

HB

319

<target><bill>HB 319</bill><subject>HB
319</subject><comm>HFIN26</comm></target>

FISCAL NOTE

STATE OF ALASKA
2010 LEGISLATIVE SESSION

Fiscal Note Number: _____
 Bill Version: HB 319
 () Publish Date: _____

Identifier (file name): CSHB319-DPS-R&I-02-16-10
 Title: "An Act relating to firearms"
 Sponsor: Representative Hawker
 Requester: House Finance Committee
 Dept. Affected: Public Safety
 RDU: Statewide Support
 Component: Records & Identification
 Component Number: 1190

Expenditures/Revenues (Thousands of Dollars)

Note: Amounts do not include inflation unless otherwise noted below.

	Appropriation Required	Information						
		FY 2011	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
OPERATING EXPENDITURES								
Personal Services								
Travel								
Contractual								
Supplies								
Equipment								
Land & Structures								
Grants & Claims								
Miscellaneous								
TOTAL OPERATING		0.0	0.0	0.0	0.0	0.0	0.0	0.0

CAPITAL EXPENDITURES								
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CHANGE IN REVENUES ()								
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FUND SOURCE (Thousands of Dollars)

1002 Federal Receipts								
1003 GF Match								
1004 GF								
1005 GF/Program Receipts								
1037 GF/Mental Health								
Other Interagency Receipts								
TOTAL		0.0	0.0	0.0	0.0	0.0	0.0	0.0

Estimate of any current year (FY2010) cost: _____

POSITIONS

Full-time								
Part-time								
Temporary								

ANALYSIS: (Attach a separate page if necessary)

This proposed legislation will require the Department of Public Safety (DPS) to provide written notice of expiration via first class mail to concealed handgun permit holders at least 90 days before the expiration of their permit.

A permit is not required to carry a concealed handgun in Alaska but DPS makes them available to citizens of Alaska. Because the permit is not required in Alaska, some permit holders forget to renew their permits before the expiration date resulting in higher fees for the permit holder.

A concealed handgun permit is valid for five years from the date of issue. Prior to 10/07/2009, the permit renewal date was based on the date the permit was originally issued. (cont.)

Prepared by: Dave Schade
 Division: Statewide Services
 Approved by: Joe Masters
 Commissioner

Phone (907) 269-0202
 Date/Time 2/17/10 11:05 AM
 Date 2/17/2010

FISCAL NOTE

STATE OF ALASKA
2010 LEGISLATIVE SESSION

BILL NO. HB 319

ANALYSIS CONTINUATION

During the 2009 legislative session, HB 201 was passed which provides that permits issued/renewed on or after 10/07/2009 shall expire on the permittee's birthdate in the fifth year following issuance/renewal. HB 201 was a direct effort to assist permit holders with remembering to renew their concealed handgun permit by synchronizing their permit expiration date with their birthdate. The legislation proposed under Section 1 of HB 319 furthers this effort.

Records for concealed handgun permit holders are maintained in the concealed handgun permit (CHP) database which sends information to the Alaska Public Safety Information Network (APSIN). Programming changes to both the CHP database and APSIN will be required to systematically identify those permits expiring within 90 days and to automatically generate, print, and save notices of expiration and to update the CHP database to display the date the letter was generated. Automating the notification process will ensure all permit holders receive timely notice of expiration of their permit as this legislation proposes.

The programming changes will be implemented during the process of the APSIN redesign and upgrades. Section 1 (e) of the bill has an effective date of July 1, 2012, thus allowing time for the redesign to be in place.

The cost of one software license at \$899. Based on an average of 1,535 renewal notices per year, the cost of supplies (paper, envelopes, and postage) is estimated to be \$900 per year. The cost of the license and supplies will be absorbed within the departments budget.

FISCAL NOTE

STATE OF ALASKA
2010 LEGISLATIVE SESSION

Fiscal Note Number: 1
 Bill Version: CSHB 319(JUD)
 (H) Publish Date: 2/12/10

Identifier (file name): HB319-LAW-CRIM-02-04-10 Dept. Affected: Law
 Title An Act relating to firearms RDU CRIMINAL
 Component Criminal Justice Litigation
 Sponsor Representative(s) Hawker, Chenault, Johnson, Dahlstrom,
Neuman, Harris, Kelly, Gatto
 Requester Judiciary Component Number 2202

Expenditures/Revenues (Thousands of Dollars)

Note: Amounts do not include inflation unless otherwise noted below.

	Appropriation Required	Information					
		FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
OPERATING EXPENDITURES							
Personal Services							
Travel							
Contractual							
Supplies							
Equipment							
Land & Structures							
Grants & Claims							
Miscellaneous							
TOTAL OPERATING	0.0	0.0	0.0	0.0	0.0	0.0	0.0

CAPITAL EXPENDITURES							
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CHANGE IN REVENUES ()							
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FUND SOURCE (Thousands of Dollars)

1002 Federal Receipts							
1003 GF Match							
1004 GF							
1005 GF/Program Receipts							
1037 GF/Mental Health							
Other Interagency Receipts							
TOTAL	0.0	0.0	0.0	0.0	0.0	0.0	0.0

Estimate of any current year (FY2010) cost: _____

POSITIONS

Full-time							
Part-time							
Temporary							

ANALYSIS: (Attach a separate page if necessary)

This bill amends the statute relating to hand gun permits requiring Department of Public Safety to mail a notice to a permittee at least 90 days before expiration of the permit. The bill clarifies that a permittee is not required to return or surrender a permit upon expiration and a permittee may not represent an expired permit as valid unless the holder has submitted a timely renewal form. A permittee may not renew a permit if the permittee is not a resident of the state at time of renewal. This bill provides that the chief law enforcement officer in Alaska jurisdictions to execute within 30 days federal firearms forms required for the transfer of a firearm.

Enactment of the bill is not anticipated to fiscally impact the Department of Law.

Prepared by: Eileen Donahue, Division Operations Manager
 Division Administrative Services
 Approved by: Daniel S. Sullivan, Attorney General
Department of Law

Phone 465-5427
 Date/Time 2/4/10 12:00 AM
 Date 2/4/2010

Adopted
2.16.10

~~CONFIDENTIAL~~ AMENDMENT



OFFERED IN THE HOUSE

BY REPRESENTATIVE HAWKER

TO: CS HB 319 (JUD) [26-LS1273\A]

ADD an effective date of July 1, 2012 for section 1 (e).
(Page 1, lines 4-5)

INTENT: To require the Department of Public Safety to mail a 90 day notice of expiration to permit holders starting July 1, 2012.

insert
file

#2
Adopted
2-14-10

26-LS1273\S.1
Luckhaupt
2/15/10

AMENDMENT

OFFERED IN THE HOUSE
TO: CSHB 319(JUD)

BY REPRESENTATIVE HAWKER

1 Page 1, following line 2:

2 Insert new bill sections to read:

3 **** Section 1.** AS 18.65.700(a) is amended to read:

4 (a) The department shall issue a permit to carry a concealed handgun to a
5 person who

6 (1) applies in person at an office of the Alaska State Troopers;

7 (2) qualifies under AS 18.65.705;

8 (3) submits on an [A COMPLETED] application [ON A] form
9 approved [PROVIDED] by the department [, THAT PROVIDES] the information
10 required under AS 18.65.705 and 18.65.710; [WITH EACH APPLICATION FORM
11 PROVIDED BY THE DEPARTMENT,] the department shall post on the
12 department's website [PROVIDE A COPY OF] the state laws and regulations
13 relating to concealed handguns, which must include a concise summary of where,
14 when, and by whom a handgun can be carried under state and federal law and shall
15 on request, mail a copy of the regulations and summary to an applicant or
16 permittee;

17 (4) submits one complete set of fingerprints in the format approved by
18 the department that is of sufficient quality so that the fingerprints may be processed;
19 the fingerprints must be taken by a person, group, or agency approved by the
20 department; the department shall maintain a list of persons, groups, or agencies
21 approved to take fingerprints and shall provide the list to the public upon request; the
22 fingerprints shall be used to obtain a report of criminal justice information under
23 AS 12.62 and a national criminal history record check under AS 12.62.400;

1 (5) submits evidence of successful completion of a handgun course as
2 provided in AS 18.65.715;

3 (6) provides one frontal view color photograph of the person taken
4 within the preceding 30 days that includes the head and shoulders of the person and is
5 of a size specified by the department;

6 (7) shows a valid Alaska driver's license or identification card at the
7 time of application;

8 (8) does not suffer a physical infirmity that prevents the safe handling
9 of a handgun; and

10 (9) pays the application fee required by AS 18.65.720.

11 * Sec. 2. AS 18.65.725(a) is amended to read:

12 (a) A permittee shall apply for renewal of a permit to carry a concealed
13 handgun within 90 days before the expiration of the permit, on a [AND SHALL
14 PRESENT A COMPLETE] renewal form approved [PROVIDED] by the department.
15 The renewal form must include

16 (1) any change in the information originally submitted under
17 AS 18.65.710;

18 (2) a statement that the person remains qualified to receive and hold a
19 permit to carry a concealed handgun under AS 18.65.705;

20 (3) one frontal view photograph of the person taken within the
21 preceding 30 days that includes the head and shoulders of the person and is of a size
22 specified by the department;

23 (4) the renewal fee required under AS 18.65.720; and

24 (5) the warning listed in AS 18.65.710(a)(6)."
25

26 Page 1, line 3:

27 Delete "Section 1"

28 Insert "Sec. 3"

29

30 Renumber the following bill sections accordingly.

*Will be replaced
2-14-10*

FISCAL NOTE

STATE OF ALASKA
2010 LEGISLATIVE SESSION

Fiscal Note Number: 2
Bill Version: CSHB 319(JUD)
(H) Publish Date: 2/12/10

Identifier (file name): HB319-DPS-R&I-02-05-10 Dept. Affected: Public Safety
Title: "An Act relating to firearms" RDU: Statewide Support
Component: Records & Identification
Sponsor: Representative Hawker
Requester: House Judiciary Committee Component Number: 1190

Expenditures/Revenues (Thousands of Dollars)

Note: Amounts do not include inflation unless otherwise noted below.

	Appropriation Required	Information						
		FY 2011	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
OPERATING EXPENDITURES								
Personal Services								
Travel								
Contractual	37.0							
Supplies	0.9		0.9	0.9	0.9	0.9	0.9	0.9
Equipment	0.9							
Land & Structures								
Grants & Claims								
Miscellaneous								
TOTAL OPERATING	38.8	0.0	0.9	0.9	0.9	0.9	0.9	0.9

CAPITAL EXPENDITURES								
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CHANGE IN REVENUES ()								
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FUND SOURCE (Thousands of Dollars)

1002 Federal Receipts								
1003 GF Match								
1004 GF	38.8		0.9	0.9	0.9	0.9	0.9	0.9
1005 GF/Program Receipts								
1037 GF/Mental Health								
Other Interagency Receipts								
TOTAL	38.8	0.0	0.9	0.9	0.9	0.9	0.9	0.9

Estimate of any current year (FY2010) cost: _____

POSITIONS

Full-time								
Part-time								
Temporary								

ANALYSIS: (Attach a separate page if necessary)

This proposed legislation will require the Department of Public Safety (DPS) to provide written notice of expiration via first class mail to concealed handgun permit holders at least 90 days before the expiration of their permit.

A permit is not required to carry a concealed handgun in Alaska but DPS makes them available to citizens of Alaska. Because the permit is not required in Alaska, some permit holders forget to renew their permits before the expiration date resulting in higher fees for the permit holder.

A concealed handgun permit is valid for five years from the date of issue. Prior to 10/07/2009, the permit renewal date was based on the date the permit was originally issued. (cont.)

Prepared by: David Schade
Division: Statewide Services
Approved by: Joe Masters
Commissioner

Phone (907) 269-0202
Date/Time 2/9/10 12:18 PM
Date 2/9/2010

FISCAL NOTE #2

STATE OF ALASKA
2010 LEGISLATIVE SESSION

BILL NO. CSHB 319(JUD)

ANALYSIS CONTINUATION

During the 2009 legislative session, HB 201 was passed which provides that permits issued/renewed on or after 10/07/2009 shall expire on the permittee's birthdate in the fifth year following issuance/renewal. HB 201 was a direct effort to assist permit holders with remembering to renew their concealed handgun permit by synchronizing their permit expiration date with their birthdate. The legislation proposed under Section 1 of HB 319 furthers this effort.

Records for concealed handgun permit holders are maintained in the concealed handgun permit (CHP) database which sends information to the Alaska Public Safety Information Network (APSIN). Programming changes to both the CHP database and APSIN will be required to systematically identify those permits expiring within 90 days and to automatically generate, print, and save notices of expiration and to update the CHP database to display the date the letter was generated. Automating the notification process will ensure all permit holders receive timely notice of expiration of their permit as this legislation proposes.

The one-time programming changes for both systems are estimated to take 370 hours of programming at \$100/hr, plus the cost of one software license at \$899.

Based on an average of 1,535 renewal notices per year, the cost of supplies (paper, envelopes, and postage) is estimated to be \$900 per year.

Representative Mike Hawker

Alaska State Legislature



House Bill 319 Sponsor Statement

"An Act relating to firearms."

In Alaska, anyone who is 21 years of age or older and legally allowed to own a firearm is allowed to carry it concealed without a permit. However, there are still people who obtain Alaska Concealed Handgun Permits (ACHP) for other reasons, including reciprocity with other states. In the past few years, a few glitches in the permit system have come to light.

House Bill 319 makes the following changes to the statutes regarding an ACHP permit:

- ♦ Clarifies that a permit does not have to be surrendered when it expires or when a permit holder moves out of the state;
- ♦ clarifies that an expired permit can be displayed, as long as the permit holder is not claiming that it is a valid permit; and
- ♦ requires the Department of Public Safety to mail a notice to the permit holder at least 90 days prior to the expiration of a permit.

HB 319 also requires the chief law enforcement officer (CLEO) in Alaska jurisdictions to complete, in a timely manner, the required certification on an application to the Bureau of Alcohol Tobacco, Firearms and Explosives (BATFE) for approval to transfer a firearm registered under the National Firearms Act (NFA) of 1934 to a person who is qualified under state law to possess the firearm.

The CLEO certification is a mandatory step in the BATFE transfer process and unjustified delays in processing or refusal to cooperate compromise Second Amendment rights.

House Bill 319 makes commonsense changes to our ACHP statutes and ensures that NFA firearms transfers are not inappropriately obstructed.

Session:

State Capitol
Juneau, AK 99801
907 465-4949 direct
800 478-4950 toll free
907 465-4979 fax

Interim:

716 W 4th Avenue
Anchorage, AK 99501
907 269-0244 office
907 269-0248 fax

House District 32:

Eagle River
Anchorage
Rainbow
Indian
Bird
Girdwood
Portage
Whittier
Sunrise
Hope

HB 319
Sectional Analysis

Prepared by Representative Mike Hawker's Office

Section 1: Adds two new sections to AS 18.65.725 (Alaska Concealed Handgun Permit renewal):

(e) Requires the department to send, via first class mail to the permittee, a notice that their permit is expiring. The notice must be sent at least 90 days prior to the permit expiration, which is the beginning of the renewal period.

(f) Clarifies that a person does not become ineligible to hold a permit and does not need to surrender the permit if he or she ceases to be a resident of the state. Alaska residency is still a requirement to obtain or renew a permit.

Section 2: Clarifies that a person can display an expired permit, but cannot represent it as valid, and that an expired permit does not need to be surrendered to the department.

Section 3: Requires the local law enforcement certification section of a Bureau of Alcohol, Tobacco, Firearms and Explosives firearm transfer application to be completed by the appropriate law enforcement officer within 30 days of submission by a person who is legally qualified to own the firearm.

Representative Mike Hawker

Alaska State Legislature



Session:

State Capitol
Juneau, AK 99801
907 465-4949 direct
800 478-4950 toll free
907 465-4979 fax

Interim:

716 W 4th Avenue
Anchorage, AK 99501
907 269-0244 office
907 269-0248 fax

House District 32:

Eagle River
Anchorage
Rainbow
Indian
Bird
Girdwood
Portage
Whittier
Sunrise
Hope

House Bill 319

"An Act relating to firearms."

Summary of Changes

The House Judiciary Committee made the following change:

Page 1, line 4, following "The department shall":
DELETED "provide a permittee with"
INSERTED "mail the permittee a"

HB 319

Background Information

Prepared by Representative Mike Hawker's Office

Section 3 of House Bill 319 requires the local law enforcement certification section of a Bureau of Alcohol, Tobacco, Firearms and Explosives (BATFE) firearm transfer application to be completed by the appropriate law enforcement officer within 30 days of submission by a person who is legally qualified to own the firearm. Attached is a copy of the BATFE firearm transfer application, also known as a "Form 4." Section 17 (highlighted on page 2 of the attached form) is the local law enforcement certification section that would have to be completed.

2/3/2010 9:11 AM

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Application for Tax Paid Transfer and Registration of Firearm

ATF Control Number 2a. Transferee's Name and Address <i>(Including tradename, if any) (See instruction 2)</i> <div style="border: 1px solid black; width: 150px; height: 20px; margin-left: 400px;">2b. County</div> 3a. Transferor's Name and Address <i>(Including trade name, if any) (Executors: see instruction 2k)</i> <div style="border: 1px solid black; width: 150px; height: 20px; margin-left: 350px;">3b. Transferor's Telephone Number and Area Code</div> 3c. If Applicable: Decedent's Name, Address, and Date of Death	Submit in Duplicate to: National Firearms Act Branch Bureau of Alcohol, Tobacco, Firearms and Explosives, P.O. Box 73201 Chicago, IL 60673-7201 1. Type of Transfer <i>(Check one)</i> <input type="checkbox"/> \$5 <input type="checkbox"/> \$200 Submit with your application a check or money order for the appropriate amount made payable to the Bureau of Alcohol, Tobacco, Firearms and Explosives. Upon approval of this application, this office will acquire, affix and cancel the required "National Firearms Act" stamp for you. <i>(See instructions 2h, 2i and 3.)</i> 3d. Number, Street, City, State and Zip Code of Residence <i>(or Firearms Business Premises)</i> if Different from Item 3a.
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The above-named and undersigned transferor hereby makes application as required by Section 5812 of the National Firearms Act to transfer and register the firearm described below to the transferee.

4. Description of Firearm <i>(Complete items a through h)</i>			d. Model		
a. Name and Address of Manufacturer and/or Importer of Firearm	b. Type of Firearm <i>(See instruction 1c)</i>	c. Caliber, Gauge or Size <i>(Specify)</i>	Length <i>(Inches)</i>	e. Of Barrel:	f. Overall:
g. Serial Number					
h. Additional Description or Data Appearing on Firearm <i>(Attach additional sheet if necessary)</i>					

5. Transferee's Federal Firearms License <i>(If any)</i> <i>(Give complete 15-digit number) (See instruction 2b)</i>				6. Transferee's Special (Occupational) Tax Status <i>(If any)</i>	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class
7. Transferor's Federal Firearms License <i>(If any)</i> <i>(Give complete 15-digit number) (See instruction 2b)</i>				8. Transferor's Special (Occupational) Tax Status <i>(If any)</i>	
First 6 digits	2 digits	2 digits	5 digits	a. Employer Identification Number	b. Class

Under Penalties of Perjury, I Declare that I have examined this application, and to the best of my knowledge and belief it is true, correct and complete, and that the transfer of the described firearm to the transferee and receipt and possession of it by the transferee are not prohibited by the provisions of Chapter 44, Title 18, United States Code; Chapter 53, Title 26, United States Code; or Title VII of the Omnibus Crime Control and Safe Streets Act, as amended; or any provisions of State or local law.

9. Consent to Disclosure of Information to Transferee *(See instruction 8)*. I **Do** or **Do Not** *(Circle one)* Authorize ATF to Provide Information Relating to this Application to the Above-Named Transferee.

10. Signature of Transferor <i>(or authorized official)</i>	11. Name and Title of Authorized Official <i>(Print or type)</i>	12. Date
---	--	----------

The Space Below is for the use of the Bureau of Alcohol, Tobacco, Firearms and Explosives

By authority of the Director, This Application has been Examined, and the Transfer and Registration of the Firearm Described herein and the Interstate Movement of that Firearm, when Applicable, to the Transferee are:	Stamp Denomination
--	--------------------

<input type="checkbox"/> Approved <i>(with the following conditions, if any)</i>	<input type="checkbox"/> Disapproved <i>(For the following reasons)</i>
--	---

Signature of Authorized ATF Official	Date
--------------------------------------	------

Transferee Information

The following questions must be answered by any transferee who is **not** a Federal firearms licensee or government agency. The transferee shall give full details on a separate sheet for all "YES" answers. (See instruction 2d)

13. Are You:		Yes	No	14. Have You:		Yes	No
a.	Charged by information or under indictment in any court for a crime punishable by imprisonment for a term exceeding one year?			a.	Been convicted in any court of a crime for which the judge could have imprisoned you for more than one year, even if the judge actually gave you a shorter sentence?		
b.	A fugitive from justice?			b.	Been discharged from the armed forces under dishonorable conditions?		
c.	An alien who is illegally or unlawfully in the United States?			c.	Been adjudicated mentally defective or been committed to a mental institution?		
d.	Under 21 years of age?			d.	Renounced your United States citizenship?		
e.	An unlawful user of or addicted to, marijuana, or any depressant, stimulant, or narcotic drug, or any other controlled substance?			e.	Been convicted in any court of a misdemeanor crime of domestic violence? This includes any misdemeanor conviction involving the use or attempted use of physical force committed by a current or former spouse, parent, or guardian of the victim, or by a person with a similar relationship with the victim.		
f.	Subject to a court order restraining you from harassing, stalking or threatening an intimate partner or child of such partner?						

15. Transferee's Certification (See instruction 2e)

I, _____, have a reasonable necessity to
 (Name of Transferee)
 possess the machinegun, short-barreled rifle, short-barreled shotgun, or destructive device described on this application for the following reason(s) _____

and my possession of the device or weapon would be consistent with public safety (18 U.S.C. 922(b) (4) and 27 CFR 478.98).

UNDER PENALTIES OF PERJURY, I declare that I have examined this application and the documents submitted in support thereof, and to the best of my knowledge and belief it is true, correct and complete.

 (Signature of Transferee)

 (Date)

16. Photograph

Affix
 Recent Photograph Here
 (4" x 6" or smaller)

**Law Enforcement
 Certification Section**

17. Law Enforcement Certification (See instruction 2e)

I certify that I am the chief law enforcement officer of the organization named below having jurisdiction in the area of residence of _____ I have no information indicating that the transferee will use the firearm or device
 (Name of Transferee)
 described on this application for other than lawful purposes. I have no information that the receipt or possession of the firearm or device described in item 4 would be place the transferee in violation of State or local law.

 (Signature and Title of Chief Law Enforcement Officer)

 (Date)

 (Organization and Street Address)

 (County)

 (Telephone Number)

Important Information for Currently Registered Firearms

If this registration document evidences the current registration of the firearm described on it, please note the following information.

Estate Procedures: For procedures regarding the transfer of firearms in an estate resulting from the death of the registrant identified in item 2a, the executor should contact the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

Change of Address: Unless currently licensed under the Gun Control Act, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226, in writing, of any change to the address in Item 2a.

Change of Description: The registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226, in writing, of any change to the description of the firearm in Item 4.

Interstate Movement: If the firearm identified in item 4 is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device, the registrant may be required by 18 U.S.C. § 922(a)(4) to obtain permission from ATF prior to any transportation in interstate or foreign commerce.

Restrictions on Possession: Any restriction (see approval block on face of form) on the possession of the firearm identified in item 4 continues with the further transfer of the firearm.

Persons Prohibited from Possessing Firearms: If the registrant becomes prohibited by 18 U.S.C. § 922 from possessing a firearm, the registrant shall notify the NFA Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226, in writing, immediately upon becoming prohibited for guidance on the disposal of the firearm.

Proof of Registration: This approved application is the registrant's proof of registration and it shall be made available to any ATF officer upon request.

Instructions

1. Definitions

- a. **National Firearms Act (NFA).** Title 26, United States Code, Chapter 53. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 479.
- b. **Gun Control Act (GCA).** Title 18, United States Code, Chapter 44. The implementing regulations are found in Title 27, Code of Federal Regulations, Part 478.
- c. **Firearm.** The term "firearm" means: (1) a shotgun having a barrel or barrels of less than 18 inches in length; (2) a weapon made from a shotgun if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 18 inches in length; (3) a rifle having a barrel or barrels of less than 16 inches in length; (4) a weapon made from a rifle if such weapon as modified has an overall length of less than 26 inches or a barrel or barrels of less than 16 inches in length; (5) any other weapon as defined in 18 U.S.C. § 5845(e); (6) a machinegun; (7) a muffler or silencer for any firearm whether or not such firearm is included within this definition; and (8) a destructive device.
- d. **Person.** The term "person" means a partnership, company, association, trust, estate, or corporation, as well as a natural person.
- e. **Employer Identification Number (EIN).** Required of taxpayer filing special (occupational) tax returns under 27 CFR § 479.35.
- f. **SPECIAL (OCCUPATIONAL) TAX.** Required by the NFA to be paid by a Federal firearms licensee engaged in the business of manufacturing (Class 2), importing (Class 1), or dealing (Class 3) in NFA firearms.
- g. **Federal Firearms License.** A license issued under the provisions of the GCA to manufacture, import or deal in firearms.
- h. **ATF Officer.** An officer or employee of the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) authorized to perform any function relating to the administration of the NFA.
- i. **Transfer.** Selling, assigning, pledging, leasing, loaning, giving away, or otherwise disposing of a firearm.
- j. **Transferor.** The registered owner of a firearm who is applying to transfer it.
- k. **Transferee.** The person acquiring the firearm.
- l. **Misdemeanor Crime of Domestic Violence.** A crime that is a misdemeanor under Federal or State law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is co-habiting with or has cohabited with the victim as a spouse, parent, or guardian. The term includes all misdemeanors that involve the use or attempted use of physical force (*e.g., simple assault, assault and battery*), if the offense is committed by one of the defined parties. The person is NOT considered to have been convicted of such crime unless the person was represented by a lawyer or gave up the right to a lawyer, and, if the person was entitled to a jury trial, was tried by a jury or gave up the right to a jury trial.
- e. **Law Enforcement Certification.** Item 17 must be completed for an individual transferee, unless the transferee is licensed as a manufacturer, importer, or dealer under the GCA and is a special (*occupational*) taxpayer under the NFA at the time of the submission of the application for transfer. The chief law enforcement officer is considered to be the Chief of Police for the transferee's city or town of residence; the Sheriff for the transferee's county of residence; the Head of the State Police for the transferee's State of residence; a State or local district attorney or prosecutor having jurisdiction in the transferee's area of residence; or another person whose certification is acceptable to the Director, Bureau of Alcohol, Tobacco, Firearms and Explosives. If someone has specific delegated authority to sign on behalf of the Chief of Police, Sheriff, etc., this fact must be noted by printing the Chief's, Sheriff's, or other authorized official's name and title, followed by the word "by" and the full signature and title of the delegated person. The certificate must be dated no more than one year prior to the date of receipt of the application.
- f. **Photographs and Fingerprints.** An individual transferee, except if licensed as a manufacturer, importer, or dealer under the GCA, must (1) attach to Item 16, a 2 inch x 2 inch photograph of the frontal view of the transferee taken within 6 months prior to the date of the application and (2) attach two properly completed FBI Forms FD-256 (*Fingerprint Card with blue lines*) to the application. The fingerprints must be clear for accurate classification and taken by someone properly equipped to take them.
- g. **Signatures.** All signatures required on ATF Form 4 must be original in ink on both copies.
- h. **Remittance.** A check or money order, made payable to the Bureau of Alcohol, Tobacco, Firearms and Explosives, in the amount for the appropriate rate of tax (*see instruction 2i*) must be submitted with the ATF Form 4. Do not send cash.
- i. **Rate of Tax.** The tax on the transfer of any NFA firearm is \$200.00, except that the tax is \$5.00 on the transfer of a firearm classified as "any other weapon."
- j. **Photocopies, Computer Generated Versions, or Downloaded Version from ATF Website.** The ATF Form 4 may be photocopied, computer-generated (*as long as it is in the same format and contains all required information*), or downloaded from the ATF website (www.atf.gov). Please note the instructions on the website.
- k. **Estates.** If the firearm is being transferred from an estate, item 3a shall reflect: the executor's name, title (*executor (or executrix, administrator, administratrix)* of the estate of (*name*)), and the executor's address. Item 3c shall reflect the address of the decedent and the date of death. (*see the ATF Internet website at www.atf.gov for additional information about transfers from estates.*)
- l. **Submission.** All requested information must be entered in blue or black ink and must be legible. Send both copies of the ATF Form 4 and attachments to the address located in the upper right hand corner on the face side of the ATF Form 4. The return of the application or sending it to any other address will only delay the processing.
- m. **State or Local Permit.** If a State or local permit or license is required for the transferee prior to acquisition of the firearm, a copy of the permit must be included with the application.

2. Preparation of Application

- a. **Authority.** As provided by 26 U.S.C. §§ 5811 and 5812, any person seeking to transfer a firearm tax paid must complete, in duplicate, an application on this form for each firearm. The transferor must furnish all the information called for on this application form.
- b. **Federal Firearms Licensees.** If the transferor or transferee is licensed under the GCA, the name, trade name (*if any*), and address shall be identified on the ATF Form 4 as they appear on the license. If item 6 is completed, the entity identified must be the same as the entity identified in item 5. For example, if item 5 identified a sole proprietor, item 6 cannot identify a corporation. This also applies for the completion of items 7 and 8.
- c. **Transferee Qualifications.** If the transferee is an individual and not licensed under the GCA, the questions in items 13 and 14 must be answered.
- d. **Transferee Certification.** Item 15 must be completed by the transferee if:
 - (1) the firearm to be transferred is a machinegun, short-barreled rifle, short-barreled shotgun, or destructive device;
 - (2) the transferor is licensed under the GCA to deal in such device or firearm; and
 - (3) the transferee is not licensed under the GCA to deal in such device or firearm.
3. **Approval of Application.** Upon approval of an application, the NFA Branch will affix the appropriate NFA transfer tax stamp, cancel it, and return the approved copy with the stamp affixed to the transferor for delivery with the firearm to the transferee. Since the approval of the application effectuates registration of the firearm to the transferee, the physical transfer of the firearm must be completed immediately; however, the transferor must not transfer the firearm until the application has been approved and received. If the physical transfer of the firearm cannot be completed immediately, the transferor must contact the NFA Branch with the specifics.
4. **Withdrawal of Application.** The transferor may withdraw an application prior to approval subsequent to a written request. The NFA Branch will arrange for a refund of the tax paid.
5. **Cancellation of Approved Application.** The transferor may cancel an approved application only if the physical transfer of the firearm has not been completed. The transferor must return the approved application with the tax stamp affixed with a written request for cancellation, citing the need and that the physical transfer of the firearm did not take place. The NFA Branch will arrange for a refund of the tax paid.
6. **Disapproval of Application.** If the application is disapproved, the NFA Branch will note the reason for disapproval on the application and return one copy of the ATF Form 4 to the transferor. The NFA Branch will arrange for a refund of the tax paid.

7. **Reasons for Disapproval.** 26 U.S.C. § 5812 provides that applications shall be denied if the transfer, receipt, or possession of the firearm would place the transferee in violation of law.
- a. **State or Local Law.** If State or local law prohibits the receipt or possession of the firearm being transferred, the application will be disapproved.
 - b. **Persons Prohibited from Receiving a Firearm.** The application will be disapproved if the transferee is a person prohibited from receiving a firearm by 18 U.S.C. § 922(g), which provides that it shall be unlawful for any person—
 - (1) who has been convicted in any court of a crime punishable by imprisonment for a term exceeding one year;
 - (2) who is a fugitive from justice;
 - (3) who is an unlawful user of or addicted to any controlled substance;
 - (4) who has been adjudicated as a mental defective or who has been committed to a mental institution;
 - (5) who, being an alien, is illegally or unlawfully in the United States or except as provided in 18 U.S.C. 922(y)(2), has been admitted to the United States under a non-immigrant visa (as that term is defined in 8 U.S.C. 1101(a)(26));
 - (6) who has been discharged from the Armed Forces under dishonorable conditions;
 - (7) who, having been a citizen of the United States, has renounced his citizenship;
 - (8) who is subject to a court order that—
 - (A) was issued after a hearing of which such person received actual notice, and at which such person had an opportunity to participate;
 - (B) restrains such person from harassing, stalking, or threatening an intimate partner of such person or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and
- (C) (i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicitly prohibits the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury, or
- (9) who has been convicted in any court of a crime of domestic violence; to ship or transport in interstate or foreign commerce, or possess in or affecting commerce, any firearm or ammunition; or to receive any firearm or ammunition which has been shipped or transported in interstate or foreign commerce.
8. **Status Inquiries and Questions.** Information relating to the NFA and other firearms laws is available at the ATF Internet website at www.atf.gov. Any inquiry relating to the status of an application to transfer an NFA firearm or about procedures in general should be directed to the NFA Branch at (202) 927-8330. Please be aware that any dissemination by ATF of information relating to the application to register an NFA firearm must conform with the restrictions in 26 U.S.C. § 6103. The opportunity provided in item 8 to authorize ATF to disclose information is intended to enable ATF to respond to inquiries by the transferee regarding the application. The failure to complete item 8 will be considered a declination of authorization to release the information.
9. **Penalties.** Any person who violates or fails to comply with any of the requirements of the NFA shall, upon conviction, be fined not more than \$10,000 or be imprisoned for not more than 10 years, or both. Any firearm involved in a violation of the NFA shall be subject to seizure and forfeiture. It is unlawful for any person to make or cause the making of a false entry on any application or record required by the NFA knowing such entry to be false.
10. **Compliance with the Gun Control Act.** All provisions of the GCA must also be complied with, including the recordkeeping requirements for licensees and the retention of ATF Form 4473 (5300.9) by licensees for dispositions to non-licensees.

Privacy Act Information

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. § 552(e)(3)):

1. **Authority.** Solicitation of this information is made pursuant to the National Firearms Act (26 U.S.C. § 5812). Disclosure of this information by the applicant is mandatory for transfer of an NFA firearm, unless the transfer is otherwise exempt from tax.
2. **Purpose.** To insure payment of the tax imposed by 26 U.S.C. § 5811; to insure that the transfer would not be in violation of law; and to effect registration of the firearm.
3. **Routine Uses.** The information will be used by ATF to make the determinations set forth in paragraph 2. In addition, to effect registration of the firearm, information as to the identification of the firearm, date of registration, and the identification and address of person entitled to possess the firearm will be entered into the National Firearms Registration and Transfer Record. No information obtained from an application, registration, or records required to be submitted by a natural person in order to comply with any provision of the National Firearms Act or regulations issued thereunder, shall, except in connection with prosecution or other action for furnishing false information, be used, directly or indirectly, as evidence against that person in any criminal proceeding with respect to a violation of law occurring prior to or concurrently with the filing of the application. The information from this application may only be disclosed to Federal authorities for purposes of prosecution for violation of the National Firearms Act.
4. **Effects of not Supplying Information Requested.** Failure to supply complete information will delay processing and may cause denial of the application.

Paperwork Reduction Act Notice

This information request is in accordance with the Paperwork Reduction Act of 1995. The information you provide is used in applying to transfer serviceable firearms taxpaid to persons other than special taxpayers qualified to deal in NFA firearms or government entities. Data is used to identify transferor, transferee, and firearm, and to ensure legality for transfer under Federal, State and local laws. The furnishing of this information is mandatory. (26 U.S.C. § 5812)

The estimated average burden associated with this collection of information is 4 hour(s) per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be addressed to Reports Management Officer, Document Services Branch, Bureau of Alcohol, Tobacco, Firearms and Explosives, Washington, DC 20226.

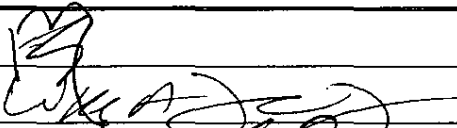
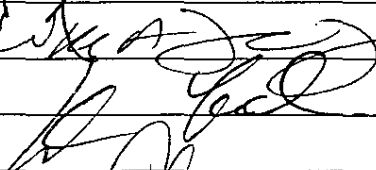
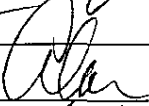
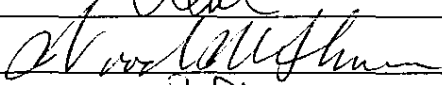

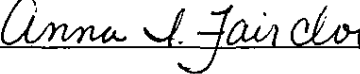
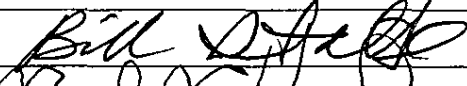

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

CONFIRMATION COMMITTEE REPORT

Action date: 2-16-10

The **Finance Committee** has reviewed the qualifications of the following Governor's appointee(s) as shown on the attached Referral for Confirmation form(s) and recommends that the name(s) be forwarded to a joint session for consideration:

This does not reflect intent by any of the members to vote for or against this individual during any further sessions for the purposes of confirmation.

Signature:	Printed Last Name
	Thomas
	Gava
	KELLY
	AUSTERMAN
	Salmon
	Foster
	FAIRCLOUGH
Co-Chair: 	STOLTZ
Co-Chair: 	Hawker

Please attach appointee Referral for Confirmation form(s) and return to the Chief Clerk's office.



Official Business

Alaska State Legislature

House of Representatives

Office of the Chief Clerk

State Capitol, Room 216
Juneau, AK 99801-1182
Phone: (907) 465-3725
Fax: (907) 465-5334

REFERRAL FOR CONFIRMATION

Date Referred: February 3, 2010

Committee: Finance

Alaska Mental Health Trust Authority Board of Trustees

Mary Jane Michael – Anchorage

Appointed: 3/1/2009

Reappointed: 3/1/2010 Term Expires: 3/1/2015

Action Date: 2-16-10
(Same date as on the accompanying signature page)

Note: Attach to a signature page and return to the Chief Clerk's office.



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Phone: (907) 465-3725
Fax: (907) 465-5334

REFERRAL FOR CONFIRMATION

Date Referred: February 3, 2010

Committee: Finance

Alaska Mental Health Trust Authority Board of Trustees

Laraine L. Derr – Juneau

Appointed: 10/19/2005

Reappointed: 3/1/2010 Term Expires: 3/1/2015

Action Date: 2-16-10
(Same date as on the accompanying signature page)

Note: Attach to a signature page and return to the Chief Clerk's office.



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Juneau, AK 99801-1182

Phone: (907) 465-3725

Fax: (907) 465-5334

REFERRAL FOR CONFIRMATION

Date Referred: February 3, 2010

Committee: Finance

Alaska Mental Health Trust Authority Board of Trustees

Carlton R. Smith – Juneau

Appointed: 3/1/2010 Term Expires: 3/1/2014

Action Date: 2-16-10
(Same date as on the accompanying signature page)

Note: Attach to a signature page and return to the Chief Clerk's office.