

SCOMM

101:95

MEMORANDUM

State of Alaska
Office of the Governor
Office of Management and Budget

June 22, 1995

TO: LRFPC Revenues Subcommittee

FROM: Brad Pierce 
Senior Policy Analyst

SUBJECT: Program Receipts Categories

Attached is a draft attempt at categorizing the various kinds of state general fund program receipts. Please note that this is an ongoing OMB – Division of Budget Review (DBR) project. While all of the various program receipts are listed, their categorization is by no means complete and differences still exist between the various departmental positions on how their program receipts should be classed and the OMB position. For purposes of this subcommittee, I would recommend that we pay particular attention to the DBR category 1 designation. These are defined as program receipts designated for a specific purpose.

Types of PRs included in the category 1 designation are :

- a.) licenses and fees required by statute to fund a program to the level of appropriation (e.g., occupational licensing);
- b.) direct contractual agreements (e.g., Fish and Game research contracts);
- c.) PRs that are restricted by federal law (e.g., Women, Infants and Children's Program).

If the appropriation authority is reduced for this class of PRs, revenues are reduced accordingly, i.e., they have no effect on the fiscal gap. Thus a good argument could be made that they should be treated separately from pure general fund or combined GF/PR programs in the budget process. The remaining two categories are still under development and discussion of their distinguishing characteristics is probably more appropriate for the budget process subcommittee.

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of pages >

10

To	Melissa	From	Pierce
Co.	LRFPC	Co.	OMB
Dept.		Phone #	465-4677
Fax #	258-9869	Fax #	3008

PROGRAM RECEIPT CATEGORIZATIONS

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FAX NO. 9074653008

ALASKA OMB-DIRECTOR

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	A	B	C	D	E	H
1	PROGRAM RECEIPT CATEGORIZATION					
2	(NOTE: Responses from departments reflect categories I & II; we have since split I into I & II, so depts category II has been changed to III.)					
3						
4	Department	Program	FY 95 Authorized	Department Categorization	DBR Categorization	Comments
5	DCED	Occupational Licensing	3,563.2	I	I	Fees set according to cost of administering licensure.
6	DCED	Insurance	3,837.4	I	I	Fees set according to cost of administering licensure.
7	DCED	Alaska Public Utilities Commission	3,635.8	I	I	Regulatory Cost Charge are to equal the total operating costs.
8	DEC	EQ - Air Quality Management	1,894.8	I	I	The federal Clean Air Act requires that state or local law charge an annual fee to cover reasonable direct and indirect costs required to develop and operate the permit program.
9	DFG	Commercial Fisheries Management and Development - Contracts	491.0	I	I	Contracts such as Kodiak Island Sockeye salmon rehabilitation and enhancement, and determination of the bycatch of halibut in near shore Pacific cod jig fishery. Use of funds is restricted by terms of contract or test fish policies.
10	DFG	Wildlife Conservation - Special Projects	299.0	I	I	Contracts with various non-federal agencies to carry out specific resource management contracts.
11	DFG	Subsistence - Special Projects	7.5	III	I	Subsistence research under contract.
12	DFG	Habitat	24.0	III	I	Gold Mining Inc. and Fort Knox Mine survey contracts
13	DFG	Habitat	52.5	III	I	North Slope Gravel Pit Study
14	DFG	Habitat	52.5	III	I	Cominco - Red Dog Mine Study
15	DFG	Habitat	50.0	III	I	Red Dog Mine water gaging info - pass-through to USGS
16	DHSS	Family Preservation	60.0	I	I	Private Foundation grant.
17	DHSS	Johnson Youth Center	27.9	I	I	CBJ support for Youth Counselor
18	DHSS	Public Health - Nursing	104.8	I	I	Sliding scale for direct medical services to individuals.
19	DHSS	Public Health - Women, Infants & Children	1,950.0	I	I	Federal mandate - rebates are used to offset federal expenditures for food purchases.
20	DHSS	Public Health - Maternal, Child & Family Health	289.5	I	I	Fees for hearing aides, audiology services/hearing and metabolic screening of newborn infants. Without fees, services would be eliminated.
21	DHSS	Public Health - Laboratory Services	586.5	I	I	Fees charged equivalent to fees payable under Medicare and are used to offset the costs of performing tests.
22	DNR	Land - Municipal Entitlements	52.5	I	I	Contractual agreement

PROGRAM RECEIPT CATEGORIZATIONS

DRAFT

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	Department	Program	FY 95 Authorized	Department Categorization	DBR Categorization	Comments
23	DNR	Land - Survey Operations	2.0	I	I	Survey deposits.
	DNR	Pipeline Coordinator's Office/Alaska	800.0	I	I	Contractual agreements for monitoring pipeline corridor.
24	DNR	Pipeline Coordinator's Office/Yukon Pacific Corporation	220.0	I	I	Contractual agreements
26	DOA	PIC	130.1	I	I	Receipts from conducting training sessions.
27	DOA	Telecommunications	50.0	I	I	Providing a specific product/service via service contracts.
28	DOA	Computer Services	44.8	I	I	Providing a specific product/service via service contracts.
29	DOA	RATNET	75.0	I	I	Communities contract w/DOA to repair satellite stations.
	DOE	Teacher Certification - Public Safety/FBI criminal background checks	178.4	III	I	The board may establish by regulation additional requirements for the issuance of certificates, including the fees to be charged for each certificate.
30	DOE	Voc Rehab - Client Services	115.8	III	I	Business Enterprise Program - Vending machine receipts
32	DOL	Occupational Safety & Health	33.8	I	I	Fees for Hazardous Painters Certifications
33	DPS	Fire Service Training	39.5	I	I	Community fire departments request training etc.
	DPS	Fire Prevention Training	94.0	I	I	Conduct firefighter academy, rural fire training and provide marine firefighter courses.
34	DPS	AK Criminal Records & ID	11.0	I	I	Receipts for non-criminal justice agencies/persons for using the Automated fingerprint Identification System. Receipts are used to provide these services.
35	DFG	Commercial Fisheries Management & Development Test Fish Program	1,918.9	I	I	Receipts from sale of catch to support the projects.
36	DNR	Geological and Geophysical Survey	160.2	I	I / HOLD	possible mix of receipts; may need to breakdown - waiting for more info.
37	DNR	Mining & Water - Alaska Hydrologic Survey	45.8	I	I / HOLD	possible mix of receipts; may need to breakdown - waiting for more info.
38	DNR	Agriculture - Plant Materials Center	60.5	I	I / HOLD	Need more info on "specific work plans" - waiting for more info.
39			20,758.3			
40						

PROGRAM RECEIPT CATEGORIZATIONS

DRAFT

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4	Department	Program	FY 95 Authorized	Department Categorization	DBR Categorization	Comments
41						
42	DHSS	MHDD - Harborview Developmental Center	249.8	I	II	Valdez Community Hospital for utilities - cost of care revenues from residents.
43	DHSS	Foster Care	675.0	I	II	Child Support for clients in custody from parents
44	DHSS	Foster Care	1,140.0	I	II	Social Security Income-Annuity for clients in custody
45	DHSS	Aid to Families with Dependent Children	4,600.0	I	II	Child support payments received on behalf of AFDC recipients - collections distributed between State and Federal governments as reimbursement.
46	DHSS	MHDD - Alaska Psychiatric Institute	2,728.9	I	II	Third party program receipts for services provided to patients.
47	DEC	Monitoring & Laboratory	90.0	III	III	Fees charged for analyses required by department
48	DEC	EQ - Wastewater	629.9	III	III	Receipts for plan reviews, permits, inspections etc.
49	DEC	EQ - Drinking water	81.1	III	III	Receipts for plan reviews, permits, inspections, waivers etc.; receipts are used as match for federal grant.
50	DEC	EQ - Water quality Management	146.2	III	III	Collect fees for staff costs associated with permit certifications; receipts only cover limited direct costs.
51	DEC	Environmental Health: Seafood/Sanitation	384.2	III	III	User fees for issuance of permits, plan review, inspections, tech assistance & training - statute limits fees to direct costs (not including inspections)
52	DOA	Pioneers' Homes	5,123.5	I	II	Receipts from residents that partially offset cost of care and lodging.
53	DHSS	Medical Assistance - Claims Processing	200.0	I	II	Post payment recovery funds are split between the state and the federal government. Third party collections.
54	DHSS	Medical Assistance - Medicaid Non-Facility	169.0	I	II	Post payment recovery funds are split between the state and the federal government. Third party collections.
55	DHSS	Medical Assistance - Medicaid Facilities	422.5	I	II	Post payment recovery funds are split between the state and the federal government. Third party collections.
56	DHSS	Medical Assistance - General Relief Medical	58.5	I	II	Post payment recovery funds are split between the state and the federal government. Third party collections.
57	DHSS	Medical Assistance - Medicaid Non-Facility	669.0	I	II	Quarterly bills to manufacturers for drugs purchased during preceding quarter. Funds rebated by the drug manufacturers are split between state and the federal government
58		Total Category II	17,567.6			

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DRAFT

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4	Department	Program	FY 95 Authorized	Department Categorization	DBR Categorization	Comments
59						
60	DOE	AK Vocational Tech Center	820.7	III	III	Application, Deposit, Tuition, Room and Board etc.
61	GOV	Executive Office	4.9	III	III	Receipts from publications and special events.
62	CORR	Institutions/Cost of Care: Hiland, Cook Inlet, Fbks, Ketchikan, Lemon Creek, Mat-Su, Palmer, Sbrth Avenue & Wildwood)	1,795.6	I	III	Statute states the Commissioner may enter into an agreement with ... payments shall be separately accounted for in general fund ... annual estimated balance in account may be used for legis approps to DOC to carry out purposes of program.
63	CORR	Community Corrections/CRC Director's office	211.0	I	III	Commissioner has ability to disburse inmates earnings - amount or rate determined by Commissioner.
64	CORR	Community Corrections/DWI Director's Office	200.0	I	III	Cost of imprisonment receipts may not exceed \$1,000 - only partially offsets costs.
65	CORR	Community Corr/Chemical Testing Director's Office	199.0	I	III	Fines are not dedicated to program as above.
66	DCED	Economic Development - Made in Alaska	50.0	I	III	Contractual agreement with Make it Alaskan, Inc. Dept pays contractor with program proceeds for costs not exceeding \$50.0.
67	DCED	Alaska Tourism Marketing Council	1,706.4	I	III	Statute requires Tourism Industry Association to contribute a minimum of 25% of ATMC operating costs. A contractual arrangement is entered to ensure that it
68	DCED	Salmon Marketing Tax	5,374.5	I	III	Only 1% of the taxed value of salmon sold goes to ASMI for domestic marketing.
69	DCED	Fishery Resource Landing Tax	822.6	I	III	Accounted for in the general fund - subject to appropriation to ASMI
70	DCED	Measurement Standards - Overweight/Oversize Permit Fees	997.8	III	III	
71	DCED	Measurement Standards - Weighing & Measuring Device Registration Fee	250.0	III	III	
72	DCED	Measurement Standards - Air Carrier Certificate of Compliance	25.0	III	III	
73	DCED	Banking, Securities & Corporations - Bank Examination Fees	200.0	III	III	
74	DCED	Banking, Securities & Corporations - Securities Filing Fees	946.5	III	III	

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DRAFT

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4	DCED	Banking, Securities & Corporations - Corporate Filing Fees	485.0	III	III	
76	DCED	Occ Licensing - Business License Fees	425.8	III	III	
77	DCED	Economic Development	8.5	III	III	Sale of publications
78	DCED	Economic Development	3.5	III	III	Internal water permit applications.
79	DCED	Tourism	40.5	III	III	Sale of publications and promotional materials.
80	DCED	Alaska Seafood Marketing Institute	20.0	III	III	Sale of Publications
81	DCRA	MRAD/Training & Development Component	2.0	III	III	Costs for reprinting publications/maps.
82	DCRA	CRDD/JTPA	43.9	III	III	Computer usage receipts by Anchorage and Fairbanks offset payment to the University for usage. Pass-through.
83	DCRA	CRDD/Community Development Assistance	29.2	III	III	Shared cost by state, local and federal agencies for the Alaska Rural Development Council.
84	DCRA	Energy Operations	124.5	III	III	Receipts from Waste Heat Sales Agreements are deposited into a "trust account" - funds are later tapped for operating and maintenance expenses for facilities.
85	DEC	Environmental health: Palmer Laboratory	19.5	III	III	Fees collected for lab analysis of equine infectious anemia.
86	DFG	Sport Fish - Hatchery Housing	38.0	I	III	Rental receipts from employees.
87	DFG	Wildlife Conservation - Rabbit Creek Rifle Range	59.8	III	III	Fees cover cost of operating rifle range. Range would close without receipts.
88	DFG	Wildlife Conservation / Guide Area Registration Fees	50.0	III	III	Covering costs to provide support to the Guide-Outfitter Task Force.
89	DFG	Administration - Crew member licenses	85.1	III	III	Help fund related administration of license program.
90	DFG	Commissioner's Office - ADA Training	10.3	III	III	
91	DFG	Limited Entry Commission	2,606.9	I	III	Excess receipts are unrestricted revenue.
92	DHSS	Alcohol & Drug Abuse - Safety Action Program (ASAP)	124.5	III	III	Excess receipts are unrestricted revenue.
93	DHSS	Public Assistance - Food Stamp Collections	39.9	III	III	Funds collected for repayment to feds; 25% of collections go to State.
94	DHSS	Public Health - EMS Training and Licensing	54.3	III	III	Fees charged for administration and scoring of tests; production of certificates etc.

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DRAFT

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96	DHSS	Public Health - Vital Statistics	657.5	III	III	
96	DMVA	Alaska National Guard - Armory Rental Receipts	28.4	III	III	Janitorial & utilities costs.
97	DNR	Land - Recreational Rivers	21.1	I	III	Leasing of Recreation Facilities/Sites for Development
98	DNR	Land - Shore Fisheries Leases	225.4	I	III	The director shall establish a reasonable rental ... equal to administrative costs involved in processing leasehold applications. Statute does not designate monies for the program.
99	DNR	Land - State Information Office	25.0	I	III	Public service/info fees
100	DNR	Information Resource Management	39.3	I	III	public access to electronic services and products
101	DNR	Parks employee housing	7.0	I	III	Rent receipts from employees
102	DNR	Recorder's Office	2,311.0	III	III	Excess receipts go into unrestricted revenue.
103	DNR	Land Disposal/PIC	270.2	III	III	Receipts are deposited into general fund.
104	DNR	Land Disposal Sales	990.0	III	III	Receipts are deposited into general fund by statute.
105	DNR	Land - Aquatic farming	69.7	III	III	
106	DNR	Land - Big Game Guides, Outfitters	74.2	III	III	
107	DNR	Land - Materials Sales	150.0	III	III	Sale of materials
108	DNR	Land - Tideland Leases	200.0	III	III	
109	DNR	Oil & Gas - Lease administration	49.0	III	III	monies generated from permit and applications fees. Fees are structured to reflect cost of services rendered.
110	DNR	Mining & Water - Mineral Property Records & Disposal	323.8	III	III	Collection of locatable rentals and production royalty.
111	DNR	Mining & Water - CSM	2.0	III	III	fees for permits and sale of publications
112	DNR	Mining & Water - Placer Mining applications	41.6	III	III	collect fees to process applications.
113	DNR	Water Rights	45.0	III	III	
114	DNR	Water - Annual Placer Mining Applications	20.0	III	III	
115	DNR	Water - Annual Administrative Fee	100.0	III	III	
116	DNR	Pipeline Coordinator's Office	100.0	III	III	Materials sales
117	DNR	Pipeline Coordinator's Office	400.0	III	III	Pipeline rental for use of right of ways.
118	DNR	Parks - state park system facilities	1,488.5	III	III	
119	DNR	Agriculture - Land disposals	230.8	III	III	
120	DNR	Mining & Water - Dam Safety & Construction	39.9	III	III	fees are used to support cost of program

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DRAFT

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121	DOA	Property Management	282.8	I	III	Includes excess state property disposal.
122	DOA	Alaska Public Offices Commission	53.6	I	III	Receipts from photocopying, directories, reports etc. Partially offset cost.
123	DOE	Data Management - AK Education Directory	7.8	III	III	
124	DOE	Basic Education & Instructional Improvement	16.5	III	III	Instructional materials; district memberships, GED diploma certificates.
125	DOE	Alaska Career Info System	214.3	III	III	Software, Training and Services
126	DOE	Commissioner's Office	4.8	III	III	Compiled School Laws and AK Education Regulations
127	DOE	Administrative Services	14.2	III	III	UACN Network Access
128	DOE	Teacher Certification Fees	300.4	III	III	
129	DOE	Centralized Correspondence Study	117.1	III	III	Sale of course materials.
130	DOE	PTPC - Teacher Certification Fees	188.2	III	III	
131	DOE	Council on the Arts	4.0	III	III	Eskimo Doll Catalog Sales
132	DOE	Mt. Edgecumbe - Instruction Program	4.4	III	III	Employee Housing Rental
133	DOE	Mt. Edgecumbe - Residential Program	27.0	III	III	Travel fees
134	DOE	Voc Rehab - Americans w/Disabilities	25.0	III	III	Workshops/training sessions
135	DOE	Library Operations	61.8	III	III	Publications
136	DOE	Museum Operations	145.9	III	III	Admission Fees
137	DOL	Employment Security - Unemployment Insurance	21.3	III	III	Data base information requests.
138	DOL	Unemployment Appeals	7.2	III	III	Information Requests.
139	DOL	Employment Services Counselor Program	18.7	III	III	GATB test materials
140	DOL	Employment & Training Services	32.0	III	III	Reimbursement from Munic of Anchorage for Services
141	DOL	Committee on People w/Disabilities	4.9	III	III	Participant fees
142	DOL	Labor Market Information	2.0	III	III	specialized info requests
143	DOL	Workers' Compensation	29.6	III	III	Information requests, publications.
144	DOL	Mechanical Inspections	608.3	III	III	
145	DOL	Occupational Safety & Health	52.8	III	III	Certificates for explosive handlers and asbestos abatement
146	DOL	Alaska Safety Advisory Council	106.8	III	III	Annual Conference fees

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DRAFT

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147	DOT/PF	Rural Airport leasing, parking, concessions, fuel flowage fees (Multiple components)	2,160.7	III	III	If leasing and administrative costs were not covered by receipts, airport maintenance would be funded fully by general funds.
148	DOT/PF	Sale/Lease of Excess Right of Way	148.7	III	III	Dept. must manage right-of-way parcels acquired in connection w/federal projects. Revenues are utilized to pay for costs to administer program.
149	DOT/PF	Utility Permits/Inspections	318.1	III	III	Receipts partially offset operations of permit program - fees paid by utilities.
150	DOT/PF	Tourist Oriented Directional Signs	1.0	III	III	Private business bear cost for encroachments of directional signs placed within areas managed by department.
151	DOT/PF	Employee Housing	205.0	III	III	Receipts from employees are used to maintain structures.
152	DOT/PF	Damages Recovered	88.6	III	III	Private users pay for damage to transportation structures.
153	DOT/PF	Road Maintenance Services	204.3	III	III	Maintenance services provided for roads not on the state system.
154	DOT/PF	Misc. Services	97.2	III	III	Fees for documents, encroachment permits, scrap metal recycling etc. help cover cost to dept.
155	DPS	Fire Prevention Operations	215.5	I	III	Building Plan Review fees - fees are charged but not required to cover cost of program.
156	DPS	Fire Prevention Operations	3.0	I	III	Hazardous Materials placarding
157	DPS	Motor Vehicles - Driver Services, Field Services & Admin	5,099.2	III	III	Excess receipts go to unrestricted general fund.
158	DPS	AST/Detachments	50.6	I	III	Fees are collected for licensing private security guards; only partially offset costs
159	DPS	AST/Detachments	676.3	I	III	Fees charged for concealed weapon permits; receipts only partially offset costs
160	DPS	AST/Criminal Investigations Bureau	31.0	I	III	Fees charged to sexual offenders and to public for information
161	DPS	AST/Judicial Services	51.1	I	III	Fees charged for civil process by Court Services; cover portion of costs
162	DPS	AST/Rural Trooper Housing	161.9	I	III	Employee housing receipts
163	DPS	AST/Narcotics Task Force	598.0	I	III	Receipts from sales of seized assets; then used for federal match
164	DPS	Alaska Police Standards Council	14.9	I	III	Fees for processing applications for certifications.

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	Department	Program	FY 95 Authorized	Department Categorization	DBR Categorization	Comments
165	DPS	Training Academy	20.4	I	III	Receipts from non-state agencies for delivery of training; department already is responsible for developing and delivering training.
166	DPS	APSIN	56.5	I	III	Fees charged for hook-up costs and access to the network
167	DPS	AK Criminal Records & ID	189.0	I	III	Criminal History fees - charges for copies; basic service that should be provided but not required.
168	DPS	AK Criminal Records & ID	323.7	I	III	Concealed weapon permit fees; fees are based on actual costs incurred but may not exceed \$125 for applic processing and initial issuance of a permit; nor \$60.00 for renewal or replacement. No designation that receipts be used for program.
169	LAW	Legal Services - Operations	322.3	III	III	Unpaid criminal fines, costs and penalties in criminal and civil penalties.
170	LAW	Legal Services - Admin and Support	49.7	III	III	Unpaid criminal fines, costs and penalties in criminal and civil penalties.
171	LAW	Legal Services - Medicaid Provider Fraud	41.5	III	III	Retention of monies recovered from defendants.
172	REV	Alcohol Beverage Control Board	639.3	III	III	License and application fees - deposited into GF under AS 04.11.590(b)&(c).
173	REV	Charitable Gaming/Gaming	1,076.1	III	III	Excess receipts are deposited into general fund.
174	REV	Income & Excise Audit/Unclaimed Property	156.6	III	III	Excess receipts are deposited into general fund.
175	DCED	Alaska Seafood Marketing Assessment	2,947.2	I	III	There is no statutory requirement that receipts be used for program, but there is a concern that it would be "politically" unacceptable to use for other purposes.
176		Category III Total	44,881.0			
177						
178		Total	82,404.9			
179						