prevention	0	\$0	FED	\$15,000
DPH	Tobacco Prevention	Yukon-Kuskokwim Health Corp.	Bethel	CONT	\$5,000	Tobacco Prevention	0	\$0	FED/GF	\$12,500
DADA	Community Action Against Substance Abuse	Yukon-Kuskokwim Health Corp.	Bethel/Emmnk/ Mt.Village/St.M	NEW	\$30,000	Youth Prevention Services	0	\$0	GF	\$30,000
DADA	Rural Human Service System	Yukon-Kuskokwim Health Corp.	Yukon-Kuskokwim	CONT	\$66,342	Provide Human Services Locally	1.33	\$34,881	GF/MH	\$66,342
DADA	Rural Remote & Culturally Distinct	Yukon-Kuskokwim Health Corp.	Yukon-Kuskokwim	CONT	\$850,000	Outpatient Care, Aftercare	0	\$0	FED	\$850,000
DPH	Designated BRU Grant	Yukon-Kuskokwim Health Corp.	Yukon-Kuskokwim	CONT	\$2,774,100	Regional Health Services	42.25	\$2,108,575	GF	\$2,774,100
TOTALS for BRISTOL BAY/BETHEL							139.08	\$5,502,096		\$9,988,051

DHSS REPORT FOR ELECTION DISTRICT 40

REGION: ALEUTIANS

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DADA	Community Based Suicide Prevention	Akutan Traditional Council	Akutan	CONT	\$13,475	Local Activities Promoting Wellness, Preventing Suicide	0.15	\$4,343	GF/IA/MH	\$13,475
DPA	Temporary Assistance	Aleutian Pribilof Islands Association	Sand Point	NEW	\$0	Comprehensive Case Management	0.5	\$9,669	FED/GF	\$343,800
DPH	Women, Infants & Children	Aleutian/Pribilof Islands Association	Aleutian/Pribs	CONT	\$134,676	Supplemental Food Program	1.4	\$72,663	FED	\$121,329
DPH	Community Health Aide Training	Aleutian/Pribilof Islands Association	Anchorage	CONT	\$45,392	Health Aide Training	0	\$0	GF	\$45,392
DADA	Treatment Services	Aleutian/Pribilof Islands Association	Unalaska	CONT	\$73,000	Treatment Services	1.31	\$49,069	GF/MH	\$73,000
DADA	Community Based Suicide Prevention	Bristol Bay Borough	Naknek	CONT	\$13,000	Local Activities Promoting Wellness, Preventing Suicide	0.32	\$8,910	GF/IA/MH	\$13,000
DPH	Aid to Clinics	Eastern Aleutian Tribes	Akutan	CONT	\$19,185	Clinic Assistance Akutan	0	\$0	GF	\$10,304
DPH	Health Promotion	Eastern Aleutian Tribes	Aleutian Communities	NEW	\$0	Community-Based Health Promotion	0	\$0	FED	\$10,000
DADA	Rural Human Service System	Eastern Aleutian Tribes	Aleutians East	NEW	\$0	Provide Human Services Training & Staff	3	\$67,776	GF/MH/ MHTAAR	\$109,812
DMHDD	Fish Disaster Grants	Eastern Aleutian Tribes	False Pass, Nelson Lagor	NEW	\$0	Crisis Management Training, Community Programs	0	\$0	GF/MH	\$6,650
DADA	Treatment Services	Eastern Aleutian Tribes	Sand Point	CONT	\$14,400	Treatment Services	0	\$0	MHTAAR	\$2,500
DAS	Alaska Children's Trust	Eastern Aleutian Tribes	Sand Point	NEW	\$0	Parenting Education and Support	0.75	\$20,556	ACT	\$20,556
DMHDD	Community Mental Health Services	Eastern Aleutian Tribes	Sand Point	CONT	\$5,600	General Community Mental Health Services	0.08	\$5,021	GF/MH	\$5,600
DADA	Treatment Services	Eastern Aleutian Tribes	Sand Point	CONT	\$72,500	Treatment Services	1.25	\$67,567	GF/MH	\$72,500
DPH	Community Health Aide Training	Eastern Aleutian Tribes	Sand Point	CONT	\$45,392	Health Aide Training	0.46	\$34,917	GF	\$45,392
DMHDD	Services to the Chronically Mentally Ill	Eastern Aleutian Tribes	Sand Point	CONT	\$92,500	Evaluation, Diagnosis, Treatment & Case Management	1.2	\$72,803	GF/MH	\$92,500
DMHDD	Seriously Emotionally Disturbed Youth	Eastern Aleutian Tribes	Sand Point	CONT	\$33,900	Services to SED Youth	0.43	\$25,104	GF/MH	\$33,900
DMHDD	Psychiatric Emergency Services	Eastern Aleutian Tribes	Sand Point	CONT	\$192,100	Emergency Mental Health Services	2.5	\$148,116	GF/MH	\$192,100

DHSS REPORT FOR ELECTION DISTRICT 40

REGION: ALEUTIANS

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DADA	Community Based Suicide Prevention	False Pass, City of	False Pass	CONT	\$12,000	Local Activities Promoting Wellness, Preventing Suicide	0.14	\$3,580	GF/IA/MH	\$12,000
DMHDD	Psychiatric Emergency Services	Iliuliuk Center	Unalaska	CONT	\$49,000	Emergency Mental Health Services	2.1	\$34,791	GF/MH	\$49,000
DMHDD	Services to the Chronically Mentally Ill	Iliuliuk Center	Unalaska	CONT	\$9,000	Evaluation, Diagnosis, Treatment & Case Management	0.4	\$6,423	GF/MH	\$9,000
DMHDD	Seriously Emotionally Disturbed Youth	Iliuliuk Center	Unalaska	CONT	\$12,700	Services to SED Youth	0.7	\$11,775	GF/MH	\$16,700
DMHDD	Community Mental Health Services	Iliuliuk Clinic	Unalaska	CONT	\$300	General Community Mental Health Services	0.01	\$214	GF/MH	\$300
DADA	Community Based Suicide Prevention	King Cove, City of	King Cove	CONT	\$12,500	Local Activities Promoting Wellness, Preventing Suicide	0.32	\$7,500	GF/IA/MH	\$10,700
DPH	Aid to Clinics	King Cove, City of	King Cove	NEW	\$27,000	Clinic Assistance	0	\$0	GF	\$25,000
DADA	Community Based Suicide Prevention	King Salmon Village Council	King Salmon	CONT	\$13,000	Local Activities Promoting Wellness, Preventing Suicide	0.29	\$9,408	GF/IA/MH	\$13,000
DADA	Community Based Suicide Prevention	Newhalen Tribal Council	Newhalen	CONT	\$14,000	Local Activities Promoting Wellness, Preventing Suicide	0.43	\$9,900	GF/IA/MH	\$14,000
DADA	Community Based Suicide Prevention	Nondalton Tribal Council	Nondalton	CONT	\$14,000	Local Activities Promoting Wellness, Preventing Suicide	0.3	\$7,246	GF/IA/MH	\$14,000
DADA	Community Based Suicide Prevention	Qawalangin Tribe of Alaska	Unalaska	CONT	\$13,000	Local Activities Promoting Wellness, Preventing Suicide	0.07	\$2,742	GF/IA/MH	\$13,000
DPH	Aid to Clinics	Sand Point, City of	Sand Point	NEW	\$27,000	Clinic Assistance	0	\$0	GF	\$27,000
DADA	Community Based Suicide Prevention	South Naknek Village Council	South Naknek	CONT	\$13,155	Local Activities Promoting Wellness, Preventing Suicide	0.35	\$8,300	GF/IA/MH	\$12,787
DPH	Community Health Aide Training	St. George Traditional Council	St. George	NEW	\$0	Health Aide Training	0	\$0	GF	\$6,725
TOTALS for ALEUTIANS							18.47	\$688,393		\$1,435,122

DHSS REPORT FOR ELECTION DISTRICT 99

REGION: STATEWIDE

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DFYS	Governor's Conference on Youth and Justice	AK Council on the Prevention of ADA	Statewide	NEW	\$0	Positive Adolescent Choices Training	0	\$0	GF	\$500
DPH	AIDS Prevention	AIDS Care Network	Statewide	CONT	\$30,000	AIDS Prevention	0.25	\$7,284	FED	\$30,000
DADA	Alcohol & Drug Abuse Training Program	AK Comm. for Chemical Dependency	Statewide	CONT	\$19,963	Statewide Counselor Certification	0.23	\$8,900	GF	\$19,963
DPH	Rural Health	AK Office of Rural Health	Statewide	CONT	\$62,000	Rural Health	0	\$0	FED	\$63,000
DADA	Department of Corrections Treatment Beds	Akeela House	Anchorage/ Stwd	CONT	\$71,540	DOC Dedicated Beds	0	\$0	GF	\$71,537
DADA	Treatment Services	Akeela House	Statewide	CONT	\$640,510	Treatment Services	13.62	\$553,159	FED/GF/MH	\$640,510
DPH	Diabetes Control	Alaska Association of Diabetes Educators	Statewide	NEW	\$0	Diabetes Education	0.05	\$1,400	FED	\$5,000
DADA	Community Prevention Services	Alaska Council on Prevention of ADA	Statewide	CONT	\$50,000	Prevention Services	1	\$43,750	GF/MH	\$50,000
DADA	Community Prevention Services	Alaska Council on Prevention of ADA	Statewide	CONT	\$79,700	Prevention Services	1.05	\$46,625	MHTAAR	\$79,700
DPA	Community Emergency Food Programs	Alaska Food Coalition	Statewide	CONT	\$32,000	Food Bank of Alaska	0	\$0	FED/GF	\$90,000
DPH	Rural Health Ed/Screening	Alaska Health Fairs, Inc.	Statewide	CONT	\$41,000	Rural Health Fairs	0.7	\$21,500	FED	\$41,000
DPA	SSI Disability Benefits	Alaska Legal Services	Statewide	CONT	\$50,000	Legal Services to SSI Disability Benefit Recipients	0	\$34,000	GF	\$50,000
DPH	AIDS Prevention	Alaska Native Health Board	Anchorage	CONT	\$85,000	AIDS Prevention	1	\$44,250	FED	\$85,000
DPH	Cancer Registry	Alaska Native Health Board	Statewide	CONT	\$64,000	Cancer Data Registry	2	\$89,787	FED	\$166,200
DPH	Tobacco Tax	Alaska Pacific University	Statewide	NEW	\$0	Study on Impact of Tobacco Tax	0.5	\$34,600	FED/GF	\$59,900
DADA	Women & Children	Alaska Women's Resource Center	Statewide	CONT	\$303,647	Primary Women's Treatment Services	11.19	\$357,038	FED/GF/MH /IA	\$383,647
DPH	Tuberculosis Control	Anchorage Neighborhood Health	Statewide	CONT	\$63,000	TB Control	1	\$60,000	GF	\$63,000
DPH	Infant Learning Program	Anchorage School District	Statewide	CONT	\$150,500	Infant Learning Program	2	\$122,832	FED/GF/ MHTAAR	\$150,500

DHSS REPORT FOR ELECTION DISTRICT 99

REGION: STATEWIDE

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DADA	Department of Corrections Treatment Beds	Cook Inlet Tribal Council	Anchorage/Stwd	CONT	\$35,770	DOC Dedicated Bed's	0	\$0	GF	\$35,770
DADA	Treatment Services	Cook Inlet Tribal Council - ANARC	Statewide	CONT	\$387,554	Treatment Services	6.12	\$282,296	GF/MH	\$387,554
DAS	Alaska Children's Trust	Covenant House	Anchorage	CONT	\$28,000	Parenting Education and Support	0.6	\$18,950	ACT	\$21,000
DMHDD	Community Developmental Disabilities	Disability Law Center	Statewide	CONT	\$244,418	Protection & Advocacy Services	2.75	\$148,263	GF/MH/IA	\$244,418
DADA	Residential Women's Project	Fairbanks Native Association	Fairbanks/Stwd	CONT	\$995,936	Emergency Care, Intermediate Care	0	\$0	FED	\$163,930
DADA	Pregnant Postpartum Women's Project	Fairbanks Native Association	Fairbanks/Stwd	CONT	\$600,000	Resident Treatment for Pregnant & Postpartum Women	0	\$0	FED	\$600,000
DADA	Department of Corrections Treatment Beds	Fairbanks Native Association	Fairbanks/Stwd	CONT	\$53,655	DOC Dedicated Beds	0	\$0	GF	\$53,655
DADA	Department of Corrections Treatment Beds	Gastineau Human Services	Juneau/Stwd	CONT	\$35,770	DOC Dedicated Beds	0	\$0	GF	\$35,770
DMHDD	Community Developmental Disabilities	Northern Community Resources	Statewide	CONT	\$101,928	Program Standards/Program Review & Monitoring	0.5	\$16,341	GF/MH/IA	\$50,964
DPH	Special Needs Service	Northern Community Resources	Statewide	CONT	\$24,795	Review of ILP	0.25	\$6,600	FED	\$31,008
DADA	Department of Corrections Treatment Beds	Northern Lights Recovery Center	Nome/Stwd	CONT	\$17,385	DOC Dedicated Beds	0	\$0	GF	\$17,885
DADA	Treatment Services	Nugen's Ranch	Statewide	CONT	\$976,451	Treatment Services	20.02	\$723,631	FED/GF/MH, /MHTAAR	\$981,286
DMHDD	Community Developmental Disabilities	PARENTS, Inc.	Statewide	CONT	\$59,198	Statewide Services	0.5	\$19,283	GF/MH/IA	\$23,633
DADA	Alcohol & Drug Abuse Training Program	RADACT Training	Statewide	CONT	\$86,918	Substance Abuse Counselor Training	1.19	\$70,373	GF/MH	\$86,918
DADA	Community Prevention Services	RurALCAP	Statewide	CONT	\$25,000	Prevention Services	0	\$0	FED	\$25,000
DADA	Department of Corrections Treatment Beds	Safeharbor/KCA	Kodiak/Stwd	CONT	\$35,770	DOC Dedicated Beds	0	\$0	GF	\$35,770
DADA	Treatment Services	Salvation Army Clitheroe Center	Statewide	CONT	\$1,729,008	Treatment Services	76.05	\$1,566,016	FED/GF/MH /MHTAAR	\$1,785,291

DHSS REPORT FOR ELECTION DISTRICT 99

REGION: STATEWIDE

DIV	PROGRAM	GRANTEE	LOCATION	NEW/ CONT.	FY98 AWARD	SERVICE	FTE STAFF	AMT. PERS. SERVICES	FUND SOURCE	FY99 AMT. AWARDED
DADA	Women & Children	Salvation Army Clltheroe Center	Statewide	CONT	\$644,703	Women's Outpatient Services	20.13	\$543,833	FED/GF/MH	\$644,703
DADA	Department of Corrections Treatment Beds	Salvation Army Clltheroe Center	Anchorage/ Stwd	CONT	\$295,328	DOC Dedicated Beds	0	\$0	GF	\$295,328
DADA	Women & Children	SouthCentral Foundallon	Statewide	CONT	\$251,402	Women's Treatment Services	4	\$165,880	GF/MH/ MHTAAR	\$268,902
DPH	Infant Learning Program	Special Education Services Agency	Statewide	CONT	\$189,800	Infant Learning Program	3.1	\$170,900	FED/GF	\$192,800
DADA	Fetal Alcohol Syndrome Consultation & Training	Special Education Services Agency	Statewide	NEW	\$0	Training, consultation and support to school personnel,	1.19	\$74,247	MHTAAR	\$125,000
DPH	Special Needs Service	Stone Soup Group	Statewide	CONT	\$61,500	Children with Disabilities Svcs	0.67	\$30,265	FED	\$32,500
DADA	Community Prevention Services	Volunteers of America	Statewide	CONT	\$31,505	Prevention Services	0.65	\$28,591	GF	\$31,505
DADA	Department of Corrections Treatment Beds	Yukon-Kuskokwim Health Corp.	Bethel/Stwd	CONT	\$17,885	DOC Dedicated Beds	0	\$0	GF	\$17,885
TOTALS for STATEWIDE							172.31	\$5,290,594		\$8,237,932

PART III

NOTES -

ELECTION DISTRICTS AND STAFFING

NOTES -**1. ELECTION DISTRICT CODES**

The election district codes shown in the listing of grantees denote the service delivery areas.

Where the service delivery area includes more than one election district, the following codes were used:

<u>Area/Region</u>	<u>Districts</u>
Anchorage - Areawide	10 - 25
Fairbanks - Areawide	29 - 34
Mat-Su - Areawide	26 - 28
Juneau/Mendenhall/Lynn Canal	03 - 04
Statewide	99

2. STAFFING

Positions funded by Department of Health and Social Services grants are shown as full time equivalent (FTE) positions.

State of Alaska

Department of
Health & Social Services

Fiscal Year 2000
Budget Overview

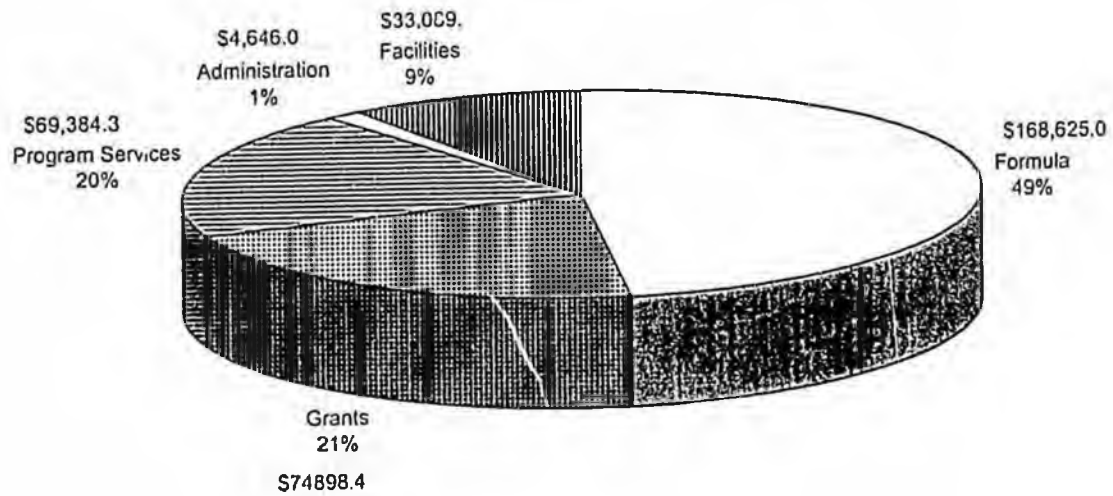


Tony Knowles
Governor

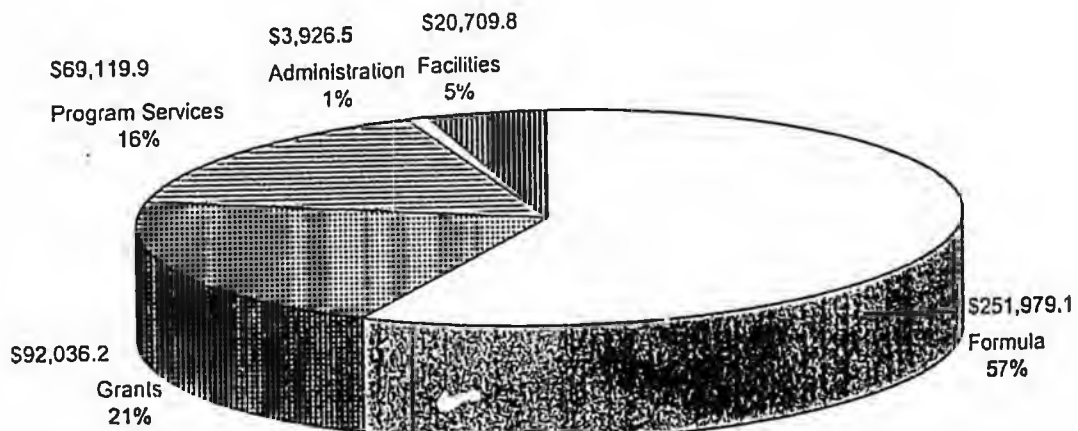


Karen Perdue
Commissioner

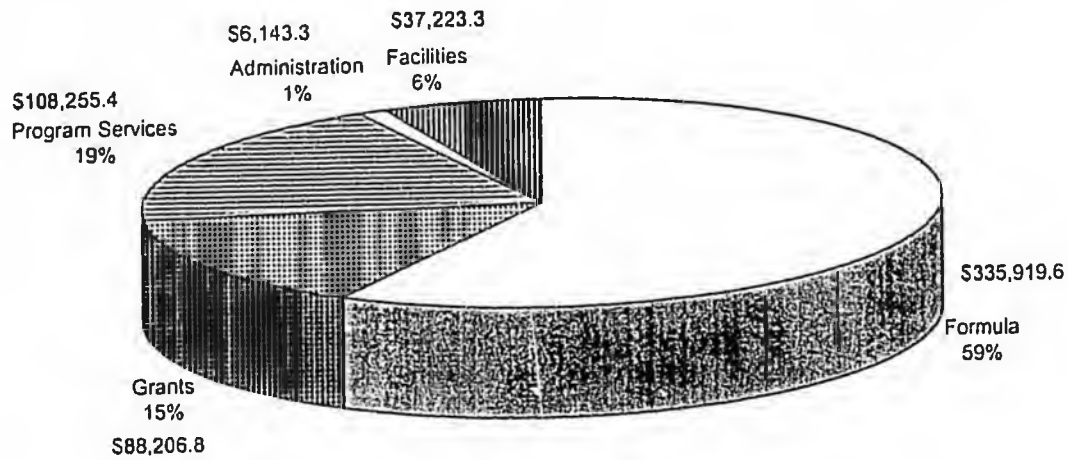
**FY91 General Fund by Expenditure Category
(Conference Committee)**



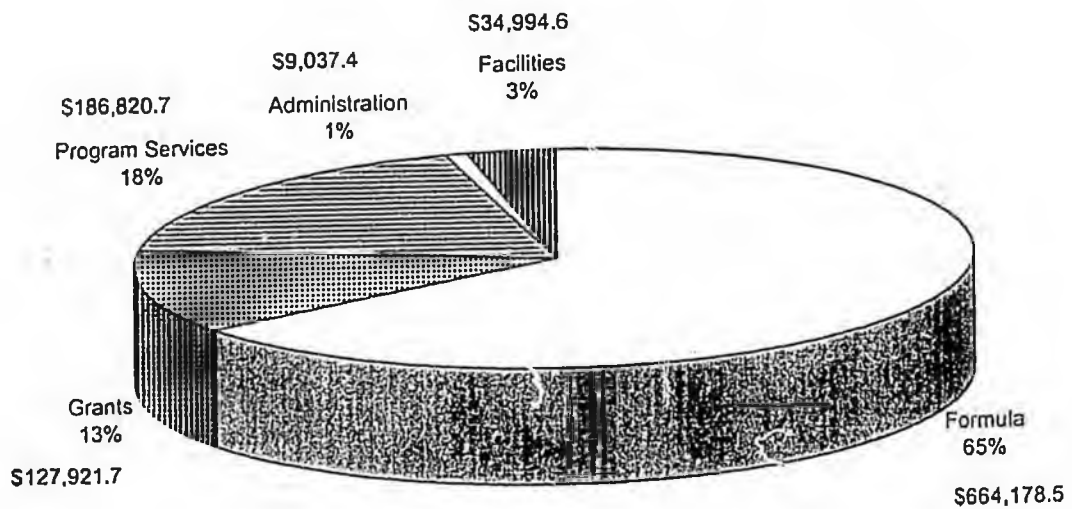
**FY2000 General Fund by Expenditure Category
(Governor's Request)**



FY91 Total Funds by Expenditure Category
(Conference Committee)



FY2000 Total Funds by Expenditure Category
(Governor's Request)



Formula Components
ATAP
Adult Public Assistance
General Relief Assistance
OAA-ALB Hold Harmless
PFD Hold Harmless
Medicaid Service
Chronic Acute Medical Assistance
Child Care Benefits
Foster Care Base Rate
Foster Care Augmented Rate
Foster Care Special Need
Foster Care AYI
Subsidized Adoption & Guardianship

Development of FY 2000 Governor's Budget Request

	GF	Total
FY99 Conference Committee Report	\$ 422,708.2	\$ 922,126.0
Fiscal Notes & Other Appropriations	2,644.1	15,890.3
FY99 Authorized Budget	425,352.3	938,016.3
Adjustments	0.0	2,581.7
Inter-Departmental Transfers	(1,080.1)	(1,080.1)
FY 2000 Adjusted Base	424,272.2	939,517.9
Increments and Decrements		
GF	\$13,499.3	
TSF	20,073.4	
MHTAAR	(379.7)	
FED	44,966.2	
OTH	5,275.8	
Total Increments	13,690.2	85,843.2
Total Decrements	(190.9)	(2,408.2)
FY 2000 Governor's Request	\$ 437,771.5	\$ 1,022,952.9
Net Agency Change (FY99 Auth to FY 2000 Request)	\$ 12,419.2	\$ 84,936.6
Net % Change	2.92%	9.05%
FY 2000 Positions	PFT	2250
	PPT	68
	TMP	18

Welfare Caseload

↓ 27%

(Dec 96 - Dec 98)

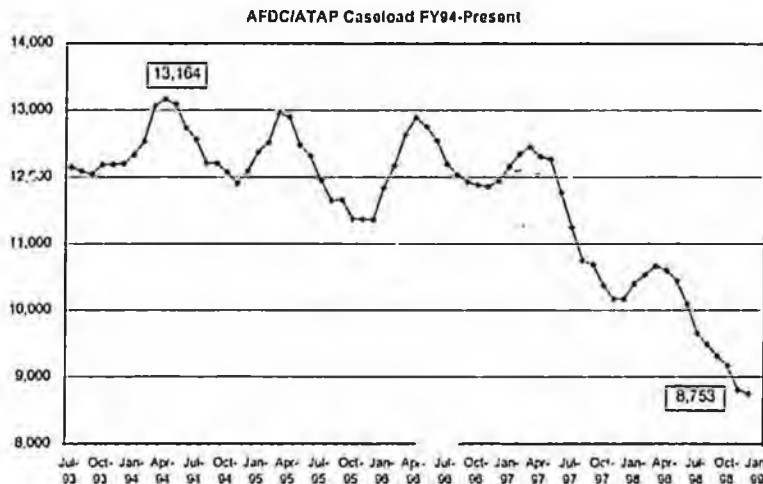
SPECIAL POINTS OF INTEREST:

- Welfare caseload has declined 14% from the past year.
- Welfare savings for Fiscal Year 1998 topped \$24 million.
- Since July, 1997, 3,300 families have left welfare for jobs.
- The welfare caseload has dropped to under 9,000 for the first time since 1991.

Caseload Down 27% in Five Years

In December 1998, the Temporary Assistance caseload declined to 8,753, its lowest point since February, 1991. This figure is 33% below the historical peak of 13,164 in April of 1994.

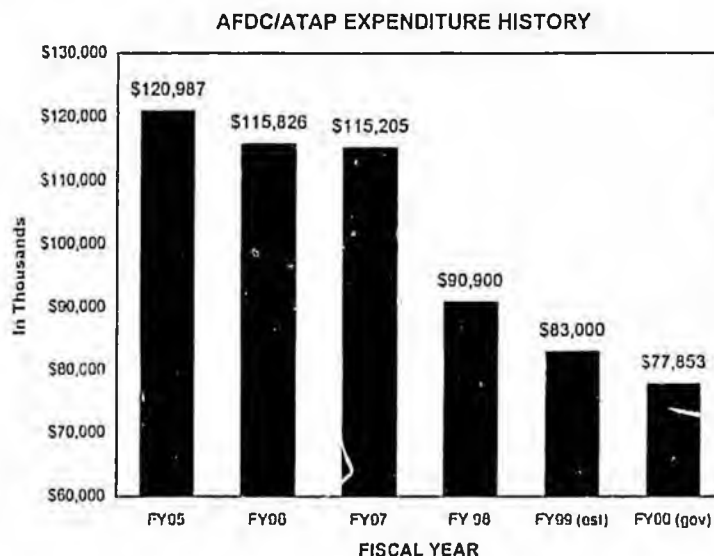
The decline began in February 1997 when the first ATAP provisions took effect and has continued interrupted only by the regular seasonal upswing during the winter months.



Spending Down 36% in Five Years

Spending on welfare payments is down. In FY98, these expenditures declined to \$90.9 million, a 21% reduction from FY97. Projected for FY99 are expenditures of \$83 million, and the Governor's request for FY2000 anticipates a further decline to \$77 million.

Lower expenditures can be attributed to more recipients leaving welfare for work, more recipients working, benefit cuts to two-parent families, and reductions due to lower housing costs.

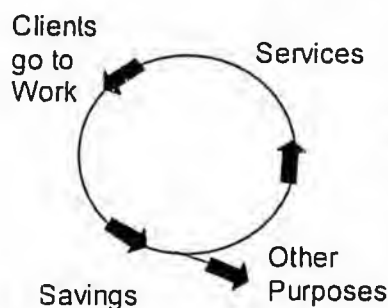


Spending down **\$37** Million from FY97

DIVISION OF PUBLIC ASSISTANCE

Welfare Reform Update

Reinvestments Critical to Welfare Reform



For the past few years, the State of Alaska has pursued a reinvestment strategy that has been critical to the early success of welfare reform. Under this strategy, as recipients leave the caseload, there are savings in benefit dollars. A portion of these savings are reinvested in services to help even more recipi-

ents off welfare, which in turn, results in more savings, and the cycle continues.

From FY97 thru FY99 the level of spending for welfare benefits will drop by an estimated \$37 million. From this savings pool, last session the legislature reinvested \$9.27 million in child care and work services for welfare recipients.

This year, the Governor has

requested a reinvestment of \$11.5 million which will help recipients achieve and sustain self-sufficiency and keep welfare benefit costs down.

The entire reinvestment is federal funds. State general funds for benefits and other services has reached the federally required minimum level.

Child Care Key to Gaining Self-Sufficiency

The Governor's FY2000 budget proposes reinvesting \$8.02 million into Child Care.

Providing access to child care is a key component in the state's efforts to move more parents into full-time jobs and more families toward self sufficiency.

Over the past four years, total spending on child care subsidies has grown by more than \$10 million -- to \$29 million in the current fiscal year. And, during that same period, the average number of children who receive subsidized

care has risen from about 5,900 to nearly 8,000 per month.

This year the governor has requested a reinvestment of \$7.0 million for child care subsidies and \$1.0 million for working parents and for improvements to the quality of child care.

Training opportunities are offered to more child care providers to improve the quality of care. Projects are under

way to increase the capacity of care for infants, toddlers, and school-age children.

A specific program has been developed to promote the care of young children with special needs.

The state's continued commitment to improving the quality, availability, and affordability of child care will help ensure that even more families are able to become self-sufficient.

Work Services Moves Recipients into Jobs

The Governor's FY2000 budget proposes reinvesting \$3.50 million into Work Services.

With welfare reform's concerted focus on moving welfare recipients into the workforce, it is evident there is greater need to help individuals with low skills, a lack of work history and other barriers from welfare to work. The services intended to help recipients into the workforce are referred to as Work Services.

Recognizing that many welfare recipients have substantial challenges to employ-

ment, Alaska's Work Services include programs for skill building, post-employment services, basic education, counseling, and training combined with work.

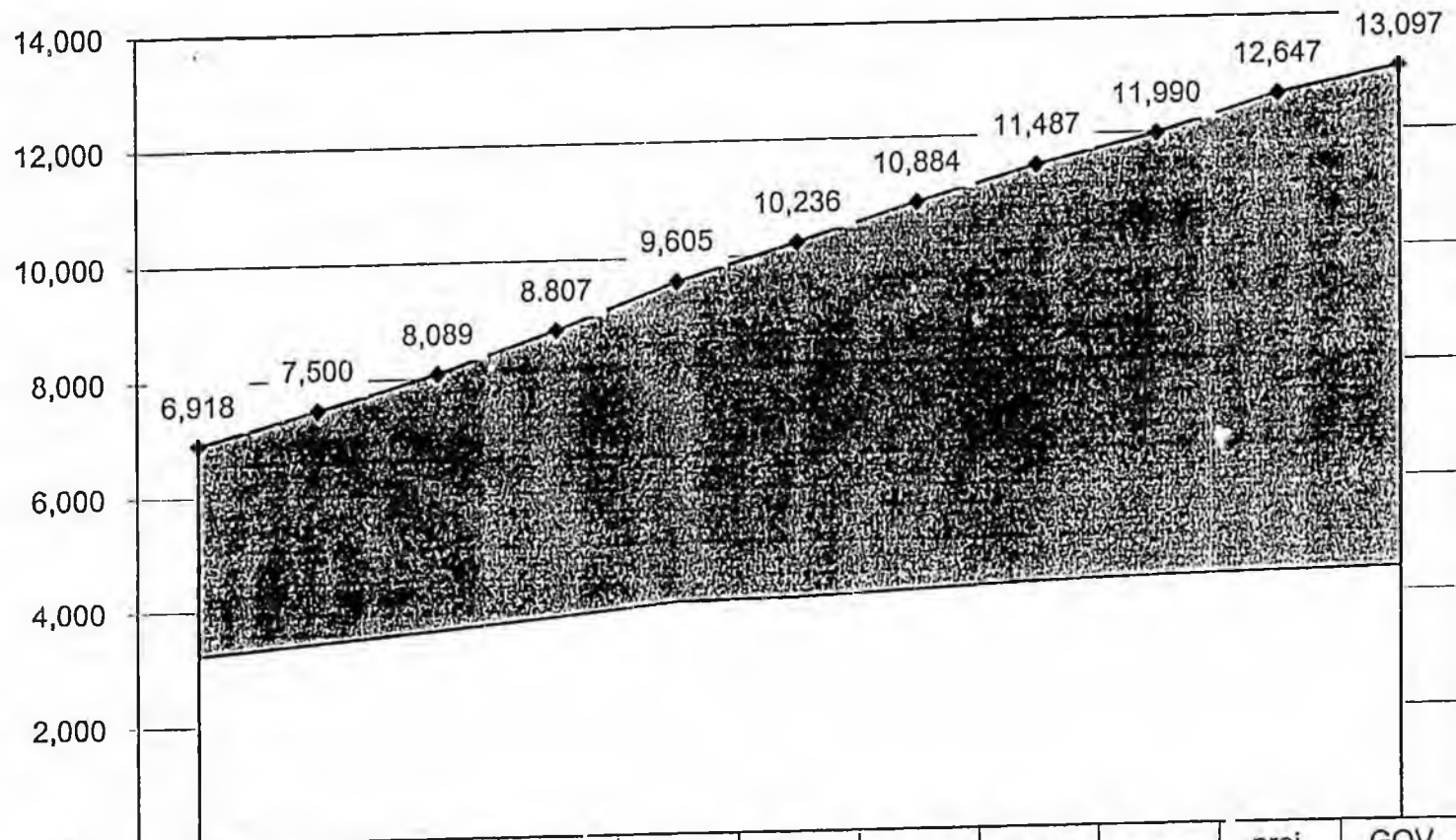
Recognizing the importance of community ownership, DPA has increased community based Work Services grants and contracts seven-fold since FY96.

Over 30 grants and contracts, blending Federal Welfare-to-

Work and State funds, provide case management, work search, and other services designed to move recipients into the workforce and off of public assistance.

This year the governor has requested \$3.5 million to expand case management and supportive services for recipients, to fortify the training of case managers, to assist in the transportation of welfare recipients to work, and to provide job training for needed occupations in Alaska.

APA Caseload Projection



	FY90	FY91	FY92	FY93	FY94	FY95	FY96	FY97	FY98	proj. FY99	GOV FY00
Aid to the Disabled or Blind	3,690	4,086	4,491	5,005	5,581	6,172	6,722	7,227	7,670	8,282	8,692
Old Age Assistance	3,228	3,414	3,598	3,802	4,024	4,064	4,162	4,260	4,320	4,365	4,405
FY Caseload avg	6,918	7,500	8,089	8,807	9,605	10,236	10,884	11,487	11,990	12,647	13,097

CHRONIC AND ACUTE MEDICAL ASSISTANCE (CAMA) PROGRAM

NEW PROGRAM WITH NO ABORTION SERVICES AUTHORIZED BY THE LEGISLATURE AS
REPLACEMENT TO THE GENERAL RELIEF ASSISTANCE (GRM) PROGRAM

WHO IS ELIGIBLE?

- ❖ A person with a monthly income of less than \$300 and assets of \$500.
- ❖ A person with an immediate need for medical care for a terminal illness, chemotherapy treatment for cancer, or a chronic condition such as diabetes, a seizure disorder, and chronic mental illness, or hypertension

WHAT SERVICES ARE COVERED?

- ❖ Hospital, nursing home care, physician services, laboratory, x-rays, prescription drugs, and medical transportation.
- ❖ Physician services are limited to 12 visits per year and hospitalization is limited to eight days per year.
- ❖ A CAMA recipient must pay \$50 per day up to a maximum of \$200 per hospital admission, and \$1 copayment on each prescribed drug or medical supply.
- ❖ Payment for facility services is limited to 28.7% of the Medicaid rate.

FY98 DEMOGRAPHICS OF THE CAMA PROGRAM (FORMERLY GRM)

- ❖ 76% are White, 9% are Black, 4% are Hispanic
- ❖ 61% are between the ages of 21 and 44; 37% are between the ages of 45 and 64.
- ❖ 45% reside in Anchorage, 12% in Fairbanks, 9% in Wasilla, 4% each in Juneau and Palmer

FY98 – FY2000 EXPENDITURES (ACTUAL AND PROJECTED)

FY98	\$3,174.1	Actual expenditures (GRM w/o Abortion Services)
FY99	\$3,100.0	Projected expenditures (FY99 Authorized \$1,900.0)
FY2000	\$3,537.5	Governor's Request

FY2000 MEDICAID SERVICES BASE PROGRAM ANALYSIS

	<u>Elderly</u>	<u>Disabled</u>	<u>Children</u>	<u>Adults</u>	<u>Total Funds</u>
<u>FY98 Actual Served</u>					
FY98 Eligible Beneficiaries (actuals)	5,991	9,616	51,539	21,699	
Cost Per Beneficiary	\$9,948	\$12,734	\$2,135	\$2,479	<u>\$ 345,889,642</u>
<u>FY99 Authorized (Projection)</u>					
FY99 Eligible Beneficiaries (Aug-98)	6,175	10,152	52,265	21,617	
FY99 Authorized Budget per Beneficiary	\$9,968	\$12,590	\$2,164	\$2,622	<u>\$ 359,135,100</u>
<u>Projected FY00 Base Medicaid Program</u>					
FY00 Projected Beneficiaries	6,364	10,717	53,001	21,535	
FY00 Cost per Beneficiary	\$10,207	\$13,127	\$2,196	\$2,661	<u>\$ 379,302,280</u>
<u>Total Projected Base Program Growth FY99 - FY00</u>					
Beneficiaries Growth	189	565	736	-82	
% Change Beneficiaries	3.06%	5.57%	1.41%	-0.38%	
Incremental Cost per Beneficiaries	\$238	\$537	\$32	\$39	
% Change Cost per Beneficiaries	2.39%	4.27%	1.46%	1.47%	
Change in Total Funds	\$ 3,404,948	\$ 12,848,635	\$ 3,288,736	\$ 624,861	<u>\$ 20,167,180</u>
% Change in Total Funds	5.5%	10.1%	2.9%	1.1%	<u>5.62%</u>

Cost Containment Areas - FY2000

- ✦ Limiting service scope and duration
- ✦ Increasing provider surveillance

FY99 Authorized	\$ 359,135,100
Formula Growth	\$ 20,167,180
Cost Containment	<u>\$ (7,376,100)</u>
Governor's Request	\$ 371,926,180

FY1998 Medicaid Expenditures by Provider/Service Type

Category of Service	Expenditures (in thousands)	Expenditure Percent
Hospitals*	\$98,352.6	25%
Nursing Homes**	\$42,803.7	11%
Inpatient Psychiatric***	\$38,464.7	10%
Mental Health Clinics	\$35,956.0	9%
EPSDT	\$13,558.5	4%
Physicians*	\$57,820.1	15%
Pharmacy	\$30,781.0	8%
Waivers	\$25,035.0	6%
Other Services	\$31,910.8	8%
Transportation	\$12,250.3	3%
Total	\$386,932.7	99%

* Indian Health Service Pmts Included

** Includes \$469,679 GF match in DMHDD Budget

*** Includes \$13.7 million GF match in DMHDD Budget

INPATIENT FACILITIES
EXPENDITURES AND PATIENT DAYS UTILIZED
FISCAL YEARS 1996 THRU 1998
 (Based on Dates of Service)

INSTITUTION	*TOTAL DAYS FY 96	AVG DAILY COST	**TOTAL PAID FY 96	*TOTAL DAYS FY 97	AVG DAILY COST	**TOTAL PAID FY 97	*TOTAL DAYS FY 98	AVG DAILY COST	**TOTAL PAID FY 98
Alaska Children's Srvs.	14,583	\$253	\$3,687,020	12,249	\$250	\$3,064,432	9,355	\$251	\$2,346,594
Alaska Psychiatric	723	\$491	355,347	754	\$529	398,716	1,425	\$643	915,868
Alaska Regional	4,551	\$1,333	6,064,926	5,110	\$1,561	7,976,010	3,619	\$1,493	5,403,457
Bartlett Memorial	1,723	\$1,039	1,790,317	1,699	\$1,125	1,911,479	1,066	\$1,163	1,239,720
Central Peninsula	1,469	\$1,232	1,809,357	1,482	\$1,163	1,723,987	1,380	\$1,219	1,682,041
Charter North	6,723	\$802	5,391,700	7,194	\$765	5,502,219	8,513	\$768	6,537,669
Cordova Community	45	\$1,206	54,256	37	\$923	34,133	32	\$961	30,751
Fairbanks Memorial	4,895	\$945	4,623,411	5,428	\$1,104	5,995,172	4,675	\$1,206	5,637,143
Ketchikan General	991	\$1,128	1,117,969	1,116	\$1,164	1,299,525	922	\$1,143	1,053,718
Kodiak Island	614	\$1,204	739,428	663	\$1,287	853,163	540	\$1,353	730,441
North Star	3,917	\$884	3,461,063	931	\$855	796,110	1,107	\$254	281,265
Norton Sound	305	\$1,437	438,208	245	\$1,613	395,197	293	\$1,706	499,751
Petersburg General	55	\$1,062	58,433	20	\$1,289	25,781	38	\$1,010	38,385
Providence	16,352	\$1,455	23,796,218	17,363	\$1,620	28,122,618	15,832	\$1,474	23,331,368
Providence Seward	45	\$1,462	65,776	23	\$1,050	24,150	21	\$1,499	31,474
Sitka Community	221	\$1,306	288,531	208	\$1,184	246,339	120	\$1,266	151,946
South Peninsula	635	\$1,151	730,834	752	\$1,259	946,826	524	\$1,541	807,586
Valdez Community	44	\$1,298	57,113	101	\$999	100,915	82	\$1,081	88,643
Valley	1,892	\$1,326	2,508,830	1,938	\$1,482	2,872,244	1,839	\$1,291	2,373,659
Wrangell General	45	\$1,489	\$66,990	55	\$1,552	\$85,363	46	\$1,242	\$57,136
Totals	59,828	\$954	\$57,105,727	57,368	\$1,087	\$62,374,378	51,429	\$1,035	\$53,238,615
%change from previous	-6%	-3%	-8%	-4%	14%	9%	***-10%	-5%	***-15%

*Excludes EMC crossover days

**Excludes crossover expenditures

***Because of processing delay time FY98 information may not be truly representative.

LONG-TERM CARE FACILITIES
EXPENDITURES AND PATIENT DAYS UTILIZED
FISCAL YEARS 1996 THRU 1998
(Based on Dates of Service)

INSTITUTION	*Total Days FY96	Ave Daily Cost	**Total Paid FY96	*Total Days FY 97	Ave Daily Cost	**Total Paid FY 97	*Total Days FY 98	Ave Daily Cost	**Total Paid FY 98
SNF and ICF Facilities:									
Cordova Comm. Hosp.	2,859	\$392	\$1,119,686	3,143	\$398	\$1,251,573	3,189	\$406	\$1,294,585
Denali Center	24,071	\$263	6,339,772	22,148	\$291	6,455,519	21,259	\$305	6,474,426
Harborview Dev. Center	5,384	\$298	1,603,892	3,689	\$320	1,181,048	321	\$391	125,607
Heritage Place	13,938	\$154	2,149,315	14,031	\$157	2,204,542	13,095	\$157	2,061,287
Ketchikan Gen. Hospital	4,954	\$307	1,520,975	4,666	\$320	1,494,706	4,181	\$319	1,335,292
Kodiak Island Hosp.	4,296	\$294	1,263,407	4,417	\$380	1,677,654	4,199	\$357	1,499,673
Kotzebue Sr. Center	0	\$0	0	0	\$0	0	0	\$0	0
Mary Conrad	30,751	\$200	6,156,705	29,617	\$206	6,108,986	28,880	\$209	6,037,703
Petersburg Gen. Hosp.	4,196	\$263	1,104,622	4,256	\$258	1,097,170	4,509	\$275	1,239,114
Providence Extended Care	68,375	\$192	13,139,104	62,028	\$197	12,200,072	62,148	\$203	12,587,845
Quyaana Care Center	5,052	\$448	2,261,137	5,104	\$475	2,426,136	4,804	\$534	2,564,395
South Peninsula Hosp.	6,682	\$349	2,329,810	6,749	\$301	2,032,611	6,576	\$316	2,076,116
Sitka Comm. Hosp.	1,608	\$216	347,382	1,197	\$396	473,963	1,401	\$521	729,306
St. Ann's Nursing Home	12,001	\$222	2,659,913	10,873	\$225	2,444,571	10,662	\$231	2,467,716
Wesleyan Nursing Home	15,422	\$193	2,978,125	13,159	\$196	2,584,082	10,617	\$212	2,249,711
Wrangell Gen. Hosp.	3,945	\$271	1,070,937	3,936	\$277	1,090,395	3,612	\$289	1,045,393
Subtotal ICF & SNF	203,534	\$226	\$46,044,778	189,013	\$237	\$44,723,029	179,453	\$244	\$43,788,171
ICF/MR Facilities:									
Harborview Dev. Center	8,302	\$416	3,453,031	4,746	\$455	2,159,478	1,128	\$557	628,317
Hope Cottages, Inc.	13,823	\$357	4,929,592	0		0	0		0
Subtotal ICF/MR	22,125	\$379	\$8,382,622	4,746	\$455	\$2,159,478	1,128	\$557	628,317
Totals	225,659	\$241	\$54,427,401	193,759	\$242	\$46,882,507	180,581	\$246	\$44,416,488
% Change	0%	9%	0%	-14%	0	-14%	-7%	2%	-5%

(Admin. Wait Days Not Included, GRM days included above)

*Excludes EMC Medicare crossovers

**Excludes Medicare crossovers

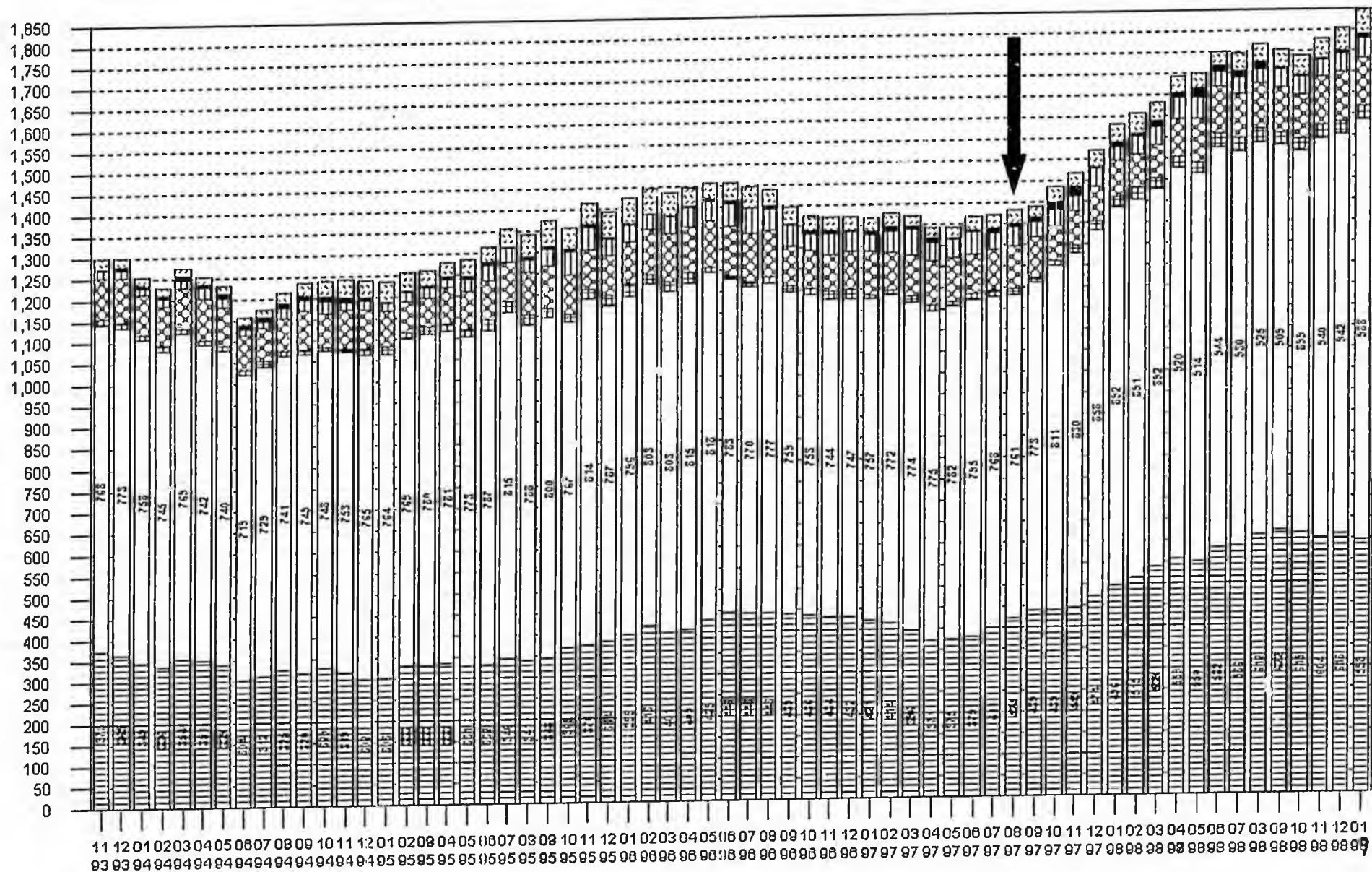
***Because of processing delay time FY98 information may not be truly representative.

SNF: Skilled Nursing Facility

ICF: Intermediate Care Facility

ICF/MR: Intermediate Care Facility for the Mentally Retarded

Family Services Children in Out of Home Care by Placement Category November 1993 through January 1999



Relative Home
 Foster Home
 Medical Facility
 Residential Care
 Detention Facility
 Corrections Facility
 Other

Source: Division of Family and Youth Services - PROBER

Youth Facility Current and Planned Capacity

Facility	Existing Capacity	New Beds	New Staff	Facility Open	Total Beds
McLaughlin Youth Center	170	30	19	May 2000	200
Fairbanks Youth Facility	40	4	0		44
Johnson Youth Facility	8	22	14	Feb 1999	30
Bethel Youth Facility	19	0	0		19
Nome Youth Facility	6	4	4	Feb 1999	10
Mat-Su Youth Facility	0	15	18	Apr 2000	15
Total	243	75	55		318

**DEPARTMENT OF HEALTH AND SOCIAL SERVICES
REPORT TO THE LEGISLATURE ON PERFORMANCE MEASURES**

JANUARY 1999

SUBMITTED BY

COMMISSIONER KAREN PERDUE

PROGRAM AND MISSION

Alaska Temporary Assistance Program: To provide needy Alaskan families the financial assistance for which they qualify and to assist clients in reaching economic self-sufficiency.

Measure	Status	Data
<p>Caseload Comparison: Change in Adult ATAP caseload compared to change in unemployment rate.</p> <p>Measure is expressed as a comparison between the change in caseload and the change in unemployment during a fiscal year. The measure reveals the relationship between these two indicators.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available 	<p>FY98 Unemployment change = -16% ATAP Caseload Change = -14%</p> <p style="text-align: center;">ALASKA AFDC/ATAP CASELOAD VS. ALASKA UNEMPLOYMENT RATE</p> <p style="text-align: center;">→ AFDC/ATAP cases — Unemployment Rate</p>
Measure	Status	Data
<p>Case Closure 1: ATAP cases closed with earnings compared to total ATAP caseload.</p> <p>Measure provides the total number of cases that are closed with earnings as compared to the total ATAP cases closed and is expressed as a percentage on a quarterly basis.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available 	<p>January 199838%</p> <p>March 199836%</p> <p>June 199842%</p> <p>September 199846%</p> <p>December 199846%</p>

Measure	Status	Data
<p>Case Closure 2: ATAP cases closed with earnings and child support compared to total.</p> <p>Measure provides a comparison among factors that relate to case closure and is expressed as a percentage on a quarterly basis. This measure is closures with earnings and child support and is expressed as a percentage that describes the percent of total cases closed that had both earnings and child support.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	January 19986% March 19985% June 19985% September 19985% December 199810%
Measure	Status	Data
<p>Case Closure 3: ATAP cases closed due to earnings without child support.</p> <p>Measure provides a comparison among factors that contribute to case closure. This measure is closures due to earnings without child support. The measure describes the percent of total cases closed that had earnings but no child support</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	January 199832% March 199831% June 199837% September 199841% December 199836%

Measure	Status	Data
<p>Earned Income: ATAP cases with earned income compared to total ATAP caseload.</p> <p>Measure is expressed as a percentage on a quarterly basis and provides a measure of the number of cases with earned income compared to the total number of cases. An increasing percentage demonstrates success in work support efforts.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	<p>January 199829%</p> <p>March 199830%</p> <p>June 199834%</p> <p>September 199836%</p> <p>December 199837%</p>

Measure	Status	Data
<p>Employment Length: ATAP clients employed longer than three, six, twelve, eighteen months compared to total ATAP caseload.</p> <p>Measure compares groups with differing lengths of employment and provides a measurement of job retention of temporary assistance adults.</p>	<input checked="" type="checkbox"/> Measure Defined <input type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: The way this measure is worded does not allow for calculation and presentation. We recommend changing this measure to mirror the Federal High Performance Bonus measure on job retention which is a fiscal year measure.

Measure	Status	Data
<p>Wages: ATAP clients average hourly wage.</p> <p>Measure provides a trend of the average hourly wage for work program ATAP clients. Measure is expressed as an average on a quarterly basis.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	<p>January 1998\$8.56</p> <p>March 1998\$8.40</p> <p>June 1998\$8.25</p> <p>September 1998\$8.13</p> <p>December 1998\$8.28</p>
Measure	Status	Data
<p>Payment Accuracy: ATAP payment accuracy rate.</p> <p>Measure is expressed as a percentage during a fiscal year and provides a measure of quality as it relates to the eligibility determination and payment process.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	<p>FY1997 – 98%</p> <p>FY1998 – 98%</p>

PROGRAM AND MISSION

Medicaid Services: The mission of the Medicaid program is to maintain access to quality health care for all Alaskans and to provide health coverage for needy Alaskans.

Measure	Status	Data
<p>Provider Enrollment: Percent of Alaska Providers, by type and region, participating in the Medical Assistance program in the previous fiscal year.</p> <p>Measure expressed as a percentage that compares the number of providers participating in the Medicaid program to the total number of providers in the State of Alaska.</p>	<p><input checked="" type="checkbox"/> Measure Defined</p> <p><input checked="" type="checkbox"/> Data Development</p> <p><input checked="" type="checkbox"/> Baseline Defined</p> <p><input type="checkbox"/> Baseline Data Available</p> <p><input type="checkbox"/> Comparison Data Available</p>	

Additional Explanation or Comments: The division has limited its initial efforts to developing provider participation measures for certain mandatory services including hospitals (inpatient and outpatient), long-term care facilities, and physicians. In subsequent periods, the Division will expand this effort to include other provider types. Data sources: Medicaid Management Information System (MMIS) Provider enrollment file, Occupational Licensing health practitioners licensing records, PHS/IHS health personnel data, VA and military health personnel data. The Division has secured the MMIS and licensing data and is developing a method for matching individual providers from these sources. Other data are pending. Baseline period is FY 98.

Measure	Status	Data
<p>Client Enrollment: Percent of needy Alaskans as defined in AS 47.07.010 who are enrolled or have other health coverage: percent children; percent adults; percent seniors; percent disabled.</p> <p>Measure(s) expressed as a percentage that compare the total number of persons who are enrolled in the Medicaid Program compared to the total number of all persons in Alaska who meet Medicaid eligibility standards but who are not enrolled; comparisons by beneficiary category; elderly, disabled, children and adults.</p>	<input checked="" type="checkbox"/> Measure Defined ⁽¹⁾ <input type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: (1) Measures that compare Medicaid beneficiaries with the total population of "needy" Alaskans present unique problems in that no reliable estimates of the number of Alaskans in poverty by demographic characteristics are available. The Division continues to research the availability of baseline studies and/or data regarding the poor in Alaska. To date the most likely data source will be the 2000 Census. Data source to date includes the MMIS Recipient Eligibility file for FY 98.

Measure	Status	Data
<p>Licensure Surveys: Percent of licensure surveys conducted in nursing homes annually, hospitals bi-annually, and home health agencies annually.</p> <p>Measure is expressed as a percentage and compares the number of provider/facility type licensure surveys conducted during the period specified to the total number of providers or facilities of that type subject to licensure surveys.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	<p>Annual Surveys Completed - FY 98</p> <p>Nursing Homes - Surveyed 100%</p> <p>Home Health Agencies - Surveyed 86%</p> <p>Biannual Surveys - FY 98 - 99 To Date</p> <p>Hospitals - Surveyed 32%</p>

Additional Explanation or Comments: Comparisons include surveys for Nursing Homes and Home Health Agencies in FY 98 and for Hospitals from Jul-97 thru Dec-98.

PROGRAM AND MISSION

Youth Services: The mission of youth corrections is to protect the public from, and reform, juvenile offenders.

Measure	Status	Data
<p>Percent of juvenile intakes completed in 30 days or less will improve from the current baseline of 55% in order to ensure swift action and promote accountability.</p> <p>This measure rates the Division's ability to respond to delinquency referrals within thirty (30) days of receipt from a law enforcement agency. It is based on the length of time between the date DFYS received a delinquency report and the date an intake decision is determined.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>First Quarter, Fiscal Year 1999 ... 86%</p>

Additional Explanation or Comments: The baseline of 55% was based on FY97 delinquency referrals. Unfortunately, the prevailing data entry procedures during this time do not allow for accurate and meaningful reporting of this measure. Data entry procedures were changed on July 1, 1998, which allows for accurate reporting of this measure. 1st Quarter data from FY 99 will thus be used as a baseline.

NOTE: This 1st quarter figure of 86% should be considered preliminary since, when the data was obtained, there were still 478 (about 23% percent of the total) referrals where an intake decision had not yet been determined. Sometime during the second week of February, 1st quarter figures will be recalculated.

Measure	Status	Data
<p>Percent of referrals to youth corrections that will be met with an active response to include either a conference, referral for services, informal supervision or formal court action will improve from the current baseline of 92%.</p> <p>This measure rates the Division's ability to respond actively to delinquency referrals. It is based on percentage of delinquency referrals that were closed at the intake determination point with an outcome of something other than Adjust with a Letter.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>1st Quarter, Fiscal Year 1999 95%</p>

Additional Explanation or Comments: The baseline of 92% was based on FY97 delinquency referrals. An intake decision was not determined for all of the FY97 delinquency referrals at the time this baseline was established. 1st Quarter data from FY 99 will thus be used as a baseline.

NOTE: This 1st quarter figure of 95% should be considered preliminary since, when the data was obtained, there were still 478 (about 23% percent of the total) referrals where an intake decision had not yet been determined. Sometime during the second week of February, 1st quarter figures will be recalculated.

Measure	Status	Data									
<p>Percent of restitution paid will be at least 80% of the amount ordered and the number of community work service hours completed will be at least 80% of the number of hours ordered.</p> <p>It is the belief of Youth Corrections that an essential aspect of rehabilitation of juvenile offenders is being held accountable for their actions, and making amends to the victim. Paying restitution for damages caused as a result of their crime is part of this. Community Work Service is also an important element of being held accountable. Community Service Work also serves the function of having youth participate with other community and/or social service agencies, and raising the offenders awareness and sense of being a part of the larger society.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	<table border="0"> <thead> <tr> <th></th> <th>Restitution</th> <th>Work Service Hours</th> </tr> </thead> <tbody> <tr> <td>Baseline</td> <td>80%</td> <td>80%</td> </tr> <tr> <td>1st Qtr, FY99</td> <td>79%</td> <td>83%</td> </tr> </tbody> </table>		Restitution	Work Service Hours	Baseline	80%	80%	1st Qtr, FY99	79%	83%
	Restitution	Work Service Hours									
Baseline	80%	80%									
1st Qtr, FY99	79%	83%									

Additional Explanation or Comments: The baseline for this measure is 1st quarter FY 99 data, since the information was not tracked prior to this period.

Measure	Status	Data
<p>Reading and math grade levels for youth in institutional programs will improve by 1.25 months for every month a youth is in the school program.</p>	<input checked="" type="checkbox"/> Measure Defined <input type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments:

Information received from education specialists suggest that this may be an attainable measure for those residents who are significantly behind in school but not for those residents who are at or above appropriate grade level upon entry into the program. Continued refinement of this measure will be required and is in progress.

Measure	Status	Data
<p>Number of events of escapes from institutions will be maintained or reduced as measured against the historic pattern averaged over the last three year period of 9 per year.</p> <p>Escapes are defined as a resident leaving an institutional program or a staff-supervised activity (i.e. court, medical etc.) without permission.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available	<p>Previous 3 Years.....9 escapes per year on average</p> <p>1st Qtr, FY99 1 escape</p> <p>2nd Qtr, FY99 1 escape</p>

Additional Explanation or Comments: It is the duty of Youth Corrections to protect the public from youth who have been deemed by the courts to be in need of a locked facility, to meet this duty improvements have been made in security procedures, and general policy and procedure.

Measure	Status	Data
<p>Percent of residents leaving institutions receiving aftercare services will increase from the current baseline of 47%.</p> <p>This measure rates the Division's ability to provide follow-up services to youth released from secure juvenile institutions. This could include the use of electronic monitoring; referral to vocational and educational services; intensive supervision and individual contact with a case manager; delivery of group and/or individual sessions focused on transitioning successfully into the community.</p>	<p><input checked="" type="checkbox"/> Measure Defined</p> <p><input checked="" type="checkbox"/> Data Development</p> <p><input checked="" type="checkbox"/> Baseline Defined</p> <p><input checked="" type="checkbox"/> Baseline Data Available</p> <p><input checked="" type="checkbox"/> Comparison Data Available</p>	<p>Baseline.....47%</p> <p>1st Qtr, FY9967%</p> <p>2nd Qtr, FY9958%</p>

Additional Explanation or Comments: The baseline of 47% is based on FY 97 data and represents only those juveniles released from McLaughlin Youth Center, since this facility is the only one in the state that has a formalized aftercare program for youth being released from the institution.

The goal is to broaden the availability of aftercare services to the remaining juvenile treatment facilities in the state, including Fairbanks, Bethel and Juneau. The actual delivery of these services in these sites will be a process that occurs over time.

Measure	Status	Data
<p>Recidivism data will be maintained for both probation field services and all juvenile facilities including the aftercare component, and the current recidivism rate will be maintained or decrease from the established baseline.</p> <p>This measure provides information about whether juveniles who come in contact with Youth Corrections (through an arrest by law enforcement for a delinquent offense) do so more than once. It is thus a measure of whether a youth is reoffending following contact with the array of services that may be received from Youth Corrections. This performance measure is in fact two components of the overall measure of recidivism. To facilitate ease of discussion and understanding, recidivism data for probation field services and data for juvenile facilities will be referred to as separate measures.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: Reoffense data for youth who get referred to Youth Corrections are not yet available. A protocol for collecting this information has been developed and the computer programs to extract the data from the MIS system are being written by the Division's research analyst. It is anticipated that recidivism information for probation youth will be available by later on in the fiscal year.

Measure	Status	Data
<p>Recidivism data will be maintained for both probation field services and all juvenile facilities including the aftercare component, and the current recidivism rate will be maintained or decrease from the established baseline.</p> <p>This measure provides information about whether juveniles who come in contact with Youth Corrections (through an arrest by law enforcement for a delinquent offense) do so more than once. It is thus a measure of whether a youth is reoffending following contact with the array of services that may be received from Youth Corrections. This performance measure is in fact two components of the overall measure of recidivism. To facilitate ease of discussion and understanding, recidivism data for probation field services and data for juvenile facilities will be referred to as separate measures.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>Baseline, 1987-1996 44.4%</p>

Additional Explanation or Comments: Reoffense data has been maintained for those youth being released from McLaughlin Youth Facility for 10 years. For this recidivism study the criteria required to be considered a recidivist are that the resident 1) obtains a new juvenile or criminal conviction, or 2) be re-institutionalized (in a long term Youth Corrections facility). The data are collected after the resident has been released from a long term youth corrections for two years. Adult criminal records and Department records are reviewed for each former resident and the data compiled from these.

The baseline is established using McLaughlin data for the years 1987 through 1996. The recidivism for residents released during these years was 44.4% and ranged from 54% to 26% in different years. A 44.4% recidivism rate is considered very good when using the strict measures established for this study. Bethel Youth Facility and Fairbanks Youth facility are developing recidivism studies based on the criteria established at McLaughlin.

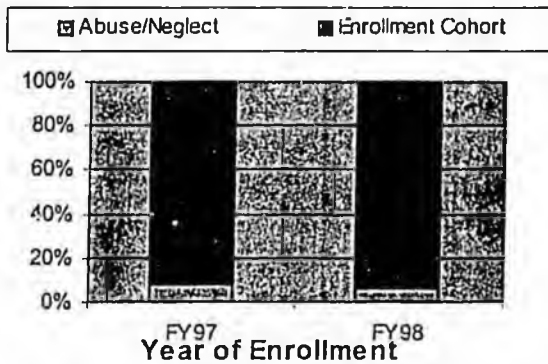
Caution: Not all recidivism data are created equal. Comparison of data from different studies can be misleading without using the same measures.

PROGRAM AND MISSION

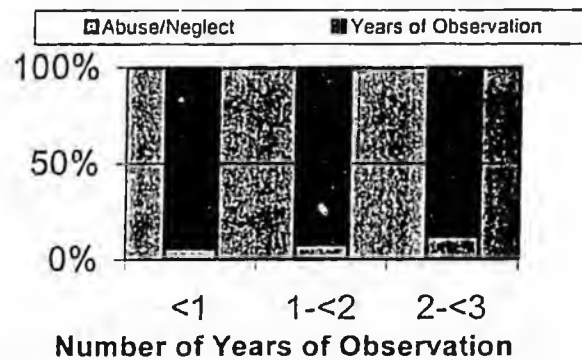
Healthy Families: To prevent and remedy abuse, neglect and exploitation of children through family centered services.

Measure	Status	Data
<p>Rate of substantiated abuse and neglect among families served.</p> <p>Measures the rate of substantiated reports of abuse and neglect in families enrolled in and receiving services from the Healthy Family program.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	<p>Updated data elements: The baseline for this measure will be the rate of substantiated abuse and neglect for families enrolled during FY98. These families will be followed as a cohort on a long-term basis. Studies suggest that programs like HFAK show the greatest gains 15-30 years post intervention. Short-term evaluations have been unremarkable.</p>

Percent of Entire Enrolled with Substantiated Abuse/Neglect



Substantiated Abuse/Neglect in Relation to Years of Observation



Additional Explanation or Comments: Kenai Parent Support Program and Southcentral Foundation's New Beginnings Program data are not included due to unavailability of critical data elements.

In the charts above, the enrollment cohort refers to the number of children enrolled in the program in the specified fiscal year. Substantiated abuse or neglect refers to the percent of an enrollment cohort that was ever subsequently reported for substantiated abuse or neglect.

Any child whose family ever received at least one home visit from Healthy Families Alaska prior to an initial report of abuse and neglect is included in the charts above. A portion of these families never engaged in services, i.e., received three or fewer home visits and terminated services thereafter.

It is important to keep in mind when examining the data that because Healthy Families staff are in the home on a weekly basis there is a strong surveillance bias, i.e., staff are observing and reporting concerns to DFYS.

Measure	Status	Data
<p>Rate of substantiated abuse and neglect statewide as compared to the rate of those served.</p> <p>Measures the rate of substantiated abuse and neglect between families that receive Healthy Family services and the rate of abuse and neglect statewide with a matched group of families not served.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	<p>Updated Data Elements: To determine the implicit impact of HFAK, a controlled random assignment study of the program will be conducted. The study will be done by a contractor and will begin on May 1, 1999. Baseline data for both the case group and the control group will be available no earlier than 12/01/01.</p>

Additional Explanation or Comments: The measure was changed from comparing abuse and neglect rates of HFAK participants with statewide abuse and neglect rates to a case/control study for the following reasons.

- General population estimates of children “at-risk” for child abuse and neglect is approximately 20%.
- The HFAK population “at-risk” for child abuse and neglect is 100%

Thus, we would anticipate that the child abuse and neglect rate in the HFAK population will be significantly greater than in the general population even with the HFAK intervention. These two distinctly different groups should NOT be compared.

- Families served by HFAK have a high prevalence of substance abuse and domestic violence – both, very high risk factors for child abuse and neglect. These problems are recalcitrant to treatment and require sustained effort to impact.

Limitations of the Randomized case/control study

- Limited time to build sufficient numbers in both the treatment (case) group and the control group. This will be a significant problem because several programs have full enrollment and are closed to intake except when attrition “opens” treatment or control slots.
- Small numbers of families participating in the study (program funding and study funding limitations). Proving statistical significance of findings may be difficult given limited numbers of participants.

Measure	Status	Data
<p>Rate and duration of out of home placements of children from families who make use of the services provided.</p> <p>Measure provides the rate and the average time or duration of out-of-home care for children in families that are receiving Healthy Family services. Measure deals with families receiving services who have reports of substantiated abuse and neglect which result in temporary out-of-home care placement.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>The rate and duration for out of home placements is currently through FY96. Updating of this information is in process. FY98 data will be used as the baseline.</p>

PROGRAM AND MISSION

General Community Mental Health Grants: The mission of the mental health program is to enhance the the ability of persons with mental illness to live in their communities with the highest quality of life available to them.

Measure	Status	Data
<p>Increase in the percentage of children and adults receiving community based services who show improved functioning as a result of services.</p> <p>Using the Global Assessment of Functioning (GAF) score as the metric by which improved functioning will be measured, MHDD will be applying this assessment at admission and at three month intervals throughout the course of treatment. The GAF score is a numerical score the represents overall clinical performance and the severity of symptoms. The scale ranges from zero to one hundred with a higher score equating to a higher level of functioning. A numerical composite score for clients receiving services in a period will be generated on a monthly basis.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>Mean GAF score for the last two quarters of FY1998 was 56 for all clients and 55 for children.</p>
Measure	Status	Data
<p>Increase in the percentage of people receiving mental health services who become employed.</p> <p>Measure is expressed as a percentage and compares the number of consumers who become employed while receiving mental health services.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	<p>An average of 24.25% of clients were employed during the last two quarters of FY98.</p>

Measure	Status	Data
<p>Decrease in the total number of psychiatric hospital days used per person that are publicly funded (i.e. chronically mentally ill adults).</p> <p>Measures the total number of psychiatric hospital days during a six month period that are fully funded with public money. Measure is expressed as the average number of days per person that meets this funding criteria.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input checked="" type="checkbox"/> Baseline Data Available <input checked="" type="checkbox"/> Comparison Data Available 	<p>Second Half FY1998 13 Days</p> <p>First Half FY1999..... 12 Days</p>
Measure	Status	Data
<p>Decrease in the percentage of consumers that receive mental health services outside their community.</p> <p>Measure expressed as a percentage that measures the number of consumers receiving mental health services outside of their community compared to the total number of consumers receiving mental health services.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	
Measure	Status	Data
<p>Consumer satisfaction measure as defined by stakeholder committee developing quality assurance standards.</p> <p>Consumer satisfaction survey will be developed and implemented that measures five target areas: accessibility, respect and dignity, consumer voice and choice, provider acting in the consumer's best interest, and consumer benefit from services.</p>	<ul style="list-style-type: none"> <input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available 	

PROGRAM AND MISSION

Front Line Social Workers: To protect children by preventing and remedying repeated abuse, neglect, and the exploitation of children.

Measure	Status	Data																												
<p>Average length of time spent in out of home care for children who have been abused or neglected.</p>	<p><input checked="" type="checkbox"/> Measure Defined</p> <p><input checked="" type="checkbox"/> Data Development</p> <p><input checked="" type="checkbox"/> Baseline Defined</p> <p><input checked="" type="checkbox"/> Baseline Data Available</p> <p><input checked="" type="checkbox"/> Comparison Data Available</p>	<table border="1"> <thead> <tr> <th data-bbox="1089 466 1373 526">Month</th> <th data-bbox="1373 466 1567 526">Average Months</th> </tr> </thead> <tbody> <tr><td data-bbox="1089 526 1373 561">January 1998</td><td data-bbox="1373 526 1567 561">24.1</td></tr> <tr><td data-bbox="1089 561 1373 597">February 1998</td><td data-bbox="1373 561 1567 597">23.7</td></tr> <tr><td data-bbox="1089 597 1373 632">March 1998</td><td data-bbox="1373 597 1567 632">23.8</td></tr> <tr><td data-bbox="1089 632 1373 668">April 1998</td><td data-bbox="1373 632 1567 668">23.8</td></tr> <tr><td data-bbox="1089 668 1373 703">May 1998</td><td data-bbox="1373 668 1567 703">23.3</td></tr> <tr><td data-bbox="1089 703 1373 738">June 1998</td><td data-bbox="1373 703 1567 738">23.3</td></tr> <tr><td data-bbox="1089 738 1373 774">July 1998</td><td data-bbox="1373 738 1567 774">23.2</td></tr> <tr><td data-bbox="1089 774 1373 809">August 1998</td><td data-bbox="1373 774 1567 809">23.5</td></tr> <tr><td data-bbox="1089 809 1373 844">September 1998</td><td data-bbox="1373 809 1567 844">23.8</td></tr> <tr><td data-bbox="1089 844 1373 880">October 1998</td><td data-bbox="1373 844 1567 880">24.5</td></tr> <tr><td data-bbox="1089 880 1373 915">November 1998</td><td data-bbox="1373 880 1567 915">24.2</td></tr> <tr><td data-bbox="1089 915 1373 951">December 1998</td><td data-bbox="1373 915 1567 951">24.6</td></tr> <tr><td data-bbox="1089 951 1373 986">January 1999</td><td data-bbox="1373 951 1567 986">24.6</td></tr> </tbody> </table>	Month	Average Months	January 1998	24.1	February 1998	23.7	March 1998	23.8	April 1998	23.8	May 1998	23.3	June 1998	23.3	July 1998	23.2	August 1998	23.5	September 1998	23.8	October 1998	24.5	November 1998	24.2	December 1998	24.6	January 1999	24.6
Month	Average Months																													
January 1998	24.1																													
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October 1998	24.5																													
November 1998	24.2																													
December 1998	24.6																													
January 1999	24.6																													

Additional Explanation or Comments: Data provided represents average months in out of home care for children in DFYS custody during the month reported. The "average" has limited value in presenting a true picture of the experience of most children who are in out of home care. The average is heavily skewed by the relatively small number of children who remain in out of home care for lengthy periods of time. Some of these children remain in out of home care because they are hard to place in adoptive homes or because long-term foster care is the most appropriate permanent plan. Most children are in out of home care for relatively short periods - less than three years. To more fully illustrate the experience of children we will be reporting on the distribution of time children spend in out of home care as well as the average length of out of home care. For January 1999 the distribution is:

Months in Out of Home Care	Number of Children	Percent of Children
0-11	663	36%
12-23	506	27%
24-35	224	12%
36-47	208	11%
48+	247	13%

Measure	Status	Data
Average number of out of home placements before a permanent home is found for a child.	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: Data for this measure is under development. Limitations in the management information system require a sophisticated data extraction process to provide relevant data. These issues are expected to be resolved within a few weeks and both baseline and comparison data will be available at that time. Relevant data approximating the intent of this measure is available at this time. The table below illustrates the number of placements experienced by children currently in custody.

Month	Average Placements
January 1998	5.8
February 1998	5.7
March 1998	5.7
April 1998	5.7
May 1998	5.7
June 1998	5.7
July 1998	5.8
August 1998	5.7
September 1998	5.8
October 1998	5.8
November 1998	5.8
December 1998	5.8
January 1999	5.7

Measure	Status	Data
<p>Percent of closed cases for children served in their home in which a recurrence of substantiated abuse and neglect occurs 6, 12, and 24 months following case closure.</p> <p>The number and percentage of children who were the subject of a substantiated CPS report of harm investigation whose family had previously received ongoing services from DFYS.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: The recommended baseline year is FY97. The division is working on gathering data for this measurement. Data is available for closed cases which re-enter the child protection system during a specified period of time. For example, all cases closed during FY97 can be compared with cases that had substantiated investigations during FY98.

One complication in gathering the data is the backlog of data entry that exists in the field offices. There are a number of investigations that are completed but not entered into PROBER. This backlog creates problems in identifying closed cases and in determining whether the investigation was substantiated.

Measure	Status	Data
<p>Percent of closed cases for children placed in alternative permanent homes in which a recurrence of substantiated abuse and neglect occurs 6, 12, and 24 months following case closure.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: The recommended baseline year is FY97. The division is working on gathering data for this measurement. Data is available for closed cases which re-enter the child protection system during a specified period of time. For example, all cases closed during FY97 can be compared with cases that had substantiated investigations during FY98. This measure examines children placed in out-of-home care, returned to their parents' home with a case closure status.

Like Performance Measure 1, a complication in gathering the data is the backlog of data entry that exists in the field offices. There are a number of investigations that are completed but not entered into PROBER. This backlog creates problems in identifying closed cases and in determining whether the investigation was substantiated.

Measure	Status	Data
<p>Percentage of permanent adoptive and guardianship homes that are disrupted 6, 12, and 24 months after placement.</p> <p>The number and percentage of children that were released from DFYS custody as a result of adoption or guardianship, who return to DFYS custody.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: The recommended baseline year is FY97. The division is working on gathering data for this measurement. Data is available on children that were released from custody as a result of adoption or guardianship and who later return to custody.

Measure	Status	Data
<p>Percentage of children placed in temporary care who experience substantiated abuse or neglect.</p> <p>The number and percentage of children who, while in DFYS custody and out of home care, were the subject of a CPS investigation that was substantiated, regardless of the perpetrator.</p>	<input checked="" type="checkbox"/> Measure Defined <input checked="" type="checkbox"/> Data Development <input checked="" type="checkbox"/> Baseline Defined <input type="checkbox"/> Baseline Data Available <input type="checkbox"/> Comparison Data Available	

Additional Explanation or Comments: The recommended baseline year is FY97. The division is working on gathering data for this measurement. Data are available but more time consuming to gather since this is reported and tracked differently in PROBER. PROBER does not differentiate type of licensed facility or home, but does track the number of children who are in custody and placement and are a subject of a CPS investigation that was substantiated, regardless of the perpetrator.

Measure	Status	Data
<p>Average time required to place children in a safe, permanent home after determining that they can not be returned to their own home.</p>	<p><input checked="" type="checkbox"/> Measure Defined</p> <p><input checked="" type="checkbox"/> Data Development</p> <p><input checked="" type="checkbox"/> Baseline Defined</p> <p><input type="checkbox"/> Baseline Data Available</p> <p><input type="checkbox"/> Comparison Data Available</p>	
<p>Additional Explanation or Comments: Data are under development. Limitations in the management information system require development of sophisticated data extraction processes to provide relevant data for this measure. Work to develop these processes will be completed no later than March 1 and both baseline and comparison data will be available at that time.</p>		

Introducing ★

The *Alaska*
High School Graduation
Qualifying Examination



Alaska Department of Education ★ CTB/McGraw-Hill

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Publication Date: December 1998

Dear Alaskans:

I am very proud to present this publication to you explaining the Alaska High School Graduation Qualifying Examination. Every high school student—beginning with the Class of 2002—will have to pass the examination before receiving a high school diploma. It is my most fervent hope that all students will pass the test and earn a diploma. Many Alaskans are working hard to make this a reality. But for this to happen, we all have a lot of important work ahead of us. It will require schools to work cooperatively with every parent of every student to make sure every child learns to read, write, and compute. The Department of Education is working statewide with Alaskans to develop the examination. Many of our schools will field-test the examination in March of 1999.

The Legislature passed a law in 1997 that directed the Department of Education to develop the qualifying examination. A year later, the Knowles Administration convinced the Legislature to pass another law to make the qualifying exam one part of a greater system of accountability standards and assessments for our schools. This school accountability measure is now known as the Quality Schools Initiative.

Under the Quality Schools Initiative, each school will be required to do a number of things aimed at increasing the quality of schools and the quality of schooling that our children receive. The Quality Schools Initiative will:

- ★ require schools to chart a developmental profile for each child when entering kindergarten or first grade to discover early on the strengths and improvement areas;
- ★ require schools to adopt state-mandated academic standards in math, reading, and writing;
- ★ require schools to administer assessments at the 3rd, 6th, and 8th grades to measure whether each student is meeting the standards and to use assessment results to help schools and parents know which students are falling behind and the academic areas in which they need assistance;
- ★ require all schools to report certain information to their communities and the state about their progress; and
- ★ require low-performing schools to work with their communities to create and put in place school improvement plans aimed at helping the school and students reach the standards.

And finally, the Quality Schools Initiative will require each high school student to work hard to pass the Alaska High School Graduation Qualifying Examination.

I want to thank all Alaskans for their hard work in bringing a vision of high quality schools into reality. We've come a long way. We have much more to do.

I invite you to join us in building Quality Schools for Alaska—schools with greater accountability, where every child meets high standards in reading, writing, and math, and where every high school student passes the Alaska High School Graduation Qualifying Examination and earns a diploma.

Sincerely,

Shirley J. Holloway, Ph.D.
Commissioner of Education



Introduction

This brochure provides information about the Alaska High School Graduation Qualifying Examination (HSGQE) for students, parents, educators, and others whom the examination will affect. The information in this publication has been compiled by the Alaska Department of Education and CTB/McGraw-Hill, the professional test publishing firm that the Department of Education has contracted to help create the qualifying examination.

Many Alaskans have been involved in developing the Alaska High School Qualifying Examination. Some of them are:

Dr. Nicholas Stayrook, Director of Program Planning and Evaluation for the Fairbanks North Star Borough School District, who is also a consultant to the Department of Education for the development of the Alaska High School Graduation Qualifying Examination and the Alaska Benchmark Examinations at grades 3, 6, and 8.

Debra Largent, Program Assistant for the Department of Education, who coordinated all meetings and travel arrangements.

Dr. Richard Smiley, Administrator of Assessments and Student Information for the Department of Education, who is coordinating the development of Alaska's new assessment system.

The following three committees composed of parents, teachers, public school administrators, and university professors have been working hard to make sure the High School Graduation Qualifying Examination meets the high standards and expectations of every Alaskan:

Content Review Committee

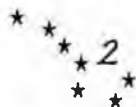
Denyse Moberly, Fairbanks North Star Borough School District Parent and PTA Treasurer for Ann Wien School; Sandy Schoff, Mathematics Programs Supervisor, Anchorage School District; Mardell Kiesel, Reading Coordinator, Anchorage School District; Annie Calkins, Assistant Superintendent, Juneau Borough School District; Jean Ann Alter, Retired from Department of Education, Teaching and Learning Support, Juneau; Jim Seitz, Chugiak High School Teacher, Anchorage School District; Bev Williams, Bilingual/Curriculum Coordinator, Lower Kuskokwim School District; Carol Doyle, Director of Curriculum and Instruction, Director of Staff Development, Copper River School District; Bill Walz, Superintendent, Hoonah City School District, Hoonah; Nancy Spear, Education Specialist—Mathematics, Department of Education, Juneau; Donna Peterson, Director of Curriculum, Kenai Peninsula Borough School District, Soldotna; Ryan Biornstad, Junior at Wasilla High School, Matanuska-Susitna Borough School District, Wasilla; Kitty Farnham, Assistant Director for Alaska Hire, BP Exploration, Anchorage; Marty Foster, West Valley Mathematics Teacher, Fairbanks North Star Borough School District, Fairbanks

Test Bias Review Committee

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Some Frequently Asked Questions

1. What is the Alaska High School Graduation Qualifying Examination?

In 1997, the Alaska Legislature enacted a law requiring all Alaska high school students to pass an examination in reading, writing, and mathematics before they can receive a high school diploma. Students must pass this examination in addition to completing all course requirements. Students who do not pass the examination will receive a certificate of attendance but not a diploma.

2. When do students have to start taking the High School Graduation Qualifying Examination?

Beginning with the graduation Class of 2002, all students must pass the HSGQE. The freshman class of 1998-99 will be the first class required to pass the examination before their high schools can award them a diploma.

3. What will be measured on the examination?

The HSGQE will consist of three tests: reading, writing, and mathematics. The test questions will be based on the Alaska Student Performance Standards in reading, writing, and mathematics.

4. Who is going to develop the High School Graduation Qualifying Examination?

The Alaska Department of Education has contracted with CTB/McGraw-Hill, a highly qualified commercial test publisher, to develop, score, and scale the test questions.

5. How will Alaskans know whether the examination is appropriate for students in our state?

The State Board of Education has appointed several committees of Alaskans to review the work of the test publisher during the development of the examination. The committees have made sure the examinations are fair for all students in Alaska and that they measure the reading, writing, and mathematics standards that Alaskans expect their young people to know and be able to do by the time they graduate from high school. The committees have also looked at such issues as test bias and alignment with the Alaska Student Performance Standards.

6. When will the HSGQE be administered?

The examination will be administered twice each school year, once in the fall and once in the spring.

7. When can students first take the High School Graduation Qualifying Examination?

Students may take the HSGQE for the first time in the spring of the 10th grade. Beginning in the spring of 2000, the HSGQE will be offered twice a year, once in the spring and again each fall.

8. How long will students spend taking the examination?

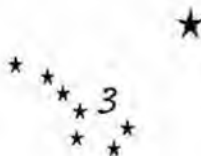
A time limit will not be set for finishing the examination. Students will have as long as they need to complete it. However, most students can expect to spend two to three hours to complete each of the three tests. One test per day will be administered over a three day period.

9. How will students find out about the examination results?

The Alaska Department of Education will coordinate the administration, scoring, and reporting of the HSGQE. Following the administration and scoring of the examinations, the department will send individual results to students, their parents, and to the schools and school districts. The reports will show the parts of the examination each student passed or failed, and provide additional information on the student's strengths and weaknesses within the areas of reading, writing, and mathematics.

10. What happens if a student fails the High School Graduation Qualifying Examination?

Students can retake the parts of the examination they do not pass the first time. They can retake parts of the examination during their junior and senior years, and twice per year for as long as three years after leaving high school.



11. How will students be helped if they fail a portion of the examination?

High schools across the state will develop courses and alternate instructional programs for students who fail a portion of the examination. The courses will be designed to make sure students learn the essential knowledge measured on the examination.

12. Will there be any financial cost to parents or students for taking the examination?

No.

13. Are students who pass the entire examination the first time they take it considered eligible for high school graduation?

No. In addition to passing the HSGQE, students must also complete all course requirements in order to obtain a diploma.

14. What is considered a passing score on the examination?

The passing score will be determined upon analyzing the data from the first administration of the test. A committee of Alaska educators will participate in that procedure, in consultation with research scientists from the test publisher.

15. What kinds of questions will be on the examination?

There will be three types of questions in each of the three tests: multiple-choice, short constructed-response, and extended constructed-response. Multiple-choice questions will have four answer choices; students will select the correct answer and fill in an answer bubble. For constructed-response questions, students will write their answers to the questions on lines provided in the test book. Short constructed-response items may require a few words, phrases, or sentences; that a problem be solved, or a form or chart be completed. These questions may take two to five minutes to complete. Extended constructed-response items may require students to write a paragraph, an essay, or to complete a multi-step task. These questions may take five to fifteen minutes to complete; the essay may require about an hour to complete. Constructed-response questions in mathematics will require students to show their work.

16. How will the constructed-response questions be scored?

For each question, there will be a scoring guide that consists of an example of a response and describes the performance criteria for each of the score points possible for that question. These scoring guides will be reviewed in advance by a committee of Alaska educators and field-tested along with the questions. The examination will be scored by professional raters who will be trained on the use of the HSGQE scoring guides in order to apply them consistently on all papers. Some questions, such as the essay, will also have samples of actual papers, written by Alaska high school students during the field test administration, that have been identified as examples or models for score points on the writing scoring guide.

The remainder of this brochure presents information about sample test questions and scoring rubrics. These are samples only and not actual passages, items, or scoring guides that will be used in the administration or scoring of the qualifying examination.

Copies of the performance standards for high school students in reading, writing, and mathematics can be obtained from the Department of Education. These standards were used to select and develop test questions for the HSGQE. These standards are specific statements of what we want all high school students to know and be able to do in order to obtain a high school diploma.

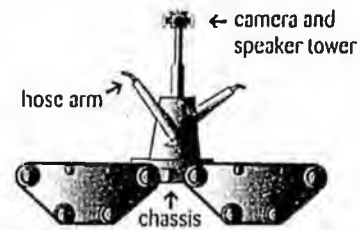


Reading

Sample Test Items

Read this passage about a robotics technician/firefighter and her robot partner. Then do Numbers 1 through 4.

A Fearless Partner



"Nelson, stop!" Keisha looked carefully at her closed-circuit screen. The images on her screen were distorted by smoke and heat-rippled air, but Keisha could still make out the large metal door leading to the assembly room about twenty feet ahead of Nelson's current position.

The door itself was closed. Good. That meant the workers trapped inside the assembly room might still be okay, if they had managed to secure the door before too much smoke and toxic fumes had entered their area. Keisha would have to be very careful about opening the door.

"Nelson, go!"

"Where?" the robot asked. His voice was mechanical and odd. Keisha would have laughed at his response, but she knew the situation was serious.

"Sorry," she said. Her apology was lost on the robot, of course, but she continued, "Nelson, go forward!" Nelson had been thoroughly programmed with Keisha's own voice frequencies, but she still pronounced each syllable with care.

The robot lurched ahead and quickly reached the door. He came to a halt. On Keisha's next order, he did a complete 360-degree scan of the corridor he had just traversed. His thermal imaging system quickly mapped out the hot spots, which showed up as bright shades of fluorescent green on Keisha's screen. As he made the next scan of the corridor, Keisha gave Nelson the order to spray fire retardant foam on the hot spots. That done, she moved the robot back a few paces and ordered it to spray cold water on the assembly room door. Clouds of steam billowed up, hiding everything for a few seconds.

By then the door had cooled enough for humans to touch.

Using Nelson's built-in loudspeaker, Keisha called out to the trapped workers. "HELLO! CAN YOU HEAR ME? IF YOU ARE ABLE TO REACH THE DOOR, TRY TO OPEN IT."

Without waiting for a response, Keisha began to raise Nelson's caterpillar treads to a vertical position. In this way, he would be able to go through the doorway and make the necessary 90-degree turn in the narrow hallway beyond. In the vertical mode, Nelson had a much shorter base, but he was also a full four feet taller. Keisha lowered Nelson's periscopic sight arm even as his wheel base was collapsing into a compact triangular shape.

All she could do now was wait. In her anxiety, a thousand thoughts flashed through her mind. She remembered her training as a robotic technician. She thought about the day she first "met" Nelson. Keisha had read about the development of fire-fighting robots like Nelson, but she never dreamed that one day she would be working with one of the latest models. Together Keisha and Nelson had put out several fires, mostly small but intense and dangerous chemical blazes like this one. This, however, was the first time that other people's lives were at stake.

Only a few seconds had passed, but Keisha's anxiety was reaching the breaking point. She was about to order Nelson to break the door down, when she saw the door handle turning slowly. Then the grimy face of a worker appeared in the opening. "Nelson, old pal, we're sure glad to see you."

Reading

Sample Test Items

4 "HELLO! CAN YOU HEAR ME? IF YOU ARE ABLE TO REACH THE DOOR, TRY TO OPEN IT."

On the lines below, explain why these sentences are capitalized. Relate your answer to the situation in the story where the sentences appear.

Reading standard: Students can analyze the conventions of a variety of literary genres and techniques used in these genres.

This item assesses the student's understanding of a technique used by the author to communicate sound and urgency. Other items may assess other literary conventions and techniques used by an author or poet.

Sample Scoring Guide

SCORING GUIDE

Score Points: 2 points

- 1 point for explaining that the capitalized sentences suggest that Keisha is screaming or speaking loudly
- 1 point for explaining that Keisha is trying to get the trapped workers to hear her. She is speaking loudly because she is very anxious and/or not sure how far Nelson's loudspeaker will carry.

Exemplary Response:

- Capitalization is used to emphasize what Keisha is saying. She is probably shouting because she wants to make sure the trapped workers hear her, and she is very worried about them.



Writing

Sample Test Items

- 1** A student who read "A Fearless Partner" wrote the following paragraph. The paragraph has six mistakes in grammar, capitalization, and punctuation. Draw a line through each part that has a mistake, and write the correction above it.

Last year I ^{participated} ~~participate~~ in a vocational work-study program. Part of the program was helping to supervise a robot that assembled automobiles on an assembly line. The other part of the program ^{was} ~~were~~ a series of classes in math and computer programming. I ^{took} ~~take~~ the classes because I was working with the computers that controlled the robots on the assembly line.

I really enjoyed participating in the ^{program because} ~~program~~, I learned a lot. When I graduate next year, I will be qualified to apply for a full-time job as an Industrial Robot ^{Production} ~~production~~ Technician. I'm looking forward to a career in this field. One day I would like to design, build, and ^{program} ~~programming~~ robots for the automobile industry.

Sample Scoring Guide

SCORING GUIDE

Score Points: 6 points

1 point for making each of the corrections noted on the above item:

- "participate" should be past tense: "participated"
- "were" should be singular: "was"
- "take" should be past tense: "took"
- "program, I" is a comma splice, or run-on sentence; of several ways to correct it, one is shown
- "production" should be capitalized because it is part of a title
- "programming" should be "program" to be parallel with "design" and "build"

Writing standard: Students can use the conventions of standard English independently and consistently, including grammar, sentence construction, paragraph structure, punctuation, spelling, and usage.

This constructed-response editing item requires the student to proofread and correct errors in grammar, capitalization, and punctuation, a process similar to what students do when editing their own writing. Note that the corrections are shown on the item; students will be given only the error-riddled text.



Writing

Sample Test Items

- 2** Here are two sentences related to the passage:

Nelson was an average-sized robot.

The robot traveled on caterpillar treads that helped him go almost anywhere.

Select the answer choice that best combines the two sentences into one.

- A** Nelson was an average-sized robot, and traveling anywhere on caterpillar treads.
- B** Nelson was average sized, which helped the robot travel on caterpillar treads almost anywhere.
- C** Nelson traveled on caterpillar treads, and was an average-sized robot, and could go almost anywhere.
- ✓ **D** Nelson, an average-sized robot, traveled on caterpillar treads that helped him go almost anywhere.

- 3** The robot named Nelson helped Keisha save workers who were endangered by fire. Now think of a different kind of dangerous or difficult task, and on the lines below describe how a robot could help a human with that task. What would the robot do and how would it do its job?

- ✓ For this answer, make sure you use complete sentences and check your work for correct spelling, capitalization, and punctuation.

Writing standard: Students can revise writing to improve style, word choice, sentence variety, and subtlety of meaning.

In this item, the student must combine two sentences into a coherent and concise new sentence. Other items may focus on distinguishing complete sentences from run-ons and fragments, and on recognizing and correcting such problems in sentence construction as non-parallel structures and misplaced modifiers.

Writing standard: Students can write coherent compositions with a thesis statement that is supported with details, well-developed paragraphs, transitions, and a conclusion.

This extended constructed-response question requires students to generate a complete essay.

Unlike this sample item, the questions or “prompts” in the HSGQE will not be dependent on a reading passage. The students’ essays will be scored using a scoring guide that contains sample responses, drawn from the work of Alaska students during the field test. Each student’s essay will be assigned a single score ranging from 1 to 6; this score will be based on criteria that are consistent with other writing assessments in the state.



Mathematics

Sample Test Items

Sample Scoring Guide



Table A

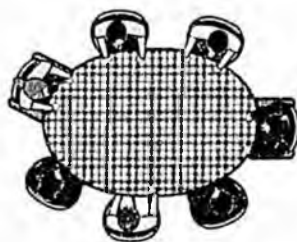
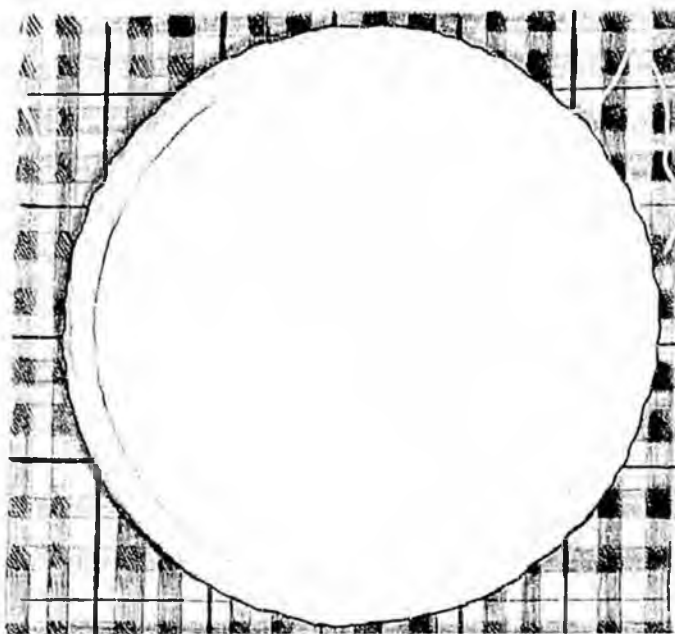


Table B

- 3** The people at Table A ordered a 12-inch pizza to share equally among them. The people at Table B ordered a 16-inch pizza also to share equally with everyone at their table. Who got more pizza, a person sitting at Table A or a person at Table B? Show your work and write your answer in the space below.



SCORING GUIDE

Score Points: 2 points

- 1 point for answering a person from Table B will get more pizza
- 1 point for valid process of determining pizza size for a person at Table A and Table B

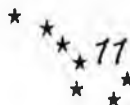
Exemplary Response:

Accept any version of the following or an equivalent response:

- A person from Table B will get more pizza.
- Area for a 12-inch pizza at Table A =
 $3.14 \times 6^2 = 113.04$ sq. in.
size pizza per person =
 $113.04 \div 4 = 28.26$ sq. in.
- Area for a 16-inch pizza at Table B =
 $3.14 \times 8^2 = 200.96$ sq. in.
size pizza per person =
 $200.96 \div 7 = 28.71$ sq. in.

Mathematics standard: Students can use indirect methods, including the Pythagorean theorem and right angle trigonometry, to find missing dimensions.

Constructed-response items that measure the problem-solving objective generally relate to real-world situations that have elements of several mathematical content areas. The sample item requires the student to use procedures of measurement and algebra to devise a strategy that will lead to the solution of the problem.



The *Alaska*
High School Graduation
Qualifying Examination
Information Brochure

CTB/McGraw-Hill

A Division of The McGraw-Hill Companies



20 Ryan Ranch Road
Monterey, California 93940



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**ALASKA COMMISSION ON
POSTSECONDARY EDUCATION**

and the

ALASKA STUDENT LOAN CORPORATION

**FY2000 Budget Overview
January 1999**

**Diane Barrans
Executive Director**

1/27/99

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~ Alaska Student Loan Program ~

Current Financial Outlook

Although significant improvements to the Loan Program have been achieved, the Corporation will continue to experience significant student loan losses related to loans originated prior to FY1997. Management anticipates that this will continue until the majority of loans currently in repayment have cycled out of the loan portfolio. When offset by annual revenues, the Corporation's net income in FY1998 was \$.3 million and the net loss in FY1997 was \$2.5 million. Clearly positive changes that continue to reduce the drain on the loan funds are being made.

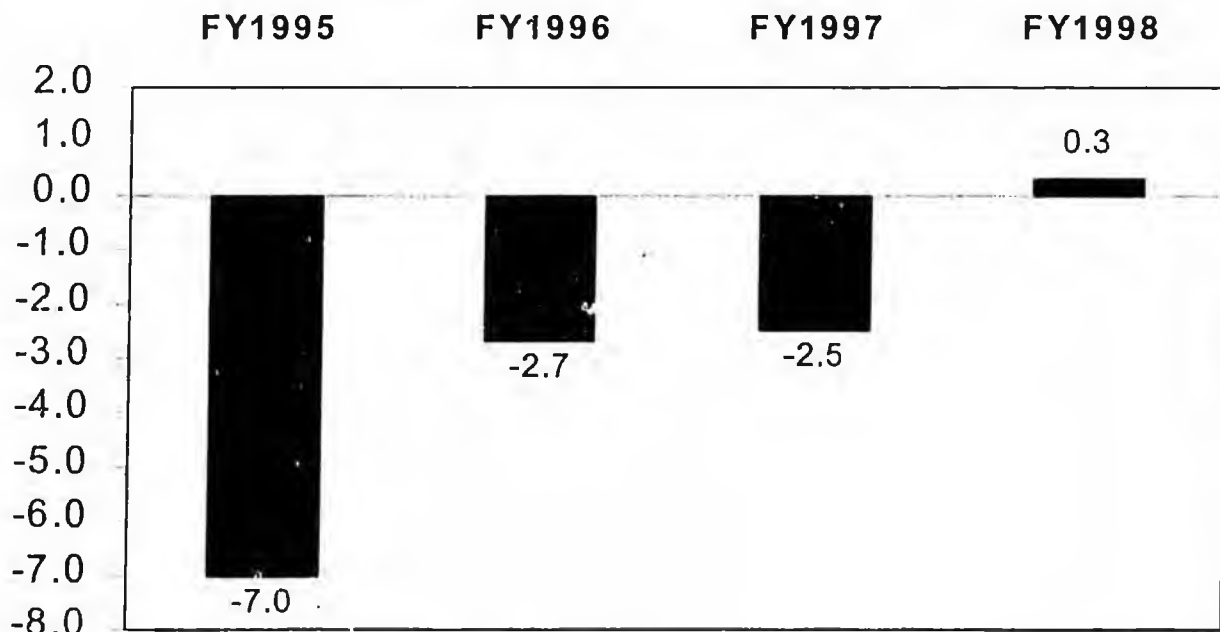
In the 10 years since the Corporation's creation, average net losses have been in excess of \$5.0 million a year. Such losses (\$49.5 million through FY1998) have reduced the Corporation's fund equity to \$257.2 million.

With respect to the Corporation's goal to make new self-sufficient loans, interest will be charged to the extent necessary to recover costs: 1) incurred to make the loan (i.e. interest on debt incurred); 2) incurred to service the loan; and 3) associated with loan losses, and inflation.

A number of changes, both legislative and regulatory, have made it possible to offset current losses and reduce the potential for future losses.

Figure 1

4-Year History of Loss/Gain to the Net Assets of the Alaska Student Loan Corporation
(\$ in millions)



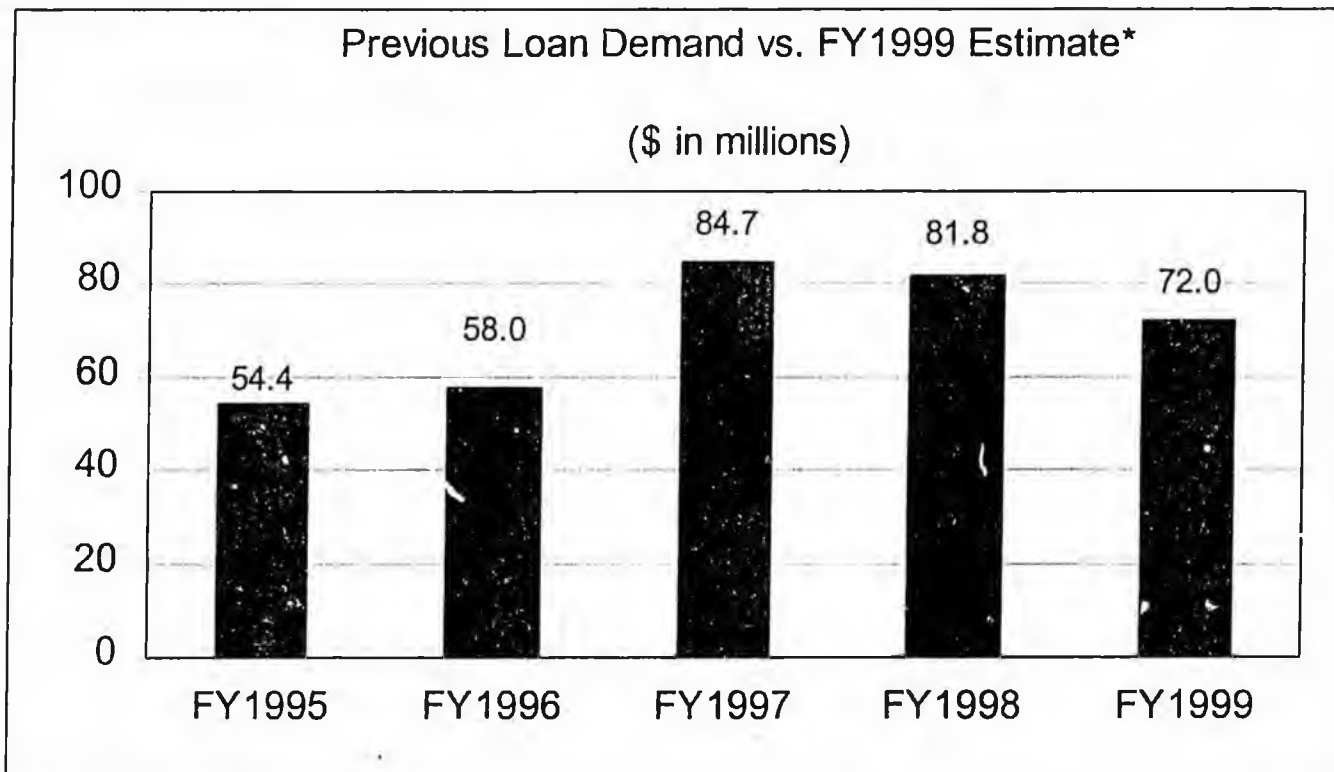
- ◆ Beginning with FY1996, the interest rate for new loans is tied to the rate the Corporation pays on its outstanding bonds plus the cost of administration (limited to 3.0 percent). Yet interest does not accrue on the borrower's balance until he/she leaves school, resulting in an interest-free period that can extend for years.
- ◆ Borrowers now pay origination fees, currently at the maximum rate allowed of 5 percent, to be used to offset losses due to bankruptcy, default, disability, and death of borrowers. However, historical information indicates that approximately 12 percent of all loans originated are never repaid.
- ◆ Effective with loans entering repayment in FY1996, loan staff track student default activity at each participating institution and will be identifying those institutions

with extremely high rates of student default. In a multi-year process, loan staff will work with those institutions to reduce default rates to below 20 percent in order for that institution's students to continue to participate in the loan programs.

- ◆ Beginning with FY1999, borrowers over the age of 21 with an adverse credit history are required to have a creditworthy cosigner in order to participate in the loan program.

The cumulative effect of these changes, however, is not anticipated to altogether reverse the erosion of the ASLC's fund equity. Because the Corporation has pledged student loans previously contributed by the state and new loans made with bond proceeds, it can continue to issue **additional debt** and, barring any catastrophic event, continue to meet loan demand.

Figure 2



In FY2000, agency staff will continue to work with Corporation financial advisors to develop long-term scenarios and proposals to provide to the Corporation Board, the Administration, and the Legislature. The focus will be to fully implement changes that will further improve the health of the loan fund while, at the same time, ensure that access to education funding remains as broad as possible.

It is the Corporation's intention to maintain a mix between outstanding debt and repayments on loans held by the Corporation to continue to meet loan demand in the future.

Achieving these legislative objectives will complete the work begun during the three previous legislative sessions. The loans available from the Alaska Student Loan Program will be offered on a financially sound, although not profit-generating, basis. It is important to remind the public and policymakers that, from a budgetary perspective, program administrators can only make prospective changes to loan terms. More than \$400 million in loans, issued since the Corporation was created, were offered on terms that were financially imprudent once General Fund appropriations were eliminated. The Corporation will continue to be negatively impacted by the financial drain of those loans. However, the programmatic changes achieved will be sufficient to sustain a viable, competitive state student loan program.

~ Agency Budget Summary ~

Operating Budget	FY1999 Final Authorized	FY2000 Request	% Change
Line Items			
Personal Services	4,828.1	4,974.8	3.0%
Travel	167.1	150.3	-10.1%
Contractual Services	3,845.3	3,862.6	0.4%
Commodities	91.3	91.3	0.0%
Equipment	21.4	21.4	0.0%
Grants	80.0	0.0	-100.0%
Total	9,033.2	9,100.4	0.7%
Positions			
PFT	96	98	2.1%
Funding			
1002 Federal Funds	155.3	75.3	-51.5%
1004 General Fund	1,448.0	1,530.0	5.7%
1005 General Fund Program Receipts	10.0	10.0	0.0%
1106 Postsecondary Receipts	7,419.2	7,485.1	0.9%
Total	9,033.2	9,100.4	0.7%

Capital Budget	FY1999 Final Authorized	FY2000 Request	% Change
Line Items			
Equipment Replacement	108.5	64.5	-40.6%
Funding			
1106 Postsecondary Receipts	108.5	64.5	-40.6%

~ Student Loan Operations ~

Synopsis

This component is the largest of ACPE's five, containing Information Support Services, Finance and Student Financial Aid sections.

The goals of this component are: 1) to service the outstanding loan portfolio, maximizing repayment to the Student Loan fund and protecting the financial integrity of ASLC, 2) to identify and implement program efficiencies through improved management, processes, and technology to ensure the self-sustainability and marketability of the ASLP through the 21st century, 3) to provide low-cost financing to the ASLP, 4) to disburse low-cost loans to eligible Alaskans enabling them to pursue postsecondary education and training, and 5) to serve and value our customers, including students, parents, policymakers, bondholders, professional colleagues and co-workers.

Information Support Services

Information Support Services (ISS) provides data processing support to the agency. ISS manages HELMS (Higher Education Loan Management System), the mainframe-based student loan servicing system, which supports all aspects of the lending process from origination to payoff. HELMS is a nationally utilized student lending application, considered one of the most comprehensive software packages available for the management of both federal and private educational loan programs. ISS also manages two local area networks (one in Juneau and one in Anchorage) that support the agency's PC-based systems. These networks are connected through the State-maintained wide area network.

Figure 3

Section Staff as a Percentage of the total staff in Student Loan Operations (FY2000)

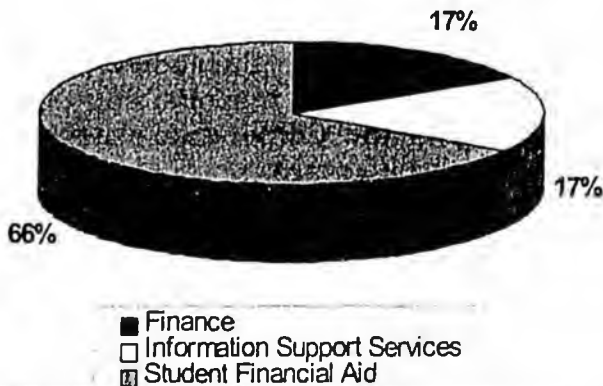
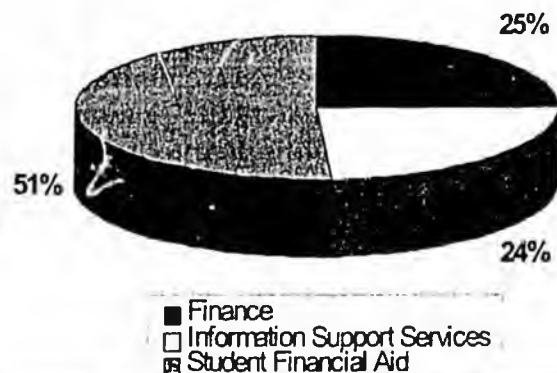


Figure 4

Section Budgets as a Percentage of the total budget in Student Loan Operations (FY2000)



Finance

The Finance section manages the extensive financial activities of both ACPE and ASLC. Finance staff process, summarize, report, and analyze data related to the following critical functions:

- Tracking the flow of all payments received from borrowers
- Investing funds to maximize earnings while complying with federal regulations and bond covenants and while meeting operational needs
- Preparing annual agency operating, capital, and lending budgets
- Overseeing all procurement activities

Student Financial Aid

The Student Financial Aid (SFA) Section contains five units: loan servicing, customer service, collections, records, and special programs.

Loan Servicing

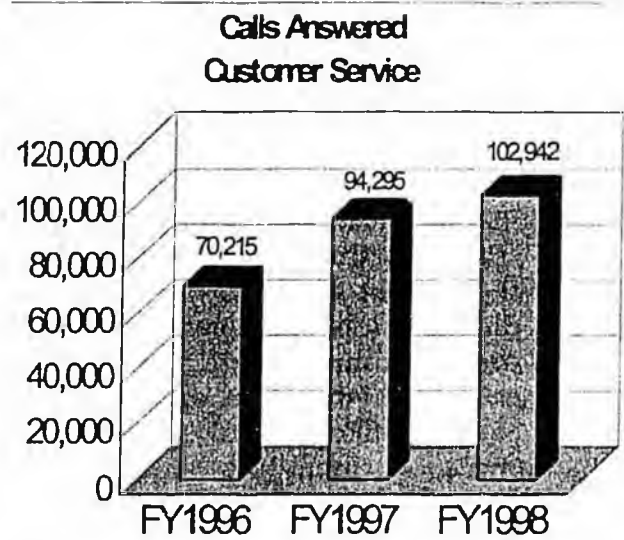
Loan Servicing initiates the lending process and implements all phases of loan originations. In addition, in support of loan repayment functions, they process payment option requests, deferment requests, and loan schedule modifications.

This unit also distributes truth-in-lending disclosure statements, redirects returned mail, monitors memorial scholarship loans, responds to credit inquiries, determines eligibility for and application of forgiveness benefits, administers and tracks borrower bankruptcy activity, and works with state licensing agencies to prevent renewal of occupational licenses for defaulted borrowers.

Customer Service

Customer Service responds to requests for account assistance and to general public inquiries regarding student financial aid programs. This unit also provides assistance to local walk-in customers in the Juneau and Anchorage offices.

Figure 5



Records

Records maintains an archived history of each borrower's account activity and agency files, and student academic files for all closed Alaska institutions. Through the work of this unit, ACPE employees have access to complete loan histories within minutes of a request, allowing them to respond promptly to borrower questions. This unit is also responsible for safeguarding the Corporation's assets; loan promissory notes.

Collections

Using electronic, on-line queues, collections staff attempt to contact borrowers who are not repaying their loans as agreed. At 30 days past due, staff initiates contact with borrowers and reminds them to repay their loans and inform them of the consequences of not repaying. A results-oriented approach to tracking staff performance and effectiveness was implemented in FY1998. More emphasis is placed on direct 'right-party' contacts rather than simply completing the due diligence procedure.

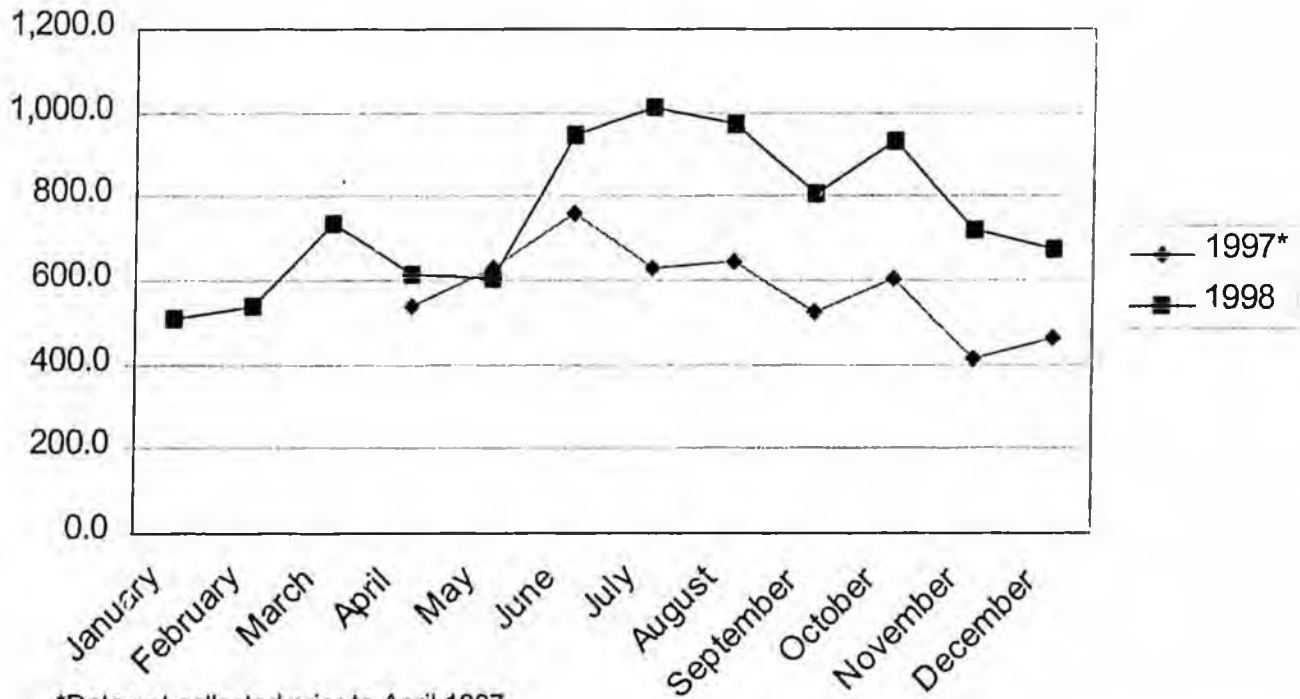
During the third quarter of FY1998, staff added a seventh day to the workweek by adding Sunday hours.

This time slot is allowed within the provisions of Fair Debt Collection practices and has been proven to be the most productive in the collections business. This approach to collection activities is critical in effecting and maintaining low loan loss rates, and increasing cash flows to ensure the viability of the Alaska Student Loan Program.

During the third quarter of FY1999, staff will begin using an auto-dialing system. The Auto-Dialer will increase the delinquent and defaulted borrower contact significantly.

Figure 6

\$ Received as result of Delinquent Borrower Contact
(shown in thousands)



*Data not collected prior to April 1997

~ Component Operating Budget ~

Student Loan Operations	FY1999 Final Authorized	FY2000 Request	% Change	
Line Items				
Personal Services	4,035.5	4,112.5	1.9%	
Travel	61.2	55.4	-9.5%	
Contractual Services	2,331.6	2,266.9	-2.8%	
Commodities	75.3	75.3	0.0%	
Equipment	20.0	20.0	0.0%	
Total	6,523.6	6,530.1	0.1%	
Positions	PFT	83	84	1.2%
Funding				
1004 General Fund Receipts	10.0	10.0	0.0%	
1106 Postsecondary Receipts	6,513.6	6,520.1	0.1%	

Changes From FY1999 to FY2000

This proposal includes a modest increase in personal services to meet the expected increase in borrower contact and increase staff ability to locate delinquent borrowers.

A reduction in travel relates to travel cost estimates more closely reflecting actual expenditures.

In FY1999, an internal position in Program Administration was created to assist with project planning and implementation, development of problem resolution options, extensive staff training and the development of future servicing enhancements that benefit both the borrower and the Corporation. ACPE originally planned to fill this need through contractual services, however it was determined to

be more effective to bring it in-house. Therefore, contractual services was reduced by this cost.

In keeping with the Agency's objectives for FY2000, service enhancements continue to be implemented to benefit borrowers and the Corporation. Achieving these objectives requires developing new processes, optimal computer resource use, computer and procedural system testing and extensive and ongoing staff training. Compensation for the necessary skills to carry out these tasks is incorporated in this budget.

~ Program Administration ~

Synopsis

This component contains the Executive Office, the Personnel Office, and the Institutional Relations section. The goals of this component are: 1) to administer and provide policy direction for programs administered by ACPE and funded by ASLC, identifying and achieving efficiencies through improved management, process and technology; 2) to ensure postsecondary programs and institutions operating in the state, with the exception of the University of Alaska system, comply with AS 14.48 and 20 AAC 17, which relates to regulation of institutions; 3) to emphasize quality in education and training programs to ensure value for Alaskans in their pursuit of lifelong learning; and 4) to provide Alaskans with information about the educational opportunities available in Alaska and about the financial aid programs available to assist in accessing these opportunities.

The **Executive Office** provides overall administration and policy direction for Commission programs and staff and exercises review and oversight of postsecondary programs and institutions operating in the state, except those exempted by state statute, such as the University of Alaska.

The **Personnel Office** provides human resources support to all units of ACPE and is responsible for assisting in developing and implementing recruitment and screening techniques to appropriately staff the agency. This office also identifies staff training needs and coordinates training activity.

The **Institutional Relations** section is organized into four units: Institutional Authorization; Alaska State Approving Agency; Institutional Liaison; and Institutional Audit and School Compliance.

Institutional Relations by Unit

The *Institutional Authorization* (IA) unit facilitates the authorization and regulation of 69 postsecondary institutions in the state of Alaska. Based upon a list of responsibilities established for the ACPE in statute, IA staff provide consumer protection services to Alaska's students. The IA coordinator works closely with administrators of the institutions to assure ethical business practices, fair advertising, and appropriate health and safety practices.

The *Institutional Liaison* is a coordinator position whose responsibility is to maintain a network of key contacts with parties interested in student financial aid throughout Alaska. This network includes financial aid staff at institutions participating in Alaska's education loan programs, education counselors at high schools, employment centers, parent groups, and various other interested organizations.

The *Alaska State Approving Agency* (SAA) is a federal veterans affairs (VA) program which provides review and oversight of educational institutions and training sites to determine if state educational programs qualify for attendance by veterans utilizing their GI Bill benefits. This program is funded entirely through federal receipts. These functions are performed by the Institutional Liaison position.

The *Institutional Audit and School Compliance* unit staff provide a variety of compliance audit and program review services to the agency, schools participating in the Alaska Student Loan Program, and schools authorized to operate by ACPE. The majority of

authorized institutions are located in the greater Anchorage area and audit/compliance staff and functions are centralized in Anchorage to increase efficiency.

- Component Operating Budget -

Program Administration	FY1999 Final Authorized	FY2000 Request	% Change
Line Items			
Personal Services	792.6	862.3	8.8%
Travel	105.9	94.9	-10.4%
Contractual Services	75.7	75.7	0.0%
Commodities	16.0	16.0	0.0%
Equipment	1.4	1.4	0.0%
Total	991.6	1,050.3	5.9%
Positions			
PFT	13	14	7.7%
Funding			
1002 Federal Receipts	75.3	75.3	0.0%
1005 General Fund	10.0	10.0	0.0%
1106 Postsecondary Program Receipts	906.3	965.0	6.5%
Total	991.6	1050.3	5.9%

Changes From FY1999 to FY2000

The reduction in travel represents a decrease in travel costs to quarterly board meetings.

The increase in personal services relates to the evolution of a Program Coordinator (Procedures Development and Training) position in FY1999. This position was developed to help ACPE keep pace with the ever-changing operational procedures development

and staff training for the complex, but efficient, student loan system. The Program Coordinator assists with: 1) project planning and implementation; 2) development of problem resolution options; 3) future servicing enhancements that benefit both the borrower and ACPE; 4) documentation and updating of ACPE operational procedures; and 5) consistent, thorough, and ongoing staff training.

~ **WWAMI Medical Education** ~

Synopsis

The WWAMI program provides guaranteed access to a medical school for residents of Alaska. The University of Washington, School of Medicine, which focuses on producing family practice doctors, acts as the regional medical school for Washington, Wyoming, Alaska, Montana and Idaho by reserving a predetermined number of class places each year. After admission, Alaska students attend the University of Alaska, Anchorage, for the first year of medical school. Students attend the second through fourth years in Seattle, with the option of clinical clerkships during this time at clinics in towns in the WWAMI states.

The Alaska clinical sites are supported by WWAMI funding as Alaska is a

participant in the WWAMI program. Alaska currently contracts with the University of Washington and pays for thirty (30) places, ten (10) in each of the 2nd, 3rd and 4th years of study.

Issues

The WWAMI Program remains the primary vehicle through which Alaska residents gain access to a medical education program.

Changes from FY1999 to FY2000

An increment of \$80,000 is requested to cover a contractual increase in support fees for the University of Washington, School of Medicine.

~ **Component Operating Budget** ~

WWAMI Medical Education	FY1999 Final Authorized	FY2000 Request	% Change
Line Items			
Contractual Services	1,355.0	1,435.0	5.9%
Total	1,355.0	1,435.0	5.9%
Positions			
PFT	0.0	0.0	0.0%
PPT	0.0	0.0	0.0%
Funding			
1004 General Fund	1,355.0	1,435.0	5.9%

~ WICHE Student Exchange Programs ~

Synopsis

The Commission serves as Alaska's agency for coordinating activities in the 15-state Western Interstate Commission on Higher Education (WICHE) through cooperative agreements with other states in the region. The goal of this component is to provide undergraduate and graduate educational access and information to Alaska students studying or wishing to study in fields for which there are no programs operating in Alaska or which are offered to residents of member states in order to maximize the benefits of regional educational resources.

The component administers various WICHE programs in Alaska: 1) The Western Undergraduate Exchange (WUE); 2) The Professional Student

Exchange Program (PSEP); and 3) The Western Regional Graduate Program (WRGP). The current total participation level for Alaska is about 900 students a year. Alaska has been a member of this compact organization since 1950.

Changes from FY1999 to FY2000

The FY2000 requested funding reflects an increase of \$2,000, which is required to cover the cost of Alaska's WICHE compact membership dues.

~ Component Operating Budget ~

WICHE Student Exchange Programs	FY1999 Final Authorized	FY2000 Request	% Change
Line Items			
Other Program Costs	83.0	85.0	2.4%
Total	83.0	85.0	2.4%
Positions			
PFT	0.0	0.0	0.0%
PPT	0.0	0.0	0.0%
Funding			
1004 General Fund	83.0	85.0	2.4%

Appendix A

Alaska Commission on Postsecondary Education

<i>Member</i>	<i>Seat</i>
Scott A. Sterling, Chair	General Public
Dr. Lydia L. Hays, Vice Chair	Private Higher Education
Mark Begich	General Public
Lt. Col. Roger Behringer	State Board of Education
Bobette Bush	Community College
Dr. Milton Byrd	Proprietary Education
Elsa Froehlich Demeksa	UA Board of Regents
Mary Jane Fate	UA Board of Regents
Rosæ Foster	General Public
Dr. Alice Galvin	Alaska Human Resource Investment Council
Rosanne Gilbert	Student
Representative Pete Kott	Alaska House of Representatives
Greg Middag	General Public

Alaska Student Loan Corporation

Mark Begich, Chair	Alaska Commission on Postsecondary Education
Scott A. Sterling, Vice Chair	Alaska Commission on Postsecondary Education
Wilson Condon [Designee: Ross Kinney]	Commissioner of Revenue
Robert Poe	Commissioner of Administration
Debbie Sedwick [Designee: Martin Richard]	Commissioner of Commerce and Economic Development

~ Mission Statement ~

The Alaska Commission on Postsecondary Education and the Alaska Student Loan Corporation support the development of economically viable, lifelong learners and citizens by providing educational loans to postsecondary students and authorizing the operation of postsecondary institutions.

**Alaska Commission on
Postsecondary Education**

Statutory responsibilities and duties:

Offer Student Financial Aid by

- ◆ originating and servicing, for the Alaska Student Loan Corporation, full-time, half-time, teacher scholarship, and family education loans ~
- ◆ originating and servicing Memorial Scholarship loans ~
- ◆ disbursing state and federal grants to low income students ~
- ◆ coordinating participation in both the WICHE Student Exchange and WWAMI Medical programs ~

Protect the Consumer by

- ◆ monitoring school advertising and contracts with students ~
- ◆ responding to public complaints ~
- ◆ assuring loan/tuition refunds and student teach-outs when schools close ~
- ◆ gathering information on funding available to residents of Alaska and disseminating the information to reasonably assure that qualified residents are aware of financial resources available to those attending postsecondary institutions ~

Authorize Postsecondary Institutions within Alaska by

- ◆ approving institutions for Alaska student loans and Veterans Affairs funding ~
- ◆ providing biennial review and re-authorization for approximately 69 postsecondary institutions or programs ~
- ◆ regulating program and degree offerings ~

Alaska Student Loan Corporation

Statutory responsibilities and duties:

Borrow money to carry out the purpose of the Corporation and issue obligations as evidence of that borrowing.

Invest or reinvest money held by the Corporation.

Enter into agreements with the ACPE relating to the administration of the student loan fund.

TONY KNOWLES, GOVERNOR

ALASKA COMMISSION ON POSTSECONDARY EDUCATION

3030 VINTAGE BLVD.
JUNEAU, ALASKA 99801-7109
VOICE (800) 441-2962
In Juneau 465-6740
TDD (907) 465-3143
FAX (907) 465-3293

January 25, 1999

Honorable Eldon Mulder, Co-Chair
Honorable Gene Therriault, Co-Chair
Honorable Members
House Finance Committee
Alaska State Legislature
State Capitol
Juneau, Alaska 99801

Dear Finance Committee Members:

Enclosed for your review is a briefing document relative to the Alaska Commission on Postsecondary Education's (ACPE) FY2000 budget request. While agency budget overviews are not being presented to the full committee below the departmental level, I hope that this summary proves helpful.

As in the recent past, in FY2000, management will continue to emphasize service enhancements for Alaskans in a variety of ways. The overview describes initiatives supported by the FY2000 funding, which have significantly expanded several service areas. However, as important as these individual services are, the common thread throughout these activities is the focus on improving the Alaska Student Loan Corporation's (ASLC) efficiency, service and financial bottom-line.

With a relatively level funding request for FY2000, ACPE will pursue these two broad goals:

- ◆ *Continue to administer the Alaska Student Loan Program (ASLP) as a self-sustaining, enterprise program.*
FY2000 Objective: Continue to increase collections from delinquent/defaulted loans.
- ◆ *Continue to increase efficiency, services, and accountability through smart technologies.*
FY2000 Objective: Increase public access to financial aid information, borrower access to account-specific information, and institution access to program and borrower information through interactive telephonic and web-based technologies.

In the prior and current fiscal years, the legislative and budgetary support provided by the legislature have been critical components in shifting the financial trend of the ASLC

1/27/99

House Finance Committee
January 26, 1996

from a downward spiral to a more positive trend. ACPE's requested FY2000 budget will enable managers to continue to build on the modest successes already achieved. As you review both the overview document and the budget detail, please feel free to contact my office or Sheila Sasek, Finance Officer with any questions you may have. Our telephone numbers are 465-6740 and 465-6757, respectively,

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Diane Barrans", with a long horizontal flourish extending to the right.

Diane Barrans
Executive Director

Enclosure

ALASKA COURT SYSTEM

1/27/99

ALASKA COURT LOCATIONS

- ★ Superior & District Court Locations
- Superior Court Locations
- District Court Locations
- ▼ Magistrate Locations

