

# Fiscal Note

State of Alaska  
2017 Legislative Session

Bill Version:	CSHB 151(FIN)
Fiscal Note Number:	10
(H) Publish Date:	5/16/2017

Identifier: HB151CS(FIN)-DHSS-FLSW-05-13-17  
 Title: DHSS;CINA; FOSTER CARE; CHILD PROTECTION  
 Sponsor: GARA  
 Requester: House Finance

Department: Department of Health and Social Services  
 Appropriation: Children's Services  
 Allocation: Front Line Social Workers  
 OMB Component Number: 2305

## Expenditures/Revenues

Note: Amounts do not include inflation unless otherwise noted below. (Thousands of Dollars)

	FY2018	Included in	Out-Year Cost Estimates				
	Appropriation Requested	Governor's FY2018 Request	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
<b>OPERATING EXPENDITURES</b>	<b>FY 2018</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2022</b>	<b>FY 2023</b>
Personal Services	3,608.0		5,114.4	5,842.7	5,842.7	5,842.7	5,842.7
Travel			91.0	130.0	130.0	130.0	130.0
Services	343.2		492.8	563.2	563.2	563.2	563.2
Commodities	265.2		131.2	76.8	25.6	25.6	25.6
Capital Outlay							
Grants & Benefits							
Miscellaneous							
<b>Total Operating</b>	<b>4,216.4</b>	<b>0.0</b>	<b>5,829.4</b>	<b>6,612.7</b>	<b>6,561.5</b>	<b>6,561.5</b>	<b>6,561.5</b>

## Fund Source (Operating Only)

1002 Fed Rcpts (Fed)	1,339.4		1,781.0	2,001.6	1,968.5	1,968.5	1,968.5
1003 G/F Match (UGF)	2,013.6		2,833.9	3,227.8	3,215.1	3,215.1	3,215.1
1004 Gen Fund (UGF)	863.4		1,214.5	1,383.3	1,377.9	1,377.9	1,377.9
<b>Total</b>	<b>4,216.4</b>	<b>0.0</b>	<b>5,829.4</b>	<b>6,612.7</b>	<b>6,561.5</b>	<b>6,561.5</b>	<b>6,561.5</b>

## Positions

Full-time	39.0		56.0	64.0	64.0	64.0	64.0
Part-time							
Temporary							

## Change in Revenues

None							
<b>Total</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**Estimated SUPPLEMENTAL (FY2017) cost:** 0.0 (separate supplemental appropriation required)  
 (discuss reasons and fund source(s) in analysis section)

**Estimated CAPITAL (FY2018) cost:** 0.0 (separate capital appropriation required)  
 (discuss reasons and fund source(s) in analysis section)

## ASSOCIATED REGULATIONS

Does the bill direct, or will the bill result in, regulation changes adopted by your agency? No  
 If yes, by what date are the regulations to be adopted, amended or repealed? n/a

## Why this fiscal note differs from previous version:

Drafted to the CS for House Finance, version E. Increased federal reimbursement for enhanced training for new hires to 45 percent of payroll costs for months one to three of employment. Removed language regarding reduced penetration rate as a result of subsidy payments up to the child's 21st birthday and the subsequent loss in federal revenue with a general fund replacement. (CONT'd bottom of p.4)

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 Approved By: Shawnda O'Brien, Asst. Commissioner  
 Agency: Health and Social Services

Phone: (907)465-3170  
 Date: 05/13/2017 12:00 PM  
 Date: 05/13/17

**REPORTED OUT OF  
HFC 05/16/2017**

## FISCAL NOTE ANALYSIS

STATE OF ALASKA  
2017 LEGISLATIVE SESSION

## Analysis

**Section 11** requires that the division implement workload standards and increase the level of training for new front line caseworkers. New caseworker workload recommendations:

- No more than six cases are assigned to a new front line worker in the first three months of employment, and
- No more than twelve cases in fourth, fifth and six month of employment.

Additionally, proposed AS 47.14.112(a)(2) suggests that the division employ mentors for frontline staff. The division recommends four Protective Service Specialist IIIs, spread across the regions.

Proposed AS 47.14.112(a)(4) recommends that the average statewide caseload be not more than thirteen families for each worker. In order to maintain this recommended average, the Division will need an increase in front line worker positions. The division needs to add a total of 35 Protective Services Specialists positions. Per the workload study completed in 2012 by Hornby, Zeller and Associates the following ratios of support and supervision are needed:

- One Supervisor for every five front line workers
- One Social Services Associate for every four front line workers
- One Office Assistant for every 3.7 front line workers

The division proposes to bring on additional staff over three years, with the addition of 39 positions in FY2018, 17 positions in FY2019 and 8 positions in 2020. The following is a breakdown to reflect the number of staff necessary to ensure acceptable caseloads of thirteen cases per caseworker and lower caseloads in rural areas that require significant travel.

QTY	Title	Range	Location
30	Protective Services Specialist I/II	15/17	Anchorage/Wasilla
5	Protective Services Specialist I/II	15/17	Fairbanks
7	Social Services Associate II	12	Anchorage/Wasilla
2	Social Services Associate II	12	Fairbanks
8	Office Assistant II	10	Wasilla
1	Office Assistant II	10	Fairbanks
6	Protective Services Specialist IV	20	Anchorage/Wasilla
1	Protective Services Specialist IV	20	Fairbanks
1	Protective Services Specialist III	19	Bethel
1	Protective Services Specialist III	19	Juneau
2	Protective Services Specialist III	19	Anchorage/Wasilla

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Analysis Continued

The following three tables reflect the personal services detail for new hires, by fiscal year:

FY2018

Year One New Hires	Title	Range	Location	Salary & Benefits	TOTAL	Month 1-3 @ 45%	Month 4-12 @ 30%	FEDERAL SHARE	STATE GENERAL FUND
<b>Enhanced Training for New Hires: No more than six cases month 1-3, no more than 12 cases in months 4-6.</b>									
18	Protective Services Specialist I/II	15/17	Anchorage/Wasilla	\$ 94.9	\$ 1,708.2	\$ 192.2	\$ 384.3	\$ 576.5	\$ 1,131.7
3	Protective Services Specialist I/II	15/17	Fairbanks	\$ 97.2	\$ 291.6	\$ 32.8	\$ 65.6	\$ 98.4	\$ 193.2
4	Social Services Associate II	12	Anchorage/Wasilla	\$ 72.1	\$ 288.4			\$ 86.5	\$ 201.9
1	Social Services Associate II	12	Fairbanks	\$ 74.3	\$ 74.3			\$ 22.3	\$ 52.0
4	Office Assistant II	10	Anchorage/Wasilla	\$ 65.8	\$ 263.2			\$ 79.0	\$ 184.2
1	Office Assistant II	10	Fairbanks	\$ 67.3	\$ 67.3			\$ 20.2	\$ 47.1
3	Protective Services Specialist IV	20	Anchorage/Wasilla	\$ 115.9	\$ 347.7			\$ 104.3	\$ 243.4
1	Protective Services Specialist IV	20	Fairbanks	\$ 118.9	\$ 118.9			\$ 35.7	\$ 83.2
1	Protective Services Specialist III	19	Juneau	\$ 114.0	\$ 114.0			\$ 34.2	\$ 79.8
1	Protective Services Specialist III	19	Bethel	\$ 115.8	\$ 115.8			\$ 34.7	\$ 81.1
2	Protective Services Specialist III	19	Anchorage/Wasilla	\$ 109.3	\$ 218.6			\$ 65.6	\$ 153.0
39					\$ 3,608.0			\$ 1,157.4	\$ 2,450.6

FY2018 Support costs for first set of new hires:

Travel - (Training-related travel can be found in the Children's Services Training fiscal note.)

Leased space, Information Technology, telecommunications, phones, utilities - 39 staff x \$8.8 = \$343.2

General Office Supplies - 39 staff x \$0.4 = \$15.6

One-time commodities (desk, chair, phone, computer) - 39 staff x \$6.4 = \$249.6

Year Two New Hires	Title	Range	Location	Salary & Benefits	TOTAL	Month 1-3 @ 45%	Month 4-12 @ 30%	FEDERAL SHARE	STATE GENERAL FUND
<b>Enhanced Training for New Hires: No more than six cases month 1-3, no more than 12 cases in months 4-6.</b>									
7	Protective Services Specialist I/II	15/17	Anchorage/Wasilla	\$ 94.9	\$ 664.3	\$ 74.7	\$ 149.5	\$ 224.2	\$ 440.1
2	Protective Services Specialist I/II	15/17	Fairbanks	\$ 97.2	\$ 194.4	\$ 21.9	\$ 43.7	\$ 65.6	\$ 128.8
2	Social Services Associate II	12	Anchorage/Wasilla	\$ 72.1	\$ 144.2			\$ 43.3	\$ 100.9
1	Social Services Associate II	12	Fairbanks	\$ 74.3	\$ 74.3			\$ 22.3	\$ 52.0
3	Office Assistant II	10	Anchorage/Wasilla	\$ 65.8	\$ 197.4			\$ 59.2	\$ 138.2
2	Protective Services Specialist IV	20	Anchorage/Wasilla	\$ 115.9	\$ 231.8			\$ 69.5	\$ 162.3
17					\$ 1,506.4			\$ 484.1	\$ 1,022.3

FY2019 Support costs for first two sets of new hires:

Travel for Protective Services Specialist and Social Services Associate classifications in regions that require travel for casework - 7 staff x \$13.0 = \$91.0 (Travel related to training is reflected in the Children's Services Training fiscal note.)

Leased space, Information Technology, telecommunications, phones, utilities - 56 staff x \$8.8 = \$492.8

General Office Supplies - 56 staff x \$0.4 = \$22.4

One-time commodities (desk, chair, phone, computer) - 17 staff x \$6.4 = \$108.8

Analysis Continued

FY2020

Year Three New Hires	Title	Range	Location	Salary & Benefits	TOTAL	Month 1-3 @ 45%	Month 4-12 @ 30%	FEDERAL SHARE	STATE GENERAL FUND
<b>Enhanced Training for New Hires: No more than six cases month 1-3, no more than 12 cases in months 4-6.</b>									
5	Protective Services Specialist I/II	15/17	Anchorage/Wasilla	\$ 94.9	\$ 474.5	\$ 53.4	\$ 106.8	\$ 160.1	\$ 314.4
1	Social Services Associate II	12	Anchorage/Wasilla	\$ 72.1	\$ 72.1	<i>Non case-carrying staff. Average Federal Rate at 30%.</i>		\$ 21.6	\$ 50.5
1	Office Assistant II	10	Anchorage/Wasilla	\$ 65.8	\$ 65.8			\$ 19.7	\$ 46.1
1	Protective Services Specialist IV	20	Anchorage/Wasilla	\$ 115.9	\$ 115.9			\$ 34.8	\$ 81.1
<b>8</b>					<b>\$ 728.3</b>			<b>\$ 236.3</b>	<b>\$ 492.0</b>

FY2020 Support costs for all three sets of new hires:

Travel for Protective Services Specialist and Social Services Associate classifications in regions that require travel for casework - 10 staff x \$13.0 = \$130.0 (Travel related to training is reflected in the Children's Services Training fiscal note).  
 Leased space, Information Technology, telecommunications, phones, utilities - 64 staff x \$8.8 = \$563.2  
 General Office Supplies - 64 staff x \$0.4 = \$25.6  
 One-time commodities (desk, chair, phone, computer) - 8 staff x \$6.4 = \$51.2

FY2021 and beyond Support costs:

Travel for Protective Services Specialist and Social Services Associate classifications in regions that require travel for casework - 10 staff x \$13.0 = \$130.0  
 Leased space, Information Technology, telecommunications, phones, utilities - 64 staff x \$8.8 = \$563.2  
 General Office Supplies - 64 staff x \$0.4 = \$25.6

**Why this fiscal note differs from the previous version:**

Reduced staffing from 46 Protective Services Specialists to 35 Protective Services Specialists per Section 11(4) regarding average caseload. Travel expenditures for training shifted to the Children's Services Training fiscal note, to properly code to the enhanced Title IV-E rate. Reduced Protective Services Specialist III (mentors) from nine to four. Fund sources identified were corrected to include general fund match. The general fund match amount was based on a calculation including allowable costs, the penetration rate, time study results, and the federal reimbursement rates for the component.