

FISHERIES

DISASTER

ASST., BY

SEN.

AUSTERMAN

5/13/03

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Draft, draft, draft – For Internal Discussion Only

Program Title	Lead Agency (Person)	Other State Agencies	Other Contributors	Eligible Applicant	Establish Criteria	Establish Timeline	Other
Direct Aid to Individuals	DOL Scavera	DCED ADF&G (fish tickets)		Fishermen and crew	April 18	TBD	Labor will handle the application review and appeal process.
Direct Aid to Impacted Communities	DCED Fisk/ Haight			Communities that received fish tax	April 18	Checks out ASAP.	Fund distribution through existing fish tax channels.
National Ad Campaign	DCED Johnson ASMI Riutta			Approved marketing vendor	TBD	TBD	ASMI will establish a process with deliverables.
Quality Control Standards	DCED Johnson	ASMI ADF&G DEC	UA – MAP	Quality assurance providers	May 2	April 25	DCED will convene a sub committee of agencies and contributors to establish a quality control program that will support the marketing efforts under the National Ad Campaign.
Transportation	DCED Johnson	DOT ADF&G ASMI	UA – MAP AFDF Industry - advice	Processors and transportation companies	May 2	April 25	DCED will convene a subcommittee of agencies and contributors to determine funding direction, amount and area to move product in support of the national ad campaign.
Research and Development	DCED Johnson	ASMI	UA – MAP AFDF Industry - advice	Private companies and research institutes.	May 9	April 25	DCED will convene a subcommittee of agencies and contributors to determine criteria, timeline, and project parameters. Decisions will be made by agencies and funds will go out under the grant section/DCED.
Fishery Economic Development Projects	DCED Fisk/ Haight	ADF&G DOT	UA – MAP UA – FITC AFDF Industry – advice	Communities, industry, other	May 9	April 25	DCED will convene a subcommittee of agencies and contributors to determine criteria, timeline and project parameters. Decisions will be made by agencies and funds will go out under the grant section/DCED.
Harbor and Transportation Infrastructure	DCED Fisk/ Haight	DOT	UA – MAP UA – FITC AFDF Industry – advice	Communities, industry, other	May 9	April 25	DCED will convene a subcommittee of agencies and contributors to determine criteria, timeline and project parameters. Decisions will be made by agencies and funds will go out under the grant section/DCED.
Rural Development Investment Fund	DCED Winegar			Eligible applicants under current program parameters	Done	Done	Utilize existing mechanism with Division of Investment.
Fishery industry business assistance	DCED Fisk	DOL Scavera	ARDORS SBDC Other economic development engines	Businesses	TBD	TBD	Through existing programs, direct technical assistance will be put to the fishing and seafood industry to enhance their success.

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**House Rpt.108-010 - MAKING FURTHER CONTINUING APPROPRIATIONS FOR THE
 FISCAL YEAR 2003, AND FOR OTHER PURPOSES**

Full Display		Related Information	
GPO PDF	Printer Friendly Display	Bill Summary and Status	Full Text of Bill

TITLE V--FISHERIES DISASTERS

SEC. 501. FISHERIES DISASTERS- *In addition to amounts appropriated or otherwise made available, \$100,000,000 is appropriated to the Department of Commerce for fisheries disaster assistance. Not more than 5 percent of such funds may be used for administrative expenses, and no funds may be used for lobbying activities or representational expenses.*

(a) WESTERN PACIFIC AND NORTH PACIFIC- \$5,000,000 shall be made available as a direct lump sum payment to the State of Hawaii for economic assistance to fisheries affected by federal closures or fishing restrictions and \$35,000,000 shall be made available as a direct lump sum payment to the State of Alaska no later than 30 days after the date of enactment of this Act to make payments to persons or entities which have experienced significant economic hardship. Funds in Alaska shall be used to provide (A) personal assistance with priority given to food, energy needs, housing assistance, transportation fuel including subsistence activities, and other urgent needs; (B) assistance for small businesses including fishermen, fish processors, and related businesses serving the fishing industry; (C) and assistance for local and borough - governments adversely affected by reductions in fish landing fees and other fishing-related revenue; and (D) product development and marketing. .

(b) NORTHEAST AND WEST COAST- \$10,000,000 shall be made available to conduct a voluntary fishing capacity reduction program in the Northeast multispecies fishery and \$10,000,000 shall be made available to conduct a voluntary fishing capacity reduction program in the West Coast groundfish fishery. Such sums shall supplement the voluntary capacity reduction program authorized for the fishery in Sec. 211 of Public Law 107-206 and be consistent with section 312(b) of the Magnuson-Stevens Fishery Conservation and Management Act and the requirements relating to the capacity program in section 211 of Public Law 107-206 that shall--

(1) permanently revoke all fishery licenses, fishery permits, area and species endorsements, and any other fishery privileges issued to a vessel or vessels (or to persons on the basis of their operation or ownership of that vessel or vessels) removed under the program; and

(2) ensure that vessels removed under the program are made permanently ineligible to participate in any fishery worldwide, and that the owners of such vessels will operate only under the United States flag or be scrapped as a reduction vessel pursuant to section 600.1011(c) of title 50, Code of Federal Regulations.

May 12, 2003

Dear Alaska Commercial Salmon Fisherman or Crew Member:

Drastic changes in commercial salmon fishing have caused economic hardship for many Alaska salmon fishermen and their families. The State of Alaska is providing an opportunity for those fishermen in most urgent need to apply for direct financial assistance.

If you are an Alaska resident, held an active 2002 commercial salmon permit or salmon crew license, and meet other requirements defined on the enclosed form, you may be qualified for this financial assistance.

Please carefully read, complete, and sign the enclosed Confidential Data Request form. The completed form must be returned to the Alaska Department of Labor and Workforce Development at P.O. Box 25509, Juneau, AK 99802-5509, with all necessary documentation, **postmarked no later than June 7, 2003**. Those who qualify may expect to receive a one-time payment by June 27, 2003.

I hope this financial assistance will help you and your family with immediate necessities, such as food, energy needs, and housing.

Sincerely yours,

S/S FRANK H. MURKOWSKI

Frank H. Murkowski
Governor

Enclosure

FHM/FH/AA/mf
1120 (fisheries)

Salmon Fishermen 2003.doc

Confidential Data
Request

**Alaska Department of Labor
and Workforce Development**

PO Box 25509
Juneau, AK 99801
Phone: 907-465-4691
Fax: 907-465-2741

The following information is needed to determine if you are eligible for Fisheries Revitalization funds made available by Governor Murkowski. To qualify you must have had an active commercial salmon permit or salmon crew license in 2002, been at least the age of 18 in 2002, be an Alaska resident, and fall beneath the income limits shown below.

1. PERMIT HOLDER OR CREW MEMBER INFORMATION

State of Alaska 2002 Commercial Salmon Fisheries Entry Permit number: _____

Name of Salmon Permit Holder: _____

-OR-

State of Alaska 2002 Commercial Salmon Crew License number: _____

Name on Crew license: _____

Name of Salmon Permit Holder I crewed for: _____

My Mailing Address: _____

City: _____

State: _____

Zip: _____

Telephone: _____

Date of Birth: _____

Social Security Number: _____

2. 2002 INCOME INFORMATION (please use your 2002 Federal Income Tax Return- 1040 form)

2a. Total number of dependents, including yourself, listed on Line 6D of your 1040 tax return: _____

2b. Total 2002 Adjusted Gross Income listed on line 33 of your 1040 tax return: _____

2002 Income Limits

Family Size	Maximum Income
1	\$11,080
2	\$14,930
3	\$18,780
4	\$22,630
5	\$26,480
6	\$30,330
7	\$34,180
8	\$38,030

Please return this form with a copy of your 2002 Federal Income Tax return to the Alaska Department of Labor and Workforce Development, postmarked NO LATER THAN JUNE 7, 2003.

Signature: _____ Date _____

Allocation Assuming \$7,000,000*
8-Year Base (89-96)/5-Year Impacts (97-01)

by Allocation		by Municipality	
Municipality	Allocation	Municipality	Allocation
Ouzinkie	\$500.00	Akhiok	\$530.32
Angoon	\$500.00	Aleutians East	\$1,101,561.66
Marshall	\$500.00	Angoon	\$500.00
Skagway	\$500.00	Aniak	\$3,729.00
Kasaan	\$500.00	Anvik	\$2,267.43
Coffman Cove	\$500.00	Bethel	\$20,336.13
Tenakee Springs	\$500.00	Bristol Bay	\$1,739,289.51
Thome Bay	\$500.00	Chignik	\$56,417.00
Cold Bay	\$500.00	Clark's Point	\$174,462.55
Soldotna	\$500.00	Coffman Cove	\$500.00
Tununak	\$500.00	Cold Bay	\$500.00
Akhiok	\$530.32	Cordova	\$101,637.02
Saint Michael	\$544.95	Craig	\$31,884.71
Toksook Bay	\$662.49	Dillingham	\$119,136.86
Koyuk	\$905.21	Egegik	\$63,659.89
Port Heiden	\$1,105.88	Emmonak	\$27,708.83
Nome	\$1,165.91	False Pass	\$27,729.80
Nenana	\$1,188.35	Galena	\$3,420.94
Old Harbor	\$1,603.29	Goodnews Bay	\$7,904.27
Klawock	\$1,704.83	Haines Borough	\$2,877.25
Port Lions	\$1,748.84	Homer	\$80,908.24
Kaltag	\$2,166.35	Hoonah	\$40,736.04
Anvik	\$2,267.43	Hooper Bay	\$2,858.49
Shaktolik	\$2,576.71	Hydaburg	\$7,208.78
Hooper Bay	\$2,858.49	Kaltag	\$2,166.35
Haines Borough	\$2,877.25	Kasaan	\$500.00
Galena	\$3,420.94	Kenai	\$155,024.29
Mekoryuk	\$3,644.80	Kenai Peninsula	\$623,251.20
Unalakleet	\$3,719.25	Ketchikan	\$40,576.50
Aniak	\$3,729.00	Ketchikan Gateway	\$29,362.93
Seldovia	\$3,823.38	King Cove	\$207,498.85
Hydaburg	\$7,208.78	Klawock	\$1,704.83
Valdez	\$7,758.37	Kodiak	\$321,498.47
Goodnews Bay	\$7,904.27	Kodiak Island	\$362,937.67
Wrangell	\$13,432.73	Koyuk	\$905.21
Bethel	\$20,336.13	Lake and Peninsula	\$441,970.95
Emmonak	\$27,708.83	Marshall	\$500.00
False Pass	\$27,729.80	Mekoryuk	\$3,644.80
Ketchikan Gateway	\$29,362.93	Nenana	\$1,188.35
Craig	\$31,884.71	Nome	\$1,165.91
Ketchikan	\$40,576.50	Old Harbor	\$1,603.29
Hoonah	\$40,736.04	Ouzinkie	\$500.00
Chignik	\$56,417.00	Pelican	\$92,634.72
Pilot Point	\$61,230.88	Petersburg	\$277,023.20
Egegik	\$63,659.89	Pilot Point	\$61,230.88
Sand Point	\$72,139.36	Port Heiden	\$1,105.88
Homer	\$80,908.24	Port Lions	\$1,748.84
Pelican	\$92,634.72	Saint Michael	\$544.95

Yakutat	\$99,759.61	Sand Point	\$72,139.36
Cordova	\$101,637.02	Seldovia	\$3,823.38
Seward	\$117,126.44	Seward	\$117,126.44
Dillingham	\$119,136.86	Shaktoolik	\$2,576.71
Kenai	\$155,024.29	Sitka	\$171,679.71
Sitka	\$171,679.71	Skagway	\$500.00
Clark's Point	\$174,462.55	Soldotna	\$500.00
King Cove	\$207,498.85	Tenakee Springs	\$500.00
Togiak	\$256,769.16	Thorne Bay	\$500.00
Petersburg	\$277,023.20	Togiak	\$256,769.16
Kodiak	\$321,498.47	Toksook Bay	\$662.49
Kodiak Island	\$362,937.67	Tununak	\$500.00
Lake and Peninsula	\$441,970.95	Unalakeet	\$3,719.25
Kenai Peninsula	\$623,251.20	Valdez	\$7,758.37
Aleutians East	\$1,101,561.66	Wrangell	\$13,432.73
Bristol Bay	\$1,739,289.51	Yakutat	\$99,759.61
	\$7,000,000.00		\$7,000,000.00

*Based on estimate of each municipality's "loss" of state shared fish tax for each of five years (97-01), attributable to declines in the salmon industry, compared to the average of state shared fish tax received by each community in the highest four years of the prior eight-year period (89-96). The amount allocated to municipalities is pro-rated based on the amount available for distribution -- in this case \$7,000,000.

SALMON MARKETING

To: Jim Clark
Chief of Staff

From: Margy Johnson
Director

Re: Salmon Marketing

The State of Alaska will pursue a WILD ALASKA SALMON marketing campaign, which will benefit both harvesters and processors. ASMI will be the lead organization in screening RFPS and implementing plan.

The Fish Cabinet and Chief of Staff is overseer. Not ASMI board.

The time is right for Wild Alaska salmon and we expect this to move quickly.

The plan will be based on promoting the health benefits of WILD ALASKA SALMON

- ** Alaska is one of the most important wild salmon producing areas in the world
- **5 different types of salmon
- **Alaska salmon was the first North America seafood product to earn Marine Stewardship Counsel certification.

Marketing to include awareness and education driven by compact, well-balanced integrated marketing communications including:

- ** Public Relations
- **Advertising
- **Promotions
- **Collateral and educational material

State will promote Wild Alaska salmon to the Lower 48, but we realize that much of our market is the Pacific Rim. Governor to do a trade mission to Japan, this fall. State will employ a salmon ambassador to help Japan marketing partners get over negative aspect of harvester/processor lawsuit.

Margy's point: Want Governor to do an add. Want Governor to go on sales calls with wholesalers ie pursuing the mega chains McDonalds, etc. Remember please! Every Alaska is a seafood marketer. It is our joy & duty to sell salmon!

Division of Community and Business Development
Department of Community and Economic Development
State of Alaska

*Fisheries Economic Development
Matching Grant Program
Grant Application Guide*

May 12, 2003



A. General Information

Governor Frank Murkowski recently announced the Alaska Fisheries Revitalization Strategy. The Revitalization Strategy combines federal Fisheries Disaster funds authorized under Title V – Fisheries Disaster, Section 501(b) of the 2003 Federal Appropriations Bill and Southeast Sustainable Salmon Fund monies, authorized under the Pacific Coastal Salmon Recovery Fund, made available by the work of Alaska Senator Ted Stevens.

The Revitalization Strategy is a multi-level, multi-year plan designed to spur increased productivity and innovation in the Alaska fishing industry by investing considerable resources into critical commercial fisheries infrastructure and capital improvement projects.

A key component within the Revitalization Strategy is the Fisheries Economic Development Matching Grant Program (Program), to be administered by the Alaska Department of Community and Economic Development (DCED). This Program is intended to assist communities, throughout the salmon fishing regions of Alaska, impacted by the downturn in the salmon industry. Assistance will be provided in the form of one-time grants to assist with the financing of fisheries-related infrastructure or economic development projects that have the potential to: (1) increase Alaska seafood/product quality, (2) increase Alaska seafood/product diversity and/or value, (3) increase industry efficiencies, or (4) lower costs within the industry.

There is approximately \$13 million available for grants under this Program. DCED is now requesting proposals from applicants interested in receiving one-time financial assistance (grant) under this newly established Program. Those interested in submitting an application under this Program are to follow the instructions provided in this Matching Grant Application Guide and must submit their completed application prior to 4:30 p.m. May 29, 2003.

B. ELIGIBLE APPLICANT

DCED Expectation: Eligibility (eligible applicant) is limited to

1. Government or non-profit organizations such as municipalities, tribal councils, other recognized governing bodies, or community/regional non-profit organizations representing communities that have been impacted by the downturn in the salmon industry. Accordingly, it is anticipated that the majority of the communities will be located throughout the salmon fishing regions of Alaska.
2. Small businesses including fishermen, fish processors, or related businesses serving the fishing industry. These applicants must demonstrate how they have been impacted by the downturn in the salmon industry. These applicants must also meet the US Small Business Administration definition of a small business. It is anticipated that private applicant projects will be located in or in close proximity to communities throughout Alaska located throughout the salmon fishing regions of Alaska.

Applicant's Proposal: An applicant's proposal (grant application):



- Must identify the applicant's organization; project director; and provide contact information including mailing address, phone, fax, and email.
- Must discuss the applicant's organization such as duration of existence, an organizational diagram, and lines of communication.
- Must discuss the applicant's experience with similar previous projects, the success of those projects, and current and/or on-going projects.
- Must identify the applicant's key personnel, previous experience, and ability to successfully complete this project. Individual resumes may be included as part of the applicant's proposal.

Evaluation of Applicant's Proposal: This section of an applicant's proposal (grant application) will be evaluated based on DCED's expectation and the applicant's proposal as discussed above and may receive up to a maximum of 20 points by each member of the Evaluation Committee during the evaluation process.

C. ELIGIBLE COMMUNITIES

DCED Expectation: Projects are limited to those that will benefit communities that have been impacted by the downturn in the salmon industry. Accordingly, it is anticipated that the majority of the communities will be located throughout the salmon fishing regions of Alaska.

Applicant's Proposal: An applicant's proposal (grant application):

- Must identify the communities and/or salmon fishing region(s) that will benefit from the project.
- Must describe the extent of the impact of the downturn in the communities and/or region by discussing recent economic indicators such as downturn in ex-vessel price, wholesale price paid to processors (if available), decline in permits fished, return to harvesters and processors, and declining economic activity for seafood related businesses.
- Must provide documentation from each community demonstrating community support. If the applicant is a local government, a resolution by the governing body is required. If the applicant is a non-profit organization, resolutions of support from the communities, cooperative agreement or memorandum of agreement between the applicant and the various local governing bodies are required. The applicant may submit proposed or draft documents with its application and approved documents must be received by DCED by June 12, 2003.

Evaluation of Applicant's Proposal: This section of an applicant's proposal (grant application) will be evaluated based on DCED's expectation and the applicant's proposal as discussed above and may receive up to a maximum of 20 points by each member of the Evaluation Committee during the evaluation process.



D. ELIGIBLE PROJECTS DESCRIPTION

DCED's Expectation: This Program is intended to assist communities throughout the salmon fishing regions of Alaska, impacted by the downturn in the salmon industry. Assistance will be provided in the form of one-time grants to assist with the financing of fisheries-related infrastructure or economic development projects that have the potential to: (1) increase Alaska seafood/product quality, (2) increase Alaska seafood/product diversity and/or value, (3) increase efficiencies and productivity, or (4) lower costs within the industry. Examples of potential projects have been grouped by the following categories:

- **Freezing and Chilling:** Projects would improve the quality of Alaska seafood by chilling or freezing. Projects may include the installation and/or expansion of slush ice bags, ice machines, or chilling gear; purchase and/or improvement to floating ice machine barges; additional equipment that improves access to freezing and chilling equipment.
- **Cold Storage Facilities:** Projects would improve the quality of Alaska seafood by building new and/or improve existing cold storage facilities.
- **Transportation and Distribution Network:** Projects would improve the quality of Alaska seafood by easing handling, increasing speed, and increasing efficiencies at key transportation nodes. Projects may include the installation and/or expansion of runway cooling systems, handling equipment, quality control equipment, freight consolidation facilities, and the chain of custody tracking systems and devices.
- **Processing Facilities and Equipment:** Projects would increase the quality, diversity, and value of seafood products. Projects may include the construction or improvement of seafood processing operations, processing equipment, fish by-product equipment, utility improvements to processing areas, and waste outfall lines.
- **Shellfish Mariculture:** Projects would improve the efficiency and productivity of shellfish farming. Projects may include nursery grow sites, transportation improvements, technological improvements, holding and processing capacity improvements.
- **New Fisheries Development:** Projects that seek to develop new fisheries in Alaska and may include test fishing, gear testing, and market research.
- **Marine Related Infrastructure:** Projects would increase seafood quality and efficiencies by improving existing or building new harbors, docks and other related marine infrastructure.
- **Airport Development:** Projects that would increase efficiencies, improve seafood quality and increase producers' access to valuable markets by improving existing or building new airport facilities and related infrastructure.
- **Other:** By no means should possible projects be limited to the above examples or categories. An application should be submitted for any project that develop fisheries-related infrastructure or economic development projects that have the potential to lower costs, increase product quality, increase product value and/or increase efficiencies for seafood economic activity.



- **Ineligible Projects:** Applications for initial start-up costs, operations and/or maintenance of existing or proposed infrastructure projects, feasibility studies are not eligible under this Program and will not be considered.

Applicant's Proposal: An applicant's proposal must provide a detailed and all-inclusive discussion of the project and should include but is not limited to:

- Detailed and concise discussion of every aspect of the project. This includes detailed milestones, completion date of each milestone, specific activities for completion of each milestone, and completion of entire project.
- Discussion of how the project meets "DCED's Expectations" items (1) through (4) and the specific category expectations (i.e. Freezing and Chilling, Transportation and Distribution, etc.).
- Detailed system design; engineering designs; technical specifications; and maps if applicable.
- Detailed information on locations, construction, expansions, modifications to new and/or existing structures, facilities, equipment, etc..
- Detailed information on initial construction and installation and continuing maintenance.
- Detailed discussion on environmental and industry impacts, special requirements, permits, certifications, and/or other pertinent necessary approvals.
- Detailed discussion of the project's feasibility. Include all potential obstacles in the current business environment that may hinder or halt the success of the project; ideas or areas of change that would improve the viability of the project; and any other unique characteristics and assumptions that may affect the project.

Evaluation of Applicant's Proposal: This section of an applicant's proposal (grant application) will be evaluated based on DCED's expectation and the applicant's proposal as discussed above and may receive up to a maximum of 20 points by each member of the Evaluation Committee during the evaluation process.

E. ELIGIBLE PROJECTS DURATION AND AMOUNT (BUDGET)

DCED's Expectation: Projects may range in duration from one to three years. For community or non-profit applicants, DCED's funding will not exceed 75 percent of the project's entire cost. Those applicants must provide at least 25 percent of the funding. Inability to support the 25% match requirement will be reason for immediate elimination from the application process. For small business applicants, DCED funding will not exceed 50 percent of the project's entire cost. Those applicants must provide at least 50 percent of the funding. Inability to support the 50% match requirement will be reason for immediate elimination from the application process.

This is a cost reimbursable grant program. The applicant will be required to expend funds and then seek reimbursement from DCED. If an applicant requires an initial advance, the applicant must declare it.



Applicant's Proposal: An applicant's proposal must provide a detailed and comprehensive budget that clearly identifies the entire cost of the project, with independent estimates as available. Discussion should include, but by no means be limited to the following items:

- Identify and project in detail any and all potential *direct* expenses. Examples include, but are limited to, facility modifications, construction costs, equipment purchases, materials, supplies, freight, transportation, payroll and benefits, and etc.
- Identify and project in detail any and all indirect expenses and/or indirect rate. An indirect rate shall not exceed 19 percent of actual direct payroll expenses, and it must be clearly identified.
- If the applicant's contribution includes an "in-kind" contribution such as the use of existing equipment, the monetary-value of the "in-kind" contribution must be based on industry-standards and documented in the applicant's proposal.
- Identify match funding e.g. revenue provided by any other source. Match funding may be obtained through private and/or public sources. Matches greater than that required under **DCED's Expectations** of this section are encouraged.
- Identify the expenses and percentage that are to be reimbursed (funded) by DCED and the expenses that are to be paid (funded) by the applicant or any other participant.
- Identify the timeframe and expense of equipment replacement and/or other improvements. Equipment depreciation and replacement schedules must be based on current industry rates and standards.
- Identify the amount and/or percentage of the amount anticipated as an initial advance from DCED; frequency of providing and example of summary financial reports on the entire project; frequency of providing and example of detailed information on expenses and revenue on the entire project.

Evaluation of Applicant's Proposal: This section of an applicant's proposal (grant application) will be evaluated based on DCED's expectation and the applicant's proposal as discussed above and may receive up to a maximum of 20 points by each member of the Evaluation Committee during the evaluation process

F. ELIGIBLE PROJECTS LONG-TERM VALUE

DCED's Expectation: This program is intended to assist communities and small businesses impacted by the downturn in the salmon industry by assisting with fisheries-related infrastructure or economic development projects that have the potential to lower costs, increase product quality, increase product value and/or increase efficiencies for seafood economic activity. Since DCED believes that this Program is a critical step towards revitalizing the salmon industry, the long-term sustainability and value of the projects is also pertinent.

Applicant's Proposal: An applicant's proposal must describe the long-term sustainability and expected return the project will generate by providing a detailed discussion on:



- How this project, short-term and long-term will increase: the overall value of the salmon in the consumer or food service market; profitability for the harvesting sector; profitability for the processing sector; value of economic activity in the identified Alaska communities.
- How this project will create greater product diversity.
- How the project will become self-sustaining in the short-term and long-term, and the built-in mechanisms to assure adequate returns for continued operation and maintenance.
- The overall economic contribution expected and built-in mechanisms to assure adequate returns
- For those projects, such as community cold storage facilities, that will be utilized by for-profit businesses, describe what relationship will be established between applicant and private sector entities describe mechanisms, either contractual or other, that assure proper maintenance, increased productivity and the capability for adapting to changes in the marketplace.

Evaluation of Applicant's Proposal: This section of an applicant's proposal (grant application) will be evaluated based on DCED's expectation and the applicant's proposal as discussed above and may receive up to a maximum of 20 points by each member of the Evaluation Committee during the evaluation process

G. COMPLIANCE TO FEDERAL AND STATE REQUIREMENTS

DCED's Expectation: DCED is receiving the funding for this Fisheries Economic Development Grant Program from the State's Department of Fish and Game and the Office of the Governor whom are receiving funding from the U.S. US Department of Commerce, National Oceanographic and Atmospheric Administration.

Since federal money is involved, all entities submitting a proposal for a grant under this Program must understand that in addition to state requirements, the following federal requirements may also apply. And, successful applicants must comply with all state and federal requirements.

Possible requirements for community and non-profit applicants:

As a government entity receiving federal funding, the DCED and its sub-recipient grantees (applicant's) will likely be required to comply with:

- Section 3016.22 incorporates the Cost Principles dictated by OMB Circular A-87 or similar document..
- Section 3016.37 requires DCED to give notice of all federal requirements to its sub-recipients.
- Applicants in the arrears with the federal government for any money owed may not be eligible for grants under this Program.
- State of Alaska's Single Audit Requirement established under Alaska Administrative Code 2 AAC 45.010.



Possible requirements for small business applicants:

As a for-profit entity, receiving federal funding through a state government, the successful applicants (sub-recipients) will likely be required to comply with:

- Federal Title 7, Chapter XXX, Part 3015 enacted by Department of Agriculture (USDA) for non-government entities receiving federal funding.
- Section 3015.21 requires the applicant retain all record for 3 years.
- Section 3015.24 allows the USDA and the Comptroller General of the United States, access to any books, documents, papers, or other records of the applicant, which are pertinent to the grant.
- Section 3015.113 requires changes in scope, objectives, or key people have prior approval by the USDA.
- Sections 3015.163 through .173 discuss key terms regarding property and equipment acquired under the grant. When the property or equipment is no longer needed for the authorized purpose of the grant, transfer or disposal may be required with compensation made to the federal government.
- Section 3015.194 incorporates the Cost Principles dictated by federal Title 48, Chapter 1, Part 31.2 (also referred to as 48 CFR Part 31)
- Section 3015.195 identifies that there may be different and conflicting requirements between initial and sub-recipient grantees.

As an entity, receiving funding through a State of Alaska entity, the successful applicants (sub-recipients) must comply with the State's Single Audit Requirement established under Alaska Administrative Code 2 AAC 45.010.

H. EVALUATION OF APPLICATIONS AND AWARD OF GRANTS

As of the release of this Application Guide, this Program is still evolving and not all aspects of this Program's funding have been authorized. Therefore, DCED retains the right to change this Program as need to comply with federal and/or state requirements that have not yet been identified.

The various processes for the initial review of the grant applications, evaluation of the remaining grant applications, and the award of grants is anticipated to be similar to the following:

- Prior to evaluating the grant applications, DCED will perform an initial review to identify grant applications that don't meet the minimum eligibility requirements, are incomplete, and/or have other problems. DCED at its sole discretion may contact the grant applicant for additional information and/or clarification or DCED may declare the application as



non-responsive and reject it in its entirety. If rejected, the grant application will be eliminated from the evaluation process and the applicant will be notified accordingly.

- The remaining grant applications will be forwarded to an evaluation committee. Each member of the evaluation committee will independently evaluate each grant application based on the criteria, evaluation factors, and numerical values identified in this Application Guide and summarized below:

Eligible Applicants	20
Eligible Communities	20
Eligible Projects -- Description	20
Eligible Projects -- Duration and Amount (Budget)	20
<u>Eligible Projects -- Long-term Value</u>	<u>20</u>
Maximum Possible Points	100

- The Evaluation Committee, at its sole discretion may decide if discussion with applicants, presentations by applicants, and/or site inspection are necessary. If held, members of the Evaluation Committee may change their individual scores based on the additional information.
- The individual Evaluation Committee members will have the opportunity to meet as a Committee to discuss the grant applications before and/or after their individual review and scoring. Members of the Evaluation Committee may change their individual scores based on these discussions.
- When the individual Evaluation Committee members have completed their scoring, the total points for each grant application will be determined. Those applicants receiving "sufficient points" will then be afforded the opportunity of entering into a Grant Agreement with DCED.
- "Sufficient points" will be determined at a later date and will depend on the number of applications received, the amounts being requested. Additionally, since this Program is intended to assist communities throughout Alaska's salmon fishing regions, DCED retains the option of ensuring the funding of projects funding is sufficiently balanced among the regions.
- DCED will provide each applicant with a list reflecting all applicants and the score of each.
- Unsuccessful applicants have up to 10 working days from the date of receiving notice, to provide a written appeal to DCED. DCED, at its sole discretion, may consider the appeal and will notify the applicant accordingly.
- Successful applicants will be afforded the opportunity to enter into a Matching Grant Agreement with DCED. There will be little to negotiate in the Matching Grant Agreements since the Matching Grant Agreements will basically incorporate the applicant's application. DCED reserves the right to partially fund an application.

I. Grant Application Submission and Tentative Schedule

Approximate Dates Activity



05/12/03 Matching Grant Application Guide Available
05/29/03 Matching Grant Applications (Proposals) Received
06/12/03 Initial Matching Grant Applications Review and Evaluation
06/13/03 Grant Applicants Notified
06/30/03 Drafting Matching Grant Agreements

Those interested in submitting an application under this Program as discussed in this Application Guide must do so prior to 4:30 p.m. May 29, 2003.

The body of the application is to be presented in the same format as this Application Guide i.e. Eligible Applicant, Eligible Community, Eligible Project Description, etc. and is to consist of no more than eight (8) pages. Attachments to the body, such as resumes, detailed designs, estimates from others, resolutions, etc are in addition to the eight-page limit and are to be clearly identified.

Five hard copies of the entire application (body and attachments) are to be delivered to DCED at the below address by 4:30 p.m. May 29, 2003.

Debbie McBride
Alaska Dept. of Community and Economic Development
Division of Community and Business Development
P.O. Box 110804
Juneau, AK 99811-0804

Delivery-service (FedEx, UPS) application packages should be addressed to:

Debbie McBride
Alaska Dept. of Community and Economic Development
Division of Community and Business Development
333 Willoughby Avenue, 9th Floor
Juneau, AK 99811

Failure to meet the deadline will result in disqualification of the application and it will not be reviewed. Faxed, emailed, and/or or electronic versions of a grant application is not acceptable.

Questions. Contact Debbie McBride at the Dept. of Community & Economic Development in Juneau, (907) 465-2023 or debbie_mcbride@dced.state.ak.us.

Revitalization Projects, Economic Development/Harbor & Transportation

Organization	Contact	Phone	Fax	Address	City	Project	Funds Requested--high	Funds Requested--low
Alaska Fishermen's Products LLC	Bob Thorstenson					Salmon In a Pouch Facility In Kotchikan Wards Cove Plant	\$5,000,000	
Kotchikan Gateway Borough	Dick Adams	(907) 225-6651		dick.adams@borough.kotchikan.ak.us				\$1,500,000
Alaska Intertribal Council	Roland Shank	(907) 563-9334				Portable Incubation, Egg Planting and Rivor Fertilization Technology Improvement	\$1,300,000	\$200,000
Alaska Ocean Products	Jeff Knaut	(907) 350-1168		815 Tagura	Kodiak, AK 99615	Suspension Freezing Technology Implementation		
Alaska Seafood Connection	Glen Leason	(800) 867-7390	(760) 771-0988		Swordfisher@yahoo.com	Processing Facility In Kake/Advortsing	\$300,000	\$150,000
Alaska Shellfish Grower's Association	Rodger Painter				Rodgerpainter@hotmail.com	Aquatic Farming Infrastructure	\$750,000	
Alaska Shellfish Grower's Association	Rodger Painter				Rodgerpainter@hotmail.com	Devoloplng Species R&D	\$120,000	
Alaska Shellfish Grower's Association	Rodger Painter				Rodgerpainter@hotmail.com	Mariculture Development Planning	\$50,000	
Alaska Shellfish Grower's Association	Rodger Painter				Rodgerpainter@hotmail.com	Statewide Shellfish Aquaculture Development Plan	\$80,000	
Alutians East Borough	Robert Juettner/Karen Montoya	(907) 274-7555	(907) 276-7569	3380 C Street, Ste 205	Anchorage, AK 99503	Aloutia Marketing Project: Sand Point Processing Infrastructure, Marketing and Expansion to King Cove and Nelson Lagoon	\$583,500	
Alutians East Borough	Robert Juettner	(907) 274-7555				Cold Bay Airport Fish Hub Facility	\$750,000	
Armstrong Kota/Alaska Catch.	Bart Watson	(907) 586-3443				Pin-Bone Out Fillet Pilot Project at Port Armstrong Hatchery	\$590,000	
Annetto Island Packing Co.	John T. Sessions	(206) 587-4040				Tunnel Freezer		
Annette Island Packing Co.	John T. Sessions	(206) 587-4040				Fish Meal Equipment		
Bering Sea Fishermen's Association	Henry Mitchell	(907) 279-6519	(907) 258-6688	725 Christensen Drive, 3	Anchorage, AK 99501	Kotzebue Chum Salmon Project	\$434,000	
BuyNPack Seafoods	Dave Bowen			Buynpack@hoonah.net		Broker/Boxer/Shipper Facility	\$1,200,000	
Chignik Seafood Producers Alliance						Chignik Live Haul and Handling Project	\$190,000	
Chilkoot Fish Company	Rhonda Blough	(907) 283-3649				Processing Equipment to Service More Fishermen	\$210,000	
City of Collman Cove	Elaine Price	(907) 329-2233				Processing Equipment for Local Gillnetter's Facility	\$125,000	
City of Cordova						Airport Cooler	\$200,000	
City of Cordova						Boat Haul-Out Facility	\$700,000	
City of Craig	Dennis Watson, Mayor	(907) 209-1299				Cold Storage Facility	\$430,000	
City of Craig	Tom Briggs	(907) 826-3275						
City of Dillingham						Dillingham All Tides Dock	\$2,500,000	
City of Haines	Robert Venables	(907) 766-2231				40 Ton/Day Flake Ice Maker	\$160,000	
City of King Cove						Community Tank Farm	\$100,000	
City of King Cove						Spit Land Development	\$175,000	
City of King Cove						Power & Lighting for New Boat Harbor	\$200,000	
City of Kotchikan	Bob Woinstein, Mayor			334 Front Street	Kotchikan, AK 99901	Regional Community Cold Storage	\$5,000,000	

City of Kodiak	Linda Freed, City Manager	(907) 486-8640	(907) 486-8600	710 Mill Bay Road	Kodiak, AK 99615	Harbormaster's Dock Replacement	\$1,000,000
City of Kodiak	Linda Freed, City Manager	(907) 486-8640	(907) 486-8600	710 Mill Bay Road	Kodiak, AK 99615	Oscar's Dock Replacement	\$1,500,000
City of Kodiak	Linda Freed, City Manager	(907) 486-8640	(907) 486-8600	710 Mill Bay Road	Kodiak, AK 99615	St. Herman's Harbor Inner Harbor Replacement	\$500,000
City of Pelican	Duff Mitchell	(907) 735-2204				Vessel Purchase for Processed Salmon Transport to Juneau and Gustavus	\$151,500
City of Petersburg						Airport Cooler	\$200,000
City of Petersburg						Community Cold Storage Phase One (site selection, acquisition, design)	\$500,000
City & Borough of Sitka						Airport Cooler	\$200,000
City & Borough of Sitka	Hugh Bevan, Director					Sawmill Cove Industrial Site Dock	\$480,000
City of Skagway	Robert Ward, Mayor	(907) 983-2297	(907) 983-2151	P.O. Box 415	Skagway, AK 99840	Chinook Hatchery	
City of Skagway	Robert Ward, Mayor	(907) 983-2297	(907) 983-2151	P.O. Box 415	Skagway, AK 99840	Harbor Fish Transfer Facility	
City of Valdez	Dave Dengel, City Manager	(907) 835-4313	(907) 835-2992	Ddengel@ci.valdez.ak.us		Fish Meal Plant	\$950,000
	Bob Prunella	(907) 874-2381					
		(907) 789-1992/					
City of Wrangell	Terry Olness	(907) 635-6092 (c)		terry@mac.com		Economic Impact Study for Bradfield Canal Road to Canada	\$400,000
City of Wrangell/Wrangell Seafoods	Gig Decker	(907) 874-3110		P.O. Box 2138	Wrangell, AK 99929	Flash Freezer Unit/Value-Added Center	\$1,240,000
City of Wrangell	Bob Prunella	(907) 874-2381				Water Storage Capacity Improvement	\$83,010
City & Borough of Yakutat	Larry Powell	(907) 784-3355				Value-Added Processing Equipment	\$278,200
Department of Transportation						Chignik Ferry Dock Upgrade	\$250,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Port Graham Hatchery Capital Improvements	\$625,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Fillet and Pin Bone Removal Machines	\$300,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Fish Processing Equipment for Access to New Markets	\$250,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Cold Storage and Chilling Facility	\$1,700,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Miscellaneous Fish Processing Equipment to Improve Quality and Provide Access to New Markets	\$375,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Miscellaneous Fish Processing Equipment to Improve Quality and Provide Access to New Markets	\$975,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Small Stream Habitat Improvement	\$500,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Paint River Enhancement and Remote Research Facility	\$925,000
Cook Inlet Salmon Brand, Inc. + Cook Inlet Aquaculture Association	Mark Powell	(907) 262-6355	(907) 262-6762	43335 Kalifornsky Beach Road	Soldotna, AK 99669	Eklutna/Port Graham/Tulka Sockeye Enhancement Project	\$2,000,000
DIPAC w/Glacier Bay Feed	David Wilson	(907) 364-1511				Premium Pet Food from Late Run Salmon and Hatchery Waste	\$172,400

Juneau Economic Development Council	Lance Miller	(907) 463-5662				By-Product Development Incinerator	\$500,000	
Ellin Cove Community Association	Richard Burnham				Katlag, AK 99748	Fish Processing Plan/Ico Machines		
Katlag Fishermen's Association	Doug Sweal	(907) 534-2309		P.O. Box 125	Katlag, AK 99748	Salmon Stream Obstruction and Rehabilitation Analysis	\$3,000,000	
Kachemak Research Development, Inc	Larry Riley, Vice President	(907) 226-2400	(907) 226-2402	P.O. Box 15422	Frilz Creek, AK 99603	Smart Tag Project		
Mark Buckley						Draft Engineering Plans to Fix Eroding Access Road in Juneau (suffocating coho eggs)	\$25,000	
Mendonhall Valley Partnership	Cheryl Van Dyke	(907) 586-6853				Southeast Alaska Live-Haul Demonstration Project	\$100,000	
Northern Southeast Regional Aquaculture Association	John Bruns	(907) 755-2231				Bunkhouse for Interns	\$40,000	
Prince of Wales Hatchery	John Bruns	(907) 755-2231				Expand Water Lines to Generate Power and Reduce Electric Bills	\$57,400	
Prince of Wales Hatchery	Representative Paul Sealon	(907) 465-2689	(907) 465-3472	State Capitol Building	Juneau, AK 99801	Funding for Quotekcak Shellfish Hatchery		
Quotekcak Shellfish Hatchery	Paul Rusanowski	(907) 586-2459				Develop Enzyme Process to Turn Sockeye Roe into Caviar	\$66,000	
Raven Environmental + Alaska Seafood Company	Ray Wadsworth					Chignik Pin Bone Out Fillet Project		
Ray Wadsworth				Ray@pinboneout.com		Plans to Utilize Surplus Carcasses and Create New Markets	\$45,000	
Sheldon Jackson College Hatchery	David Harrington	(907) 747-5222				Wet Lab Upgrade w/Now Displays and Better Tour Group Access	\$246,200	
Sheldon Jackson College Hatchery	Len Lambert	(907) 747-5222				Planning Study for Regional Cold Storage Consolidation Facility	\$75,000	
Southeast Conference	Milani Clark Schjovens	(907) 463-3445 X27				Fisheries Business Incubator Investment Phase II: Value-Added Processing Equipment/Cold Storage Research and Design	\$612,000	
Valdez Fisheries Development Association	David Forbush	(206) 323-3200				Enzyme Installation to Turn Pink Roe from Sujiko to Caviar	\$1,750,000	\$1,050,000
Wards Cove Packing + Red Caviar Partnership	Gig Decker	(907) 874-3110				High Volume Conveying Tunnel Freezer	\$726,500	
Wrangell Seafoods	Sherril Cummins	(907) 874-33346	(907) 874-3035		641 Wrangell, AK 99929	Low-cost Housing for Plant Employees		
Wrangell Seafoods	Sharon Boyette	907) 274-7555	(907) 276-7569	3380 C Street, Ste 205	Anchorage, AK 99503	King Cove Landfill and Road Design	\$60,000	
Aleutians East Borough	Sharon Boyette	907) 274-7555	(907) 276-7569	3380 C Street, Ste 205	Anchorage, AK 99503	Energy Cost Reduction Grant Match	\$50,000	
Aleutians East Borough	Sharon Boyette	907) 274-7555	(907) 276-7569	3380 C Street, Ste 205	Anchorage, AK 99503	Aleutians Aviation System Plan/Air Service Evaluation		
Bristol Bay						Ico Machines	\$200,000	
City of Cordova						Fish By-Product Utilization	\$100,000	
City of Galena						Galena Boat Ramp	\$200,000	
City of Old Harbor	Percy Fisby, Chief Executive Officer	(907) 277-6442				Small Boat Harbor Renovation		
City of Seward						Harbor Expansion Completion	\$2,000,000	
City of St. George	Max Malavansky, City Administrator	(907) 859-2263	(907) 859-2212	P.O. Box 929	St. George, AK 99591	Harbor South Breakwater Repair	\$3,500,000	
City of Whittier	Leonard Jones	(907) 472-2323				Small Boat Harbor Repair	\$350,000	

City of Whittier	Loornard Jones	(907) 472-2323				Public Works Facility	\$3,000,000	\$2,500,000
City & Borough of Yakutat	Steve Henry, City Manager					Community Processing Corporation		
Cold Bay						Boat Launch	\$300,000	
Matsu Electric Association						Power Interline to West Cook Inlet	\$3,000,000	
Northwest Arctic Borough						Kotzebue Region Small Bridge Repair	\$150,000	
Old Harbor						Ice Plant and Support Facilities	\$2,500,000	
Nelson Lagoon						Boat Lift	\$500,000	
Nome						Economic Diversification	\$1,350,000	
Port Graham						Processing Plant	\$1,000,000	
Shishmaref						Erosion Control	\$500,000	
Skagway						Land Development	\$500,000	
St. Paul						Harbor Dredging and Expansion	\$1,000,000	
Wrangell						Economic Development	\$500,000	
Yukon River Drainage Association	Jill Klein	272-3130				Hydrolysate Barge		
Round Gold LLC	Sandro Lano, CEO	(907) 463-5863		Sandro@roundgold.com		Harbor Shoreside Infrastructure	\$380,000	
Sand Point						Airborne Geophysical Surveys	\$1,000,000	
Seward Peninsula & SW AK						King Cove Landfill and Road Design	\$60,000	
Alutians East Borough	Sharon Boyette	907) 274-7555	(907) 276- 7569	3380 C Street, Ste 205	Anchorage, AK 99503			
Alaska Queen Eyak/Fishermen's Market	Jody Lozori, President					Processing Equipment/Upgrades	\$149,000	
Adak	Lamar Colton	345-9952		ljcolton@gci.net		Harbor Development		
Kodiak Chamber of Commerce	Wayne Stevens, Exec. Director			P.O. Box 1485	Kodiak, AK 99615	High Protein/Low Ash Meal from Fish Wastes		
Port Bailly Wild Enterprises, LLC	Jaymie Mitchell, General Manager	(907) 487-4430		P.O. Box 4349	Kodiak, AK 99615	Port Bailly Cannery Improvement		
City & Borough of Yakutat	Steve Henry, City Manager	(907) 784-3323	(907) 784-3281	P.O. Box 160	Yakutat, AK 99689	Floating Fuel Dock	\$600,000	
City & Borough of Yakutat	Steve Henry, City Manager	(907) 784-3323	(907) 784-3281	P.O. Box 160	Yakutat, AK 99689	Fender Piles System of Ocean Cape Dock Repair	\$65,000	

66,959,710.00

Economic Development	73,114,710
Research	2,843,500
Education	3,592,555
Marketing	2,822,977
Miscellaneous	1,390,706
Total	83,764,448