

ALASKA LEGISLATURE

1771

HOUSE and SENATE FINANCE COMMITTEE FILES, 1997-1998

Department wide Client Data Integration Project Cont.

In 1996 the Department of Health and Social Services (DHSS) embarked on a project to develop an automated department-wide decision support system which would allow it to use data integrated from its various program data bases. It is only in the 1990s with the development of data warehouse technology that such comprehensive approach enterprise-wide has been made possible.

By integrating data from its various program data bases, the DHSS will be able to make more rational and accurate decisions about the services it provides, the programs it supports and the people it serves. Through the data warehouse the DHSS will be able to accurately determine an unduplicated count of the clients it services, to track patterns of service use over time, to more efficiently identify new service needs, to understand how overall resources are being allocated, and to evaluate program success over time and the comparative success among programs with similar objectives.

As discussed below, through a concerted department-wide effort in conjunction with strong support from the Alaska Mental Health Trust Authority (AMHTA), the DHSS has made significant progress in constructing an enterprise data warehouse.

FY 97

DHSS concluded a department wide effort to determine the strategy and lay the foundation for the establishment of decision support system that drew on information from all department programs. A Data Integration Steering Committee identified the data warehouse as the best strategy. A permanent Data Council was established to assure coordinated project development. A Data Administrator was hired to oversee implementation. A consultant was hired to provide the Data Council with orientation and training in the development of decision support systems and to facilitate the definition of the long term implementation plan for the data warehouse.

FY98

In July the consultant concluded by facilitating the Data Council's selection of "Children" as the first subject area for the data warehouse which is built in incremental iterations over a three year period. The first iteration* will integrate data on children from six department source data bases to enable an unduplicated count of children being served, the services being provide and demographic profiling. When completed in May, 1998, this iteration will provide DHSS with its first integrated view of children and the services they are receiving and in doing so allow the quicker and more effective identification of patterns of service.

A second primary objective of the first iteration is to meet information and decision support needs of the Alaska Mental Health Trust Authority's Request for Recommendations (RFR) process. It will accomplish this by being able to separately identify those children who are also AMHTA beneficiaries and by building in the capability for the inclusion of the full range of mental health beneficiaries in subsequent iterations.

A Request for Proposals was issued in August and a contractor selected to accomplish the first iteration of the data warehouse with a blended use of DHSS staff and outside expertise. An integral part of the contract is the transfer of the knowledge necessary for DHSS to take over complete management and design as the data warehouse evolves through future iterations.

In November and December of 1997, as part of the Analysis Phase and Design Phase, intensive interviews with program staff and technical experts for the six sources data bases were completed resulting in a the logical and physical data model definition, identification of specific data elements and internal data standards. In addition, reports were completed on the most current options in hardware, data base management (DBMS), software, on line analytical processing (OLAP) software, and data matching software.

By the end of December the hardware platform and the data base management system purchased and installation had begun. In addition, a Data Base Specialist position was establish and a candidate hired to be trained by the consultants to take over the ongoing technical management of the data warehouse.

The Construction Phase of the data warehouse which began with the purchase of the hardware in December is scheduled to be completed on 3/3/98 with the

- installation of all necessary hardware and software,
- construction of the physical data base
- coding, cleaning, testing and loading of first iteration sample data, and

Departmentwide Client Data Integration Project Cont.

initial scripting of queries.

This phase will also include end user training for DHSS personnel target for use of the first iteration data warehouse.

A Testing Phase will be completed by 3/17/98. This phase includes the full loading of the data warehouse from the first iterations source data bases and associated network and user tool testing.

The Deployment Phase is scheduled to begin on 3/18 with the fully loaded first iteration data warehouse being released to the trained DHSS users. A reloading or "refreshing" of the data warehouse with new data is scheduled to be completed by 3/24.

The first iteration will conclude with an Evaluation Phase. The primary products include revisions of the enterprise data model as well as monitoring and refreshing procedures. The target date for completion is 4/3/98 with allowance for up to a 30 day extension.**

* Data warehouses are built in incrementally through iterations or small components, typically defined by a limited subject area-in this case Children. The first iteration is especially concerned with integrating a limited and manageable amount data from different sources because the associated design work and infrastructure decisions lay the foundation necessary for coherent future development and use.

** Deadlines for data warehouse construction differ in nature from deadlines for other types of information systems. Data warehouse iterations are "time-boxed," meaning that a product must be produced by a definite specified period of time-in this case 6 months. If the effort encounters unforeseen obstacles, the deadline will not be extended, rather additional resources will be added or the scope of the project will be reduced. An ambitious 6 month time-box has been adopted for the first iteration of the DHSS data warehouse with a rigorous detailed five month time line outlined. So far the project has been able to adhere closely to this initial schedule.

FY 1999 to Completion (end of FY 2000)

Projected activity over these two years will primarily be devoted to expanding the data warehouse to meet the full DHSS and related MHTA information and decision support needs. Additional subjects and associated source data bases will be identified for incorporation into the data warehouse through a time boxed sequences which include analytic, design, construction, testing, deployment and evaluation phases as used in the first iteration.

Preliminary subject areas for subsequent iteration have already been identified in the current iteration and would be refined in the analysis phases of subsequent iterations. DHSS has the option of retaining the assistance of the current consulting group and would intend to do so if it continued to be satisfied with the quality of product and the extent of knowledge transfer necessary to assume complete full management and control the data warehouse by the end of FY200.

Project Cost

Full project cost is projected to be \$1.3 million of which \$525,000 has been appropriated. Costs associated with completion of the first iteration are approximately \$600.0. It should be noted that the \$200.0 of federal funds identified in the FY98 request do not refer to specifically earmarked federal funds, but rather to the ability to earn federal receipts. While it appears unlikely at this time we will be able to secure such funds, we are including them in the request because of increasing and wide spread interest in data integration at the federal level. As described above, following completion of the first iteration, remaining department databases will be added. This activity, scheduled for FY98 through FY00, will expend the remainder of the project cost of \$700.0.

Addressing Guiding Principles

The Department's data warehouse is intended to provide accurate and timely information for decision support. It will support and enhance program evaluation and the implementation of measurable benchmarks. The integration achieved will increase planning efficiency and support budget and planning related activities such as the RFR. It is envisioned that the data warehouse will be used as a resource to better understand trends and patterns of service use, which in turn will enhance prevention and early intervention efforts.

Section of Nursing Communications

FY98 Request:

12(d)(08)

\$400,000

RefNum:

30173

AP/AL: Appropriation

Historical Category: Health/Safety

Location: Statewide

Project Type: Information Systems

Election District: Statewide

Estimated Project Dates: 7/1/98 - 6/30/01

Brief Project Summary and Statement of Need:

The Section of Nursing needs to purchase software and hardware and to install communication links to establish a statewide communication data system.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$400,000		\$200,000	\$75,000	\$100,000	\$100,000	\$875,000
Total Funds:	\$400,000	0	\$200,000	\$75,000	\$100,000	\$100,000	\$875,000

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased Project	<input checked="" type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$245,900	3.0

Additional Information:

12(d)(08)

Section of Nursing Communications Cont.

This system will provide each Section of Nursing employee, located throughout the state, the access they need, via a single computer, data and communications systems (RPMS, AKSAS, AKPAY, EIS, e-mail; and Internet). Of the section's 186 employees scattered in 22 health centers and 4 regional offices, 130 are currently without a personal computer.

The Section of Public Health Nursing provides a wide range of public health nursing services to Alaska residents through a network of 22 health centers and itinerant services to 250 villages and communities. The section's client data base is the Resource and Patient Management System (RPMS). This system is shared with Indian Health Service (IHS). The RPMS provides information on services provided to each client in the past and aids in decisions regarding services to be provided in the future. Through a process called Multi-Facility Integration (MFI), client data is shared among locations throughout the state from a central data base in Anchorage. In other words, if a client received services in Ketchikan then moved to Fairbanks, the public health nurse in Fairbanks would have access to information on the services provided to that client in Ketchikan, permitting a more informed and effective plan of care. The major problem with this system is data transmission to the shared data base in Anchorage. Data transmission is currently done via modem. It is slow, unreliable, and expensive.

The funding requested will provide a solution to the problem of communications and data, and will involve three components:

- 1) a personal computer needs to be purchased for each employee who does not have one, with the goal of meeting the business needs of the employee;
- 2) communication links need to be established to connect each health center to either the State's Wide Area Network or the Internet as location dictates. It is this connection that will improve the transmission of RPMS data; and
- 3) technical support capability needs to be established to maintain the data and communication system.

The requested project will:

- 1) create a more efficient work environment for nursing employees through single work station access to the information systems they need;
- 2) permit timely and efficient communication and transfer of information between health centers and the Section of Epidemiology in the event of a disease outbreak or other event of public health significance;
- 3) permit client data to be moved quickly and inexpensively to and from the integrated data base in Anchorage, permitting public health nurses access to the most current and accurate client data possible;
- 4) provide itinerant public health nurses laptop computers that they can use when providing services in the villages;
- 5) provide for on-going maintenance and support of the communication and data system in the Section of Nursing;
- 6) improve intra/inter departmental communications through the availability of electronic mail;
- 7) greatly enhance participation in the department's data warehouse.

Business needs have been changing within the public health nursing system as a result of both clients served, new health initiatives, and new partnerships. This request seeks a business solution that employs increased technology so that nursing can keep pace with this changing environment.

If this request is not approved there are only very limited alternatives by which the Section of Nursing can move forward in a reasonable time period into an efficient and effective communications environment. We will be unable to provide our cadre of public health nurses with timely client information to allow them to make the best decisions possible on their client's behalf.

12(d)(29)

Family Services Field Safety, Office, and Transportation Equipment

FY98 Request: \$410,200
RefNum: 30122

AP/AL: Appropriation

Historical Category: Health/Safety

Location: Statewide

Project Type: Equipment

Election District: Statewide

Estimated Project Dates: 7/1/98 - 6/30/00

Brief Project Summary and Statement of Need:

Request will provide the basic logistical resources to protect workers and clients in order to support protection or prevention services for children.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
G/F Match	\$72,500						\$72,500
Gen Fund	\$337,700						\$337,700
Total Funds:	\$410,200	0	0	0	0	0	\$410,200

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	0	0

Additional Information:

12(d)(29)

Family Services Field Safety, Office, and Transportation Equipment Cont.

Vehicle and Safety Equipment Backlog (\$150.0 GF)

There is a critical need for vehicles and safety equipment to permit Division of Family and Youth Service (DFYS) to perform essential functions of their job. One of the most important needs is for vehicles-currently there are not sufficient vehicles within each office location for Family Service Social workers to complete timely home studies, respond to reports of harm or transport children. On average, the Division had one vehicle for every 4.85 social workers, but would like to reduce that ratio to an average of four social workers per vehicle, requiring an additional twenty seven vehicles statewide. Lack of available vehicles creates inefficiencies and jeopardizes the safety of children, DFYS workers and the community at large. The current ratios in the Southcentral Region (5.00) and the Northern Region (5.42) were especially high and in some offices, as many as six or seven social workers have been sharing a vehicle.

Using available resources, the Division has recently purchased, or is in the process of purchasing, six new vehicles for areas of particularly high need. Funds in this FY98 supplemental request will allow the purchase of seven more vehicles in high need areas: three in Southcentral Region communities; three in Northern Region communities and one more in Southeast.

In addition to vehicles, other equipment is required. DFYS workers often deal with situations that are potentially volatile and violent because they are inherently emotionally charged and stressful for all participants. Moreover, workers are unaccompanied and thus vulnerable to attack. Emergency safety equipment, such as cell phones, two way radios and duress alarms are essential to ensure their safety.

While the need for vehicles and equipment is much greater than the amount in this supplemental budget request, the funds will allow improvement in the regions and offices with the greatest deficiency. The project will provide some essential equipment to all office locations within each region. Current plans for the requested funds call for an allocation of \$53.4 for the Southcentral Regional Office (SCRO), \$23.6 for the Southeast Regional Office (SERO), and \$73.0 for Northern Regional Office (NRO). Current plans for equipment, by office, to be purchased through this request are shown below:

SCRO		NRO		SERO	
Anchorage		St. Mary's		Juneau	
1 vehicles	14.9	1 vehicle	26.0	"pop out' windows	2.9
FAX	2.0	subtotal	26.0	intercom/pager	2.3
subtotal	16.9			subtotal	5.2
		Bethel			
Dillingham		Duress alarm	3.0	Ketchikan	
SOS package 1 cell phone	0.4	subtotal	3.0	2 cell phones	0.4
Duress alarm	0.6			Duress alarm	1.5
subtotal	1.0	Ft Yukon		subtotal	1.9
		1 vehicle	26.0		
Glenallen		subtotal	26.0		
Duress alarm	0.6			Wrangell	
Carbon Monoxide detector	0.1	Unalakleet		1 vehicle	15.0
subtotal	0.7	1 vehicle	18.0	2 way police radio	1.5
		subtotal	18.0	subtotal	16.5
Kenai					
1 vehicle	14.9	NRO TOTAL	73.0	SERO TOTAL	23.6
upgrade to 4X4	5.0				
subtotal	19.9				
Mat SU					
1 vehicle	14.9				
subtotal	14.9				
SCRO TOTAL	53.4				

Family Services Field Safety, Office, and Transportation Equipment Cont.

Additional Needs Related to FY99 Operating Budget (\$187.7 GF, \$72.5 GF Match)

In addition to the vehicle and safety equipment backlog described above, this budget also includes funds to purchase equipment items needed to accommodate the FY99 Operating Budget. The Department needs lead time in order to procure this office, transportation and communication equipment prior to the beginning of FY99. Federal funds (\$102.3) that will be used are not included in this request because existing authority is available in the operating budget. Equipment to be purchased includes:

Southcentral Region	
Computer network & office equipment	\$116.5
Vehicles (7)	<u>\$105.0</u>
	\$221.5

Northern Region	
Computer network & office equipment	\$81.0
Vehicles (4)	<u>\$60.0</u>
	\$141.0

Total both regions	\$362.5
Less Federal funds available through other appropriations	<u>-\$102.3</u>
Total non-Federal funds required for these needs	\$260.2

McLaughlin Heat and Ventilation Control System

FY98 Request: \$450,000

RefNum: 30125

12(d)(10)

PIAL: Appropriation

Historical Category: Health\Safety

Location: Anchorage Areawide

Project Type: Construction

Election District: Anchorage Areawide

Estimated Project Dates: 7/1/98 - 6/30/01

Brief Project Summary and Statement of Need:

Replace obsolete and non-functioning manual mechanical controls and upgrade the mechanical system (HVAC) at the McLaughlin Youth Facility

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$450,000						\$450,000
Total Funds:	\$450,000	0	0	0	0	0	\$450,000

<input type="checkbox"/> New	<input checked="" type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	0	0

Additional Information:

12(d)(10)

McLaughlin Heat and Ventilation Control System Cont.

This project will replace obsolete and non-functioning manual mechanical controls and upgrade the heating, ventilation and air conditioning system (HVAC) at the McLaughlin Youth Facility. These changes are necessary and essential for the economical and efficient maintenance operation, significant energy savings and safe operation of the facility. The existing manual control systems are up to 30 years old and differ widely from each other. They are antiquated, of differing manufacturers, in poor operating condition or not operating, requiring constant manual staff attention. The addition of a Direct Digital Control (DDC) System will replace all obsolescent controls (these old systems are from different manufacturers and have not worked well together) and allow monitoring of all system functions from a central console, contain program and troubleshooting capabilities, fire alarm, safety and security functions required for accreditation, and will reduce energy consumption and notify staff of specific problem areas that require personal attention.

At present only the school and detention wings have their mechanical systems monitored in the mechanical control room. Currently the monitoring computer equipment in this room is of insufficient capacity and will be replaced under this project. All other systems do not have monitoring systems, therefore the facility staff does not know when a system malfunctions nor can it adjust heat or ventilation automatically through computer access. This requires a significant amount of manual staff labor and reduces the routine maintenance function of the maintenance mechanic, driving many of those functions into the statewide deferred maintenance backlog. Some potential consequences of not providing funding for this project: 1) could result in mechanical pumps and motors going down and causing building freeze-up, 2) increased operating costs, 3) reduced fresh air (air quality and quantity) if dampers get stuck with old systems 4), air filters could be clogged without staff realizing, and 5) verification of life-safety issues, i.e. fire alarm verification and smoke detection.

Funding this project will also centralize the maintenance function of the facility and reduce future energy and operating costs by an estimated 12-17%. The requested \$450.0 for the of the MYC HVAC project is broken into sections as follows:

Classification Unit \$81.8 Repair and upgrade of the heating and ventilation system currently all this section is adjusted manually and is not accurate or cost effective.

Detention Unit \$66.3 The present HVAC system can be monitored only in the Maintenance computer room. Unfortunately the present system does not allow any adjustment to the system.

Administration \$71.1 The HVAC system in these areas is controlled by a Honeywell Selectographic. This is an antiquated non operational system. Repair parts are not available.

Kitchen/Supply/Nursing \$83.6 The HVAC system in these areas is controlled by a Honeywell Selectographic. This is an antiquated non operational system. Repair parts are not available.

Treatment Cottages \$55.6 No HVAC system presently exists to control the heat and ventilation

School \$46.2 The present HVAC system in this portion of the facility was built to be tied into the central computer controls. Computer system is insufficient.

Gym \$45.4 This area does not have remote controls and must be adjusted manually on a daily basis.

12(d)(11)

Kenai Health Center

FY98 Request: \$1,705,000

RefNum: 30140

AP/AL: Appropriation

Historical Category: Health/Safe /

Location: Kenai Areawide

Project Type: Construction

Election District: Kenai Areawide

Estimated Project Dates: 7/1/98 - 6/30/03

Brief Project Summary and Statement of Need:

Complete acquisition of land, design and construct a public health center from which essential public health services will be provided to Kenai and surrounding communities.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$1,705,000						\$1,705,000
Total Funds:	\$1,705,000	0	0	0	0	0	\$1,705,000

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	Amount	Staff
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$60,000	0

Additional Information:

12(d)(11)
12(d)(11)

Kenai Health Center Cont.

In 1967 the City of Kenai agreed to provide health center space in exchange for acquiring the land where the previous health center was located. In 1981 the health center was moved into the new City Hall Building. This health center, which has been occupied since then for a nominal maintenance fee of \$9,300 per fiscal year, was designed for a staff of four. The current staff consists of nine individuals—a Public Health Nurse IV, two Public Health Nurses III, three Public Health Nurses II, and three Administrative Clerks—all occupying, and providing services in, approximately 1,000 square feet of space. In addition to being extremely cramped, this space does not meet fire code, Americans with Disabilities Act, or other safety requirements.

The sum requested would fund design and construction of a joint facility with at least 6,500 gross square feet dedicated to public health center activities. Estimates assume the facility would be on donated land (the City of Kenai has agreed to provide suitable land). The design would meet Public Health Space Standards published in May 1988 for a joint facility with the current staffing. The design should facilitate patient flow from waiting room to exam rooms, allow for controlled access to clerical areas, and provide space for medical records, secure storage for medical supplies and multi-purpose training/conference room in addition to office space and needed exam rooms, laboratory, and handicapped accessible restrooms.

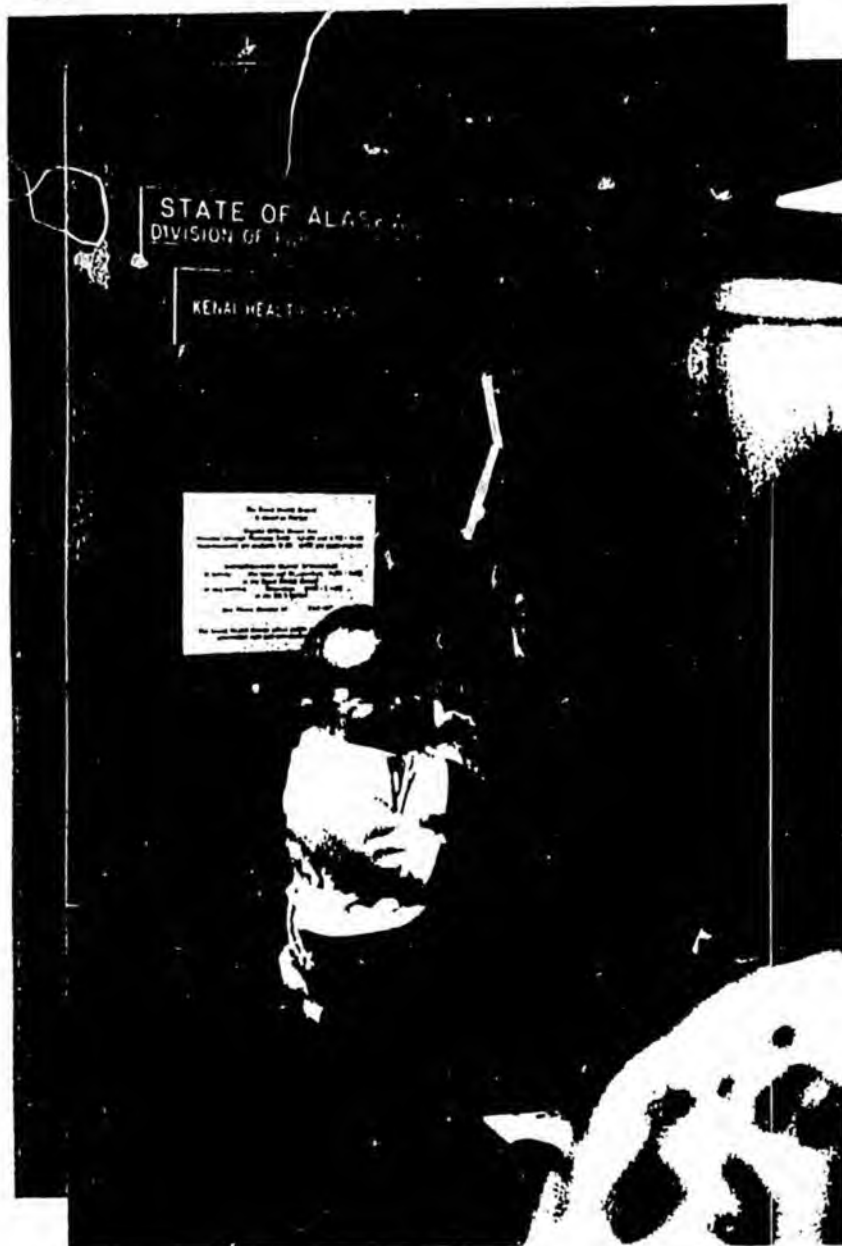
The City of Kenai wants the health center to move out of City Hall and, as stated, has offered to provide suitable land. The City recently completed a study titled "Relocating the Kenai Public Health Center" (cover page attached), which recommended construction of a new Public Health Center of the size proposed in this request.

The total project cost is based on estimates that reflect the relatively high costs of medical facilities in general, but assume that that the project will be part of a combined project housing another compatible activity. Colocation with another suitable activity would allow some minor efficiencies in mechanical and other shared spaces. As indicated above, a minimum of 6,500 gross square feet within a joint facility is needed for public health center operations.

Recently, the Kenai Peninsula Borough developed a proposal for a joint Hospital Administration/Health Center. While the initial draft proposal did not provide for adequate space for the Health Center, the Department is cooperating with the Borough. Any other activities sharing the facility would have to be strictly compatible and carefully designed (e.g., with a separate entrance for the health center) to ensure the safe, successful operation of the health center consistent with maintenance of community health and safety and patient confidentiality.

Alternatives considered in lieu of construction (and rejected) included: 1) do nothing—rejected as ineffective and dangerous due to the safety deficiencies and overcrowding in the current facility; and 2) utilize lease space—rejected as no suitable space is available for lease and in any case would be too expensive in the long term.

Building operating costs shown for the new facility were based on analyses of costs of operating the Ketchikan, Juneau, and Fairbanks Health Centers.



RELOCATING THE KENAI PUBLIC HEALTH CENTER

A STUDY PREPARED FOR:

The City of Kenai

by

K. Scott & Associates, Inc.
Kenai, Alaska

November, 1995

(11)(P)71

12(d)(2)

Ketchikan Youth Facility

FY98 Request: \$1,518,300

RefNum: 26669

AP/AL: Appropriation

Historical Category: Health\Safety

Location: Ketchikan

Project Type: Construction

Election District: Ketchikan

Estimated Project Dates: 7/1/97 - 6/30/00

Brief Project Summary and Statement of Need:

Construction of a Youth Detention Facility with associated programs in Ketchikan.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$1,518,300						\$1,518,300
Total Funds:	\$1,518,300	0	0	0	0	0	\$1,518,300

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$306,900	5.0

Additional Information:

12(d)(12)
~~12(d)(2)~~

CORRECTION

THE FOLLOWING DOCUMENT(S)
HAVE BEEN REFILMED TO
ASSURE LEGIBILITY OR PAGINATION



Rev. 6/98

Central Microfilm Services
Department of Education
State of Alaska

12(d)(12)

Ketchikan Youth Facility

FY98 Request: \$1,518,300

RefNum: 26669

AP/AL: Appropriation

Historical Category: Health\Safety

Location: Ketchikan

Project Type: Construction

Election District: Ketchikan

Estimated Project Dates: 7/1/97 - 6/30/00

Brief Project Summary and Statement of Need:

Construction of a Youth Detention Facility with associated programs in Ketchikan.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$1,518,300						\$1,518,300

Total Funds:	\$1,518,300	0	0	0	0	0	\$1,518,300
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<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$306,900	5.0

Additional Information:

12(d)(12)
 12(d)(12)

Ketchikan Youth Facility Cont.

A youth detention center is urgently needed for the Ketchikan area. Currently there is no detention facility for youth offenders in Southern Southeast Alaska. Youth offenders from this area must be transported to the Johnson Youth Center in Juneau. In FY95, approximately 20% of admissions to Johnson Youth Center (JYC) were from Ketchikan and surrounding areas. After an arrest in Ketchikan, the youth is transported to Juneau by air. If weather conditions are bad or a plane is not scheduled, then DFYS or local law enforcement officers have nowhere to house these juveniles there is a serious need for a youth facility in Ketchikan.

The DHSS has been actively working with the Ketchikan community on planning for a youth detention facility in Ketchikan. To date there have been a series of public meetings between DHSS staff and the local community to solicit community input in planning for the proposed youth facility. A local Citizens Advisory group has been established that is advising the DHSS on various community options for a youth facility. It is anticipated that the Citizens Advisory group will be involved throughout the entire process of establishing a Youth Detention facility in Ketchikan, and continue on after it is operational.

Along with planning for the facility, DHSS staff have solicited the assistance of the City of Ketchikan to locate a site that the community can donate for the Youth Detention facility. The importance of obtaining a suitable site for the youth detention facility cannot be over emphasized. If the State has to purchase land this will add to the cost of constructing the Youth Detention facility.

In February of 1997, the DHSS completed and published a Youth Facility Master Plan. That Master Plan identified severe and extreme youth facility overcrowding statewide as a serious and dangerous problem. The Master Plan recommended as a partial solution, the expansion of current youth facilities in Juneau, Anchorage and Fairbanks as well as the addition of new youth facilities in Ketchikan, Mat-Su, and Kenai. The Alaska Legislature then appropriated funds for planning and design for all of these youth facilities as a statewide approach to solving the critical need for more youth facilities.

Note: Funding for design and engineering (184.5) was previously received in FY 98. This request is for the funds required to complete construction of the facility.

AP/AL: Appropriation

Historical Category: Health\Safety

Location: Anchorage Areawide

Project Type: Construction

Election District: Anchorage Areawide

Estimated Project Dates: 7/1/97 - 6/30/02

Brief Project Summary and Statement of Need:

This project is to construct a detention unit and associated probation offices at McLaughlin Youth Center (MYC.) This project is needed because of serious overcrowding in the facility.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$5,297,000						\$5,297,000
Total Funds:	\$5,297,000	0	0	0	0	0	\$5,297,000

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$1,175,400	16.0

Additional Information:

McLaughlin Youth Center Detention Unit/Probation Offices Cont.

This project is to construct a 30 bed detention unit and associated probation offices at McLaughlin Youth Center(MYC.) This project needed because of serious overcrowding in the facility. In February of 1997, the DHSS completed and published a Youth Facility Master Plan. That Master Plan identified severe and extreme youth facility overcrowding statewide as a serious and dangerous problem. The Master Plan recommended as a partial solution, the expansion of current youth facilities in Juneau, Anchorage and Fairbanks as well as the addition of new youth facilities in Ketchikan, Mat-Su, and Kenai. The Alaska Legislature, after noting the seriousness of the problem in the Master Plan appropriated funds for planning and design for all of these youth facilities as a statewide approach to solving the critical need for more youth facilities. For MYC 675.0 was appropriated in FY98 for design of a 30 bed detention unit. At MYC that effort has gone forward and the contract for design services awarded. Programming and schematic design phases are currently underway.

The resident population in the youth correctional system is at an all-time record high. There has been an increase of 72.9% in the admissions over the last five years. There were 2,451 admissions in FY96, compared to 1,417 in FY92 and this trend continues in FY97. The services provided by the youth correctional facilities are mandated by the Alaska Statute 47.10.160, Title 47, which says: "The department shall (1) accept all minors committed to the custody of the department and all minors who are involved in a written agreement under Section 47.10.230 (c) and provide for the welfare, control, care, custody and placement of these minors in accordance with this chapter."

According to this statute the facilities have no control over the number of admissions of delinquent youth. Presently the department has 220 beds in the youth correctional facilities, 146 long term treatment beds and 74 detention beds. The treatment beds are available in the three youth correctional facilities (115 beds in the McLaughlin Youth Center (MYC), 20 beds in the Fairbanks Youth Facility, and 11 beds in the Bethel Youth Facility). The detention beds are available in the five youth correctional facilities (35 beds in the McLaughlin Youth Center, 20 beds in the Fairbanks Youth Facility, 8 beds in the Bethel Youth Facility, 8 beds in the Johnson Youth Center, and 3 beds in the Nome Youth Facility).

The resident population in the McLaughlin Youth Center seriously exceeds the bed capacity. This has been the trend since FY94. When the resident population exceeds the bed capacity, the impact has been primarily in the Detention Units. The Facility must admit the delinquent youth even if it exceeds the capacity. The resident detention population in the McLaughlin Youth Center has been running over 60 clients for the last several quarters while the capacity is only 35. In response to this increased resident population, the Department must increase the treatment bed capacity for State youth. The construction of a 30 bed detention unit in the McLaughlin Youth Center will assist in meeting the existing needs of the department.

In addition, related to the new detention facility, probation offices will be added to move the juvenile probation officers to MYC. The Anchorage DYFS office is over crowded. DFYS is restructuring as a result of HB6 and the need to separate Family Services from Youth Services. Relocating these positions to MYC will unite the facility and probation units.

Staffing

Once the construction is completed, the unit will employ 15 new people. The new detention unit will need approximately \$1.2 million in the operating budget.

12 d'101

Matanuska-Susitna Detention and Support Facilities (Phase I) FY98 Request: \$4,100,000 RefNum: 26666

AP/AL: Appropriation

Historical Category: Health/Safety

Location: Mat-Su Areawide

Project Type: Construction

Election District: Matsu Areawide

Estimated Project Dates: 7/1/97 - 6/30/02

Brief Project Summary and Statement of Need:

Construct a 15 bed youth detention unit and necessary support spaces.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$4,100,000						\$4,100,000

Total Funds:	\$4,100,000	0	0	0	0	0	\$4,100,000
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<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input type="checkbox"/> One-Time Project	<input checked="" type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
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Operating & Maintenance Costs:

	Amount	Staff
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	\$1,272,900	17.0

Additional Information:

12(d)(14)
12(d)(14)

Matanuska-Susitna Detention and Support Facilities (Phase I) Cont.

This project would build a 15 bed youth detention unit and necessary core support facility in the Mat-Su area. There is a great need for a youth facility in the Mat-Su Borough. Currently, over three quarters of the juveniles supervised by youth corrections in Mat-Su are classified as either serious or violent offenders. In CY95 approximately 8.5% of the admissions to McLaughlin Youth Center (MYC) detention and 14% of MYC treatment unit beds were occupied by youth from the Mat-Su Borough.

In February of 1997, the DHSS completed and published a Youth Facility Master Plan. That Master Plan identified severe and extreme youth facility overcrowding statewide as a serious and dangerous problem. The Master Plan recommended as a partial solution, the expansion of current youth facilities in Juneau, Anchorage and Fairbanks as well as the addition of new youth facilities in Ketchikan, Mat-Su, and Kenai. The Alaska Legislature, after noting the seriousness of the problem in the Master Plan appropriated funds for planning and design for all of these youth facilities as a statewide approach to solving the critical need for more youth facilities. For the Mat-Su Youth facility, 355.0 was appropriated for that purpose in FY98. That effort has gone forward and the contract for design services awarded. Programming and schematic design phases are currently underway.

The DHSS has been actively working with the Wasilla and Palmer communities on planning for a youth detention facility in the Mat-Su Valley. To date there have been a series of public meetings between DHSS and the local communities to solicit community input in planning and obtaining a site for the proposed youth facility. Local Citizens Advisory groups has been established that is advising the DHSS on various community options for a youth facility. It is anticipated that the Citizens Advisory groups will be involved throughout the entire process of establishing a Youth Detention facility in the Mat-Su, and continue on after it is operational.

Along with planning for the facility, DHSS staff have solicited the assistance of both Cities (Wasilla & Palmer) to locate a site that the community can donate for the Youth Detention facility. The importance of obtaining a suitable site for the youth detention facility cannot be over emphasized. If the State has to purchase land this will add to be cost of constructing the Youth Detention facility. A potential site has been offered by the City of Wasilla and is currently being investigated by DHSS staff.

Note: Funding for design and engineering (355.0) was previously received in FY 98. This FY98 supplemental request is for the funds required for construction.

MEMORANDUM

STATE OF ALASKA

Department of Military & Veterans Affairs Administrative Services Division

TO: Annalee McConnell, Director
 Office of Management & Budget
 Office of the Governor

DATE: February 9, 1998

FROM:


Carol Carroll
Director

TELEPHONE: 465-4730

SUBJECT: FY98 Budget
 Supplemental
 DMVA

The Department of Military & Veterans Affairs requests the following supplementals for FY98:

1. Disaster Relief Fund (DRF) - \$2.0 million general funds 6

This \$2.0 million supplemental funding to the DRF to capitalize the fund, will allow the DRF to meet its projected obligations for the next 12 months. The cash balance of the fund as of January 20th was \$50.0. We have outstanding obligations of \$1.3 million on state disasters, and a projected need for the Shismareff disaster of \$265.2. The balance will be used for future disasters. The average annual state disaster expenditures for the past five years have been \$3.0 million in general funds.

2. Alaska National Guard Counterdrug Support program - \$100.0 federal funds 11

The Counterdrug Support Program within the Alaska National Guard (ANG) allows for participation in the U.S. Department of Justice (USDOJ) Forfeiture Program. This federal program's primary purpose is to deter crime by depriving criminals of profits and proceeds from their illegal activities. State expenditure of these funds would be made in the area of technological support supplies and equipment for the ANG. We request that this be set up as a capital project budget as the funding will cross state fiscal years. We expect to receive \$26.0 in the next month or so. The federal guide for spending these funds is available to those interested in receiving a copy. The program and staff are paid for by the federal government to do such functions as aerial surveillance and reconnaissance, cargo inspection, photo reconnaissance, training, ground radar, etc. Any moneys recovered from the sale of forfeiture items are distributed among the participating agencies. The federal government cannot use these funds unless they are appropriated through the state budget process. Additional information is attached.

3. Youth Corps Facilities Repairs and Upgrades at Camp Carroll - \$230.0 GF 12(d)(15)

The Youth Corps Program is housed in barracks at Camp Carroll on Fort Richardson. This funding will allow for the replacement of 12 furnaces and 16 roofs, as well as adding siding and insulation. These barracks were intended to be temporary training facilities for the military and are now used on a year-round basis by the Youth Corps. The repairs and upgrades will be used in lieu of rent.

12(d)(15)

Carol Carroll, Director

2/11/98

Page 2

We appreciate your consideration. If you have any further questions feel free to contact me, or our budget contact Nico Bus, at 465-2406.

Attachments:

cc: Roger Schnell
Juno Chance
Nico Bus
Bob Heavilin

12(d)(15)

MEMORANDUM

State of Alaska

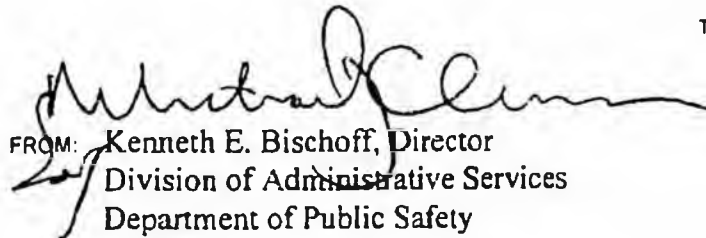
TO: Royce Weller
Office of Management and Budget
Office of the Governor

DATE: February 11, 1998

FILE NO: G:\98BUDGET\MEMOS\
98SUPPL.DOC

TELEPHONE NO: 465-4336

FAX: 586-2762


FROM: Kenneth E. Bischoff, Director
Division of Administrative Services
Department of Public Safety

SUBJECT: FY 98 Supplemental

The Department of Public Safety requests a FY 98 Supplemental Appropriation for the Council on Domestic Violence and Sexual Assault for the following items:

OPERATING BUDGET: \$100.0 is requested to implement training recommendations from the Domestic Violence Summit. This includes the development of a central repository for all upcoming domestic violence training, as well as the specific training needs of educators, medical providers and other personnel who will not receive training under the federal Violence Against Women Act S*T*O*P funds. The requested lapse date for this appropriation is June 30, 1999. The existing operating budget and FY99 request do not contain funds to implement Summit recommendations. 12 (c)(6)

CAPITAL BUDGET: \$85.0 is requested for the phase three installation of the domestic violence and sexual assault information system. This would complete a multi-phase CIP project. 12 (d)(16)

Phase one in the amount of \$50.0 was appropriated in SLA 95, CH 103, P.40, L.23.
Phase two in the amount of \$100.0 was appropriated in SLA 97, CH 100, P.55, L.8.

The Council is mandated by AS 18.66 to collect and manage data concerning services to victims and perpetrators of domestic violence, sexual assault, and incest. The current data system was developed in the early 1980's and is no longer adequate for the State's information needs.

Attachment: CIP request

cc: Jayne Andreen, Executive Director, Council on Domestic Violence & Sexual Assault

02-11-98

11:01

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12(d)(16)
P.03

Project Title: Information System for Domestic Violence and Sexual Assault - Phase 3
 Category: Administration of Justice Prog. Priority: 001/001
 Project Type: Information Systems Agy Priority: 011/012 AP/AL:
 Location: Statewide
 Election District: 99
 Completion Date (mmyr): 0699

FUNDING	FY99 Capital Request	Annual State Operating / Maintenance	FY99 New State PFT	CAPITAL REQUESTS					Total Req. FY99-FY04
				FY00	FY01	FY02	FY03	FY04	
1002 Federal Receipts									0.0
1003 General Fund Match									0.0
1004 General Fund	85.0		0	0.0	0.0	0.0	0.0	0.0	85.0
1005 GF Program Receipt									0.0
									0.0
									0.0
									0.0
TOTAL:	85.0	0.0	0	0.0	0.0	0.0	0.0	0.0	85.0

BRIEF PROJECT SUMMARY:

The third phase of the Information System for Domestic Violence and Sexual Assault will complete the installation of computers in each Council funded grantee for generating and reporting statutorily mandated client statistics.

DETAIL PROJECT DESCRIPTION AND JUSTIFICATION: CDVSA is mandated by AS 18.66 to collect and manage data concerning services to victims and perpetrators of domestic violence, sexual assault and incest. The current data system was developed in the early 1980's and is no longer adequate for the existing information needs of the State of Alaska. The following are problems the Council has identified over the last 7 years.

1) The Council is consistently backlogged on its data entry. Non-perm staff are needed to maintain the data entry functions.

2) The current system is not large enough to process the demographic information obtained from the Council funded programs. Valuable information concerning the types of abuse are collected but not compiled, including family of origin of the victims and perpetrators, types of injuries sustained in past and current abuse situations, family and household make-up, relationship of perpetrator to victim, law enforcement and criminal

Does capital project:	Yes	No
1) Meet state constitution or statutory responsibility?	X	
2) Address life, health or safety issue?	X	
3) Reduce state operating costs?	X	
4) Leverage private sector or local funds?		X
5) Create ongoing private sector jobs?		X
6) Facilitate transfer of responsibility to local or private sector?	X	

CAPITAL PROJECTS DESCRIPTION

FORM CP1
Revised 7/9/96

AGENCY: Department of Public SafetyDIVISION/PROGRAM: Council on Domestic Violence and Sexual AssaultPROJECT: Information System for Council on Domestic Violence and Sexual Assault

Page 1 of 2

Revised Date:

FY 99 - FY04

02-11-98

11:02

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FEB-11-98 10:47A

justice action taken, and longevity of abusive relationship. All of this information is valuable in terms of identifying the trends of family and sexual violence in Alaska. It is important information for the Council in order to increase the level of understanding of the needs of victims. The Council has been unable to respond to information requests from various state and local agencies due in large part to the limitations of the current system.

3) The current system does not allow for adequate checks and balances within the system for quality control of the information. The Council currently enters raw data from the programs into the computer. Once the information is compiled it is submitted to the programs for verification of accuracy. The majority of programs have even less sophisticated information systems than the Council, and discrepancies frequently arise.

4) A review by Legislative Budget and Audit in September, 1994, cited the Council's inadequate data system as a weakness within the State of Alaska.

Currently CDVSA's data information is manually recorded on forms by the service providers (Council-funded programs) and submitted on a monthly basis to CDVSA. CDVSA staff then manually enter the raw data into a computer database. The Council was able to transfer the database to Access in FY94, which has increased the speed of the data entry and report generation, but this only addressed one problem with the program. Because of the age of the existing system, there is no alternative to changing the existing system, other than to continue with the current manual system of entering data.

The first phase of the project, currently in progress, is the assessment of data needs, and development of a user friendly software program necessary to meet those needs. The Council contracted out the technical assistance in the amount of \$25,000. Data forms are being redesigned and printed, and pilot projects are currently being testing the new software. This testing will occur through the fall/winter of 1997-98. Because of cautious financial management the Council has been able to begin the original second phase into the first phase of the project.

The second phase of the project is for the purchase and installation of computers for the remaining programs. Revisions will be made to the existing data dictionary, and technical assistance will be provided to each of the 22 Council-funded programs. The third phase will include training for each program on the use of the system at the time of installation, and technical assistance will available on an on-going basis. Following an eight month run, the Council will conduct a final assessment of the project's effectiveness and usability. Adjustments will be made, if necessary.

AGENCY: Department of Public Safety

Page 2 of 2

FY 99 - FY04

Revised Date.

DIVISION/PROGRAM: Council on Domestic Violence and Sexual Assault

PROJECT: Information System for Council on Domestic Violence and Sexual Assault

ADDITIONAL
EXPLANATION
FORM CP1
Revised 7/9/96

12 (P) (16)
12 (P) (16)
P.04

P.04

MEMORANDUM

TO: Annalee McConnell, Director
Office of Management and Budget

DATE: February 10, 1998

THRU: *Dwayne B. Peoples*

FROM: Dwayne Peoples, Director
Division of Administrative Services

SUBJECT: FY98 Supplemental Request

The Department of Corrections request the following items be considered for supplemental funding in FY98.

Supplemental #1

Inmate Health Care: \$2,500.0 GF 5(-)

Inmate Health Care currently has considerable medical cases beyond the norm, with bills estimated at \$1,984.0 as of January 28, 1998. Final bills for some of these cases have not been received and other cases, which are not yet closed, are estimated. A few very current cases were not calculated in these totals, therefore, the best total estimate through year-end is \$2,500.0.

Supplemental #2

Institutions: (Correctional Facilities) \$661.0 GF 5(6)
\$ 60.0 GF/PR
\$721.0

The supplemental need for Institutions is being driven by several factors, primarily due to overcrowding. Overtime is high due to overcrowding, inmate medical transports and hospital coverage, and shift coverage for Correctional Officer I's attending the Correctional Academy for six weeks.

The projected deficit in the personal services line for all twelve institutions is \$1,485.0. This projected deficit is being reduced to \$1,166.0 by holding the line on expenditures in non-personal services lines. The DOC is further reducing the deficit by processing revised programs to move funding from the Division of Community Corrections to the Division of Institutions.

The legislature appropriated \$650.0 in Federal Funds and \$65.0 in General Fund Match for a total of \$715.0 in FY98 to the Office of the Commissioner in anticipation of receiving funds from a Federal Truth in Sentencing program. The Department was notified that the State of Alaska does not qualify for the Federal Truth in Sentencing program and will not receive a federal grant. The Department proposes that the \$65.0 General Fund Match appropriated to the project be used to partially offset the Institutions supplemental need.

CORRECTION

THE FOLLOWING DOCUMENT(S)
HAVE BEEN REFILMED TO
ASSURE LEGIBILITY OR PAGINATION



Rev. 6/98

Central Microfilm Services
Department of Education
State of Alaska

MEMORANDUM

TO: Annalee McConnell, Director
Office of Management and Budget

DATE: February 10, 1998

THRU: *Dwayne B Peeples*

FROM: Dwayne Peeples, Director
Division of Administrative Services

SUBJECT: FY98 Supplemental Request

The Department of Corrections request the following items be considered for supplemental funding in FY98.

Supplemental #1

Inmate Health Care: \$2,500.0 GF 5(c)

Inmate Health Care currently has considerable medical cases beyond the norm, with bills estimated at \$1,984.0 as of January 28, 1998. Final bills for some of these cases have not been received and other cases, which are not yet closed, are estimated. A few very current cases were not calculated in these totals, therefore, the best total estimate through year-end is \$2,500.0.

Supplemental #2

Institutions: (Correctional Facilities) \$661.0 GF 5(b)
\$ 60.0 GF/PR
\$721.0

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The projected deficit in the personal services line for all twelve institutions is \$1,485.0. This projected deficit is being reduced to \$1,166.0 by holding the line on expenditures in non-personal services lines. The DOC is further reducing the deficit by processing revised programs to move funding from the Division of Community Corrections to the Division of Institutions.

The legislature appropriated \$650.0 in Federal Funds and \$65.0 in General Fund Match for a total of \$715.0 in FY98 to the Office of the Commissioner in anticipation of receiving funds from a Federal Truth in Sentencing program. The Department was notified that the State of Alaska does not qualify for the Federal Truth in Sentencing program and will not receive a federal grant. The Department proposes that the \$65.0 General Fund Match appropriated to the project be used to partially offset the Institutions supplemental need.

The DOC Institutions collect \$2.00 per meal from anyone eating a meal prepared and served by the Institution. These funds are collected primarily from DOC staff. Historically these funds have been deposited into the general fund. A program receipt supplemental for \$60.0 of these funds would assist with the requested supplemental.

Supplemental #4

Transportation and Classification \$240.0 GF 5 (3)

The increased prisoner population for FY98, averaging about 20% above Cleary Emergency capacity, has required significant prisoner transports be accomplished by the five (5) Prisoner Transportation Officers in the Transportation and Classification Section, both in-state and out-of-state. Accomplishing this involves heavy overtime usage and increased transport expenses. To make it through the fiscal year, an addition of \$120.0 personal services is required to cover the costs of premium pay for the prisoner transportation officers and an additional \$120.0 of travel funds are necessary to cover the transportation needs of moving these huge numbers of prisoners. As one aspect of the Department's plan for handling these increased prisoner levels, an additional 120 prisoners will be moved to our Central Arizona contract facility by the end of March. The Department needs these supplemental funds to accomplish this out-of-state action and to continue to meet ongoing in-state prisoner transport needs for the remainder of the fiscal year.

Supplemental #5

Existing Community Residential Centers	\$886.0	1 (c)
Supplemental GF/PR Auth. (25% Wages)	\$600.0	GF/PR
Supplemental GF/PR Auth. (DWI Collections)	\$ 50.0	GF/PR
Supplemental GF	\$236.0	GF
Supplemental Request	\$886.0	

The Department started the fiscal year with 586 general funded Community Residential Center (CRC) beds at eight contract facilities. Five of the providers base contracts expired on November 30, 1997 and had to be resolicited. This resolicitation has resulted in an overall cost increase of \$886.0, which requires a supplemental. In addition, as part of the agreement to spend newly appropriated Federal Funds toward new CRC beds, the Department was obligated to maintain the original 586 beds. To reduce the impact of the supplemental, however, we estimate that \$650.0 of this need could be funded by increasing the general fund/program receipt authority and allowing the DOC to utilize collections in excess of our current authorization for DWI cost of care and Inmate 25% Wages cost of care reimbursement. This supplemental request is time sensitive due to the expiration dates of existing contracts. Some contracts will expire March 31, 1998. The DOC can not implement contracts to continue these beds if funding is not available at that time.

Supplemental #6

Alaska Board of Parole \$50.0 GF 5 (a)

The workload of the Alaska Board of Parole has increased significantly as prisoner populations have expanded across the entire State of Alaska. To process discretionary and mandatory parole hearings, parole revocation hearings, preliminary hearings, etc., on a timely basis, additional travel, transportation, and contractual service funds are required. Maintaining parole board activities to return eligible parolees to the community will free up institutional beds.

12(d)(17) pg. 3

12(d)(17) pg. 3

Supplemental #7

Kids Package

\$100.0 GF 12 (d)(17)

This request is for capital funds to purchase vehicles and life safety equipment necessary to implement the Sexual Predators program.

cc: Margaret Pugh, Commissioner
Joseph Reeves, Deputy Director, Administrative Services
Sharleen Griffin, Program Budget Analyst

STATE OF ALASKA

TONY KNOWLES, GOVERNOR

OFFICE OF DEPT. OF HEALTH AND SOCIAL SERVICES MANAGEMENT & BUDGET

FEB 11 1998

OFFICE OF THE COMMISSIONER

P.O. BOX 110601
JUNEAU, ALASKA 99811-0601
PHONE: (907) 465-3030
FAX: (907) 465-3068

MEMORANDUM

OFFICE OF MANAGEMENT & BUDGET

FEB 11 1998

DATE: February 10, 1998

TO: Annalee McConnell, Director
Office of Management and Budget

FROM: *Karen Perdue*
Karen Perdue, Commissioner

SUBJECT: FY98 Supplemental Request

The Department of Health and Social Services requests an FY98 Supplemental for a number of operating and capital programs within the Department. The following items have been attached as supporting documentation:

FY98 Supplemental Spreadsheet

FY98 Operating Summaries

FY98 Miscellaneous Claims Documentation

Automated Budget System (ABS) Summaries of Capital Projects

If you have any questions, please contact Janet Clarke at 465-3082.

Attachments

cc: Jay Livey, Deputy Commissioner
Russ Webb, Deputy Commissioner
Janet Clarke, Director, Administrative Services
Lisa Emerson, Budget Analyst, Administrative Services
Elmer Lindstrom, Special Assistant, Commissioner's Office

BRU/COMPONENT	DESCRIPTION	Federal	GF	GF/PR	GF/Match	GF/MH	Other	Total Funds
Operating								
Public Assistance / ATAP	Alaska Temporary Assistance Program (ATAP) Savings from Welfare Reform				(1,500.0)			(1,500.0)
Medical Assistance/ Medicaid Non-Facilities	Federal Medical Assistance Percentage (FMAP)	(3,797.8)			3,797.8			0.0
Medical Assistance/ Medicaid Facilities	Federal Medical Assistance Percentage (FMAP)	(3,358.9)			3,358.9			0.0
Medical Assistance/ Waivers Services	Federal Medical Assistance Percentage (FMAP)	(613.4)			613.4			0.0
Medical Assistance/ General Relief Medical	Entitlement Growth		1,484.3					1,484.3
Medical Assistance/ Medicaid State Programs	School Based Claims - Adoption Backlog Project	866.7						866.7
Medical Assistance/ Indian Health Service	Medical Assistance Entitlement Growth & IHS Reimbursement	12,018.4						12,018.4
Medical Assistance/ Indian Health Service	School Based Claim	1,306.6						1,306.6
Purchased Services / Foster Care Base Rate	Foster Care Augmented Rate		355.6					355.6
Family & Youth Services/ Southcentral Region	Title XX Block Grant shortfall		46.6					46.6
Family & Youth Services/ Northern Region	Title XX Block Grant shortfall		48.8					48.8
Family & Youth Services/ Southeast Region	Title XX Block Grant shortfall		23.9					23.9
Youth Facility Svcs/ McLaughlin Youth Center	Youth Facilities - Population Increases		160.0					160.0
Youth Facility Svcs/ Johnson Youth Center	Youth Facilities - Population Increases		130.0					130.0
State Health Services/ Maternal Child & Family Health	Specialty Clinics			100.0				100.0
State Health Services/Bureau of Anchorage & Fairbanks Vital Statistics	Bureau of Anchorage & Fairbanks Vital Statistics Office Lease Space			18.0				18.0
Administrative Services / Health Planning & Facilities Mgt.	Public Health Lab Reimbursable CIP Costs						180.0	180.0
Administrative Services / Administrative Support Svcs	Miscellaneous Claims		4.1					4.1
Operating Total		6,421.6	2,253.3	118.0	6,270.1	0.0	180.0	15,243.0

(87)(P)21

FY98 DHS Supplemental

BRU/COMPONENT	DESCRIPTION	Federal	GF	GF/PR	GF/Match	GF/MH	Other	Total Funds
Capital								
Reappropriation / Language Change	Bethel Regional Public Health Center \$1,000.0 GF - Section 100, Ch. 100, SLA 96, Lines 18-21							0.0
	30122 Family Services - Field, Safety, Office & Transportation Equipment		337.7		72.5			410.2
	30125 McLaughlin Heat and Ventilation Control System		450.0					450.0
	26668 McLaughlin Youth Detention Unit Addition		5,297.0					5,297.0
	30140 Kenai Health Center		1,705.0					1,705.0
	26666 Mat-Su Detention and Support Facilities (Phase 1)		4,100.0					4,100.0
	26604 Department-wide Client Data Integration Project	200.0	400.0				200.0	800.0
	30148 Alcohol and Drug Abuse Management Information System Upgrade		392.0					392.0
	26669 Ketchikan Youth Facility		1,518.3					1,518.3
	30152 Family and Youth Services Technology Improvements	225.0			225.0			450.0
	30173 Section of Nursing Communications		400.0					400.0
	30192 Americans Disabilities Act Upgrades - Competitive Grants for Trust Beneficiary Facilities					100.0	100.0	200.0
	31370 Competitive Grants for Statewide Facility Inhalant Treatment Program		800.0					800.0
Capital Total		425.0	15,400.0	0.0	297.5	100.0	300.0	16,522.5
FY98 Supplemental Total		6,846.6	17,653.3	118.0	6,567.6	100.0	480.0	31,765.5

12 (P) 21
(81) (P) 21

Competitive Grants for Statewide Family Inhalant Treatment Program

FY98 Request:

12 (d) (18)

\$800,000

RefNum:

31370

AP/AL: Appropriation

Historical Category: Health\Safety

Location: Statewide

Project Type: Health and Safety

Election District: Statewide

Estimated Project Dates: 7/1/98 - 6/30/02

Brief Project Summary and Statement of Need:

Request will provide competitive grants for Family Inhalant Treatment Programs statewide.

Funding Request:

	FY98	FY99	FY00	FY01	FY02	FY03	Total
Gen Fund	\$800,000						\$800,000
Total Funds:	\$800,000	0	0	0	0	0	\$800,000

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Replacement	<input checked="" type="checkbox"/> One-Time Project	<input type="checkbox"/> Phased Project	<input type="checkbox"/> On-Going Project
---	--------------------------------------	--	---	---

Operating & Maintenance Costs:

	<u>Amount</u>	<u>Staff</u>
Operating Impact in FY98:	0	0
One-Time Startup Costs:	0	
Additional Estimated Annual O&M:	0	0

Additional Information:

12(d)(18)

Competitive Grants for Statewide Family Inhalant Treatment Program Cont.

Inhalant (commonly gasoline) abuse by children and adolescent youth is a serious problem in Alaska, especially rural Alaska. Surveys in Alaska have shown that nearly 20% of middle and high school students have used inhalants. Inhalant abuse leads to permanent cognitive damage, perhaps even from first use. Long term care costs for a child with brain injuries can cost over \$120,000 a year. Burns and other physical injuries can also result (and have resulted) from the nature of inhalants. The problem has major impacts on families and on law enforcement agencies, the juvenile and adult correctional systems, family and youth services providers, hospitals, and other health and mental health agencies.

Programs are needed on a statewide basis, offering a range of services including acute care, rehabilitation, community reintegration, continuing care and follow-up for children and adolescent youth. Any treatment programs, especially in rural areas, need to be culturally appropriate, with strong community support. They need to be closely integrated and coordinated with other relevant family social, health and mental health programs and services. They need to provide services to the whole family.

The requested funds will be used for competitive start-up grants statewide to provide for inhalant treatment centers offering family based inhalant treatment programs. In developing the Request for Proposals for the grant program, the Department of Health and Social Services will work with other relevant agencies to determine the most effective and most efficient approach to encourage the development of the most critically needed programs. The Department currently has established a committee composed of Alcoholism and Drug Abuse Advisory Board community members, substance abuse providers, and Division of Alcoholism and Drug Abuse staff. The committee will be developing a set of recommendations for the Department dealing with inhalant abuse.

Section 13 (a)

Misc. Claims - @ OMB

See binder: FY99

FY98 Misc. Claims/Stale Dated Warrants

MEMORANDUM
CHILD SUPPORT ENFORCEMENT DIVISION

State of Alaska
DEPARTMENT OF REVENUE

TO: Annalee McConnell
Director
Office of Management & Budget

DATE: February 12, 1998

THROUGH: Mary Sutton *M.S.*
Finance Officer
Administrative Services Division

FILE NO: FY98SUPP

TELEPHONE NO: 269-6800

FROM: Barbara Miklos *B.Miklos*
Director
Child Support Enforcement Division

SUBJECT: FY 98 Supplemental

The Child Support Enforcement Division requests supplemental funds to meet certain prior year obligations and take advantage of current and future year federal grant opportunities. The appropriation of these funds and accompanying federal receipt authority are instrumental, both in meeting the financial obligations of CSED and in using federal grant funds for projects to aid in the automation of certain casework actions. The supplemental request consists of four separate pieces, which are discussed in the following paragraphs.

AUDIT PENALTY - This figure originated in a federal audit which asserts that CSED used federal funds to collect child support for children in state detention facilities, expenditures which do not qualify for federal financial participation. The audit was unanticipated and the resulting penalty unbudgeted. CSED collects these funds per state statute and returns the receipts to the Division of Family and Youth Services. The total penalty recommended in the audit report (for the year ending 12/31/96) is \$69,500. The anticipated penalties for the period from 1/1/97 through 12/31/97 are an additional \$70,500. Total supplemental funds required are \$140,000 and all are state general funds. 12 (1)(2)

CSED FIELD OFFICE RELOCATION - Funds are necessary to move the CSED Juneau field office from its existing location to another state-leased facility. This move has been requested by the Department of Administration and is unexpected and unbudgeted. The estimated cost of the move is \$55,400 and qualifies for federal reimbursement of 66%, or \$36,600. This would leave \$18,800 in state general funds. 17 (a)

FY 97 TELEPHONE CHARGES - Though CSED suspected an underbilling of phone charges during FY 97 and repeatedly called the vendor, AT&T, we were repeatedly assured the bills were accurate. In October of 1997, the vendor acknowledged that an error had been made and billed the division \$147,000. The FY98 portion of that invoice has been remitted but \$82,105 pertains to FY97 and remains unpaid. The federally financial eligible portion of this figure is \$54,205 leaving \$27,900 in state general funds. 13 (b)

FEDERAL GRANTS CSED was granted approval of several federal grants subsequent to the beginning of FY 98. One of the grants is 100% federally funded. It has been accepted and work has been initiated. The remaining two projects are 95% 13 (b)

federal and require cr.ly 5% state matching funds. Acceptance of these projects is pending approval of this supplemental appropriation.

These projects are: *The Review and Adjustment System Demonstration Project (RADP)* and *The Child Care and Headstart Collaboration Project (CCHC)*. RADP is a three-year project, running from October 1, 1997 through September 30, 2000. CCHC is a four-year project, running from October 1, 1997 through September 30, 2001. The primary focus of RADP is to establish, implement, monitor and evaluate a program to ensure that support orders are modified automatically, with minimal caseworker intervention, when an income change warrants it. The objective of CCHC is to increase child support collections and stimulate parental involvement of non custodial parents in the welfare of their children. The four year funding requirements anticipated are:

F/Y	GRANT	FED	STATE	TOTAL
FY98	CCHC	74,900	3,900	78,900
FY98	RADP	<u>155,000</u>	<u>8,200</u>	<u>163,100</u>
		229,900	12,100	242,000
FY99	CCHC	96,100	5,100	101,200
FY99	RADP	<u>341,400</u>	<u>18,000</u>	<u>359,400</u>
		437,500	23,100	460,600
FY00	CCHC	94,900	5,000	99,900
FY00	RADP	<u>363,400</u>	<u>19,100</u>	<u>382,500</u>
		458,300	24,100	482,400
FY01	CCHC	23,800	1,200	25,000
FY01	RADP	<u>88,900</u>	<u>4,700</u>	<u>93,600</u>
		112,700	5,900	118,600
Total		\$1,238,400	\$65,200	\$1,303,600

This special program legislation is necessary because these federal grants were made available after the preparation of the FY 98 operating budget. Failure to approve this request could significantly impact CSED. Fluctuating obligor income creates constant need for order modifications. Because huge modification backlogs are commonplace, any efforts such as RADP, which facilitate automation, are critical. The CCHC project coordinators seek to enhance the relationship between obligors and the noncustodial parents and children, thereby encouraging willingness to contribute to the children's economic support. Finally, because these projects are 95% federally funded, the cost/benefit from a state perspective is undeniable.



Monthly Invoice

ALASCOM, INC D/B/A AT&T ALASCOM
 210 EAST BLUFF DRIVE
 ANCHORAGE, ALASKA 99501-1100

ACCOUNT NAME	SOA Dept of Revenue	Account Number:	019-351-3000-001
ATTN:	Child Support Enforcement Division	Invoice Date:	11/03/97 REVISED
ADDRESS	550 West 7th Ave Suite 260 Anchorage, AK ZIP:99501-3554	For Billing Inquires:	1-800-955-9556
		For Repair Service:	1-800-252-7521

Alaska Public Utilities Commission - 1-800-390-APUC (2782)

New Charges

Monthly Charges 12-26 through 06-30:
 Number of Call 127,270
 Number of Minutes 525,468
 Average Rate Per Minute \$ 0.15625

Total Charges and Taxes: \$82,105.26

Balance Brought Forward

Balance as of Last Monthly Invoice:	\$0.00
Payments Received:	\$0.00
Net Adjustment:	\$0.00
Date	Total

Balance Brought Forward: \$0.00

Remittance Amount Total Payable Upon Receipt: \$82,105.26

To ensure proper credit, please detach this portion and return with remittance.

Remittance Document

ACCOUNT NAME State of Alaska Department of Revenue
 ATTN: Child Support Enforcement Division
 ADDRESS 550 West 7th Ave Suite 260
 Anchorage, AK ZIP:99501-3554



Account Number: 019-351-3000-001

Telephone Number: 1-800-955-9556
 Invoice Date: 11/03/97 REVISED

Amount Due: \$82,105.26

Please remit payments to:

Alascom, Inc. d/b/a AT&T Alascom
 P.O. Box 196880
 Anchorage, Ak 99519-6880

Amount Enclosed:

Post-It* Fax Note	7671	Date	# of pages
To	MARY SIMON	From	BIARJUG
Co./Dept.	1	Co.	
Phone #		Phone #	907-6834
Fax #	907-465-1655	Fax #	

13 (b)



MEMORANDUM
DEPARTMENT OF NATURAL RESOURCES
OFFICE OF
MANAGEMENT & BUDGET

State of Alaska
Office of the Commissioner

FEB 09 1998

TO: Annalee McConnell, Director
Office of Management & Budget
Office of the Governor

DATE: February 9, 1998

TELEPHONE: 465-2400

FROM: 
John Shively
Commissioner

SUBJECT: DNR's FY98 Supplemental
Budget Needs

The following are DNR's supplemental budget needs for FY98:

1. Fire Suppression. \$13,000,000 general fund to cover the Fire Suppression fixed cost and suppression activities from January - June 1998. Attached is the division of Forestry's detailed request for the supplemental dated February 3, 1998. 14(a)
2. Recorder's Office. \$100,000 general fund program receipts, to be utilized by the component to fund costs incurred as a result of increased workload, and to assist in implementing efficiencies within the offices to deal with the increased workload. The program receipts are available as a result of an overcollection of unrestricted revenue generated by the increased workload. A detailed request is attached. 14(b)
3. Land Development. \$155,000 general fund to satisfy a settlement regarding Old Eagle School site clean up. This appropriation will be used primarily to pay back the Department of Environmental Conservation's Oil and Hazardous Substance Release Prevention and Response Fund, in the amount of \$138,000. Justification for this request is attached. 14(c)
4. Geological Development. \$118,500 federal receipt authorization to cover the anticipated FY98 expenditure on two new federal grants. A detailed description of the grants is attached. 14(d)
5. Agricultural Revolving Loan Program Administration. \$65,000 Agriculture Revolving Loan Funds (ARLF) for protection of collateral activities including unbudgeted sewage upgrades on ARLF property and property tax payments. A copy of the specific requests is attached. 14(e)
6. Parks Management. \$160,000 general fund to allow State Parks operations to continue at the FY98 budgeted level, which is estimated to have a shortfall in revenue of \$160,000. A detailed justification request is attached. 14(f)

14(a)(b)(c)(d)(e)(f)

If you have any further questions please contact Nico Bus at 465-2406.

Attachments

cc Carol Carroll, Director, Support Services
Nico Bus, Admin. Services Manager
Joan Brown, OMB Budget Analyst
Jeff Jahnke, Director, Forestry
Milt Wiltse, Director, DGGS
Rob Wells, Director, Agriculture
Sharon Young, State Recorder
Jane Angvik, Director, Land
Jim Stratton, Director, Parks

MEMORANDUM
DEPARTMENT OF NATURAL RESOURCES

State of Alaska
FORESTRY STATE OFFICE

TO: Nico Bus
Administrative Services Manager

DATE: February 3, 1998

FILE NO: 9-1151.6

TELEPHONE NO.: 465-3379

FROM: Jeff Jahnke
State Forester



SUBJECT: FY98 Fire Suppression
Supplemental Request

Legislative intent language contained in Chapter 98, SLA97, Sec. 7, Pg.3, L. 21 - 29 is quoted: "It is the intent of the legislature that funding necessary to meet the....fire suppression responsibilities of the Department of Natural Resources will continue to be made available through the disaster declaration process. If fiscal year 1998....fire suppression costs are not adequately funded by this Act, the....Department of Natural Resources....shall submit a supplemental appropriation request during the 1998 legislative session."

The regular FY98 appropriation was \$3,321.7 Chapter 98, SLA 1997, Sec. 39, Pg. 44, L. 3. On the 14th of July 1998, the Governor signed a Declaration of a Disaster Emergency because insufficient money was regularly appropriated to suppression and, disaster relief funds were insufficient, and he authorized sufficient funds be made available to the Department of Natural Resources for contract establishment and to continue fire suppression operations and activities through June 30, 1998. A Memorandum of Understanding directed the Division of Finance in the Department of Administration to increase the regular fire suppression authorization by \$6,617.5 to finance FY 98 fire suppression costs and to submit a supplemental appropriation request to the legislature for FY98 fire suppression costs that exceed the regular appropriation.

FY98 Spending Authority:

FY98 GF Regular Appropriation - \$3,321.7

FY98 Disaster Declaration - \$6,617.5

FY98 AUTH: \$9,939.2

Encumbrances and Expenditures as of 1/19/98:

FY98 EN and EXP (adjusted): \$7,514.4

FY98 Unobligated Balance 1/19/98: \$2,424.8

The unobligated balance could be exhausted immediately because of aviation contracts and anticipated cooperator fire billings from the BLM and USFS. In FY96 and FY97 funding for wildland fire suppression was in a similar predicament--caught between two active fire seasons with insufficient funds to encumber contracts in compliance with regulations.

An alternative to the above scenario, as occurred in FY96 and in FY97, is to not encumber funds for contracts. However, the estimated existing unobligated funds balance of \$2,424.8 could allow limited encumbrance of contracts and still leave sufficient funds available for daily operations until supplemental funding is available.

Suppression activity for the first half of FY98 was above average. The state experienced 459 fires in this time period. July lightning storms generated many large fires that burned into August.

The Southwest Area, headquartered in McGrath, had the worst fire season on record -- 94 fires burned over a million acres and smoke temporarily closed the Anchorage airport and lowered visibility to one block. The innowuk fire southwest of McGrath required Type I teams from July 6 through August 9, but continued to burn until winter set in. It threatened the villages of Red Devil, Sleetmute and Stoney River, necessitated village protection, formation of evacuation plans, and evacuation of "at risk" and elderly people. Airports at all three and McGrath were closed intermittently due to smoke.

Kenai had a serious fire July 9 on Kalifonsky Beach Road which burned 90 acres in spite of quick air retardant, local fire department and Forestry response.

Fairbanks had several fires that threatened homes and destroyed private property. A fire started on June 27 (that burned into July) near Birch Lake that closed the Richardson

Highway and several residences. It quickly grew due to extremely high winds and required both Forestry and numerous Fairbanks resources. Several structures were saved but it burned 400 acres of private lands.

A lightning strike on July 3 started the Gold Creek Fire that burned 1280 acres of state land and was difficult to control. It was a threat to the Fort Knox Gold Mine and several homes in the vicinity.

The state experienced: 1 incident with cost between \$500.0 and \$1,000.0
 3 incidents costing between \$250.0 and \$500.0
 5 incidents costing between \$100.0 and \$250.0
 1 incident costing between \$50.0 and \$100.0 and
 449 incidents costing less than \$50.0 each

Calculations for the FY98 Fire Suppression Supplemental Request:

a. First half FY98 outstanding expenses est.: (3,945.0)

BLM CY97 Fire Billing-\$3,500.0 est
Arbitrators Decision-\$45.0 est
USFS CY97 Fire Bill-\$400.0 est

b. First half FY98 expenses and encumbrances: (7,514.4)

c. Budgeted fixed costs 1/19/98 to 7/1/98: (2,465.1)

(1) Aviation section	246.0
(2) Helicopter contracts	667.2
(3) Tanker contracts	326.6
(4) Fixed wing contracts	313.6
(5) Aircraft maintenance	90.5
(6) BLM contract	301.5
(7) Messhall, warehouse, payroll, procurement, accounting and dispatch	369.7
(8) DNR Support Svcs. Indirect	150.0

d. Fire Activity Expenses 1/19/98 to 7/1/98: (2,397.2) est

- (1) Initial attack--750.0
- (2) One small project fire--1,397.2
- (3) State fires in BLM protection area--250.0

Summary:

FY98 Expenditures, encumbrances and outstanding amounts from 7/1/97 thru 1/19/98 (from a and b, above):	\$11,459.4
FY98 Estimated expenditures 1/19/98 to 7/1/98 (from c and d, above):	<u>4,862.3</u>
FY98 Estimated Fire Suppression Expenditures:	\$16,321.7
Regular FY98 Fire Suppression Appropriation:	<u>(3,321.7)</u>
FY98 FIRE SUPPRESSION SUPPLEMENTAL REQUEST:	\$13,000.0

**FY98 Supplemental Request
Recorder's Office
\$100,000 General Fund Program Receipts**

Supplemental funding is requested to assist the Recorder's Office with an increased workload which will also result in collection of approximately \$250,000 more in revenue than was budgeted for in FY98. The expectation from the customer is that recording fees are used for Recorder's Office operations.

Volume Related Increase \$51,500

Our needs are emphasized in terms of workload issues and expenses that already have occurred. For example, we know that with an increase in workload our postage, courier, and telephone expenses go over budget. Between FY94 and FY97, postage expense in our section increased 43 percent; courier expense increased 92 percent; telephone service increased 52 percent; and filming services increased 481 percent. FY94 workload was close to an all-time record high, yet was eclipsed by FY97 in terms of these routine operational expenses.

These costs are continuing to go up in the current fiscal year. Based on year-to-date expenses, filming services and supplies are going to be more than 13 percent higher than in FY97; courier expense is more than 6 percent higher; and postage expense continues to soar and will be as much as 42 percent above last year due to the heavy backlogs we had earlier. It is clear that as we have to shift more and more of our routine operating expense into these areas, we have to defer purchases of new and replacement equipment or maintenance contracts and supplies. This supplemental funding will be directed to cover these increased routine operating costs due to the increased workload, and also to cover non-perm employee assistance or overtime costs as needed if refinancing activity blossoms during the balance of this fiscal year.

Equipment upgrades - \$48,500

Computer connectivity is critical in communications among ourselves and with our customers. Most of our offices still use "dumb terminals" which do not allow for email access and file transfer capabilities. Most of these terminals are past their economic and useful life. We currently have network capability in Juneau, partially in Anchorage, and arrangements are underway to add Fairbanks. Offices with network capability can provide faster response time for public access and staff terminals, resulting in improved workflow and better equipment for the public to use in researching the public record. In addition, the newer computers have macro capabilities which benefit staff when indexing lengthy legals or repetitive documents with the same parties. This is a big time saver for staff as the use of macros helps them avoid having to input every single

repetitive document from scratch. The more time we can shave off of the indexing process, the better situated we will be to deal with the increased incoming workloads.

Based on the last network service installation and cost estimate we had, it would take approximately \$55,000 to connect all of our remaining offices. Approximately \$6,500 of that is for Fairbanks and is being handled out of current operating funds. The remaining \$48,500 is where we could best use the supplemental funding and bring some of our offices onto the network. This \$48,500 cost estimate covers network service installation only and does not include the computer costs to provide network-compatible PC's for public access and for staff input and verification.

Specifically, this supplemental request will be used to fund network routers, codex, WAN installation and PC's in as many of the Recorder's Office locations as funding allows. The following offices will be considered for the network and equipment upgrades: Ketchikan, Sitka, Nome, Kenai, Kodiak, Homer, Palmer and Bethel. The ultimate goal is to have full network capability in all locations, which would serve as a ready platform for the future.

The equipment items above are not the same as those covered in our FY99 capital budget request. Obviously those things continue to be a critical need.

Revenue/Volume Comparison
Cumulative Fiscal Year-to-Date

LOCATION	FY98 Jul - Dec	FY97 Jul - Dec	FY96 Jul - Dec
JUNEAU	5,012	5,132	5,192
KETCHIKAN	4,021	3,814	3,628
SITKA	1,124	1,086	1,172
ANCHORAGE	39,330	35,643	34,175
KODIAK	1,523	1,529	1,407
SEWARD	1,210	1,433	1,329
HOMER	2,655	2,713	2,721
VALDEZ	746	911	1,016
KENAI	5,925	5,779	5,842
PALMER	13,931	12,381	11,970
CHITINA	924	618	709
BETHEL	1,912	1,765	1,700
NOME	1,467	1,246	1,173
FAIRBANKS	23,062	19,948	16,897
SUBTOTAL	102,842	93,978	88,531
UCC CENTRAL	9,976	9,589	10,360
TOTAL	112,818	103,567	98,891

LOCATION	FY98 Jul - Dec	FY97 Jul - Dec	FY96 Jul - Dec
JUNEAU	\$92,507	\$94,220	\$95,874
KETCHIKAN	\$69,808	\$65,521	\$63,837
SITKA	\$18,844	\$17,250	\$19,663
ANCHORAGE	\$712,173	\$613,288	\$587,565
KODIAK	\$27,208	\$26,317	\$23,543
SEWARD	\$22,058	\$22,842	\$21,112
HOMER	\$49,242	\$49,739	\$47,944
VALDEZ	\$12,194	\$12,635	\$13,713
KENAI	\$100,582	\$97,962	\$95,533
PALMER	\$252,736	\$217,037	\$209,342
CHITINA	\$15,379	\$11,205	\$10,566
BETHEL	\$25,887	\$24,608	\$23,222
NOME	\$23,726	\$19,537	\$18,678
FAIRBANKS	\$415,624	\$362,938	\$301,849
SUBTOTAL	\$1,837,968	\$1,635,099	\$1,532,439
MISC FEES	\$757,241	\$211,743	\$210,767
UCC CENTRAL	\$108,978	\$106,102	\$112,931
TOTAL	\$2,204,187	\$1,952,944	\$1,856,137

Land Development

Request for Supplemental - Old Eagle School, \$155,000 general funds

The Department of Natural Resources (DNR) was originally sued by the Village of Eagle/Tanana Chiefs Conference due to the condition of the buildings and the presence of diesel contamination at the Old Eagle School. The DNR received funding for FY97 to remove the buildings at the site. During the building demolition project, the contractor exposed friable asbestos in the buildings that required immediate abatement to eliminate the health and safety risks. Department of Environmental Conservation's (DEC) Oil and Hazardous Substance Release Prevention and Response Funds were used for the emergency asbestos abatement.

This supplemental request is to reimburse DNR and DEC for expenditures related to this project:

- \$138.0 to reimburse DEC's Oil and Hazardous Substance Release Prevention and Response Fund. DEC funds were used to clean up the asbestos so the building removal project could be completed before winter. The DNR acquired \$138.0 from DEC for asbestos abatement. The asbestos abatement was completed in October 1997.

- \$ 10.5 for DNR to pay Carpenter Contracting, Inc. for standby costs. The contractor was ordered to stop work as a result of the discovery of additional asbestos at the site during the performance of the demolition work. This expense relates to additional costs due to delays in completing the work and developing a work plan for the removal of ACM materials.

- \$ 6.5 to reimburse DNR for expenses relating to the Old Eagle School cleanup. These expenditures were directly related to the discovery of asbestos at the school and the abatement project that resulted. The Division of Land's immediate attention to this project allowed the entire project to be completed on time even though numerous problems were encountered.

FY98 DGGGS Supplemental Request

Amount requested: \$118,500

Funding source: Federal

Alaska Mineral-Related Data at Risk - USBLM: Geologic Materials Center Deferred Maintenance, Sample Storage Expansion, and Mining Land Records

Project Authorization Request: \$85,000

SUMMARY

The Geologic Materials Center (GMC) archives and provides public access to non-proprietary oil and gas drill cores and drill-cutting samples, rock cores from mineral properties, and processed ore, oil, gas, and coal host- and source-rock samples. These samples are used by government and private-sector geoscientists to improve the odds of finding new oil, gas, and mineral deposits that will maintain the flow of state revenues and provide in-state employment. The GMC has no more space available to accept geologic samples from industry or agencies and it has pending maintenance needs. This federal grant provides funds to address deferred maintenance needs and expand sample storage capacity at the GMC site.

This federal grant also provides funds to implement Internet access to sample information archives and mining land records. Presently the records systems span state, federal and recording office systems. These systems are independent of each other, even though the underlying records are often held in common. This project would develop "bridges" between these systems by using common features such as geographic location. This portion of the grant will directly benefit the Alaska mining and resource management community by allowing the public direct access to electronic land records, including graphic records (maps). The project builds upon existing digital public databases which presently operate under separate computer systems. An integrated approach to mining land records will help to clarify which public lands are open to mineral entry and which lands are either closed or already claimed. Re-engineering will reduce the time required to post changes to the record systems. The advances made in support of mining records will directly benefit other users of the public's land record systems.

The total amount of this grant is \$600,000. We plan to spend \$85,000 of this grant in state-FY98 and \$515,000 of the grant in state-FY99. We will request a budget amendment to the FY99 DGGGS Federal Receipts project in order to receive the balance of funds needed to complete the specified work in state-FY99.

FUNDING INFORMATION

The work outlined in this project requires the part-time effort of one established Engineer position in the Division of Parks. The incumbent will work on engineering oversight and construction-related contracting. Funding for the position is included in the agreement,

and will be RSA'd to Parks. The position being partially funded is currently filled. This funding creates no new positions. In FY98, funding for this position is estimated at \$10,000.

The remaining \$75,000 in FY98 will be used to scan all state plats and surveys and make the digital images available over the Internet. The funding will also support the purchase of software necessary to provide secure Internet access to public data. This amount of the grant will be RSA'd to the Information Resource Management component.

There are no other sources of funds available for this work. These federal funds are the result of a specific federal appropriation sponsored by the Alaska congressional delegation in response to a consensus report prepared by the US Geological Survey regarding Alaska mineral data at risk of loss. That report is based on input gathered from Alaska's statewide mineral and geologic community, including DGGGS. Over forty different organizations and individuals contributed to the report and prioritized the minerals data preservation needs of the state. The DGGGS Geologic Materials Center and the state's mining claims records system are two of five data systems specifically mentioned as having high priority needs that require immediate attention.

The funding for this project was appropriated to the US Bureau of Land Management at the start of federal-FY98, October 30, 1997. The funds will become available to DGGGS upon signing a Memorandum of Agreement with the US Bureau of Land Management, estimated to be completed by the end of February, 1998. The funds will be available to DGGGS until June 30, 1999, after the agreement is signed. Because the work being accomplished by this federal grant extends from state-FY98 into state-FY99, we need increased federal receipts authorization for the remainder of FY98 and an increased federal receipts authorization in FY99.

PROGRAM INFORMATION

Why this request was not included in the FY98 budget

The Geologic Materials Center is an ongoing project of DGGGS. The project is funded at a level that allows continued on-site access to the Center's sample and data materials, but there are no funds for routine storage expansion, greater than incidental maintenance needs, or improved records access. In recent years there has been a tremendous growth in the holdings of the GMC and in mining activity in Alaska. The end result is that all existing storage space at the GMC site is filled, and the mining-land and geologic-materials records systems are not as responsive as they need to be. Thus, in the spring of 1997, DGGGS began working with the federal agencies, a broad representation of the Alaska minerals community, and the Alaska Congressional delegation to secure federal funds to expand and upgrade the mineral-related physical and record keeping facilities of the GMC and DNR. The outcome of those efforts was not certain until mid-December, 1997. As a consequence of this timing, the Federal Receipts authorization for this one-

time project could not be included in the existing FY98 budget or the proposed FY99 budget.

Because the funds for this work are part of the federal-FY98 budget, they must be allocated during the current federal fiscal year or they will lapse. This requires a formal memorandum of understanding between the US Bureau of Land Management and DGGs. For DGGs to enter into that agreement, we need authorization from the legislature to accept the funds. We need some of the funds in FY98 so that permits, engineering design, contract negotiations, and database modifications can proceed in a timely manner. We need authorization to receive the balance of the funds in FY99 so that the major contracting phases of the project can be implemented and the database work completed.

Program impact if this request is not approved

If this supplemental request and the associated amendment to the Federal Receipts Project in the DGGs FY99 budget are not approved, the Geologic Materials Center will be forced to cease accepting additional subsurface oil and mineral-related samples. This will greatly compromise future oil and mineral exploration efforts in Alaska, because the private sector and the state will not have the physical geological samples needed to create and test exploration models, correlate subsurface rock units, and increase the probability of exploration success. We will also miss the opportunity to have the federal government pay for the entire major expansion of Alaska's geological sample storage facility and improved access to geologic sample and mining land records at no cost to Alaska's General Fund budget. In addition, the mining and materials record systems access will not be improved. This will retard the economic expansion currently underway in the state's mineral sector.

Alaska Mineral-Related Data at Risk – USGS: Mineral Deposit Database and Guide to Alaska Mineral Data

Project Authorization Request: \$33,506

SUMMARY

Three years ago federal support for US Department of Interior agencies conducting mineral and energy-related work in Alaska was drastically reduced. The US Bureau of Mines was eliminated and the US Geological Survey Mineral Division reduced its staff by about 50%. Consequently, minerals-related information existing in the records of discontinued programs has been put at the risk of loss unless retrieved and summarized in sustainable databases. Senator Ted Stevens sponsored a federal appropriation to the US Geological Survey to undertake this mineral-related data consolidation task and directed the USGS to implement the project in cooperation with the Alaska DNR Division of Geological and Geophysical Surveys and the University of Alaska.

The objectives of this DGGG/UA project are to: 1) create an Internet accessible database summarizing the primary geologic characteristics of Alaska's mineral deposits in twenty of Alaska's one hundred and fifty-three 1:250,000-scale map Quadrangles; and 2) to generate and publish a *Guide to Alaska's Minerals-Related Data*. These data are distributed among multiple state and federal repositories in Alaska, and no one currently knows the total scope of what exists. The first objective will be met in a cooperative effort with the University of Alaska Department of Geology. The second objective is the prime responsibility of DGGG. To meet federal deadlines, work associated with producing the *Guide to Alaska's Minerals-Related Data* needs to start in state FY98. Work associated with the mineral deposit database is scheduled to start in state FY99. The total federal grant is for \$357,000. We plan to spend \$33,500 of this grant in state-FY98 and \$323,500 of the grant in state-FY99. We will request a budget amendment to the FY99 DGGG Federal Receipts project in order to receive the balance of the funds needed to complete the specified work in state-FY99.

FUNDING INFORMATION

Congress appropriated the funds that will be used to implement this program in October, 1997. The appropriation survived the President's line item veto in November, 1997. Since that time, the US Geological Survey has verbally informed us that they intend to fund a grant of \$357,000 to DGGG and that a formal Memorandum of Agreement will be executed by March 1, 1998. We estimate March 1, 1998 as the start date of the grant and plan to spend \$33,500 of the grant in state-FY98 and \$323,500 of the grant in state-FY99. The FY99 portion will be included in a separate FY99 amended Federal Receipts budget request. Indirect costs are included in the grant and are factored into the grant budget.

There are no other sources of funds available for this work. These federal funds are the result of a specific federal appropriation sponsored by the Alaska federal delegation in response to a consensus report regarding Alaska mineral data at risk of loss prepared by

the US Geological Survey at the direction of Senator Stevens. That report is based on input gathered from Alaska's statewide mineral and geologic community, including DGGs. Over forty different organizations and individuals contributed to the report and prioritized the minerals data preservation needs of the state. An accessible database of summary information about Alaska's mineral deposits and a closer coordination of Alaska's geological library and minerals reference materials are two of five high priority needs identified in the USGS report. The project for which this authorization is sought represents the state's role in helping meet the needs expressed by citizens and organizations having a stake in Alaska's geologic resources.

The funds sought by this authorization do not replace general fund money, but rather complement the current DGGs budget to enable the state to preserve valuable mineral related data pertinent to Alaska's economy that otherwise would probably be lost. Receipt of these funds will obligate DGGs staff to begin completion of the grant's contractual obligations, including cooperative agreements with the University of Alaska. There are no matching requirements associated with these federal funds.

One new non-permanent Geologist position will be funded by this project for a period of nine months. Money is included in the grant budget to pay the salary and indirect costs of this non-permanent position. This person will be responsible for generating the information that will constitute the content of a published *Guide to Alaska's Minerals-Related Data* and will be employed only until that document is completed (November, 1998). University of Alaska students, under the supervision of the university's Department of Geology and DGGs staff, will be employed as student interns to implement the compilation of the mineral deposit database.

PROGRAM INFORMATION

Why this request was not included in the FY98 budget

This is a private sector initiated project that is being implemented by federal agencies on a cooperative federal-state-private sector grant and contract basis at the direction of Senator Stevens.

In the last three years the minerals related scientific work in Alaska formerly conducted by federal agencies has been drastically reduced. Fifty percent of the US Geological Survey's nationwide minerals program has been deleted, including staff. The US Bureau of Mines has been totally eliminated. The US Minerals Management Service has closed its Alaska labs and is no longer receiving Alaska subsurface samples from exploratory oil wells. In light of these and other adverse developments, members of the Alaska geologic community concerned with mineral and energy development in Alaska were alarmed that decades of accumulated but not formally published minerals and energy related data were at risk of irretrievable loss. They approached the Alaska congressional delegation to seek funds to capture data and geologic materials that now are orphaned in the downsized federal agencies before they are lost along with the corporate memory that was lost during the reduction in force. Senator Stevens' office responded in the spring of 1997 by

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developing the outline of a statewide cooperative effort involving the federal agencies, DNR, the University of Alaska, and the private sector to identify, prioritize, and secure these and any other Alaska minerals related data. In October, 1997 an appropriation of \$2,000,000 was made to the US Geological Survey to fund the initial stages of this cooperative project and \$700,000 was appropriated to the US Bureau of Land Management. A large proportion of these funds will be passed through to private contractors to implement the necessary work, but some tasks and oversight activities are being conducted by the US Geological Survey, US Bureau of Land Management, DGGGS, and the University of Alaska. Our request for increased Federal Receipts authorization is being made so that DGGGS can implement those tasks for which we or other DNR agencies are particularly well qualified to contribute to this statewide team effort.

Program impact if this request is not approved

DGGGS is statutorily charged with inventorying the minerals and energy resources of Alaska and advancing the knowledge of the geology of Alaska. The project for which this request for increased Federal Receipts authorization is made is in response to a need articulated by a wide cross-section of Alaska that falls within the DGGGS mission. Products produced by this project will be of immediate use to Alaska's geologic resource industries and to the state. However, there are no state general fund sources allocated to retrieve these Alaska mineral-related data from federal sources and move it into the public domain. The reasons that DGGGS has been included as a participant in this federally funded project are that no single state or federal agency currently has the manpower to complete the overall data rescue effort on their own, and DGGGS has specific expertise to efficiently implement those tasks that have been allocated to our agency. If our request for increased Federal Receipts authorization is not approved, DGGGS will not be able to contribute its professional knowledge and talents to moving these data and information products into the hands of the Alaska public. The state will also lose any participatory influence on how the overall federal project proceeds or what the final outcomes are for the state.

**FY98 Supplemental Request
ARLF Protection of Collateral - \$65,000**

Protection of Property \$39,000

Two farms (Wrigley and Trytten properties) are under lease from the ARLF. They were leased with the idea that both had fully functional livestock handling facilities in place, in the case of Trytten, a dairy facility, and in the case of Wrigley, a swine facility. As part of both lease agreements, the lessee would be responsible for ordinary repairs and the ARLF would be responsible for capital improvements. Unfortunately, both farms, when leased, had sewage handling facilities that were not completely adequate and in need of capital improvement to meet the farm needs and also Department of Environmental Conservation requirements. These are not just repairs, but upgrades, thus ARLF responsibility.

In order for the Wrigley lagoon to operate correctly, a system needs to be developed to hydrate the lagoon so that it can be pumped on a regular basis. The only reasonable option is a well. This project is estimated to cost \$9,000.

The sewage system on the Trytten farm was already damaged when leased and probably was never adequate or designed correctly in the first place. Joint funding for this project is now available from the United States Department of Agriculture, but must be used before October 1, 1998, or it will be lost. This project must start in the spring or time will not be available to meet USDA timeliness. In addition, the ARLF has an agreement to receive assistance from the Department of Corrections if the project is started this spring. This project is estimated to require \$30,000 in ARLF funding.

Property Taxes, Title Research and Clearance, Liens \$26,000

Amounts estimated to be above the budgeted items include estimated property taxes due, title research and clearance, and Liens.

MEMORANDUM

Department of Natural Resources

State of Alaska

Division of Parks and Outdoor Recreation
Director's Office



TO: John Shively
Commissioner

DATE: February 6, 1998

TELEPHONE NO: 269-8701

FAX NO: 269-8907

FROM: Jim Stratton
Director

SUBJECT: FY98 Budget Supplement Request
Parks Management BRU

The Division of Parks and Outdoor Recreation requests consideration of a \$160.0 budget supplemental for the FY98 budget for the Parks Management BRU. We are currently projecting a \$160.0 program receipts shortfall. This comes after we incurred a \$141.0 shortfall in FY97. We made the FY97 shortfall by deferring maintenance and not hiring summer seasonals until the beginning of the new fiscal year. At the time we made decisions regarding the FY97 shortfall, we assumed it was a one year phenomenon. Now that we are seeing a repeat of that shortfall occurrence we are requesting a supplemental for FY98 as we feel it would be detrimental to the division and our ability to provide minimal services to the public should we have to make these internal cuts two years in a row. If we are unsuccessful in achieving a supplemental, parks across the state will see an acceleration in deferred maintenance and a significant loss of local staff positions.

Impact

Three permanent positions are currently unfilled. Two of those positions, a Ranger I in Valdez and a Natural Resource Technician in Wood-Tikchik, will not be filled in 1998. It is not possible to hire and bring someone new into the system in mid-summer, when the new fiscal year starts. We need to be calling for registers and starting the hiring process right now to be ready for the summer season.

The Valdez Ranger position is a reassignment of the Glennallen Ranger made possible by contracting management of park units in the Copper River Valley. We have struggled to find local operators for our Copper River units so that the Ranger could shift to Valdez and begin to cover park units in Prince William Sound that have been ignored for years. The Governor's TRAAK program has provided for new trails, campsites, and public use cabins in the Valdez area that require a park staff presence. That presence will now not be possible until summer 1999, leaving new facilities without a local management and protection presence.

The Wood-Tikchik NRT is the park's only permanent position stationed in Dillingham and is one of only two total staff. The Wood-Tikchik Ranger is stationed in Anchorage and has been splitting his time between Dillingham, where he supervises the NRT and volunteers, and Anchorage where he has administrative and land management responsibilities. Losing this NRT position will mean that the park will have periods of time when no staff person is available. For the largest park in America, this is a significant loss. No staff presence reduces public

14.(f)

safety (including search and rescue) to zero and leaves open the distinct possibility of damages to park resources and facilities. This damage potential is exacerbated because Wood-Tikchik is also losing its volunteer program. Vandalism is real if no parks staff is present as a deterrent.

The third position is the Kenai River Ranger II, the Ranger in charge. This position was scheduled to be filled in January 1. With the Kenai River plan just completed, regulations being proposed, and other plan implementation strategies to attend to, there is a demand for this person. The position will be hired to start March 1 at the earliest, which gives the person less than a month to learn the job before the summer staff returns. As a result of this late hire, there will be minimal staff attention to implementing the new KRSMA plan until next year at this time. All staff attention will be focused on summer operations. Winter time, the time we are cutting, is dedicated to community outreach and plan implementation. This puts plan implementation behind a full year.

One other permanent staff position will be impacted. A maintenance person in Mat-Su will be brought back to work a month later. This is significant as maintenance staff is critical to opening park units after the winter. There are always broken water systems and outhouses that need repairing. Parks contracted for private management will suffer as parks maintenance staff is still required to assist the contractors with larger maintenance needs. Loss of maintenance attention to private operators may result in contractor dissatisfaction and contract cancellation.

This would be disastrous as Parks no longer has staff in these areas upon which to rely if the private contractor were to cancel. However, available maintenance attention must be given to parks managed facilities or they will not be ready to open and generate fees, putting us further in the shortfall hole.

The hardest hit staff component is our summer seasonal hires in the Alaska Conservation Corps. These are primarily students, many of whom return for three seasons to gain training in natural resource and park management. If we are unable to provide employment at the beginning of the summer, we will lose these trained ACC workers to other jobs and be forced to hire mid-summer when all the good summer help is already working. This puts Parks at a disadvantage. ACC workers will not be hired until July 1 at the following locations:

Chugach State Park - 1.5 ACC positions necessary for maintenance in south Anchorage hillside park units will not be able to maintain trail systems, clean parking lots, and do maintenance on outhouses and interpretive kiosks.

Harding Lake State Recreation Area - A new entrance station built to control traffic and collect fees will not be staffed resulting in continued crowding in the parking lot and loss of fee opportunities.

Kenai River Center - Loss of an ACC position here will slow down the ability of the Kenai River Center Permit staff to issue permits to commercial guides in a timely fashion. This will have an impact on revenue.

Deep Creek State Recreation Area - Loss of an ACC position will cut the ACC staff at Deep Creek in half resulting in fewer fees collected and less maintenance and litter patrol. Outhouses will be cleaned less often.

Sitka Area Parks - The ACC person will come on late, meaning that no maintenance will be done during the spring and early summer to prepare Pioneer Park and Castle Hill to

receive summer visitors. Grass will not be mowed, toilets will be cleaned less often, and trails will not be brushed.

Big Lake North and South - Loss of ACC will mean less fees collected, more litter on the ground, and a delay in minor maintenance needed each spring as units are opened for the summer.

Independence Mine State Historic Park - Fee booth attendance will be cut, resulting in a loss of revenue. Minor maintenance will be deferred until mid-summer.

The biggest impact to not having ACC staff on-line is a reduction in the ability to collect fees and further exacerbating our problem. However, we cannot reduce the hours of permanent staff while we have ACCs still working, so ACC staff is the first to see a reduction. Its a downward spiraling catch-22.

In the Northern Area, a unique opportunity will be lost as two ACC workers were prepared to be moved into permanent Natural Resource Technician (NRT) positions. The NRT positions will now not be filled. With training received on the job, NRTs were scheduled for Chena River State Recreation Area and for the main office to assist with counting receipts. Now trained ACCers will not be hired and will be forced to take another job (their ACC time has run out), resulting in the loss of trained and experienced people to parks. Not only will this increase the training burden on the remaining staff when a fresh ACC person is hired for these positions, but efficiency of counting receipts will be reduced and the incident of error will increase with new ACCers.

Volunteers will not be issued vehicles at three sites in the Northern region, yet they will still need access to park vehicles causing the remaining staff to juggle vehicles resulting in wasted time and less efficiency. This means the park ranger will spend part of every day being a taxi service rather than focusing on public safety and visitor education.

There will be no volunteers in Wood-Tikchik State Park resulting in significantly less contact between park personnel and park users. Two remote camps will be abandoned. Without people in the parks, illegal and damaging behavior will occur.

The road into Crooked Creek State Recreation Site, which passes through a residential area, will not be treated for dust resulting in impacts to local residents from the significant traffic on the park road. They will complain, loudly.

Southeast Parks will delay purchase of new power tools resulting in some maintenance jobs being ignored as worn out tools cannot be used because of safety concerns. Those jobs being ignored include trail brushing and clearing. This creates a wildlife safety hazard for trail users through loss of sight-lines as well as diminished customer satisfaction.

Program receipts background

In FY96, Parks raised \$1,945.6 in program receipts. This is our highest year. We raised \$1,929.9 in FY97 and expect to be able to raise about that amount, \$1,937.7 in FY98. The reasons for this leveling off of program receipts will be explained below. In FY98, we expect to

raise about \$7.0 less than our highpoint in FY96.

At the same time our ability to raise program receipts began its decline, Parks' program receipts authorization was increasing. It is \$152.6 higher today than in FY96. Our FY98 program receipts authorization is \$2,098.2. While we have seen a net increase in the Parks budget of \$63.3, we have been unable to capture that increase because of our inability to maintain the historic growth in program receipts. Our current shortfall of \$160.0 is the total of the increase in program receipts authorization since FY96 (\$152.6) and the decrease in our ability to collect since FY96 (\$7.0). The impact of this shortfall is exacerbated by a general fund to program receipts shift of \$89.3 (which has additional costs of its own) and funds assessed from our budget for other divisions and departments. I have summarized our budget information on the attached spreadsheet.

Status of fee collection

Boat launch fees and camping fees, both daily fees and seasonal passes are down. Commercial use fees were anticipated to climb, but are flat. Daily parking fees are increasing as we add new sites, but we are not seeing an increase based on increased use of existing sites.

Why did this happen?

Since program receipts were first authorized by the legislature in FY87, Parks has seen a steady increase in its ability to collect fees. In FY97, that growth curve reversed. Several external and one internal reasons can be given.

Border Crossings Down - Fewer out-of-state highway travelers are coming to Alaska. Summer 97 saw a 10% decline over summer 95. This decline in border crossings may be linked to the decline in tourism advertising dollars. The incident with the MV/Malispina in summer 97 reduced the state marine highway traffic to parks in southeast, resulting in a revenue decline.

Natural Disasters - The Miller's Reach Fire had a direct impact on FY97. While these people came back in FY98, a lack of silver salmon on the Kenai Peninsula reduced camping receipts in late summer 97.

Fewer fee collectors - FY96 saw a \$160.0 budget reduction which was taken primarily from the summer seasonal hires. These summer seasonals are the staff that collect fees at entrance stations. Without a person collecting fees, our compliance rate drops from nearly 95% to about 60%. With fewer people collecting fees, fewer fees are collected. This drop in staff impacted both summer fee collection for FY96 and FY97.

Lack of maintenance - Many of Parks' campgrounds have not seen significant maintenance for at least 10 years. As a result, the roads and parking pads are not level and in poor shape. Private campgrounds are in better shape and are receiving more of the business. It's hard to sell a product that isn't maintained. We have also heard that the poor condition of the highway system is common knowledge among the summer RV crowd and people are staying away because of poor highway maintenance.

Price resistance - Parks increased the cost of its out-of-state camping pass from \$100 to \$200. We had anticipated a 50% drop in sales, which would result in no decline in revenue. Sales dropped almost 66%. Part of this decline was caused by the traveling public's expectation that the cost was \$100 as reported in The Milepost. Many people were prepared to pay that amount but were surprised at the higher price when they went to buy.

Drop in loyalty - Some Parks staff have seen a drop in loyalty to camping in Alaska State Parks as a result of privatization and proposed closings due to proposed legislative budget cuts. The perception is that if we are not loyal to our own parks, by keeping them opened and managed by State Park Rangers, then the public is less likely to be supportive and will camp elsewhere.

I do not believe the decline in program receipts collection can be solely pinned to any one of these reasons, but collectively they are the cause of the decline. If we are not able to secure a supplemental for FY98, we will be forced to reduce our staff presence in the field, which will result in even fewer program receipts collected, as well as a reduction in services we are directed to provide to the public. Recognizing this shortfall in FY98, we did not seek a program receipts increment in FY99.

I do believe that we will make up at least most of this shortfall in FY99. We are planning to be more aggressive in collecting commercial use fees (they were put on notice last summer that increased fees would be collected in FY99) and we have several new facilities coming on line that will generate revenue. Also, we are anticipating that the gold rush centennial will lure more highway travelers to Alaska and that resistance to the out-of-state camping pass increase will decline as travelers become more comfortable with the higher fee and that the fee is accurately reported in The Milepost.

Section 15

Ratifications – @ OMB

See binder: FY99

Ratification Submittals

MEMORANDUM

STATE OF ALASKA

DEPARTMENT OF ADMINISTRATION

DIVISION OF GENERAL SERVICES

PHONE: 465-2250

FAX: 465-2189

To: Dana Owen
Office of Management & Budget

Date: February 18, 1998

From: Dugan Petty *Dugan Petty*
Director
Division of General Services

Re: AHFC FY 98 Supplemental
Request - Bank of America
rent receipts

The Alaska Housing Finance Corporation has requested \$1,786.4 in corporate receipt authority, available from rents from private-tenant leases at the Bank of America building in Anchorage. These costs are intended to flow to the Division of General Services through a Reimbursable Services Agreement to fund the operating and maintenance costs of the building in FY98.

The leases were pre-existing when AHFC purchased the building on July 15, 1997. The Department of Administration then entered into a master lease with AHFC for the operation and maintenance of the building. Because amendments were made to SB178 at the very end of the session, the fiscal notes did not reflect the funding source changes made to the bill and therefore these funds were not previously budgeted as AHFC corporate receipts.

The request is based on:

- 1) \$1,823.0 in the Department of Administration's SB 178 fiscal note
The fiscal note was based on information received from the prior building owner and the building appraisal prepared for the state prior to the purchase agreement. Those costs are as follows:

Insurance	4,450
Management	150,000
Janitorial	276,000
Windows	22,000
Electric	435,000
Gas	55,000
Water/Sewer/Refuse	43,000
Landscaping	24,000
Snow Removal	40,000
Security	90,000
Miscellaneous	5,000
Real Estate Taxes	266,702
Business Improvement District	21,814
Maintenance	390,000
Total of All Expenses	<u>1,822,966</u>

MEMORANDUM

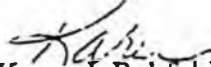
State of Alaska
Department of Education

To: Annalee McConnell, Director
Office of Management & Budget

Date: February 12, 1998

Phone: 465-8650

File: 98 Supplemental Memo.doc


jm: Karen J. Rehfeld, Director
Education Support Services

Subject: FY98 Supplemental Request

Attached is back up documentation supporting the Department of Education's FY98 Supplemental Request, by section. Please contact me if you have any questions.

Section 7

AVTEC – Pell Grants federal receipts \$75,000.00

Section 12(d)(2)

Mt. Edgecumbe High School – Powerhouse Demolition Project \$158,300.00

Section 13

Miscellaneous Claims \$3,633.00

Section 15

Business Enterprise Program - Ratifications \$28,143.50
\$17,674.30

* *Section 16*

Records Storage - Management Fees and Costs \$0.0

/attachments

16(a)
16(b)

CORRECTION

THE FOLLOWING DOCUMENT(S)
HAVE BEEN REFILMED TO
ASSURE LEGIBILITY OR PAGINATION



Rev. 6/98

Central Microfilm Services
Department of Education
State of Alaska

MEMORANDUM

STATE OF ALASKA

DEPARTMENT OF ADMINISTRATION

DIVISION OF GENERAL SERVICES

PHONE: 465-2250

FAX: 465-2189

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Miscellaneous	5,000
Real Estate Taxes	266,702
Business Improvement District	21,814
Maintenance	390,000
Total of All Expenses	<u>1,822,966</u>

2) less \$198.6 savings from cancellation of the Dept. of Revenue's lease for eleven months;

3) plus \$112.0 in increased 1998 property taxes;

The fiscal note to the purchase mistakenly assumed that calendar year property taxes would be reduced due to the public ownership that began in July. However, the tax owed is based on the property status on January 1 regardless of the subsequent transfer. The increased amount is based on actual taxes paid to the Municipality of Anchorage for the second half of calendar year 1997.

4) plus \$50.0 in unanticipated maintenance expenses for private tenants.

This is an allowance for the cost of maintenance or other services outside of normal lease provisions that is requested by private tenants. Examples are additional hours of ventilation beyond normal operating times.

C: Eric Swanson
John Bitney, AHFC

MEMORANDUM


State of Alaska
Department of Education

To: Annalee McConnell, Director
Office of Management & Budget

Date: February 12, 1998

Phone: 465-8650

File: 98 Supplemental Memo.doc

From:  Karen J. Rehfeld, Director
Education Support Services

Subject: FY98 Supplemental Request

Attached is back up documentation supporting the Department of Education's FY98 Supplemental Request, by section. Please contact me if you have any questions.

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AVTEC -- Pell Grants federal receipts \$75,000.00

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Business Enterprise Program - Ratifications \$28,143.50
\$17,674.30

* *Section 16*

Records Storage - Management Fees and Costs \$0.0

/attachments

16(a)
16(b)

Section 16

Records Storage and Management Fees and Costs

\$0.0

This supplemental request proposes a net zero appropriation that reduces the FY98 Leasing budget by \$120,030 and allocates funds to various agencies. This includes \$34,500 in order to retain a records analyst at the State Archives to provide agency records management services such as reviewing records retention and destruction schedules and protection of state records. The allocations are as follows:

FY98 RECORDS STORAGE SUPPLEMENTAL	
ADMINISTRATION	15,600
COMMERCE & ECONOMIC DEVELOPMENT	2,520
COMMUNITY & REGIONAL AFFAIRS	150
CORRECTIONS	830
EDUCATION	37,390
ENVIRONMENTAL CONSERVATION	1,160
FISH & GAME	5,470
HEALTH & SOCIAL SERVICES	5,610
LABOR	1,920
LAW	31,820
LEGISLATIVE AUDIT	610
LEGISLATIVE FINANCE	50
MILITARY & VETERANS AFFAIRS	120
NATURAL RESOURCES	1,800
OFFICE OF THE GOVERNOR	2,830
PUBLIC SAFETY	780
REVENUE	7,410
TRANSPORTATION & PUBLIC FACILITIES	3,390
ALASKA COURT SYSTEM	570
TOTAL	120,030

16(a)
16(b)

MEMORANDUM
CHILD SUPPORT ENFORCEMENT DIVISION

State of Alaska
DEPARTMENT OF REVENUE

TO: Annalee McConnell
Director
Office of Management & Budget

DATE: February 12, 1998

THROUGH: Mary Sutton *MS*
Finance Officer
Administrative Services Division

FILE NO: FY98SUPP

TELEPHONE NO: 269-6800

FROM: Barbara Miklos *B Miklos*
Director
Child Support Enforcement Division

SUBJECT: FY 98 Supplemental

The Child Support Enforcement Division requests supplemental funds to meet certain prior year obligations and take advantage of current and future year federal grant opportunities. The appropriation of these funds and accompanying federal receipt authority are instrumental, both in meeting the financial obligations of CSED and in using federal grant funds for projects to aid in the automation of certain casework actions. The supplemental request consists of four separate pieces, which are discussed in the following paragraphs.

AUDIT PENALTY - This figure originated in a federal audit which asserts that CSED used federal funds to collect child support for children in state detention facilities, expenditures which do not qualify for federal financial participation. The audit was unanticipated and the resulting penalty unbudgeted. CSED collects these funds per state statute and returns the receipts to the Division of Family and Youth Services. The total penalty recommended in the audit report (for the year ending 12/31/96) is \$69,500. The anticipated penalties for the period from 1/1/97 through 12/31/97 are an additional \$70,500. Total supplemental funds required are \$140,000 and all are state general funds. 12(c)(2)

CSED FIELD OFFICE RELOCATION - Funds are necessary to move the CSED Juneau field office from its existing location to another state-leased facility. This move has been requested by the Department of Administration and is unexpected and unbudgeted. The estimated cost of the move is \$55,400 and qualifies for federal reimbursement of 66%, or \$36,600. This would leave \$18,800 in state general funds. 17(a)

FY 97 TELEPHONE CHARGES - Though CSED suspected an underbilling of phone charges during FY 97 and repeatedly called the vendor, AT&T, we were repeatedly assured the bills were accurate. In October of 1997, the vendor acknowledged that an error had been made and billed the division \$147,000. The FY98 portion of that invoice has been remitted but \$82,105 pertains to FY97 and remains unpaid. The federally financial eligible portion of this figure is \$54,205 leaving \$27,900 in state general funds. 13 (a)

FEDERAL GRANTS CSED was granted approval of several federal grants subsequent to the beginning of FY 98. One of the grants is 100% federally funded. It has been accepted and work has been initiated. The remaining two projects are 95%

federal and require only 5% state matching funds. Acceptance of these projects is pending approval of this supplemental appropriation.

These projects are: *The Review and Adjustment System Demonstration Project (RADP)* and *The Child Care and Headstart Collaboration Project (CCHC)*. RADP is a three-year project, running from October 1, 1997 through September 30, 2000. CCHC is a four-year project, running from October 1, 1997 through September 30, 2001. The primary focus of RADP is to establish, implement, monitor and evaluate a program to ensure that support orders are modified automatically, with minimal caseworker intervention, when an income change warrants it. The objective of CCHC is to increase child support collections and stimulate parental involvement of non custodial parents in the welfare of their children. The four year funding requirements anticipated are:

<u>F/Y</u>	<u>GRANT</u>	<u>FED</u>	<u>STATE</u>	<u>TOTAL</u>
FY98	CCHC	74,900	3,900	78,900
FY98	RADP	155,000	8,200	163,100
		<u>229,900</u>	<u>12,100</u>	<u>242,000</u>
FY99	CCHC	96,100	5,100	101,200
FY99	RADP	341,400	18,000	359,400
		<u>437,500</u>	<u>23,100</u>	<u>460,600</u>
FY00	CCHC	94,900	5,000	99,900
FY00	RADP	363,400	19,100	382,500
		<u>458,300</u>	<u>24,100</u>	<u>482,400</u>
FY01	CCHC	23,800	1,200	25,000
FY01	RADP	88,900	4,700	93,600
		<u>112,700</u>	<u>5,900</u>	<u>118,600</u>
		Total \$1,238,400	\$65,200	\$1,303,600

This special program legislation is necessary because these federal grants were made available after the preparation of the FY 98 operating budget. Failure to approve this request could significantly impact CSED. Fluctuating obligor income creates constant need for order modifications. Because huge modification backlogs are commonplace, any efforts such as RADP, which facilitate automation, are critical. The CCHC project coordinators seek to enhance the relationship between obligors and the noncustodial parents and children, thereby encouraging willingness to contribute to the children's economic support. Finally, because these projects are 95% federally funded, the cost/benefit from a state perspective is undeniable.

MEMORANDUM

ALCOHOLIC BEVERAGE CONTROL BOARD

PHONE: 907-277-8638 FAX: 907-272-9412

ANCHORAGE, ALASKA 99501

To: Laurie Perkins, Director
Division of Administrative Services
Department of Revenue

Thru:

From: Doug Griffin, Director
Alcoholic Beverage Control Board

Subject: Supplemental Appropriation for FY 98 ABC Budget

Date: February 11, 1998

I respectfully request consideration for an FY 98 supplemental appropriation to fund projected shortfalls in the Alcoholic Beverage Control Board's budget. This projected shortfall is the result of circumstances beyond my control. Specifically, I would request funding of \$16,000 (16.0) for personal services.

Since the beginning of FY 98, the Administrative Clerk II position (PCN 049010) in the licensing section has been absent on medical leave due to a serious illness. This position represents over one-third of the ABC Board's licensing staff. The loss of work production from this position on such a small staff created a processing backlog of requests for issuance of new liquor licenses and processing liquor licensing transfers. AS 04.11.510 sets a statutory deadline of 90 days from receipt of the application for the ABC Board to grant or deny the license transaction. Due to the staffing shortage, we did not always meet this deadline.

In order to meet our statutory obligations and provide the prompt services to our customers, I filled the vacant Administrative Clerk II position with a short term temporary position to whittle down the backlog and get back into statutory compliance. During the entire period the position was filled by a temporary employee, the incumbent was on leave status and receiving full compensation. This "double payment" for the Administrative Clerk II position generated a projected shortfall of \$16,000 in the ABC Board's October 98 Budget Projection and Expenditure Report.

The ABC Board has taken all prudent steps available to contain spending in its already exceedingly tight budget. The ABC Board is reducing travel by teleconferencing meetings, travel related to enforcement is being deferred, and I canceled plans for staff to attend the 1997 Alaska Municipal League Convention in Ketchikan (to strengthen the working relationship with local governments). Despite these cuts, it appears they may not alleviate the projected shortfall without the ABC Board abandoning its statutory responsibilities. Therefore, I request that this modest supplemental be granted by you and the Governor's Office of Management and Budget and forwarded to the Alaska Legislature for consideration.

Thank you for your consideration and assistance.

cc: Mary Sutton, Finance Officer
Department of Revenue

17(b)
17 01

MEMORANDUM
MANAGEMENT & BUDGET

State of Alaska

Department of Transportation & Public Facilities

FEB 10 1998

TO: Annalee McConnell
Director
Office of Management and Budget

DATE: February 10, 1998

TEXT NO: (907) 465-3652
FAX NO: (907) 586-8365
TELEPHONE NO: (907) 465-3900



FROM: Joseph L. Perkins, P.E.
Commissioner

SUBJECT: FY98 Supplemental

The Department of Transportation and Public Facilities requests \$1,741,199 in additional funding for the FY98 operating budget and \$12,100,000 for capital needs. The operating appropriation is to cover actual costs and lost revenues incurred as a result of the blockade of the *M/V Malaspina*. The capital funds are needed to match federal funds for three harbor projects and to provide federal authority for one highway project. 18(a)

As a result of the *Malaspina* being barred from departing Prince Rupert on July 19, 1997 until July 21, 1997, the department incurred extraordinary costs related to the staffing of the vessel, re deploying of other vessels and legal costs related to damage recovery. The total amount expended is \$548,800 but is reduced by \$30,700 due to anticipated lease cost savings as a result of the settlement now reached with the Canadian government. Attached is a breakdown of those costs. Also, the department lost \$1,223,099 in revenues when ticketed passengers were unable to ride on the vessels or chose not to due to the uncertainty of the situation and their own safety. Our total request is \$1,741,199 in general funds to the Marine Highway Stabilization Fund.

The department is also requesting \$3,600,000 in general funds to match Corps. of Engineer's harbor projects appropriated by Congress. The general fund match requirements for those additional projects are as follows: \$2,000,000 for St. Paul Harbor improvements, \$1,000,000 for Chignik small boat harbor breakwater construction, and \$600,000 for King Cove outer harbor construction projects. The Legislature did not appropriate these projects to the department in FY98 as they had not been included in the President's FY98 budget. Sen. Stevens added them as it had been hoped. 18(d)
18(e)
18(c)

Finally, the department is requesting \$8,500,000 in federal receipt and expenditure authority for rehabilitation of the Glennallen to Tok Interstate 18(f)

18(a) - 18(e)

Highway. This project will provide spot repairs from mile 0 to 124 excluding 30 - 38 where a federal aide project is now underway. Smoothing the surface of the roadway is necessary to deal with unsafe road conditions. This is an emergency repair project that has become a priority due to the worsening condition of the road over the past year. This project could be ready to advertise in April and completed hopefully by August.

Please contact Nancy Slagle at 465-3911 should you have any questions about this request. Thank you.

Attachment

cc: Nancy J. Slagle, Director, Division of Administrative Services
Kurt Parkan, Deputy Commissioner
Dianna Blair, Budget Analyst, Division of Administrative Services

**Department of Transportation & Public Facilities
Alaska Marine Highways**

FY 98 Supplemental Request
for Costs and Lost Revenue Associated with the Prince Rupert Blockade

Updated February 10, 1998

Additional Expenditures Resulting from Blockade

	<u>Actual</u> <u>as of 1/21/98</u>	<u>Projected</u>	<u>TOTAL</u>
Personal Services	\$ 127,500		127,500
Travel	29,300		29,300
Other			
Contract with Dept. of Law	112,800	212,200	325,000
Other contracts	52,400	0	52,400
Supplies	500		500
Capital Expense	14,100		14,100
Less: FY 98 lease payment reduction at Prince Rupert resulting from settlement		-30,700	-30,700
	<u>\$ 336,600</u>	<u>\$ 181,500</u>	<u>\$ 518,100</u>

Revenue Lost as a Result of Blockade

Tickets to and from Prince Rupert that were refunded as a result of the blockade. Passengers cancelled travel plans or took alternate means of transportation. **\$ 1,223,099**

TOTAL GENERAL FUND SUPPLEMENTAL REQUEST **\$ 1,741,199**

Minister of
Fisheries and Oceans



Ministre des
Pêches et des Océans

Ottawa, Canada K1A 0E6

JAN 21 1998

Governor Tony Knowles
State of Alaska
P.O. Box 11001
Juneau, Alaska
99811-0001
United States of America

Dear Governor Knowles:

I am writing to confirm the agreement that we have reached, through our respective officials, Bruce Botelho, Attorney General for Alaska and Wayne Wouters, Deputy Minister of Fisheries and Oceans, to facilitate the resolution of all litigation relating to the blockade of the *M.V. Malaspina*. Our mutual efforts and open and frank discussions have led to an agreement which, if accepted by the defendants in these legal proceedings, will not only help resolve the court actions, but will also help restore the vital tourism industry in northern B.C., the Yukon and Alaska. Moreover, this initiative is also an important step towards the creation of a positive environment within which to conduct negotiations to resolve fundamental Pacific salmon issues.

Given that the Government of Canada is not a party to the court proceedings, Canada's efforts to help resolve the litigation between Alaska and the Canadian fishermen and their vessels are not to be construed as an admission of liability on behalf of the Government of Canada and are made without prejudice to either party's case in the litigation.

Our respective governments will take the following steps:

- The Government of Canada will initiate a regional tourism promotion campaign to encourage tourism in northern B.C., the Yukon Territory and Alaska. Total funding for this initiative will be in the amount of \$ 1, 250, 000(Cdn) and will be expended over three years beginning in 1998. The funds will be managed by the Canadian Tourism Commission. The tourism promotion will treat Alaska, Northern B.C. and the Yukon on an equal footing. The Canadian Tourism Commission will consult closely with the Alaska Division of Tourism in the planning and execution of the marketing effort, including market targets, medium, copy and advertorials, and provide Alaska with authority to approve the final product for technical accuracy and aesthetic portrayal of Alaska. Alaska will assist the Canadian Tourism Commission in encouraging private sector partners to participate in this joint tourism promotional

Canada

effort. The governments of Canada and Alaska will endeavour to facilitate co-ordination of efforts between the Canadian Tourism Commission and the "Tourism North" program (a joint tourism partnership program between Alaska, Yukon, Prince Rupert and private sector participants);

- The Government of Canada will provide funding in the amount of \$ 215, 000 (Cdn) to the City of Prince Rupert for tourism goodwill and promotional efforts. These funds will be used to help rebuild tourist confidence and promote the Prince Rupert region as a tourist and transit point between B.C., the Yukon and Alaska; and
- To encourage ferry passenger travel to and from Prince Rupert, the Government of Canada will also provide funding in the amount of \$ 1, 260, 000 (Cdn) to the City of Prince Rupert. The Government of Canada will follow-up with the City of Prince Rupert to finalise the details of this initiative. The objective of these discussions will be to secure agreement from the City of Prince Rupert to use these funds to reduce the monthly ferry terminal lease payments for the Alaska Marine Highway System in the amount of \$ 11, 666.67 (Cdn) over a period of 9 years (108 months) commencing March 1, 1998 and ending February 28, 2007.
- Alaska will dismiss its monetary claim against the B.C. fishermen and their vessels filed in August of 1997 before the Federal Court of Canada (file no. T-1552-97), subject to the defendants agreeing to do the following:
 - to dismiss their counterclaims filed in the court proceedings, if any, as if after the trial on the merits;
 - to consent to a permanent injunction in the form set out in the first three paragraphs of the interlocutory injunction of July 28, 1997; and,
 - to consent to both parties dismissing their respective proceedings against one another without costs to the other party.

We further agree that Alaska will negotiate the dismissal of its monetary claim, the defendants' counterclaim and the permanent injunction directly with the fishermen through their respective Counsel and conclude an agreement not to file further claims or counterclaims in relation to the same facts. As discussed by our officials, Alaska will initiate these negotiations after Canada has had the opportunity to prepare the groundwork for such negotiations.

I trust that the above accurately reflects our officials' discussions on the matter. If you agree, please indicate your concurrence.

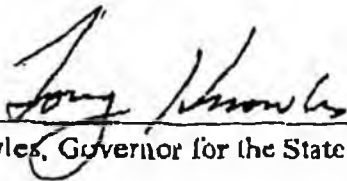
I am confident that the actions taken by both our governments as a result of this agreement will help restore confidence in the transportation and economic ties between British Columbia and Alaska and will provide a more positive climate for future negotiations on salmon fisheries issues. I look forward to your response.

Yours sincerely,



David Anderson, P.C., M.P.

I concur,



Tony Knowles, Governor for the State of Alaska, U.S.A.

1/21/98
Date

Department of Transportation & Public Facilities
Alaska Marine Highways
FY 98 Supplemental Request

Detail - Expenditures Resulting from Blockade

Updated February 10, 1998

Total of \$518,100

Personal Services - \$127,500

Overtime / guarantee pay / recall pay for crew and port personnel - \$98,500
Unanticipated travel time pay for crew - \$7,000
Benefits associated with above personal services costs - \$22,000

Travel - \$29,300

Travel costs for replacement crews and staff to attend to blockade - \$29,300

Other - \$377,400

RSA to Department of Law for legal services associated with the blockade and subsequent lawsuit against Canadian fisherman who perpetrated the blockade. Currently estimated to be \$325,000.

Additional costs for longshoring at Bellingham port due to increased number of port calls - \$20,600.

Additional phone costs associated with re-routing travelers in Prince Rupert and maintaining communications during blockade period - \$11,000.

Advertising expenses to notify the public of the Prince Rupert closure - \$11,000.

Special contracts to document the blockade - \$8,500

Supplies - \$500

Miscellaneous including camera, film, and developing to document blockade.

Capital Expense - \$14,100

Cost of Zodiac purchased to serve legal papers to the fishermen in blockade - \$9,100.
Cost of satellite cellular phone to maintain emergency communications - \$5,000

Reduced Lease Cost Payments to the City of Prince Rupert - (\$30,700)

The terms of the settlement agreement provide for a reduction in terminal lease costs paid to the City of Prince Rupert beginning March 1, 1998. The \$11,666.67 (Canadian) reduction called for in the agreement converts at current exchange rate to approximately \$7,675 (American). Therefore, during the remaining four months of FY 98 there will be reduced payments of 4 X \$7,675, or \$30,700.

Marylou Burton
Director
Statewide Budget Development
21. B Butrovich Building
P.O. Box 755380
Fairbanks, Alaska 99775-5380
(907) 474-6490
(907) 474-5140 FAX



University of Alaska
Statewide System of Higher Education

Memorandum

DATE: February 9, 1998

TO: Annalee McConnell, Director
Office of Management and Budget

FROM: *MB* Marylou Burton, Director
University Statewide Budget Development

RE: Judgments & Claims Supplemental

The University of Alaska seeks supplemental funds in the amount of \$606.5 to cover settlement of certain liability claims. As directed by the court, the details of this settlement are confidential. The university will be prepared to provide additional information to finance committees as appropriate.

cc: Wendy Redman, Vice-President for University Relations

Marylou Burton
Director
Statewide Budget Development
211B Butrovich Building
P.O. Box 755370
Fairbanks, Alaska 99775-5380
(907) 474-6490
(907) 474-5140 FAX



University of Alaska
Statewide System of Higher Education

Memorandum

DATE: February 9, 1998

TO: *mlb* Annalee McConnell, Director
Office of Management and Budget

FROM: *mlb* Marylou Burton, Director
University Statewide Budget Development

RE: Capital Supplemental for UAF Juneau/
Fisheries Facility Planning/Match

The University of Alaska requests that capital authority to receive and expend \$1.7 million in non-general funds for UAF Juneau/Fisheries Facility Planning/Match be included in the Governors FY98 supplemental bill.

Over the course of the last two years, the university has been engaged in discussions with the National Marine Fisheries Service (NMFS) about the possible construction of a fisheries facility in Juneau. This facility would bring together various agencies involved in management and research critical to Alaska's fisheries, including federal agencies currently housed elsewhere in the Northwest. As a key player in fisheries research, the UAF School of Fisheries and Ocean Sciences has also been asked to participate in the project.

The university Board of Regents has followed this project very closely, and for the last two years has included state funds in its capital budget request for planning and match. These funds would allow the university to actively participate in the planning and design of the facility, which would be built to accommodate individual agency space needs as well as shared laboratory and other common areas. This co-location would be of tremendous benefit to the university and to the state, providing opportunities and resources that are not currently available. It would also help resolve an on-going space problem that exists on the Juneau campus, freeing up space badly needed for UAS instructional programs. Funding would also provide match towards construction of the university's portion of the facility, which at this time is expected to cost approximately \$20 million. The university is actively seeking federal funds to cover most or all of this cost.

While the university has been pursuing state funding to participate in the planning and design of the project, the NMFS has secured federal funding to design the federal portion of the facility and is proceeding on a time table of its own. NMFS is currently negotiating with the City and Borough of Juneau regarding location and other related details, and expects to begin formal design this spring. In the absence of state funding and faced with this closing window of opportunity, the Board of Regents has authorized the administration to borrow up to \$1.7 million, either from external sources or internally from the university's land grant trust fund, to be used for design of the university's portion of the facility. This request seeks \$1.7 million in capital University Receipts authority to receive and expend these funds. Debt will be repaid over ten years from allocations of the land grant trust fund.

This project does not in any way obligate state funds towards construction of the facility. As noted above, the university is actively seeking federal funds to cover those costs.

NOAA/NMFS Consolidated Facility - Project Description

Introduction

The National Oceanic and Atmospheric Administration (NOAA) is proposing to develop a consolidated office and research laboratory facility in Juneau. The NOAA/NMFS Consolidated Facility will house NOAA Alaska Region's administrative functions and the National Marine Fisheries Service (NMFS) Alaska Fisheries Science Center Auke Bay Laboratory in a new facility to be located at Lena Point. With this new facility NOAA/NMFS will be better able to continue to work on behalf of the American public to manage and sustain the nation's living marine resources and their habitats.

The current NOAA/NMFS facilities consist of scattered offices and program activities. NOAA Alaska Region administrative offices are currently located in downtown Juneau in the Federal Building. The NMFS Auke Bay Research Lab and lab-associated administrative facilities are located on a three acre site approximately 12 miles northwest of downtown Juneau. This arrangement is not conducive to efficient communication between administrative and laboratory functions associated with statutory and program responsibilities. The existing Auke Bay Lab facility is also severely overcrowded and in need of renovations. The proposed NOAA/NMFS Consolidated Facility will improve fishery management capabilities and supporting research programs and make available the best scientific information for use in fisheries management decisions.

Proposed Project

NOAA/NMFS Consolidated Facility

The proposed project will include administrative offices, common areas, laboratories and warehouse facilities. The offices and common areas will consist of approximately 88,000 gross square feet and include offices, office support spaces, conference rooms, a 150-seat auditorium, library, and cafeteria.

The laboratory portion of the project will consist of approximately 58,000 gross square feet and will include chemical and biology labs, seawater and freshwater wet labs, computer labs and lab support facilities. A warehouse of approximately 4,000 square feet of interior space will accommodate storage for all NOAA/NMFS Regional Office Programs and Auke Bay Laboratory departments. Exterior facilities will include an outdoor tank area of approximately 5,000 square feet, and an enclosed and secured storage yard of approximately 3,000 square feet. Hazardous storage of approximately 1,000 square feet will also be provided.

University of Alaska Fairbanks, SFOS Component

The University of Alaska is currently looking at the possibility of collocating at the proposed NOAA/NMFS Consolidated Facility at Auke Cape. UAF currently offers the only fisheries degree program in Alaska and collaborates with NOAA/NMFS on fisheries research projects. The Northwest Association of Schools and Colleges has indicated that upgrades to UAF facilities in Juneau are critical to the ongoing accreditation of the UAF Fisheries Program.

The proposed University facility will include offices, classrooms, research and teaching labs, computer labs, lab support spaces and warehouse storage. The University improvements constitute approximately 39,000 gross square feet. The University would share many of the NOAA common areas such as the library, but would maintain separate office and lab areas. Total projected UAF personnel is 12 faculty, 5 administrative staff, 12-24 postdoctoral/research associates, and about 48 graduate students.

Livingston Stone, Inc.
11/10/97

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NOAA/NMFS Consolidated Facility - Project Description

Environmental Impact Statement

In February 1996, a Federal Notice of Intent (NOI) to Prepare an Environmental Impact Statement (EIS) was issued by NOAA. This began the NEPA process for the proposed project. The purpose of NEPA is to evaluate proposed projects to adequately identify the potential environmental consequences of implementing the project. The EIS analysis considers the NOAA/NMFS project both with and without the UAF component.

Project Schedule

A preliminary schedule for the construction of the NOAA/NMFS Consolidated Facility has been established by NOAA's Western Administrative Support Center (WASC). The Environmental Impact Statement and Conceptual Design are scheduled to be complete in February 1998. The detailed design and construction drawings are scheduled to be complete by the end of summer 1999. Six months are budgeted for contractor bidding and award. The schedule anticipates approximately 26-30 months for construction beginning in the spring of 2000. The project is scheduled to be complete by summer of 2002.

Livingston Slone, Inc.
11/10/97

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