

CAMPAIGN

FINANCING

1 WORK DRAFT -- FOR DISCUSSION ONLY  
2 Gross & Burke, October 16, 1985

3 IN THE SENATE

BY THE STATE AFFAIRS  
COMMITTEE

4 SENATE BILL \_\_\_\_\_

5 IN THE LEGISLATURE OF THE STATE OF ALASKA

6 FOURTEENTH LEGISLATURE - SECOND SESSION

7 A BILL

8 For an Act entitled: "An Act relating to election  
9 campaign financing practices;  
10 and providing for an effective  
11 date."

12 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:

13 \*Section 1. AS 15 is amended by adding a new chapter  
14 to read:

15 CHAPTER 14. ELECTION CAMPAIGN FINANCING.

16 ARTICLE 1. ALASKA PUBLIC OFFICES COMMISSION.

17 Sec. 15.14.010. APPLICABILITY. (a) This chapter  
18 applies in every election for governor, lieutenant  
19 governor, a member of the state legislature, a delegate  
20 to a constitutional convention, a judge seeking  
21 electoral retention, and for any other state office  
22 elected by popular vote. It also applies to every  
23 election for mayor, assembly or school board in a  
24 municipality with a population of more than 1,000

1 inhabitants according to the latest United States  
2 census figures or estimates of population certified by  
3 the Department of Community and Regional Affairs in  
4 accordance with AS 29.60.020. A municipality may  
5 exempt its elections from the requirements of this  
6 chapter if a majority of the voters voting on the  
7 question at any regular election, as defined by AS  
8 29.71.800(20), or a special municipality-wide election  
9 called for that purpose, vote to exempt those officers  
10 from the requirements of this chapter. The question of  
11 exemption from the requirements of this chapter may be  
12 submitted by the city council or borough assembly by  
13 ordinance or by initiative ordinance. Nothing in this  
14 chapter prohibits a municipality from additional  
15 regulation of campaign contributions and expenditures  
16 by ordinance.

17 (b) Except as otherwise provided, this chapter  
18 applies to contributions, expenditures and  
19 communications made by a candidate, political interest  
20 group, municipality or person for the purpose of  
21 influencing the outcome of a ballot proposition or  
22 question as well as those made to influence the  
23 nomination or election of a candidate.

1 (c) This chapter does not apply to contributions  
2 or expenditures made for the sole purpose of acquiring  
3 signatures on a state or municipal initiative petition.

4 Sec. 15.14.020. ALASKA PUBLIC OFFICES COMMISSION.

5 (a) There is established in the Department of  
6 Administration the Alaska Public Offices Commission  
7 consisting of five members. The governor shall appoint  
8 two members of each of the two political parties whose  
9 candidates for governor received the highest and second  
10 highest number of votes in the most recent preceding  
11 general election at which a governor was elected. The  
12 two appointees from each of these two parties shall be  
13 chosen from a list of four names to be submitted by the  
14 central committee or other governing body of each of  
15 the two parties. The fifth member shall be appointed  
16 by a majority vote of the four members who were  
17 appointed from nominations submitted by political  
18 parties.

19 (b) When the term of one of the four members  
20 appointed from nominations submitted by political  
21 parties expires or becomes vacant, the governor shall  
22 fill the vacancy or appoint a successor within 30 days  
23 after receiving notice of the vacancy by appointing one  
24 of four or more persons who are members of the same  
25 political party as the member whose term is expiring

1 and who have been nominated by that political party.  
2 An appointment may be made under this subsection in  
3 anticipation of an impending vacancy, and the  
4 appointment becomes effective upon the actual  
5 occurrence of the vacancy.

6 (c) When the term of the member who is appointed  
7 by the other four members expires or becomes vacant, a  
8 majority of the four members appointed under (b) of  
9 this section shall appoint a successor within 30 days  
10 after the vacancy occurs. An appointment may be made  
11 in anticipation of an impending vacancy, and the  
12 appointment becomes effective upon the actual  
13 occurrence of the vacancy.

14 (d) The term of office for each member of the  
15 commission is five years, or until the member's  
16 successor is appointed and qualifies. If a seat  
17 becomes vacant before the expiration of a member's  
18 term, the person appointed to fill that vacancy serves  
19 for the remainder of the unexpired term. A commission  
20 member may not serve more than one term. However, a  
21 person appointed to fill an unexpired term may be  
22 appointed to a successive full five year term.

23 (e) A member of the commission, during tenure,  
24 may not

25 (1) hold or campaign for elective office;  
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1 (2) be an officer of a political party,  
2 political committee or political interest group;

3 (3) permit his or her name to be used, or  
4 make any contributions whatsoever, in support of or in  
5 opposition to a candidate or proposition or question  
6 that appears on state, municipal or other any ballot in  
7 the state;

8 (4) participate in any way in an election  
9 campaign or participate in or contribute to any  
10 political party; or

11 (5) lobby, employ or assist a lobbyist.

12 (f) Members of the commission shall receive  
13 compensation of \$100 a day while attending commission  
14 meetings and shall be entitled to travel expenses and  
15 per diem authorized by law for state employees.

16 (g) The members shall elect a chairman. A  
17 majority of the commission constitutes a quorum,  
18 provided that a vote of at least three members is  
19 required to take any official action. A vacancy does  
20 not impair the powers of the remaining members to  
21 exercise all of the powers of the commission.

22 (h) The commission may employ an executive  
23 director and other employees it considers necessary.  
24 Neither the executive director nor any employee may  
25 vote on matters coming before the commission.

1           (1) The commission shall establish a central  
2 office and shall establish one or more district offices  
3 to the extent money is appropriated for that purpose.  
4 In the absence of an appropriation to establish one or  
5 more district offices, the commission shall designate  
6 as district offices as many municipal or other public  
7 offices as may be necessary to insure that there is one  
8 office in each senate district in which reports  
9 required under this chapter may be filed with the  
10 commission and copies of such reports made available  
11 for public inspection; however, where one municipality  
12 contains more than one senate district, only one  
13 commission office may be established or designated in  
14 that municipality. All forms and pertinent material  
15 must be made available in each commission office to  
16 candidates, persons and political interest groups  
17 required to file reports under this chapter. Reports  
18 may be filed by candidates, political interest groups  
19 and persons in the commission's central office or in a  
20 district office. The commission shall promptly forward  
21 copies of all reports filed by statewide candidates to  
22 each district office and shall promptly forward copies  
23 of all reports filed by a legislative candidate to the  
24 district office in the election district where the  
25 candidate is seeking office.

1 (j) The commission shall insure that copies of  
2 all reports filed by a candidate for municipal office  
3 are made available for public inspection in the  
4 municipality in which the candidate is seeking office.

5 Sec. 15.14.030. DUTIES OF THE COMMISSION.

6 The commission shall

7 (1) develop and provide all forms for the reports  
8 and statements required to be made under AS 15.14, AS  
9 24.45 and AS 39.50;

10 (2) prepare and publish a manual setting out  
11 uniform methods of bookkeeping and reporting for use by  
12 persons required to make reports and statements under  
13 this chapter and otherwise assist candidates, political  
14 interest groups, and persons in complying with the  
15 requirements of this chapter;

16 (3) receive and hold open for public inspection  
17 reports and statements required to be filed under this  
18 chapter and, upon request, furnish copies at cost to  
19 interested persons;

20 (4) compile and maintain a current list of all  
21 filed reports and statements;

22 (5) prepare a summary of each report filed under  
23 AS 15.14 and make copies of this summary available to  
24 interested persons at cost;

1 (6) notify, by registered or certified mail, all  
2 persons whom the commission or its staff has probable  
3 cause to believe are delinquent in filing reports and  
4 statements required to be made under this chapter;

5 (7) compile a list within 60 days after each  
6 election of the names of all persons, candidates and  
7 political interest groups who have failed to timely  
8 file any report required to be filed under this chapter  
9 and make the list available to the public;

10 (8) examine, investigate and compare all reports,  
11 statements and actions required by AS 15.14, AS 24.45  
12 and AS 39.50 and report to the attorney general the  
13 names of all persons or political interest groups that  
14 the commission has probable cause to believe have  
15 committed a crime under this chapter, AS 24.45 or AS  
16 39.50;

17 (9) prepare and publish an annual report to the  
18 legislature concerning the activities of the  
19 commission, the effectiveness of this chapter, its  
20 enforcement by the attorney general's office, and  
21 recommendations and proposals for change;

22 (10) adopt regulations necessary to implement and  
23 clarify the provisions of AS 24.45, AS 39.50 and this  
24 chapter, subject to the provisions of the  
25 Administrative Procedure Act (AS 44.62).

ARTICLE 2. REGISTRATION AND REPORTS.

Sec. 15.14.040. REGISTRATION BY CANDIDATES. (a)

An individual shall register with the commission, on forms to be prescribed by the commission, within 10 days after the individual first: (1) accepts contributions of \$1,000 or more in the aggregate from persons or from political interest groups for the purpose of seeking elective office; or (2) files for nomination for an elective office.

(b) The registration must designate the year of the election for which the campaign will be conducted, and designate whether the election is for a state or a municipal office. In the case of a candidate who has filed for office at the time of registration, the registration must designate that office. A person who registers under this section before having filed for office shall, within 10 days after filing for the office, file a supplemental registration with the commission designating that office.

(c) An individual required to register under this section shall include with the registration the information concerning campaign officers required under AS 15.14.170.

Sec. 15.14.050. REGISTRATION BY POLITICAL INTEREST GROUPS. (a) A political interest group shall

1 register with the commission on forms prescribed by the  
2 commission within 10 days after the group first (1)  
3 accepts contributions of \$1,000 or more in the  
4 aggregate, or (2) makes any contribution to an  
5 individual who has registered with the commission under  
6 AS 15.14.040 or any expenditure on behalf of, or in  
7 opposition to an individual who has registered with the  
8 commission under AS 15.14.010, or on behalf of or in  
9 opposition to a ballot proposition.

10 (b) A political interest group formed solely for  
11 the purpose of sponsoring an initiative, a referendum  
12 or a recall shall register with the commission within  
13 30 days after it first files a petition with the  
14 lieutenant governor or with a municipal clerk in the  
15 case of a municipal initiative, referendum or recall.

16 (c) A political interest group shall renew its  
17 registration annually with the commission.

18 (d) A political interest group may not use and  
19 may not file with the commission a name that is the  
20 same as or materially similar to the name of a group  
21 whose registration is currently on file with the  
22 commission.

23 (e) If a political interest group intends to  
24 support or oppose only one candidate, or to contribute  
25 to or expend more than 50 percent of its funds on  
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1 behalf of, or in opposition to, one candidate, the name  
2 of the candidate must be part of the name of the  
3 political interest group. Promptly upon receiving the  
4 registration, the commission shall notify the candidate  
5 of the political interest group's organization and  
6 intent.

7 (f) A political interest group required to  
8 register under this section shall include with the  
9 registration the information concerning campaign  
10 officers required under AS 15.14.170.

11 Sec. 15.14.060. REPORTS BY CANDIDATES OF  
12 CONTRIBUTIONS AND LOANS ACCEPTED. (a) A candidate  
13 shall make full reports, upon forms prescribed by the  
14 commission, of all contributions received by the  
15 candidate for the designated election campaign,  
16 including all funds that the candidate contributed or  
17 lent to the candidate's own campaign. The reports must  
18 include:

19 (1) the full name, complete address,  
20 principal occupation, and employer of each person, and  
21 the full name and complete address of each political  
22 interest group, from whom contributions in the  
23 aggregate amount of \$250 or more were accepted, and the  
24 date and amount of the contributions;

1 (2) the full name, complete address,  
2 principal occupation, and employer of each person, and  
3 the full name and complete address of each political  
4 interest group, who lent \$250 or more to a candidate or  
5 who guaranteed or otherwise agreed to assume a  
6 financial obligation of \$250 or more for or on behalf  
7 of the candidate, if the proceeds of the loan, guaranty  
8 or obligation are to be used by the candidate directly  
9 or indirectly to influence or attempt to influence the  
10 outcome of an election; the report must also list the  
11 date and total value of the credit, loan, or guaranty  
12 or assumption, the interest rate, the date the loan is  
13 due, and the security, if any, for the credit, loan, or  
14 guaranty;

15 (3) the total number and amount of all  
16 contributions accepted of less than \$250;

17 (4) a total of all contributions accepted.

18 (b) A contribution received less than 10 days  
19 before the election must be reported under (d) of this  
20 section whether or not the contribution has been  
21 accepted. A contribution received 10 days or more  
22 before the election but not accepted is not required to  
23 be reported under this section until and unless the  
24 contribution is accepted. A determination of whether  
25 to accept a contribution that is received by a  
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1 candidate, campaign treasurer, or deputy campaign  
2 treasurer must be made within five days after the  
3 contribution is received. The candidate, campaign  
4 chairman, campaign treasurer, or deputy campaign  
5 treasurer who received a contribution that is not  
6 accepted shall return it to the contributor within 10  
7 days after making the determination not to accept the  
8 contribution.

9 (c) The reports containing the information  
10 required under (a) of this section must list all  
11 contributions received during the period ending three  
12 days before the due date of the report and beginning on  
13 the last day covered by the most recent previous  
14 report. The reports must be filed in the central  
15 office or a district office of the commission at the  
16 following times:

17 (1) 30 days before the election; however,  
18 this report is not required if the deadline for filing  
19 a nominating petition or declaration of candidacy is  
20 less than 34 days before the election;

21 (2) one week before the election.

22 (d) A candidate shall file a special contribution  
23 report with the commission of any contribution of \$500  
24 or more that is received within 10 days before the  
25 election. The report must include the full name,  
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1 complete address, principal occupation and employer of  
2 the contributor and the date and amount of the  
3 contribution. The report is due within 24 hours after  
4 the contribution is received.

5 (e) A candidate shall file an annual report on or  
6 before January 16 of each year. The annual report must  
7 include all of the information required under (a) of  
8 this section for contributions accepted between January  
9 1 and December 31 of the immediately preceding year,  
10 except that an annual report covering contributions  
11 accepted during the year in which the designated  
12 election is held need include only those contributions  
13 that were not reported to the commission in the reports  
14 required under (c) and (d) of this section.

15 (f) The January 16 report covering contributions  
16 accepted during the year in which the designated  
17 election is held is the final report for a candidate.

18 Sec. 15.14.070. REPORTS BY CANDIDATES OF  
19 EXPENDITURES MADE. On January 16 of each year a  
20 candidate shall make and file in the commission's  
21 central office or a district office a full report  
22 listing the date, amount, purpose and recipient of each  
23 expenditure made by the candidate between January 1 and  
24 December 31 of the immediately preceding year. The  
25 final report of expenditures due on January 16

1 following the year in which the designated election is  
2 held must also include the amount and disposition of  
3 any surplus campaign funds.

4 Sec. 15.14.080. REPORTS OF CONTRIBUTIONS AND  
5 LOANS RECEIVED BY POLITICAL INTEREST GROUPS. (a) A  
6 political interest group shall make full reports, upon  
7 forms prescribed by the commission, of all  
8 contributions and loans received by the political  
9 interest group. The reports must include:

10 (1) the full name, complete address,  
11 principal occupation, and employer of each person, and  
12 the full name and complete address of each political  
13 interest group, from whom contributions in the  
14 aggregate amount of \$250 or more were accepted, and the  
15 date and amount of the contributions;

16 (2) the full name, complete address,  
17 principal occupation, and employer of each person, and  
18 the full name and complete address of each political  
19 interest group, who lent \$250 or more to the reporting  
20 political interest group or who guaranteed or otherwise  
21 agreed to assume a financial obligation of \$250 or more  
22 for or on behalf of the political interest group, if  
23 the proceeds of the loan, guaranty or obligation are to  
24 be used by the political interest group directly or  
25 indirectly to influence or attempt to influence the  
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1 outcome of an election; the report must also list the  
2 date and total value of the credit, loan, or guaranty  
3 or assumption, the interest rate, the date the loan is  
4 due, and the security, if any, for the credit, loan, or  
5 guaranty;

6 (3) the total number and amount of all  
7 contributions accepted of less than \$250;

8 (4) a total of all contributions accepted;

9 (5) a total of all expenditures made or  
10 obligated;

11 (6) the date, check number, full name of  
12 each payee, and the purpose of each expenditure  
13 including:

14 (A) for each expenditure that is a  
15 contribution to a candidate or a political interest  
16 group, the name of the candidate or political interest  
17 group; and

18 (B) for each expenditure that is an  
19 independent expenditure, the name of the candidate or  
20 ballot proposition supported or opposed by the  
21 independent expenditure.

22 (b) A contribution received less than 10 days  
23 before the election must be reported under (d) of this  
24 section whether or not the contribution has been  
25 accepted. A contribution received 10 days or more  
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1 before the election but not accepted is not required to  
2 be reported under this section until and unless the  
3 contribution is accepted. A determination of whether  
4 to accept a contribution that is received by a  
5 political interest group, campaign treasurer, or deputy  
6 campaign treasurer must be made within five days after  
7 the contribution is received. The political interest  
8 group, campaign chairman, campaign treasurer, or deputy  
9 campaign treasurer who received a contribution that is  
10 not accepted shall return it to the contributor within  
11 10 days after making the determination not to accept  
12 the contribution.

13 (c) The reports containing the information  
14 required under (a) of this section must list all  
15 contributions received during the period ending three  
16 days before the due date of the report and beginning on  
17 the last day covered by the most recent previous  
18 report. The reports must be filed in the central  
19 office or a district office of the commission at the  
20 following times:

21 (1) 30 days before the election;

22 (2) one week before the election.

23 (d) A political interest group shall file a  
24 special contribution report with the commission of any  
25 contribution of \$500 or more that is received within 10  
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1 days before the election. The report must include the  
2 full name, complete address, principal occupation and  
3 employer of the contributor and the date and amount of  
4 the contribution. The report is due within 24 hours  
5 after the contribution is received.

6 (e) A political interest group shall file an  
7 annual report on or before January 16 of each year.  
8 The annual report must include all of the information  
9 required under (a) of this section for contributions  
10 accepted between January 1 and December 31 of the  
11 immediately preceding year, except that an annual  
12 report covering contributions accepted during the year  
13 in which the designated election is held need include  
14 only those contributions that were not reported to the  
15 commission in the reports required under (c) and (d) of  
16 this section.

17 Sec. 15.14.090. STATEMENTS BY PERSONS OF  
18 CONTRIBUTIONS AND EXPENDITURES. (a) A person who makes  
19 a contribution of \$250 or more in goods, services or  
20 money to a candidate or political interest group, or  
21 who makes an independent expenditure, as defined in AS  
22 15.14.100, with a value of \$250 or more, to influence  
23 the election of a candidate or the passage of a ballot  
24 proposition, shall make and file in the central or a  
25 district office of the commission a signed statement,  
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1 on a form made available by the commission, reporting  
2 the activity within 10 days after the contribution or  
3 expenditure is made.

4 (b) The statement must list the name, address,  
5 principal occupation and employer of the person who  
6 paid for the contribution or expenditure, and include  
7 an itemized list of the date, amount, payee, and  
8 purpose of the contribution or expenditure.

9 (c) The statement must include a certification by  
10 the person making the statement that the contribution  
11 or expenditure consists of funds or property belonging  
12 to the contributor and that the funds have not been  
13 given or furnished by another person or political  
14 interest group.

15 (c) The person filing the statement shall furnish  
16 a copy of the statement to the candidate, campaign  
17 treasurer or deputy campaign treasurer at the time the  
18 statement is filed within the commission.

19 Sec. 15.14.100. INDEPENDENT EXPENDITURE. (a) An  
20 expenditure is an "independent expenditure" if it is an  
21 expenditure by a person or political interest group for  
22 a communication expressly advocating the election or  
23 defeat of a clearly identified candidate that is made  
24 without any arrangement, coordination or direction with  
25 or by the candidate or or the candidate's agent prior  
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1 to the publication, distribution display or broadcast  
2 of the communication. An expenditure is considered to  
3 be a contribution and not an independent expenditure if  
4 it is based on information about the candidate's plans,  
5 projects, or needs provided to the expending person or  
6 political interest group by the candidate or by the  
7 candidate's agent, with a view toward having an  
8 expenditure made.

9 (b) An expenditure is presumed to be made in  
10 coordination with the candidate or the candidate's  
11 agent if it is made by or in consultation with any  
12 person:

13 (1) who is, or within one year before the  
14 date of the expenditure has been, authorized by the  
15 candidate or by a campaign officer to raise or expend  
16 funds on behalf of the candidate;

17 (2) who is, or within one year before the  
18 date of the expenditure has been, an officer of a  
19 campaign committee of the candidate; or

20 (3) who is, or within one year before the  
21 date of the expenditure has been, receiving any form of  
22 compensation or reimbursement from the candidate or  
23 from campaign funds for professional services that  
24 require the exercise of discretion or judgment relating  
25 to the conduct of the campaign.

1 (c) In this section, "agent" means any individual  
2 who has actual oral or written authority, either  
3 express or implied, to make or to authorize the making  
4 of expenditures on behalf of a candidate, or any person  
5 who has been placed in a position within the campaign  
6 organization where it would reasonably appear that in  
7 the ordinary course of campaign related activities he or  
8 she may authorize expenditures.

9 Sec. 15.14.110. CERTIFICATION OF REPORTS. All  
10 reports and statements required under this chapter must  
11 be certified as correct by the candidate, campaign  
12 treasurer, or treasurer of the political interest  
13 group, or in the case of a person, by the person. The  
14 report or statement must include or be accompanied by  
15 the following affidavit signed by the person filing the  
16 report or statement:

17 "I do solemnly swear or affirm that the foregoing  
18 statement, filed herewith, is in all respects true and  
19 correct, and fully shows all information required to be  
20 reported by me under AS 15.14.010 - 15.14.380."  
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1                   ARTICLE 3. CONTRIBUTIONS AND EXPENDITURES --  
2                   LIMITATIONS AND PROHIBITIONS.

3                   Sec. 15.14.120. CONTRIBUTIONS BY PERSONS. (a) A  
4                   person may contribute any amount in money, goods or  
5                   services directly to a candidate.

6                   (b) A person may contribute no more than \$1,000  
7                   in the aggregate during a calendar year to a political  
8                   interest group; however, a person may contribute any  
9                   amount in money, goods or services to a political  
10                  interest group formed solely for the purpose of  
11                  sponsoring or opposing an initiative or referendum or  
12                  to a political party.

13                 Sec. 15.14.130. CONTRIBUTIONS BY POLITICAL  
14                 INTEREST GROUPS. (a) A political interest group may  
15                 contribute and make expenditures other than independent  
16                 expenditures of no more than a total of \$1,000 during a  
17                 year in the aggregate to any one candidate or to  
18                 another political interest group; however, a political  
19                 interest group may contribute any amount in money,  
20                 goods or services to a political party or to a  
21                 political interest group formed solely for the purpose  
22                 of sponsoring or opposing an initiative or referendum.  
23                 An expenditure for a communication advocating more than  
24                 one candidate must be prorated equally among those  
25                 candidates for purposes of calculating the amount of

1 the expenditure made on behalf of one of the  
2 candidates.

3 (b) Political parties and their state, regional  
4 and local subdivisions are not subject to the  
5 limitation prescribed in (a) of this section, but they  
6 are subject to the reporting requirements under AS  
7 15.14.080, 15.14.090, and 15.14.100.

8 Sec. 15.14.140. LIMITATIONS ON CASH  
9 CONTRIBUTIONS. A person or political interest group  
10 may not make a contribution in excess of \$100 in the  
11 form of a cash payment in the aggregate during a year  
12 to any one candidate or political interest group. A  
13 candidate or political interest group may not accept a  
14 contribution in excess of \$100 in the form of a cash  
15 payment in the aggregate during a year from any one  
16 person or political interest group.

17 Sec. 15.14.150. EXPENDITURES. (a) A political  
18 party may make contributions expenditures of money,  
19 goods or services, without limitation as to amount or  
20 value.

21 (b) A candidate may make expenditures of money,  
22 goods or services on behalf of the candidate's own  
23 campaign without limitation as to amount or value,  
24 subject only to the limitations on use of campaign  
25 funds under AS 15.14.180.  
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1 (c) A person or political interest group may make  
2 an independent expenditure of money, goods or services,  
3 as defined in AS 15.14.100, without limitation as to  
4 amount or value, on behalf of or in opposition to a  
5 candidate or ballot proposition.

6 Sec. 15.14.160. ANONYMOUS CONTRIBUTIONS.

7 Contributions may not be made nor expenditures made or  
8 incurred either directly or indirectly in a fictitious  
9 name, anonymously, or by one person or political  
10 interest group in the name of another. A contribution  
11 made by a person wishing to remain anonymous and  
12 received by a candidate or a candidate's campaign  
13 officers may not be used or expended, but must be  
14 returned to the donor, if the donor's identity is  
15 known. If no donor is found, the contribution must be  
16 donated by the candidate in accordance with AS  
17 15.14.200(a)(1). If a candidate, campaign treasurer or  
18 deputy campaign treasurer receives a contribution that  
19 is known or reasonably should be known to have been  
20 made anonymously or in the name of another, the  
21 candidate, campaign treasurer or deputy campaign  
22 treasurer who received the contribution must return or  
23 donate the contribution within 10 days after it is  
24 received.

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ARTICLE 4. CAMPAIGN CONDUCT AND  
ADMINISTRATION.

Sec. 15.14.170. CAMPAIGN OFFICERS. (a) Each candidate may and each political interest group shall appoint a campaign chairman. Each candidate and political interest group shall appoint a campaign treasurer who is responsible for receiving, holding, and disbursing all contributions and expenditures, and for filing all reports and statements required by law. Each candidate and each political interest group may appoint deputy campaign treasurers at any time. A candidate may be a campaign treasurer.

(b) At the time the candidate or political interest group registers with the commission under AS 15.14.040 or AS 15.14.050, the candidate or political interest group shall file a statement in the central or a district office of the commission listing the name, address, and telephone number of the candidate's or political interest group's campaign treasurer. Each candidate who appoints a campaign chairman and each political interest group, shall include in the statement the name, address, and telephone number of the campaign chairman.

(c) An individual may not act as the campaign chairman, campaign treasurer, or deputy campaign

1 treasurer for a candidate or political interest group  
2 until the name, address, and telephone number of the  
3 individual has been filed with the commission. The  
4 address of a candidate's campaign treasurer is the  
5 address of the candidate unless the candidate files a  
6 different mailing address with the commission.

7 (d) In the case of the death, resignation or  
8 removal of a campaign officer required to be appointed  
9 under this section, the candidate or political interest  
10 group shall appoint a successor as soon as practicable  
11 and in no event more than 10 days after the vacancy  
12 occurs. The candidate or political interest group  
13 shall file the name, address, and telephone number of  
14 the successor in the central or a district office of  
15 the commission within 48 hours after the appointment.

16 (e) A candidate may accept contributions and make  
17 expenditures only in person or through a campaign  
18 chairman, a campaign treasurer, or a deputy campaign  
19 treasurer. A political interest group may accept  
20 contributions and make expenditures only through the  
21 campaign chairman, campaign treasurer, or deputy  
22 campaign treasurer of the political interest group. A  
23 person does not receive, accept or make a contribution  
24 under this section on behalf of a candidate or  
25 political interest group if (1) the person solicits a  
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1 contribution and transfers or delivers that  
2 contribution to a candidate or a campaign officer of a  
3 candidate or political interest group and discloses to  
4 the candidate or political interest group the identity  
5 of the contributor; (2) the person exercises no  
6 discretion or control as to the amount or as to whom  
7 the contribution is made; and (3) the person is not a  
8 campaign officer of the candidate or political interest  
9 group ultimately receiving the contribution.

10 (f) The candidate is responsible for the  
11 performance of the candidate's campaign officers. The  
12 campaign chairman of a political interest group is  
13 responsible for the performance of the other officers  
14 of the political interest group. Any default or  
15 violation by the officer is considered a default or  
16 violation by the candidate or the campaign chairman of  
17 the political interest group, if the candidate or  
18 campaign chairman knew or had reason to know of the  
19 default or violation.

20 Sec. 15.14.180. USE OF CAMPAIGN FUNDS. (a)  
21 Campaign funds accepted by a candidate or by a  
22 political interest group may be used only for the  
23 purpose of influencing or attempting to influence the  
24 actions of the voters for or against the election of  
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1 any candidate or passage of any ballot proposition or  
2 for the purpose of repaying loans made to the campaign.

3 (b) Campaign funds may not be used to repay a loan  
4 not timely reported as a loan under AS 15.14.060(a)(2).

5 (c) Surplus campaign funds must be disposed of  
6 under AS 15.14.200.

7 Sec. 15.14.190. TERMINATION OF CAMPAIGN ACTIVITY  
8 AND CLOSING OF CAMPAIGN ACCOUNTS. (a) A candidate  
9 shall close all campaign accounts relating to a  
10 designated election campaign on or before the following  
11 date:

12 (1) for a legislative or statewide candidate in  
13 the general election, the date on which the successful  
14 candidate in the designated election is sworn into  
15 office;

16 (2) for a candidate in a municipal election, 30  
17 days after the date of the election;

18 (3) for a candidate who loses in a primary  
19 election, 30 days after the date of that election; and

20 (4) for a candidate who withdraws before an  
21 election, 30 days after filing notice of withdrawal or  
22 in the case of a candidate who withdraws after  
23 registering under AS 15.40.040 but before filing for  
24 office, 30 days after the deadline for filing.

1 (b) A candidate may not solicit or receive any  
2 contributions for the designated election campaign  
3 after the date on which the candidate must close  
4 campaign accounts under (a) of this section, and no  
5 expenditures of any kind, except the disposition of  
6 surplus funds, may be made after that date by a  
7 candidate for any goods or services provided to the  
8 candidate with respect to the designated election  
9 campaign or for the payment of any campaign debts to  
10 any individual, person or political interest group,  
11 including the payment of loans made by the candidate to  
12 the candidate's campaign.

13 Sec. 15.14.200. SURPLUS CAMPAIGN FUNDS. (a) A  
14 candidate shall dispose of campaign funds that are not  
15 spent during the designated election campaign by

16 (1) donating the funds to an organization  
17 that qualifies as a charitable organization under 26  
18 U.S.C. 501(c);

19 (2) donating the funds to general fund of the  
20 state or of a municipality organized under AS 29;

21 (3) after first registering with the  
22 commission under AS 15.14.040, transferring the funds  
23 to a newly-designated election campaign account for a  
24 designated state election to be held not more than four  
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1 years after the designated election for which the  
2 surplus campaign funds were received;

3 (4) paying an individual who worked in the  
4 candidate's designated election campaign;

5 (5) in the case of a successful candidate  
6 only, transferring the funds to an account for the  
7 office, and using those funds only for communication  
8 with constituents and other voters in the state by  
9 telephone or newsletter;

10 (6) returning the funds to contributors on a  
11 pro rata basis.

12 (b) A candidate shall dispose of surplus funds  
13 before the date on which a final report of expenditures  
14 must be filed under AS 15.14.070.

15 Sec. 15.14.210. SOLICITATION OF CONTRIBUTIONS.

16 (a) A candidate, a political interest group or a  
17 campaign officer of a candidate or a political interest  
18 group may not solicit or accept a campaign contribution  
19 obtained through threats of physical force, job  
20 discrimination, or financial reprisals.

21 (b) A public officer or employee of the state or  
22 of a municipality of the state may not, while on the  
23 premises of a state or municipal office, solicit or  
24 request any other person to contribute to a candidate,  
25 political interest group or political party. A public  
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1 officer or employee of the state may not solicit or  
2 request any other public officer or employee to  
3 contribute to a candidate, political interest group or  
4 political party while the other public officer or  
5 employee is on the premises of a state or municipal  
6 office. This subsection does not apply to a public  
7 officer elected to office by popular vote.

8 Sec. 15.14.220. IDENTIFICATION OF COMMUNICATION.

9 (a) An advertisement, billboard, handbill, paid-for  
10 television and radio announcement and other  
11 communication intended to influence the election of a  
12 candidate or the outcome of a ballot proposition or  
13 question shall be clearly identified by the words "paid  
14 for by" followed by the name and address of the  
15 candidate, political interest group or the person or  
16 persons paying for the advertising.

17 (b) A person or political interest group making  
18 an independent expenditure for an item described in (a)  
19 of this section shall, within the printed material or  
20 during a broadcast, state, "This communication was not  
21 authorized by any candidate."

22 (c) The information required under (a) and (b) of  
23 this section need not be included on an object used for  
24 a campaign advertisement, if the object is one that the  
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commission by regulation has determined is too small to  
practicably include that information.

1 ARTICLE 5. UNLAWFUL CONDUCT; CRIMINAL AND  
2 CIVIL PENALTIES; INVESTIGATIONS; PROCEDURES; HEARINGS.

3 Sec. 15.14.230. CAMPAIGN FINANCING MISCONDUCT IN  
4 THE FIRST DEGREE. (a) A person commits the crime of  
5 campaign financing misconduct in the first degree if  
6 the person

7 (1) knowingly and intentionally fails to  
8 file a report of contributions or independent  
9 expenditures within at least three days before the  
10 election, and the report is one that must be filed  
11 before the election under AS 15.14.060(c)(1) or (2),  
12 15.14.080(b)(1) or (2) or 15.14.090(a)(1) or (2);

13 (2) knowingly and intentionally fails to file  
14 a report of a contribution or independent expenditure  
15 required to be filed within 24 hours under AS  
16 15.14.060(d), 15.14.080(b)(4), or 15.14.090(a)(4), and  
17 the contribution or expenditure is in excess of \$1000;

18 (3) knowingly and intentionally files a  
19 report required to be filed under this chapter  
20 containing false and misleading information as to the  
21 identity of any contributor, and the amount of the  
22 aggregate contributions received from that contributor  
23 is in excess of \$1,000;

24 (4) knowingly and intentionally files a  
25 report required to be filed under this chapter  
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1 containing false information as to the amount of a  
2 contribution from a single contributor, and the  
3 difference between the amount reported and the actual  
4 amount of the aggregate contributions from that  
5 contributor is in excess of \$1,000;

6 (5) knowingly and intentionally makes or  
7 accepts a contribution, or makes an expenditure other  
8 than an independent expenditure, in violation of the  
9 \$1,000 limitation under AS 15.14.120(b) or 15.14.130,  
10 and the total aggregate amount of the contribution or  
11 expenditure is more than \$1,000 in excess of the  
12 limitation;

13 (6) knowingly and intentionally makes or  
14 accepts a contribution in excess of \$1,000 in the form  
15 of a cash payment in violation of AS 15.14.140;

16 (7) knowingly and intentionally makes or  
17 accepts a contribution in excess of \$1,000 made  
18 anonymously, in a fictitious name, or under the name of  
19 another; or

20 (8) knowingly and intentionally solicits or  
21 obtains or accepts a contribution obtained by threats  
22 of physical force, job discrimination or financial  
23 reprisals in violation of AS 15.14.210(a).

24 (b) Campaign financing misconduct in the first  
25 degree is a class C felony.

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Sec. 15.14.240. CAMPAIGN FINANCING MISCONDUCT IN THE SECOND DEGREE. (a) A person commits the crime of campaign financing misconduct in the second degree if the person

(1) knowingly and intentionally files a report of contributions or independent expenditures after the date the report is due but more than three days before the election, and the report is one that must be filed before the election under AS 15.14.060(c)(1) or (2), 15.14.080(b)(1) or (2), or 15.14.090(a)(1) or (2);

(2) knowingly and intentionally fails to file a report of a contribution or independent expenditure required to be filed within 24 hours under AS 15.14.060(c), 15.14.080(b)(4), or 15.14.090(a)(4), and the contribution or expenditure is \$1000 or less;

(3) knowingly and intentionally fails to file a report, statement or registration required under AS 15.14.040, 15.14.050, 15.14.060(b)(3), 15.14.070, 15.14.080(b)(3), 15.14.090(a)(3), or 15.14.100, on or before the date the report, statement or registration is due;

(4) knowingly and intentionally files a report required to be filed under this chapter containing false and misleading information as to the

1 identity of any contributor and the amount of the  
2 aggregate contributions received from that contributor  
3 is \$1,000 or less;

4 (5) knowingly and intentionally files a  
5 report required to be filed under this chapter  
6 containing false information as to the amount of a  
7 contribution from a single contributor, and the  
8 difference between the amount reported and the actual  
9 amount of the aggregate contributions from that  
10 contributor is \$1,000 or less;

11 (6) knowingly and intentionally makes or  
12 accepts a contribution, or makes an expenditure other  
13 than an independent expenditure, in violation of the  
14 \$1,000 limitation under AS 15.14.120(b) or 15.14.130,  
15 and the total aggregate amount of the contribution or  
16 expenditure in excess of the limitation is \$1000 or  
17 less;

18 (7) knowingly and intentionally makes or  
19 accepts a contribution in the form of a cash payment in  
20 violation of AS 15.14.140 and the total amount of the  
21 contribution is \$1,000 or less;

22 (8) knowingly and intentionally makes or  
23 accepts a contribution of \$1,000 or less made  
24 anonymously, in a fictitious name, or under the name of  
25 another;

1 (9) knowingly and intentionally solicits a  
2 contribution from a state or municipal employee in a  
3 manner prohibited under AS 15.14.210(b);

4 (10) knowingly and intentionally solicits or  
5 accepts a contribution or makes an expenditure, other  
6 than a disposition of surplus funds, after the date  
7 that campaign accounts must be closed under AS  
8 15.14.200;

9 (11) knowingly and intentionally uses  
10 campaign funds, including surplus funds, for a purpose  
11 not permitted under AS 15.14.180 or 15.14.200;

12 (12) knowingly and intentionally circulates  
13 or has written, printed or circulated a letter,  
14 circular, or publication relating to an election, to a  
15 candidate at an election, or an election proposition or  
16 question without the name and address of the candidate,  
17 the political interest group or person or persons  
18 paying for the advertising appearing on its face;

19 (13) knowingly and intentionally prints or  
20 publishes an advertisement, billboard, placard, poster,  
21 handbill, paid-for television or radio announcement or  
22 other communication intended to influence the election  
23 of a candidate or the outcome of a ballot proposition  
24 or question without the words "paid for by" followed by  
25 the name and address of the candidate, political  
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1 interest group or individual paying for the advertising  
2 or communication and, if a political group, with the  
3 name of the campaign chairman; or

4 (14) with respect to an independent  
5 expenditure only, knowingly and intentionally prints or  
6 publishes an advertisement, billboard, placard, poster,  
7 handbill, paid-for television or radio announcement or  
8 other communication intended to influence the election  
9 of a candidate without the statement, "This  
10 communication was not authorized by any candidate."

11 (b) Campaign financing misconduct in the second  
12 degree is a class A misdemeanor.

13 Sec. 15.14.250. CAMPAIGN FINANCING VIOLATIONS.

14 (a) A person commits a campaign financing violation if  
15 the person negligently or inadvertently

16 (1) fails to timely file a report of  
17 contributions or independent expenditures required to  
18 be filed before the election under AS 15.14.060(c)(1) or  
19 (2), 15.14.060(d), 15.14.080(b)(1), (2) or (4), or  
20 15.14.090(a)(1), (2) or (4) on the date that the report  
21 is due;

22 (2) fails to timely file a report or  
23 statement required to be filed under AS  
24 15.14.060(b)(3), 15.14.070, 15.14.080(b)(3),  
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1 15.14.090(a)(3), or 15.14.100 on or before the date  
2 that the report or statement is due;

3 (3) fails to timely appoint, or file the  
4 name of, a campaign officer as required under AS  
5 15.14.170(a), (b), or (d);

6 (4) fails to register as a candidate or  
7 political interest group at the time required under AS  
8 15.14.040 or 15.14.050;

9 (5) fails to include information in a  
10 report, statement, or registration, required to be  
11 included under this chapter or includes false or  
12 misleading information as to the identity of a  
13 contributor or as to the amount of a contribution  
14 received;

15 (6) makes or accepts a contribution or makes  
16 an expenditure other than an independent expenditure in  
17 excess of the \$1,000 limitations provided under AS  
18 15.14.120(b) or 15.14.130;

19 (7) makes or accepts a contribution in the  
20 form of a cash payment in excess of \$100 in violation  
21 of AS 15.14.140;

22 (8) makes or accepts a contribution made  
23 anonymously, in a fictitious name, or under the name of  
24 another;

1 (9) solicits or accepts a contribution or  
2 makes an expenditure, other than a disposition of  
3 surplus funds, after the date that campaign accounts  
4 must be closed under AS 15.14.190;

5 (10) uses campaign funds, including surplus  
6 funds, for a purpose not permitted under AS 15.14.180  
7 or 15.14.200;

8 (11) acts as a campaign officer and the  
9 person's name has not been filed with the commission as  
10 the holder of that campaign office;

11 (12) disposes of surplus funds after the date  
12 required for disposition under AS 15.14.200;

13 (13) fails to include in a communication  
14 intended to influence the election of a candidate or  
15 the outcome of a ballot proposition the information  
16 required to be included under AS 15.14.220.

17 (b) A person found guilty of a campaign financing  
18 violation under (a)(1) of this section is subject to a  
19 fine of not less than \$25 nor more than \$300 per day  
20 for each day a report is due but not filed, up to a  
21 maximum fine of \$5,000 in the aggregate for all reports  
22 due with respect to a designated election campaign.

23 (c) A person found guilty of a campaign financing  
24 violation under (a)(2), (3), or (4) of this section is  
25 subject to a fine of not less than \$10 nor more than  
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1 \$20 per day for each day a report, statement or  
2 registration is due but not filed, up to a maximum fine  
3 of \$2,000 in the aggregate for all reports due with  
4 respect to a designated election campaign.

5 (d) A person found guilty of a violation under  
6 (a)(5), (6), (7), (8) or (9) of this section is subject  
7 to a fine of four times the amount of the contribution  
8 or expenditure involved in the violation, not to exceed  
9 \$2,500.

10 (e) A person found guilty of a violation under  
11 (a)(9), (10), (11), (12) or (13) of this section is  
12 subject to a fine of not less than \$100 not more than  
13 \$2,000.

14 Sec. 15.14.260. PAYMENT OF FINES. Fines imposed  
15 by the commission under AS 15.14.250 or by a court upon  
16 conviction under AS 15.14.230 or 15.14.240 may not be  
17 paid from campaign funds.

18 Sec. 15.14.270. REMOVAL FROM OFFICE. (a) If,  
19 after being sworn into office, a person who was a  
20 successful candidate is convicted of a crime under AS  
21 15.14.230 or 15.14.240, proceedings shall be held and  
22 appropriate action taken in accordance with

23 (1) art. II, sec. 12 of the state  
24 constitution, if the candidate is a candidate for the  
25 state legislature;

1 (2) art. II, sec. 20 of the state  
2 constitution, if the candidate is a candidate for  
3 governor or lieutenant governor;

4 (3) AS 29.20.170, if the candidate is a  
5 candidate for borough assembly;

6 (4) AS 29.20.280, if the candidate is a  
7 candidate for borough mayor;

8 (5) AS 29.20.170, if the candidate is a  
9 candidate for city council;

10 (6) AS 29.20.280(a), if the candidate is a  
11 candidate for city mayor;

12 (7) the provisions of the call for the  
13 constitutional convention, if the candidate is a  
14 candidate for constitutional convention delegate;

15 (8) art. IV. sec. 10 of the state  
16 constitution, if the candidate is a candidate for  
17 judicial retention.

18 (b) Information developed by the commission under  
19 AS 15.14.280 shall be considered during a proceeding  
20 under (f) of this section.

21 (c) When, after being sworn into office, a  
22 successful candidate is charged with a misdemeanor or  
23 felony under AS 15.14.230 or 15.14.240, the court shall  
24 promptly try the case and accord it a preferred  
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1 position for purposes of argument and decision, so as  
2 to assure a speedy disposition of the matter.

3 Sec. 15.14.280. LIMITATIONS ON ACTIONS. (a) A  
4 prosecution under AS 15.14.230 must be commenced within  
5 four years from the date of the alleged offense.

6 (b) A prosecution under AS 15.14.240 must be  
7 commenced within two years from the date of the alleged  
8 offense.

9 (c) Proceedings by the commission under AS  
10 15.14.250 must be commenced within 18 calendar months  
11 from the date of the alleged violation.

12 (d) In this section, an offense or violation  
13 involving the failure to timely file a report,  
14 statement or registration is considered to have been  
15 committed on the day after the report, statement or  
16 registration was due.

17 Sec. 15.14.290. INVESTIGATIONS. (a) The  
18 commission may investigate alleged misconduct and  
19 alleged violations under AS 15.14.230, 15.14.240,  
20 15.14.250, AS 24.50 and AS 39.50, on its own motion or  
21 upon receiving a signed and verified complaint from any  
22 person.

23 (b) If an investigation is commenced by a signed  
24 and verified complaint by a person other than a current  
25 member of the commission or its staff, the commission  
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1 shall, within five days after receiving the complaint,  
2 mail a copy of the complaint to the person or persons  
3 named in the complaint. If the commission terminates  
4 an investigation without filing an accusation or  
5 without referring the matter to the attorney general or  
6 special prosecutor, the commission shall, within five  
7 days after terminating the investigation, inform the  
8 complainant and the person or persons named in the  
9 complaint only of the fact that the commission has  
10 terminated the investigation and will not be taking  
11 further action concerning the complaint.

12 (c) If the commission, following its  
13 investigation, has probable cause to believe a felony  
14 or misdemeanor has been committed, commission shall  
15 promptly refer the matter and any evidence the  
16 commission has compiled concerning the matter to the  
17 attorney general or to a special prosecutor if a  
18 special prosecutor has been appointed. Once a matter  
19 has been referred to the attorney general or a special  
20 prosecutor, the commission may take no further action  
21 concerning that matter.

22 (d) If an investigation discloses there is  
23 probable cause to believe that a person has committed a  
24 violation and the commission has not referred the  
25 matter to the attorney general or special prosecutor  
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1 for criminal prosecution, the commission may commence  
2 violation proceedings by filing and serving an  
3 accusation on the person alleged to have committed the  
4 violation. The commission shall serve an accusation in  
5 the manner provided by court rules for serving a  
6 complaint in a civil action.

7 Sec. 15.14.300. ACCUSATIONS. (a) The accusation  
8 must be a written statement of the charges setting out  
9 in ordinary and concise language the acts or omissions  
10 with which the respondent is charged, so that the  
11 respondent is able to prepare a defense. The  
12 accusation must also specify the statute and regulation  
13 that the respondent is alleged to have violated, and  
14 the maximum penalty provided for the violation. The  
15 accusation may not consist merely of charges phrased in  
16 the language of the statute and regulation.

17 (b) The commission shall include in or with the  
18 accusation a statement in substantially the following  
19 form:

20 "TO THE RESPONDENT: Unless you deliver or mail a  
21 written request for a hearing signed by you or on your  
22 behalf to the commission within 15 days after this  
23 accusation was personally served on you or mailed to  
24 you, the commission may proceed upon the accusation  
25 without a hearing. You may request a hearing by  
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1 delivering or mailing the enclosed form entitled  
2 'Notice of Defense,' or by delivering or mailing a  
3 notice of defense as provided under AS 15.14.310 to the  
4 commission at its central office address (here insert  
5 that address)."

6 (c) The commission shall include with the  
7 accusation served upon the respondent a post card or  
8 other form entitled, "Notice of Defense" that, when  
9 signed by or on behalf of the respondent and returned  
10 to the commission constitutes a notice of defense under  
11 AS 15.14.310.

12 Sec. 15.14.310. NOTICE OF DEFENSE. (a) Within  
13 15 days after service upon the respondent of the  
14 accusation, the respondent may file with the commission  
15 a notice of defense. In the notice the respondent may

16 (1) deny the accusation in whole or in part  
17 and request a hearing;

18 (2) object to the accusation on the ground  
19 that it does not state acts or omissions upon which the  
20 commission may proceed;

21 (3) object to the form of the accusation on  
22 the ground that it is so indefinite or uncertain that  
23 the respondent cannot identify the transaction or  
24 prepare a defense;

25 (4) present new matter by way of defense;

1 (5) admit or plead no contest to the  
2 accusation in whole or in part, and present material in  
3 mitigation of penalty.

4 (b) Within the time specified in (a) of this  
5 section, the respondent may file one or more notices of  
6 defense upon any or all of the grounds set out in (a)  
7 of this section but all of the notices must be filed  
8 within the period unless the commission in its  
9 discretion authorizes the filing of a later notice.

10 (c) The respondent is entitled to a hearing on  
11 the merits if the respondent files a notice of defense.  
12 The notice of defense is considered a specific denial  
13 of all parts of the accusation not expressly admitted.  
14 Failure to file a notice of defense within the time  
15 specified in (a) of this section constitutes a waiver  
16 of the respondent's right to a hearing, but the  
17 commission in its discretion may nevertheless grant a  
18 hearing. Unless objection is taken as provided in  
19 (a)(3) of this section, all objections to the form of  
20 the accusation are waived.

21 (d) The notice of defense must be in writing,  
22 signed by or on behalf of the respondent, and must  
23 state the respondent's address. It need not be  
24 verified or follow a particular form.

1           Sec. 15.14.320. HEARINGS. (a) If on a contested  
2 accusation a respondent requests a hearing, or in the  
3 absence of a request the commission in its discretion  
4 decides to hold a hearing, the commission shall mail or  
5 deliver a notice of hearing to the respondent at least  
6 10 days before the hearing. The hearing may not be  
7 held before the expiration of the time within which the  
8 respondent is entitled to file a notice of defense.  
9 The notice to respondent must be in accordance with the  
10 form for notice of hearing under AS 44.62.420.

11           (b) The commission shall conduct its hearings in  
12 accordance with the requirements of AS 44.62.440 -  
13 44.62.500, except that (1) the commission may, but is  
14 not required to, appoint a hearing officer in  
15 accordance with AS 44.62.350; and (2) if a hearing  
16 officer is not appointed, the chairman of the  
17 commission, or the commission member designated by the  
18 chairman, shall preside at the hearing, and the  
19 Attorney General shall assign an assistant attorney  
20 general to the commission to advise it on matters of  
21 law during the hearing.

22           (c) Hearings under this section must be open to  
23 the public except that a respondent may request and the  
24 hearing officer or the presiding commission member may  
25 order that the hearing be closed, if one or more of the  
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1 requirements for holding an executive session under AS  
2 44.62.310(c) is met.

3 Sec. 15.14.330. IMPOSITION OF PENALTY. (a) The  
4 commission may impose the penalty provided by law for  
5 the violation only if the accused person, having been  
6 advised of the rights to a hearing, admits or pleads no  
7 contest to the allegations contained in the accusation,  
8 or if the commission

9 (1) holds a hearing at which the person  
10 accused is afforded the right to appear, with or  
11 without counsel, the right to present witnesses or  
12 other evidence and right to cross examine witnesses;  
13 and

14 (2) following the hearing, determines by  
15 clear and convincing evidence that the person has  
16 committed the violation of which the person was  
17 accused.

18 (b) The commission's staff may make a  
19 recommendation to the commission for the imposition of  
20 a particular penalty in a matter, provided that the  
21 respondent is first given notice of the recommendation  
22 and is afforded an opportunity to respond to the  
23 staff's recommendation in person or in writing.

24 (c) The staff may not formally or informally make  
25 a recommendation to the commission as to a particular  
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1           penalty in a pending matter or make a commitment to the  
2           respondent to make a particular recommendation to the  
3           commission in the future until after the respondent has  
4           admitted or pleaded no contest to the accusation or  
5           until after a hearing and a final determination of  
6           guilt by the commission.

7           (d) In imposing a penalty, the commission shall  
8           consider

9                   (1) the seriousness of the violation in  
10                   terms of the extent to which the violation has impeded  
11                   public disclosure of information required to be filed  
12                   with the commission as to the amount and source of  
13                   contributions that are large enough to be of  
14                   significant interest to voters; and

15                   (2) the extent to which the respondent's  
16                   conduct, including prior violations of the provisions  
17                   of AS 15.14, 39.50 or 24.50, shows a willful disregard  
18                   for the law.

19           Sec. 15.14.340. SUMMARY DISPOSITION OF  
20           VIOLATIONS. Notwithstanding the provisions of AS  
21           15.14.300 - 15.14.330, the commission may determine by  
22           regulation those violations under AS 15.14.250 that are  
23           amenable to summary disposition without formal  
24           accusation or hearing and may establish a schedule of  
25           fines amounts, not to exceed \$500, for each violation.

1 If an investigation by the commission discloses that a  
2 person has committed a violation included in the  
3 schedule of fines established under this section, the  
4 commission may, in lieu of serving an accusation on the  
5 person, mail a notice of fine to the person indicating  
6 the circumstances of the violation and the amount of  
7 the fine established in the schedule for that  
8 violation. The person to whom the notice is directed  
9 may pay the fine within 15 days after receiving the  
10 notice or may file a notice of defense in accordance  
11 with AS 15.14.310. If the person does not pay the fine  
12 as required, the notice of fine shall be considered an  
13 accusation under AS 15.14.300, and the commission shall  
14 proceed against the person under AS 15.14.300 -  
15 15.14.330, and upon determining that the person  
16 committed the violation may impose any penalty for the  
17 violation not to exceed the maximum provided for that  
18 violation under AS 15.14.250.

19 Sec. 15.14.350. CONFIDENTIALITY. (a) An  
20 investigation by the commission under AS 15.14.280 is  
21 confidential unless and until the investigation results  
22 in the filing of an accusation under AS 15.14.280(c).

23 (b) Except as specifically provided in AS  
24 15.14.290(b) a current or former member of the  
25 commission or a current or former employee of the  
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1 commission may not divulge the fact of, or any  
2 particulars concerning, a pending, past or contemplated  
3 investigation by the commission unless or until the  
4 filing or an accusation by the commission.

5 (c) Knowing violation of the provisions of (b) of  
6 this section is a class A misdemeanor.

7 Sec. 15.14.360. JUDICIAL REVIEW. Judicial review  
8 of a final order of the commission may be had by filing  
9 a notice of appeal in accordance with the applicable  
10 rules of court governing appeals from administrative  
11 agencies.

12 Sec. 15.14.370. POWERS OF THE COMMISSION. (a)  
13 In connection with investigations and hearings under AS  
14 15.14.290 - 15.14.330, the commission may compel the  
15 attendance of witnesses and production of papers,  
16 books, records, accounts, documents, and testimony, and  
17 may have the depositions of witnesses taken in a manner  
18 prescribed by court rule or law for the taking of  
19 depositions in civil actions when consistent with the  
20 powers and duties assigned to the commission by law.

21 (b) The commission may examine the papers, books,  
22 records, accounts and documents of any person subject  
23 to this chapter to ascertain the correctness of a  
24 report filed with the commission, or in conjunction  
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1 with an investigation or inspection conducted under (a)  
2 of this section.

3 (c) Subpoenas may be issued and must be served in  
4 the manner prescribed by AS 44.62.430 and court rule.  
5 The failure, refusal or neglect to obey a subpoena is  
6 punishable as contempt in the manner prescribed by law  
7 or court rule. The superior court may compel obedience  
8 to the commission's subpoena in the same manner as  
9 prescribed for obedience to a subpoena issued by the  
10 court.

11 Sec. 15.14.380. LEGAL COUNSEL. (a) The attorney  
12 general is legal counsel for the commission. The  
13 attorney general shall advise the commission in legal  
14 matters arising out of the discharge of its duties and  
15 represent the commission in actions to which it is a  
16 party. If, in the opinion of the commission, the  
17 public interest warrants, the commission may request  
18 the attorney general to appoint a special prosecutor to  
19 prosecute alleged misconduct under AS 15.14.230 or  
20 15.14.240.

21 (b) When the public interest warrants, and with  
22 the concurrence of the Attorney General, the commission  
23 may employ temporary legal counsel from time to time in  
24 matters in which the commission is involved.

1                   ARTICLE 6. MISCELLANEOUS PROVISIONS

2                   Sec. 15.14.390. DEFINITIONS. In this chapter

3                   (1) "Candidate" means a person who files for  
4 election to the state legislature, for governor, for  
5 lieutenant governor, for municipal office, for  
6 retention in judicial office, or for constitutional  
7 delegate, or who campaigns as write-in candidate for  
8 any of these offices, or accepts contributions  
9 totalling \$1,000 or more in the aggregate from another  
10 person or political interest group, for the purpose of  
11 seeking elective office.

12                   (2) "Contribution" means purchase, payment,  
13 promise or obligation to pay, loan or loan guarantee,  
14 deposit or gift of money, goods or services for which  
15 charge is ordinarily made and that is made for the  
16 purpose of influencing the nomination or election of a  
17 candidate or for the purpose of influencing a ballot  
18 proposition or question, including the payment by a  
19 person other than a candidate or political party, of  
20 compensation for the personal services of another  
21 person that are rendered to the candidate or political  
22 party; however, "contribution" does not include:

23                   (A) services provided without compensation by  
24 individuals volunteering a portion or all of their time  
25 on behalf of a candidate or ballot proposition or  
26  
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1 question, but it does include services of an aggregate  
2 value of \$1,000 or more volunteered by an individual  
3 for which the individual ordinarily would be paid a fee  
4 or wage;

5 (B) services provided by an accountant or  
6 other person to prepare reports and statements required  
7 by this chapter;

8 (C) ordinary hospitality in a home.

9 (3) "Expenditure" means a purchase or a transfer  
10 of money or anything of value, or promise or agreement  
11 to purchase or transfer money or anything of value,  
12 incurred or made for the purpose of (a) influencing the  
13 nomination or election of a candidate or of any  
14 individual who files for nomination at a later date and  
15 becomes+becomes a candidate; or (b) influencing the  
16 outcome of a ballot proposition or question; however,  
17 "expenditure" does not include a candidate's filing fee  
18 or the cost of preparing reports and statements  
19 required by this chapter. or (c) providing payment of  
20 compensation for the personal services of another  
21 person that are rendered to a candidate or political  
22 party;

23 (4) "Individual" means a natural person.  
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1 (5) "Municipality" means a home rule or general  
2 law borough or city including but not limited to a  
3 unified municipality organized under AS 29.68.

4 (6) "Person" means an individual, a corporation  
5 organized for business or for purposes other than  
6 influencing an election, a labor organization, or any  
7 other association or combination of persons organized  
8 for business or for purposes other than influencing an  
9 election.

10 (7) "Political interest group" means any person  
11 or combination of persons, including a political party  
12 and its state, regional or local subdivisions that  
13 accepts contributions for the purpose of influencing an  
14 election and exercises discretion over the expenditure  
15 of those funds. A political interest group that makes  
16 expenditures or receives contributions with the  
17 authorization or consent, express or implied, or under  
18 the control, direct or indirect, of a candidate shall  
19 be considered to be controlled by that candidate; a  
20 political interest group whose major purpose is to  
21 further the nomination, election, or candidacy of only  
22 one person, or intends to expend more than 50 per cent  
23 of its money on a single candidate, shall be considered  
24 to be controlled by that candidate and its actions done  
25 with his knowledge and consent unless, within 10 days  
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1 from the date the candidate learns of the existence of  
2 the group he files with the commission, on a form  
3 provided by the commission, an affidavit that the group  
4 is operating without the candidates control; a group  
5 organized for more than one year preceding an election  
6 and endorsing candidates for more than one office or  
7 more than one political party is presumed not to be  
8 controlled by a candidate; however, a group that  
9 contributes more than 50 per cent of its money to or on  
10 behalf of one candidate is considered to support only  
11 one candidate for purposes of AS 15.14.050(a), whether  
12 or not control of the group, has been disclaimed by the  
13 candidate.

14 (8) "Political party" means a group of organized  
15 voters that claims to represent a political program and  
16 that nominated a candidate or governor who received at  
17 least five percent of the total vote cast at the  
18 preceding general election for governor.

19  
20 \*Sec. 2. AS 15.13.010-15.13.130 are repealed.

21 \*Sec. 3. AS 15.56.010(1) and (2) are repealed.

22 \*Sec. 4. AS 15.56.130 is amended to read:

23 Sec. 15.56.130. TIME LIMITATION. Except as  
24 provided in AS 15.14.280, a [A] prosecution for an  
25 offense described in the Alaska Election Code (AS 15.05  
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- 15.60) may not be maintained unless it is begun within one year after the date of the election in connection with which the office is alleged to have been committed.

\*Sec. 5. Commission members serving on the effective date of this act continue to serve out their terms as provided under AS 15.13.020, repealed in sec. 2 of this Act. Vacancies occurring after the effective date of this act shall be filled in accordance with AS 15.14.020 enacted in sec. 1 of this Act.

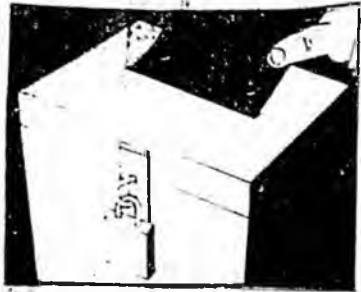
\*Sec. 6. Transition for existing campaign accounts and unpaid loans.

\*Sec. 7. This Act takes effect \_\_\_\_\_.

THE FOLLOWING DOCUMENT HAS  
NOT BEEN FILMED BUT IS  
AVAILABLE IN THE ORIGINAL  
FILE

# A search for money to fuel campaigns

## POCKETBOOK



## POLITICS

### THE POLITICS OF PACS

## PACs emerge as campaign funding source

By LARRY MAKINSON  
Daily News reporter

Over the past decade political action committees have sprouted like a runaway strain of mushrooms. Known as PACs they have taken root in union halls and corporate offices from Miami to Fairbanks.

In Alaska, as elsewhere, they pack a substantial political punch.

Of the 75 biggest contributors in last year's legislative races, about half were political action committees. They provided about \$600,000 in direct contributions to candidates.

At least 13 Alaska PACs pumped \$20,000 or more into legislative campaigns last

year. The two largest — representing the Associated General Contractors and the Alaska Public Employees Association — gave more than \$40,000 each.

Nationally the PAC phenomenon is not a new one. The first PACs were started by American labor unions in the 1940s. But it wasn't until the late 1970s — after a series of Watergate-inspired campaign reforms — that the movement took off.

The new rules had an immediate effect on large corporations. Direct corporate contributions to federal candidates have been outlawed since 1907, but loopholes and lax

See Page A-6, PACs

## Political parties wield the clout of the dollar

By LARRY MAKINSON  
Daily News reporter

Despite the fact that more than half the voters in Alaska are registered as independents, the state's political parties still wield great influence in legislative issues — and in the election efforts of their candidates.

Between them the Democratic and Republican parties pumped nearly \$270,000 into the campaign coffers of 77 candidates last year. If they were ranked in the same listing as private contributors, they would come in No. 1 and No. 3, respectively.

Even the Libertarians packed a financial punch: They distributed \$25,000 to four

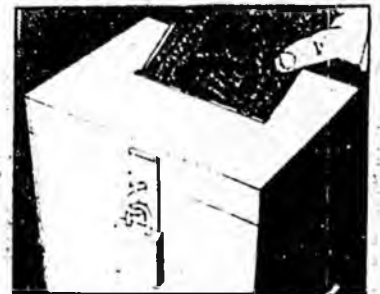
legislative candidates last year, making them the 25th largest source of contributions in the state.

One reason the parties carry such clout is the special status they enjoy under the state's campaign financing laws. While private contributors can give no more than \$1,000 to any single candidate, the parties can give as many thousands as their resources will allow.

Likewise, the laws allow contributors to give an unlimited amount of money to the parties themselves. A look at last year's

See Page A-6, PARTIES

## POCKETBOOK



## POLITICS

### THE LIFE OF THE PARTIES

**ALASKA PUBLIC OFFICES COMMISSION**

**BILL SHEFFIELD, GOVERNOR**

REPLY TO:

- 610 C STREET, SUITE 211  
ANCHORAGE, ALASKA 99501-3598  
(907) 278-4176
- JUNEAU BRANCH OFFICE  
POUCH CO  
JUNEAU, ALASKA 99811-0222  
(907) 485-4864

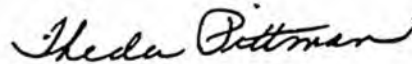
October 2, 1985

Members, Alaska State Legislature:

Enclosed is information about the Council on Governmental Ethics Laws if you are interested in their Conference.

Sincerely,

ALASKA PUBLIC OFFICES COMMISSION



THEDA S. PITTMAN  
Executive Director

TSP/tg

enclosures

**IMPORTANT!**

**SPECIAL COUNCIL ON GOVERNMENT ETHICS LAWS  
FARES ON UNITED AIRLINES  
OFFER SAVINGS ON TRAVEL TO CHICAGO  
FROM DECEMBER 1, 1985 THRU DECEMBER 9, 1985**

SEP 2 1985

APPROX 10:00  
9/20

United has joined with Council on Government Ethics Laws (COGEL) to offer special airfares, not available to the general public, when you attend the COGEL Convention in Chicago, and travel between December 1, 1985 and December 9, 1985, inclusive.

To obtain a 35 percent discount from the normal Coach (Y Class) fare with no minimum stay restrictions\*, or a 15 percent discount from the Easy Saver (BE70) fare with a Saturday night stay required\*, simply follow these easy steps:

1. Call United toll-free at 800-521-4041, Monday through Friday, 8:30AM to 8:00PM Eastern time.
2. Give the COGEL account number: 536-J.
3. United specialists will provide information and make reservations for all flights and fares including the special COGEL fare. The special COGEL fare is available on United flights in the continental United States.
4. United will arrange to mail tickets to your home or office, or you may purchase them from your local travel agent. If you purchase from a local agent, be sure you or the agent call United's Convention Desk to make your reservation. The special COGEL fare is only available through United's Convention Desk.
5. In the current competitive "airfare war" there may be some sporadic and shortlived discounted fares (such as the new Ultra Saver fares) from specific cities to Chicago. These fares have restrictions and are limited. Please be sure to ask United's Convention Specialists to assist you in determining if your travel plans meet these specific restrictions.

Seats are limited, so call early for best availability. Fares are guaranteed at the time of ticket purchase. Why not call today!

\*The normal Easy Saver advance purchase and advance change restrictions have been waived for this meeting.

RECEIVED  
SEP 20 1985  
COUNCIL ON GOVERNMENT ETHICS



# COUNCIL ON GOVERNMENTAL ETHICS LAWS

1985 Steering Committee

Please respond to:

## MEMORANDUM

**CHAIRPERSON**  
Jeffrey B. Garfield  
State Elections Enforcement  
Commission  
Connecticut

**TO:** Members of the Council on Governmental Ethics Laws

**VICE CHAIRPERSON**  
Berta Cohen  
Public Disclosure  
Commission  
Washington

**FROM:** The Illinois Delegation

**DATE:** August 30, 1985

**TREASURER**  
Mildred Opland  
Alaska Public Offices  
Commission

**SUBJECT:** 7th Annual COGEL Conference  
December 3-5, 1985  
Hyatt Regency Chicago

**SECRETARY**  
John L. Larsen  
Board of Ethics  
Illinois

*Catherine O.Y. Chang*  
State Ethics Commission  
Hawaii

*Pierre-F. Côté*  
Chief Electoral Officer  
Quebec

*Louis J. Cotrona*  
Temporary State Commission  
on Lobbying  
New York

*Clemmie E. Webber*  
State Ethics Commission  
South Carolina

*Scott A. Weiner*  
New Jersey

You are invited to attend the 7th Annual Conference of the Council on Governmental Ethics Laws to be held on December 3-6, 1985 at the Hyatt Regency Chicago. The program this year was designed with your input obtained through the questionnaires and with the able assistance of the Council's Steering Committee. We hope it reflects a reasonable balance between campaign finance, conflict of interest, personal economic disclosure, and lobbying concerns. Moreover, we can inform you that the cooperation of all persons involved in putting the conference together has been excellent. This augurs well for a good conference.

The kit before you consists of four items:

1. A printed conference program and conference registration form. Early registration is encouraged.
2. A hotel registration form for the Hyatt Regency Chicago. Rates are \$60, single or double occupancy. November 1, 1985 is the deadline for reservations.
3. A yellow sheet providing information about airfare discounts available through United Airlines. Our thanks to Ed Feigenbaum of the Council of State Governments for his efforts in this connection.
- ~~4. A request for blank copies of disclosure documents used by your agency.~~

Should you have any questions regarding the conference, please call Barbara Mason (217)782-1561 or John Larsen (217)782-3900. We are looking forward to seeing all of you on December 3-6, 1985.

Enclosures

JL/gs  
3775A



## PROGRAM ANNOUNCEMENT

### 7th Annual Conference of the Council on Governmental Ethics Laws

December 3-6, 1985  
Hyatt Regency Chicago  
151 East Wacker  
Chicago, Illinois

#### The Annual Conference

The Council on Governmental Ethics Laws serves as a clearinghouse for individuals and agencies from across the United States and Canada who are interested in, or responsible for, the administration of laws relating to campaign finance, conflict of interest, personal economic disclosure, and lobbyist regulation. The Annual Conference provides an opportunity for commissioners, staff, scholars, and others to discuss events in these fields in a variety of workshop and social settings. In addition to the Annual Conference, COGEL publishes a detailed quarterly newsletter and a "Blue Book" which compiles basic information about these programs on an annual basis. The Conference, newsletter, and Blue Book are prepared and presented in association with the Council of State Governments.

#### The Program for Chicago

See the reverse side for a summary of topics to be addressed in Chicago on December 3-6, 1985.

#### Registration Information

Registration fees for the Chicago Conference are \$200 for nonmembers, \$125 for members, and \$80 for spouses. The nonmembers fee includes the cost of the reception on Tuesday evening, December 3rd; the luncheon and evening reception on Wednesday, December 4th; all meals on Thursday, December 5th; the beverage breaks, and all conference materials. A full refund will be made for cancellations received on or before November 22. No refund can be made for cancellations received after November 22. Make checks or personal money orders payable to: COGEL, Conference '85. Send to: Barbara Mason, Illinois State Board of Elections, 1020 South Spring Street, Springfield, Illinois 62704.

#### Hotel Registration

The conference rate for the Hyatt Regency Chicago is \$80 per night, single or double occupancy. First night's deposit is required with hotel registration. Reservations are to be made directly with the Hyatt. The deadline for reservations is November 1, 1985.

(See Reverse Side for Program Summary)

**Program Summary**  
**7th Annual Conference of the Council of Governmental Ethics Laws**  
**Hyatt Regency Chicago**

**Tuesday, December 3, 1985**

Registration  
Welcoming Reception, Hyatt Regency

**Wednesday, December 4, 1985**

Registration  
Newcomer's Breakfast  
Opening Session - Keynote

- Corporate Insights on Issues of Government Ethics and Reform

Luncheon With Speaker

- Codes of Ethics: Considerations for Adjudging Agency Effectiveness
- Trends in Campaign Finance Regulation: Passive Disclosure v. Substantive Enforcement
- Administration of Campaign Finance and Ethics Laws at the Local Level
- Trends in Regulation of Lobbyists: Passive Disclosure v. Substantive Enforcement

Reception  
Here's Chicago  
181 East Pearson

**Thursday, December 5, 1985**

Breakfast Table Topics

- Improving the Design of Disclosure Documentation
- Annual COGEL Business Meeting

Luncheon With Speaker

- Issues of Confidentiality of Documents and Proceedings of Ethics and Campaign Finance Agencies
- Are there Correlations Between PAC Contributions and Lobbying Expenditures?
- Expanding the Uses of Information Obtained from Audit Review and Procedures
- Negotiated Settlement v. Litigation: When to Settle for What
- FEC Access Project Update

Dinner  
Greek Islands Restaurant  
200 South Halsted

**Friday, December 6, 1985**

- Legislation and Litigation Update - Ethics and Lobbying
- Legislation and Litigation Update - Campaign Finance
- Research Studies and Reports - 1985 Update

**End of Conference**

#### REGISTRATION INFORMATION

The registration fees are:

Members - \$125

Non-Members - \$200

Spouses - \$60

The registration fee includes the lunch on Wednesday, breakfast, lunch and dinner on Thursday, the afternoon coffee breaks, and conference materials. The fee is payable in advance. A full refund will be made for cancellations received on or before November 22. No refund can be made for cancellations received after November 22.

Make checks or money orders payable to:  
COGEL conference '85

For further information about the conference contact Barbara Mason, Illinois State Board of Elections, 1020 S. Spring Street, Springfield, Illinois 62704, telephone (217) 782-1561 or John Larsen, Illinois Board of Ethics, 522 Stratton Office Building, Springfield, Illinois 62706, telephone (217) 782-3900.

Hotel reservations are to be made directly with the Hyatt Regency Chicago.

## The Council on Governmental Ethics Laws

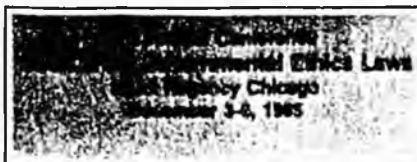
In association with the  
Council of State Governments presents its

## 7th Annual Conference

December 3-6, 1985  
Hyatt Regency Chicago Chicago, Illinois

**COGEL**  
COUNCIL ON GOVERNMENTAL ETHICS LAWS





## PROGRAM

### Tuesday, December 3, 1985

- 2 00 Registration  
6 00 Hosted Reception Hyatt Regency Chicago

### Wednesday, December 4, 1985

- 8 00-12 00 Registration  
8 00 A Newcomers' no host breakfast with Council on Governmental Ethics Laws Chairperson, Jeff Garfield  
9 00 A welcome to the City of Chicago and the State of Illinois, an introduction to the Conference and a Conference Address designed to highlight our shared concerns with respect to ethics, campaign finance, and lobbying.

#### BREAK

- 10 30 CORPORATE INSIGHTS ON ISSUES OF GOVERNMENT ETHICS AND REFORM  
Knowledgeable observers with a private sector perspective will provide a detached view on such matters as what is right and wrong about existing regulatory schemes, how the private sector copes with ethical issues and who should lead in promoting ethical practices and values  
12 00 Luncheon and Speaker  
Using Chicago as a backdrop, our speaker is likely to discuss the basics: the obligation of public officials to adhere to, and uphold, the law

#### 1 30 TWO CONCURRENT SESSIONS

- A CODES OF ETHICS: Considerations for Judging Agency Effectiveness. This session will consider the role a code of ethics plays, as a cornerstone of a comprehensive ethics program and will explore ways in which such codes may enhance agency effectiveness  
B TRENDS IN CAMPAIGN FINANCE REGULATION: Passive Disclosure v. Substantive Enforcement. The objective of this session is to examine recent events in the campaign finance field and to chart the administrative responses to such events

#### BREAK

#### 3 15 TWO CONCURRENT SESSIONS

- A ADMINISTRATION OF CAMPAIGN FINANCE AND ETHICS LAWS AT THE LOCAL LEVEL  
A panel with particular expertise in making the reform laws work on the local level will survey the concerns of local officials and offer practical suggestions as to how these laws may be improved  
B TRENDS IN REGULATION OF LOBBYISTS  
Passive Disclosure v. Substantive Enforcement  
A symposium on recent developments in the field of lobbying regulation from a number of informed vantage points  
5 15 RECEPTION AT HERES CHICAGO. Bus trip to the Water Tower Pumping Station on the Magnificent Mile for fun, friendship, and a look at some really clean pipes.

### Thursday, December 5, 1985

- 7 30 BREAKFAST TABLE TOPICS Proposed topics include: Conducting effective seminars for persons subject to the law, a Canadian roundtable, tips for the new commissioner, a nuts and bolts table for staff directors and anyone else interested, improving press relations, personal use of campaign funds, addressing the cost of elections, legislative relations, assessing the impact of state freedom of information and open meetings acts on disclosure programs, dealing with the bureaucracy, and the computerization of reform

#### 9 00 IMPROVING THE DESIGN OF DISCLOSURE DOCUMENTATION

This will be a workshop on a subject near and dear to all of us—how to construct forms which better serve to carry out the laws we administer. Bring your forms and ideas

#### BREAK

- 10 45 ANNUAL MEETING OF THE COUNCIL ON GOVERNMENTAL ETHICS LAWS  
COGEL Chairperson Jeff Garfield will preside over the annual business meeting which will include the election of new steering committee members and a presentation about future COGEL conferences

#### 12 00 Luncheon with Speaker

#### 1 30 TWO CONCURRENT SESSIONS

- A ISSUES OF CONFIDENTIALITY OF DOCUMENTS AND PROCEEDINGS OF ETHICS AND CAMPAIGN FINANCE AGENCIES  
Persons attending this session will explore common administrative problems arising from confidentiality requirements applicable to campaign finance and ethics agencies. The goal of the session is to point up possible solutions to some of the more troublesome confidentiality issues affecting our agencies  
B ARE THERE CORRELATIONS BETWEEN PAC CONTRIBUTIONS AND LOBBYING EXPENDITURES? A penetrating look at the interrelationship between two programmatic elements repre-

ented within the COGEL organization—lobbying and the methods by which campaigns are financed

#### BREAK

#### 3 15 THREE CONCURRENT SESSIONS

- A EXPANDING THE USES OF INFORMATION OBTAINED FROM AUDIT REVIEW AND PROCEDURES  
A panel of experts will discuss the review programs used in their jurisdictions with particular emphasis upon suggested methods to achieve maximum utilization of audit findings  
B NEGOTIATED SETTLEMENT v. LITIGATION  
When to Settle for What—A look at one of the harder judgment calls to make in an enforcement proceeding by people who have made them  
C FEC ACCESS PROJECT UPDATE  
For those agencies participating in this project, the Federal Election Commission would like to talk to you  
5 30 DINNER IN THE GREEK ISLANDS  
A trip to the Greek Islands Restaurant in the heart of Chicago's Greektown for an evening of good times with friends

### Friday, December 6, 1985

#### 9 00 CONCURRENT SESSIONS

- A LEGISLATION AND LITIGATION UPDATE - Ethics and Lobbying  
A review of significant court cases and legislation pertaining to governmental ethics and lobbying  
B LEGISLATION AND LITIGATION UPDATE - Campaign Finance  
A review of significant court cases and legislation pertaining to campaign finance matters

#### BREAK

- 10 45 RESEARCH STUDIES AND REPORTS - 1985 Update  
A panel of knowledgeable persons with a research perspective will discuss the state of research in the fields of interest to COGEL members and offer suggestions as to how researchers and administrators can be of assistance to one another  
12 00 END OF CONFERENCE

## CONFERENCE REGISTRATION FORM

The applicable registration fee is payable in advance. Please make check or money order payable to COGEL Conference '85 and mail it with the completed registration form to

COGEL Conference '85  
Illinois State Board of Elections  
1020 S. Spring Street  
Springfield, IL 62704  
Attention: Barbara Mason

Name of Registrant \_\_\_\_\_

Title/Position \_\_\_\_\_

Organization \_\_\_\_\_

Business Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Registration Fee Paid as

Member \_\_\_\_\_ Non-Member \_\_\_\_\_ Spouse \_\_\_\_\_

### WORKSHOP SELECTION

Select one workshop for each time period  
Selection understood to be tentative

WEDNESDAY PM	THURSDAY PM	FRIDAY AM
1:30 A _____	1:30 A _____	9:00 A _____
B _____	B _____	B _____
3:15 A _____	3:15 A _____	
B _____	B _____	
		C _____

Please duplicate this form if additional copies are needed

**PLEASE TAKE A FEW MOMENTS TO READ THE FOLLOWING IMPORTANT INFORMATION**

- (1) RESERVATIONS ARE HELD TILL 6:00 P.M. YOU MAY GUARANTEE YOUR RESERVATIONS BY ONE OF THE FOLLOWING METHODS:
- (A) ASSURED RESERVATIONS-USE YOUR AMERICAN EXPRESS, CARTE BLANCHE OR DINERS CLUB TO GUARANTEE YOUR RESERVATION.
  - (B) ADVANCE DEPOSIT FOR THE FIRST NIGHT TO BE ENCLOSED WHEN MAILING YOUR RESERVATION CARD.
  - (C) COMPANY GUARANTEED RESERVATION
- SHOULD IT BE NECESSARY TO CANCEL YOUR RESERVATION, PLEASE REQUEST A CANCELLATION NUMBER.
- (2) SHOULD RESERVATIONS BE MADE WITHOUT THIS CARD, PLEASE MENTION THE NAME OF THE GROUP. PLEASE DO NOT SEND IN THE ATTACHED CARD IF RESERVATIONS HAVE BEEN MADE DIRECTLY WITH THE HOTEL. THIS PREVENTS DUPLICATION OF YOUR RESERVATION.
- (3) FOR GUESTS REQUESTING THE MINIMUM CONVENTION RATE, EARLY RESPONSE IS SUGGESTED.
- (4) CHECK IN TIME IS 3:00 P.M. AND CHECK OUT TIME IS 12:00 NOON.  
CHILDREN 18 AND UNDER USING EXISTING BEDSPACE IN THE SAME ROOM WITH PARENTS—NO CHARGE.  
ALL RATES SUBJECT TO APPLICABLE TAXES.
- (5) RESERVATIONS MUST BE RECEIVED NO LATER THAN **NOV. 1, 1985** RACK RATES QUOTED AFTER DEADLINE

FOR FURTHER INQUIRY ON RESERVATIONS  
CALL (312) 565-1234

**SUITE INFORMATION**

FOR YOUR CONVENIENCE WE HAVE PROVIDED THE SQUARE FOOTAGE OF OUR SUITE PARLORS TO ENABLE YOUR COMPANY TO DETERMINE THE SIZE AND TYPE OF SUITE NEEDED.

CHICAGO SUITE	265 SQ. FT.*
AVENUE SUITE	415 SQ. FT.*
REGENCY WEST SUITE	510 SQ. FT.*
REGENCY EAST SUITE	597 SQ. FT.*
STATE SUITE	722 SQ. FT.*

\*ESTIMATE APPROXIMATELY 15-20 SQ. FT. PER PERSON FOR HOSPITALITY PURPOSES.

**REGENCY CLUB LEVEL**

THE REGENCY CLUB LEVEL PROVIDES OUTSTANDING ACCOMMODATIONS FOR VIP'S AND FOR THOSE WITH DISCRIMINATING TASTE. IT'S LITERALLY A HOTEL WITHIN A HOTEL. SPECIAL ELEVATOR KEYS ALLOW GUESTS ADMISSION TO THE PRIVATE FLOORS. UNIQUE SERVICES INCLUDE A PRIVATE RECEPTION AREA, COMPLIMENTARY CONTINENTAL BREAKFASTS, MORNING NEWSPAPER, AND TURNDOWN SERVICE COMPLETE WITH COMPLIMENTARY CORDIALS.

FOR SPECIAL LUXURY SUITES WITH LARGER PARLOR CAPACITIES AND SUITES ON THE REGENCY CLUB LEVEL, PLEASE CONTACT OUR SUITE CONTROL REPRESENTATIVE AT (312) 565-4262

NAME OF GROUP: <b>1985 ANNUAL CONFERENCE/COUNCIL ON GOVERNMENTAL ETHICS LAW</b>		CON. CODE NO.	ARRIVAL DATE:	DEPARTURE DATE:
DATES OF FUNCTION: <b>Tuesday, Dec. 3 thru Friday, Dec. 6, 1985</b>		TIME OF ARRIVAL:		
FULL NAME: LAST FIRST MIDDLE		<b>GUARANTEED RESERVATIONS</b> INDICATE ONE OF THE FOLLOWING: (A) ASSURED RESERVATION CREDIT CARD NAME: _____ CREDIT CARD NUMBER: _____ EXPIRATION DATE: _____ (B) FIRST NIGHT DEPOSIT ENCLOSED <input type="checkbox"/> (C) COMPANY GUARANTEE <input type="checkbox"/>		
COMPANY:				
STREET:				
CITY, STATE & ZIP CODE:				
ROOMMATE'S NAME: (OTHER THAN SPOUSE)	DATE OF ARRIVAL:	DATE OF DEPARTURE:		
PLEASE CIRCLE RATE DESIRED		SUITE TYPE	PARLOR & ONE-BEDROOM	PARLOR & TWO-BEDROOM
SINGLE OCCUPANCY <b>\$60</b>		CHICAGO	<b>\$140</b> <input type="checkbox"/>	<b>\$260</b> <input type="checkbox"/>
		AVENUE	<b>\$175</b> <input type="checkbox"/>	<b>\$295</b> <input type="checkbox"/>
DOUBLE OCCUPANCY <b>\$60</b>		REGENCY WEST	<b>\$200</b> <input type="checkbox"/>	<b>\$320</b> <input type="checkbox"/>
		REGENCY EAST	<b>\$250</b> <input type="checkbox"/>	<b>\$370</b> <input type="checkbox"/>
REGENCY CLUB LEVEL (UPON AVAILABILITY) SGL <b>\$140</b> DBL <b>\$155</b>		STATE	<b>\$300</b> <input type="checkbox"/>	<b>\$420</b> <input type="checkbox"/>

IF RATES REQUESTED ARE NOT AVAILABLE, THE NEXT AVAILABLE RATE WILL BE ASSIGNED.

*During your stay*  
**HYATT REGENCY CHICAGO**  
*may we recommend...*

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Experience unsurpassed dining.

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An old-time restaurant and saloon  
with a great atmosphere.

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You're indoors - yet outdoors!  
A most unusual garden restaurant serving  
Chicago's most extravagant Sunday Brunch.

#### SCAMPI

Our round-the-clock Island restaurant  
surrounded by a blue lagoon.

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A Chicago-Style  
Steak house for lunch - dinner.  
Exhibition cooking area.

#### SKYWAY

Enjoy breakfast and lunch  
in a glass enclosed walkway  
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#### MIDWAY LOUNGE

Suspended in the center of the West Tower lobby,  
Midway Lounge provides the perfect  
atmosphere for casual, relaxed cocktails.

#### RUMOURS LOUNGE

Located in the Glass House lobby.  
The meeting place in Chicago.

#### PLAZA LOUNGE

Live entertainment and cocktails.

#### 24 HOUR ROOM SERVICE

Special menu  
breakfast, lunch, dinner and light fare.

**HYATT REGENCY CHICAGO**

IN ILLINOIS CENTER  
151 EAST WACKER DRIVE  
CHICAGO, ILLINOIS 60601 USA  
312 565 1234



#### LOCATION

A 2033-room luxury convention/exposition hotel located in Illinois Center, just east of Michigan Avenue on Wacker Drive. Walking distance to the Loop and the "Magnificent Mile," the city's finest shopping areas. 45 minutes from O'Hare and Midway Airports. 8 minutes to McCormick Place.

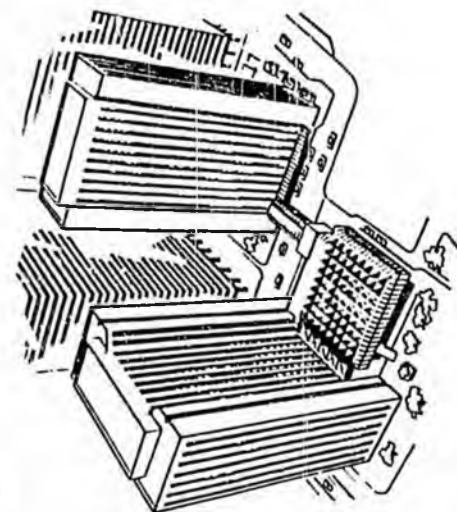
#### AIRPORT TRANSPORTATION

The Continental Air Transport Bus leaves twice every hour for our hotel from the lower level of O'Hare Airport. Return Service to O'Hare Airport is also available. Ticket purchase available at Airport & Hotel.

PLACE  
STAMP  
HERE  
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**HYATT REGENCY CHICAGO**

IN ILLINOIS CENTER  
151 EAST WACKER DRIVE  
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Attn: Reservation Dept.