

**ALASKA MARINE HIGHWAY SYSTEM**  
**State GF Capital Expenditures (\$000s)**  
**FY 2010 - FY 2022**

Vessel	Actual Expenditures														PAST 5-YEAR
	FY 10	FY 11	FY 12	FY 13	FY 14	FY 15	FY 16	FY 17	FY 18	FY 19	FY 20	FY 21	FY 22	AVERAGE	
Aurora	\$ 555.6	\$ 1,025.8	\$ 645.1	\$ 688.0	\$ 924.2	\$ 980.4	\$ 947.0	\$ 884.3	\$ 805.1	\$ 1,984.1	\$ 856.4	\$ 4,646.7	\$ 2,564.2	\$ 2,171.3	
Chenega	767.4	1,038.4	548.6	1,030.0	1,286.0	669.5	877.0	1,286.0	769.5	14.0	-	-	-	156.7	
Columbia	912.4	3,774.8	1,530.0	2,008.0	1,919.9	1,408.4	1,390.5	2,319.9	2,108.4	3,706.6	2,363.1	1,036.3	4,387.5	2,720.4	
Kennicott	2,029.9	1,724.8	1,459.0	2,123.5	2,531.3	2,782.8	1,544.5	2,931.3	2,582.8	4,181.0	2,578.7	2,023.6	3,249.5	2,923.1	
LeConte	1,518.7	711.2	563.5	1,361.2	1,736.8	1,324.5	944.0	2,136.8	1,564.5	1,684.0	5,620.1	1,280.3	1,948.4	2,419.5	
Malaspina	1,739.7	687.4	2,594.3	1,985.6	1,911.8	763.0	920.5	2,411.8	863.0	2,316.3	171.6	-	-	670.2	
Matanuska	3,297.8	1,964.5	2,548.6	1,543.3	1,712.2	3,949.7	1,105.5	2,112.2	3,949.7	94.5	1,299.6	5,107.6	3,164.8	2,723.2	
Taku	1,758.5	3,051.2	1,828.8	2,398.8	952.7	696.8	578.5	1,052.7	796.8	-	-	-	-	159.4	
Tustumena	1,314.5	1,566.3	1,879.3	1,562.8	1,953.1	2,208.9	1,862.5	2,353.5	2,508.9	2,301.1	1,954.7	2,962.9	828.9	2,111.3	
Fairweather	508.1	894.5	994.4	1,412.5	912.0	923.9	435.7	912.0	1,006.6	272.8	36.8	-	-	263.2	
Tazlina	-	-	-	-	-	-	-	-	-	19.5	196.0	853.9	473.5	308.6	
Hubbard	-	-	-	-	-	-	-	-	-	0.8	58.2	49.1	166.2	54.9	
Lituya	566.9	486.5	539.3	610.7	698.6	476.4	396.5	898.6	476.4	798.6	510.2	238.6	223.5	449.5	
TOTAL ALL VESSELS*	\$ 14,969.5	\$ 16,925.4	\$ 15,130.9	\$ 16,724.4	\$ 16,538.6	\$ 16,184.3	\$ 11,002.2	\$ 19,299.1	\$ 17,431.7	\$ 17,373.3	\$ 15,645.4	\$ 18,199.0	\$ 17,006.5	\$ 17,131.2	

\*Includes Deferred Maintenance



# Tariff Increase History

**AMHS made multiple tariff changes in the past few years for two main purposes**

- Formulize, level, and make tariffs equitable and logical
- Generate additional revenue to offset UGF funding reduction

**Authorized by 17 AAC 70.040 under DOT&PF Commissioner's Authority**

**May 1, 2015:** 4.5% across the board tariff increase

**Nov 1, 2015:** 20.0% increase in commercial traffic tariffs

**Jan 1, 2016:** 5.0% leveling tariff to fund FVF operation to fill service gaps

**May 1, 2016:** First of five annual variable leveling tariff increase and 10% Bellingham traffic premium tariff (Dynamic Pricing)

**Jan 26, 2017:** Lituya Metlakatla tariff policy change (double one way, zero back)

**May 1, 2017:** Second of five annual variable leveling tariff increase

**May 1, 2018:** Third of five annual variable leveling tariff increase

**May 1, 2019:** Implemented fourth and fifth variable leveling tariff increases

The Mainliner Replacement Vessel Project will utilize Need ID 18358, Ferry Refurbishment.  
FY-23 Labor Distribution Rate provide by Administrative Services Memo dated Aug 9, 2022, have been utilized for calculation of the VCM II/Project Manager Hourly Rate. The FY-23 labor distribution rate for "Regular" Time is 189% and "Premium / Overtime" is 136%.

Note: Per FY2023 Indirect Cost Rate Proposal Rates approved for Federally Funded Projects is 7.18%.

Project Management, Special Projects Liaison/VCM II and Admin	
a) Special Projects Liaison - Half Time Per Month (\$16,969.98 x 50% x 24 months)	\$ 203,279.70
b) VCM II - Half Time per Month (\$12,794.83 x 50% x 24 months)	\$ 153,537.93
c) Admin Support - Partial Time (\$2,495 x 24months)	\$ 59,880.00
Sub-Total	\$ 416,697.63

Consultant Support - Consultants to be determined	
a) Functional Design effort for Vessel utilizing CM/GC process	\$ 9,125,880.00

Travel/Per Diem	
a) Travel & Per Diem (Visit with Consulting Firm Travel, shipchecks etc)	\$ 24,000.00
Sub-Total	\$ 24,000.00

Summary for Mainliner Replacment Vessel Project	
Project Staff	\$ 416,697.63
KCO & Civil Rights Support	\$ -
Consultant Support	\$ 9,125,880.00
Staff Travel & Per Diem	\$ 24,000.00
Requested CE Budget w/o ICAP	\$ 9,566,578.00

Available STIP Funding Level for Project	\$ 37,500,000.00
Requested CE Budget w/o ICAP	\$ 9,566,578.00
7.18% ICAP on Requested CE Budget	\$ 686,881.00
Required Phase 2 PDA Amount	\$ 10,253,500.00

Special Projects Liason 55.45 Per Ht x 37.5 Hrs Per Week x 52 Weeks Per Year / 12 Months Per Year = \$9,010.63 x 189% = 16,969.98 Per Month  
VCM II Step C \$41.66 Per Hr x 37.5 Hrs Per Week x 52 Weeks / 12 Months = \$6,796.75 x 189% = \$12,794.83 Per Month

Estimated Design Consultant Support based on extensive vessel design and development efforts utilizing multiple firms engaged in the CM/GC Process, estimated on efforts for ~14 months, of a 24-30 month design cycle.

Estimate for Project Management Team and Marine Engineering Manager to Travel for Meetings

**PRICE PER TASK SUM**

FIRM: To Be Determined				PROJECT TITLE: Mainliner Replacement Vessel				DATE: 1/4/2023	
TOTAL NEGOTIATED FIXED FEE:									
GROUP	TASK	LABOR (or FP)	INDIRECT COST	EXPENSES	TOTAL COST	FEE DISTRIBUTION	FIRM'S TOTAL PRICE	*SUB- CONTRACTS	PRICE PLUS SUBS
0	1	\$29,900	\$0	\$8,000	\$37,900	\$0	\$37,900	\$0	\$37,900
0	2	\$399,230	\$0	\$24,000	\$423,230	\$0	\$423,230	\$0	\$423,230
0	3	\$3,798,900	\$0	\$206,500	\$4,005,400	\$0	\$4,005,400	\$1,420,000	\$5,425,400
0	4	\$449,340	\$0	\$35,750	\$485,090	\$0	\$485,090	\$78,000	\$563,090
0	5	\$1,986,880	\$0	\$85,750	\$2,072,630	\$0	\$2,072,630	\$250,000	\$2,322,630
0	0	\$173,630	\$0	\$0	\$173,630	\$0	\$173,630	\$180,000	\$353,630
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
0	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
*Subcontractors for negotiated professional or technical services, products, etc. (Commodity items available to the general public at market prices, equipment use, and unit priced items are generally included in estimate as expenses.)									
ESTIMATED TOTALS		LABOR (or FP)	INDIRECT COST	EXPENSES	TOTAL COST	FEE	FIRM'S TOTAL PRICE	*SUB- CONTRACTS	PRICE PLUS SUBS
FOR FIRM:		\$6,837,880	\$0	\$360,000	\$7,197,880	\$0	\$7,197,880	\$1,928,000	\$9,125,880

COST ESTIMATE PER TASK												
FIRM: To Be Determined				PROJECT TITLE: Mainliner Replacement Vessel								
TASK NO: 1		TASK DESCRIPTION: Schedules, Coordination, Initial Project Team Meeting									DATE: 1/4/2023	
GROUP:		METHOD OF PAYMENT: FP <input checked="" type="checkbox"/> FPF <input type="checkbox"/> T&I <input type="checkbox"/> CPF <input type="checkbox"/>				PREPARED BY: Jerry W. Mastin, P.E.						
SUB-TASK NO.	SUB-TASK DESCRIPTION	LABOR HOURS PER JOB CLASSIFICATION										
			Principal/Manager	Senior Engineer	Mid-Level Engineer	Admin Support						
1	Schedules and Comms Plan		8	24	0							
2	Develop Schedule		16	32	12							
3	Initial Kickoff Meeting		8	8	8							
4	Travel (3 People x 1 Trip)		16	16	16	8						
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												
15												
16												
17												
TOTAL LABOR HOURS			48	80	36	8	0	0	0	0	0	0
* LABOR RATES (\$/HR)			\$210.00	\$175.00	\$145.00	\$75.00						
LABOR COSTS (\$)			\$10,080.00	\$14,000.00	\$5,220.00	\$600.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EXPENSES						COMMENTS:						
SUB-TASK NO.	ITEM(S)	QUANTITY	UNIT PRICE	TOTAL PRICE								
3	Travel, Per Diem, Vehicle Rental, Hotel	1	\$8,000.00	\$8,000.00								
				\$0.00								
				\$0.00								
				\$0.00								
				\$0.00								
				\$0.00								
					FIRM'S TOTAL COST OF LABOR (or Fixed Price): \$29,900							
					IF CPFF, TOTAL INDIRECT COST @ 0.00% \$0							
					FIRM'S TOTAL EXPENSES \$8,000							
					FIRM'S TOTAL COST (no Subcontracts or Fee) \$37,900							
SUB-CONTRACTORS: Firm Initials and Price Per Task												
FIRM:												
AMOUNT:						TOTAL SUBCONTRACTOR PRICES: \$0						

COST ESTIMATE PER TASK											
FIRM: To Be Determined				PROJECT TITLE: Mainliner Replacement Vessel							
TASK NO: 2		TASK DESCRIPTION: Recon and Design Study Reports								DATE: 1/4/2023	
GROUP:		METHOD OF PAYMENT: FP <input type="checkbox"/> FPF <input type="checkbox"/> T&I <input checked="" type="checkbox"/> CPF <input type="checkbox"/>				PREPARED BY: Jerry W. Mastin, P.E.					
SUB-TASK NO.	SUB-TASK DESCRIPTION	LABOR HOURS PER JOB CLASSIFICATION									
		Principal/Manager	Senior Engineer	Mid-Level Engineer	Admin Support						
1	Existing Design Review	32	124	160	14						
2	Design Requirement Development	64	96	48	8						
3	Public Input Support	48	64	24	24						
4	Recon Survey Report	32	54	32	24						
5	Problem Matrix	12	48	96	2						
6	Solution Matrix	12	48	96	2						
7	Decision Paper	8	24	96	2						
8	Design Study Development	64	96	96	4						
9	Bi-Weekly Meetings (Assume 12 Wks.)	12	12	12	12						
10	Wkly. Internal Mtgs. (Assume 12 Wks.)	12	12	12	12						
11	RS and DS Onsite Mtgs (3 Persons, six D	96	96	96	12						
12	Travel to Onsites	48	48	48	16						
13	Draft DSR	8	32	56	1						
14	95% DSR	6	24	48	1						
15	Final DSR	4	12	24	1						
16	Miscellaneous	8	48	52	2						
TOTAL LABOR HOURS		466	838	996	137	0	0	0	0	0	0
* LABOR RATES (\$/HR)		\$210.00	\$175.00	\$145.00	\$75.00						
LABOR COSTS (\$)		\$97,860.00	\$146,650.00	\$144,420.00	\$10,295.25	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EXPENSES						COMMENTS:					
SUB-TASK NO.	ITEM(S)	QUANTITY	UNIT PRICE	TOTAL PRICE							
13	Travel to KTN for Onsites (3 assumed)	3	\$8,000.00	\$24,000.00							
				\$0.00							
				\$0.00							
				\$0.00							
				\$0.00							
				\$0.00							
					FIRM'S TOTAL COST OF LABOR (or Fixed Price): \$399,230						
					IF CPFF, TOTAL INDIRECT COST @ 0.00% \$0						
					FIRM'S TOTAL EXPENSES \$24,000						
					FIRM'S TOTAL COST (no Subcontracts or Fee) \$423,230						
SUB-CONTRACTORS: Firm Initials and Price Per Task											
FIRM:											
AMOUNT:					TOTAL SUBCONTRACTOR PRICES: \$0						

COST ESTIMATE PER TASK												
FIRM:			PROJECT TITLE: Mainliner Replacement Vessel									
TASK NO: 3		TASK DESCRIPTION: Functional Design -									DATE: 1/4/2023	
GROUP:		METHOD OF PAYMENT: FP <input type="checkbox"/> FPF <input type="checkbox"/> T&I <input checked="" type="checkbox"/> CPF <input type="checkbox"/>				PREPARED BY: Jerry W. Mastin, P.E.						
SUB-TASK NO.	SUB-TASK DESCRIPTION	LABOR HOURS PER JOB CLASSIFICATION										
		Principal/Manager	Senior Engineer	Mid-Level Engineer	Admin Support							
1	Prepare Design Reports/White Papers	96	250	360	46							
2	Prepare Drawings Package	124	376	960	124							
3	Prepare Technical Specifications	78	120	296	48							
4	Regulatory Review Support	96	176	224	6							
5	Project Management	296	128	76	24							
6	Internal Meetings (Estimate 40)	120	80	80	40							
7	Weekly Meetings (Estimate 40)	120	80	80	40							
8	Milestone Meetings (Assume 5, 3 ppl, 2 da	120	120	120	12							
9	Milestone Meeting Travel	80	80	80	24							
10	Lines and GA	386	720	1640	0							
11	Structure	296	920	2960	0							
12	Propulsion and Machinery	96	124	264	0							
13	Electrical	124	320	690	0							
14	Electronics and Controls	96	476	870	0							
15	Auxillaries	180	860	4290	0							
13	Outfitting	96	480	1096	0							
17	3D Model Rendering	64	120	600	48							
18	Administrative Support	320	320	240	160							
TOTAL LABOR HOURS		0	2788	5750	14926	572	0	0	0	0	0	
* LABOR RATES (\$/HR)			\$210.00	\$175.00	\$145.00	\$75.00						
LABOR COSTS (\$)		\$0.00	\$585,480.00	\$1,006,250.00	\$2,164,270.00	\$42,900.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
EXPENSES						COMMENTS:						
SUB-TASK NO.	ITEM(S)	QUANTITY	UNIT PRICE	TOTAL PRICE								
8	Milestone Mtgs (Travel to KTN)	5	\$8,000.00	\$40,000.00								
4	Regulatory Fees	1	\$28,000.00	\$28,000.00								
5	Submittal Exchange License	1	\$124,000.00	\$124,000.00								
2, 3, 10-13	Documentation	1	\$14,500.00	\$14,500.00								
				\$0.00								
				\$0.00								
				\$0.00								
TOTAL EXPENSES:				\$206,500	FIRM'S TOTAL COST OF LABOR (or Fixed Price):						\$3,798,900	
					IF CPFF, TOTAL INDIRECT COST @ 0.00%						\$0	
					FIRM'S TOTAL EXPENSES						\$206,500	
					FIRM'S TOTAL COST (no Subcontracts or Fee)						\$4,005,400	
SUB-CONTRACTORS: Firm Initials and Price Per Task												
FIRM:	ABS	Liaison	CFD	Galley	Interior							
AMOUNT:	\$420,000	\$375,000	\$240,000	\$225,000	\$160,000		TOTAL SUBCONTRACTOR PRICES:					\$1,420,000

\* Confirm with Contracting Agency if labor rates shall be direct labor (base pay) or labor rates shall be total rates (i.e. base pay + benefits + overhead + profit.)

[illegible]

estimate.tsk

estimate sum



COST ESTIMATE PER TASK												
FIRM: To Be Determined				PROJECT TITLE: Mainliner Replacement Vessel								
TASK NO: 5		TASK DESCRIPTION: Shipyard Support								DATE: 1/4/2023		
GROUP:		METHOD OF PAYMENT: <input type="checkbox"/> FF <input type="checkbox"/> FPF <input type="checkbox"/> T&I <input checked="" type="checkbox"/> CPF <input type="checkbox"/>				PREPARED BY: Jerry W. Mastin, P.E.						
SUB-TASK NO.	SUB-TASK DESCRIPTION	LABOR HOURS PER JOB CLASSIFICATION										
		Principal/Manager	Senior Engineer	Mid-Level Engineer	Admin Support							
1	Kick Off Mtg (4 Ppl, 1 Day)	12	24	12	8							
2	Kick Off Mtg Travel	16	32	16	8							
3	Project Management	120	64	48	12							
4	Internal Meetings (Estimate 24)	72	96	48	24							
5	Weekly Meetings (Estimate 24)	72	96	48	24							
6	Milestone Meetings (Assume 3, 5 ppl, 2 da	72	144	144	12							
7	Milestone Meeting Travel	48	96	96	12							
8	Design Review	160	240	360	20							
9	Design Recommendation Support	240	480	670	48							
10	Construction Planning	128	360	524	24							
11	Design Analysis Efforts	164	396	780	48							
12	PTS Development	180	428	840	72							
13	Technical Specification Support	64	224	360	24							
14	Regulatory Review Support	64	196	524	64							
15	Schedule Development	120	250	620	48							
16	Weight Development	96	276	740	48							
17	Cost Estimating	160	324	480	96							
TOTAL LABOR HOURS		0	1788	3726	6310	592	0	0	0	0	0	0
* LABOR RATES (\$/HR)			\$210.00	\$175.00	\$145.00	\$75.00						
LABOR COSTS (\$)		\$0.00	\$375,480.00	\$652,050.00	\$914,950.00	\$44,400.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EXPENSES						COMMENTS:						
SUB-TASK NO.	ITEM(S)	QUANTITY	UNIT PRICE	TOTAL PRICE								
2	Travel to KTN	4	\$10,000.00	\$40,000.00								
7	Travel to KTN	3	\$12,500.00	\$37,500.00								
12-Aug	Documentation	1	\$8,250.00	\$8,250.00								
				\$0.00								
				\$0.00								
				\$0.00		FIRM'S TOTAL COST OF LABOR (or Fixed Price): \$1,986,880						
				\$0.00								
TOTAL EXPENSES: \$85,750						IF CPFF, TOTAL INDIRECT COST @ 0.00% \$0						
SUB-CONTRACTORS: Firm Initials and Price Per Task						FIRM'S TOTAL EXPENSES \$85,750						
FIRM: Key Vends						FIRM'S TOTAL COST (no Subcontracts or Fee) \$2,072,630						
AMOUNT: \$250,000						TOTAL SUBCONTRACTOR PRICES: \$250,000						

\* Confirm with Contracting Agency if labor rates shall be direct labor (base pay) or labor rates shall be total rates (i.e. base pay + benefits + overhead + profit.)

FIRM: To Be Determined						PROJECT TITLE: Mainliner Replacement Vessel							
TASK NO:		TASK DESCRIPTION: CM/GC Support and ICE									DATE: 1/4/2023		
GROUP:		METHOD OF PAYMENT: FP <input type="checkbox"/> FPF <input type="checkbox"/> T& <input type="checkbox"/> CPF <input type="checkbox"/>				PREPARED BY: Jerry W. Mastin, P.E.							
SUB-TASK NO.	SUB-TASK DESCRIPTION	LABOR HOURS PER JOB CLASSIFICATION											
		Principal/ Manager	Project Manager	Senior Engineer	Admin Support								
1	CM/GC Process Support	198	320	480	86								
TOTAL LABOR HOURS		198	320	480	86	0	0	0	0	0	0		
* LABOR RATES (\$/HR)		\$210.00	\$175.00	\$145.00	\$75.00								
LABOR COSTS (\$)		\$41,580.00	\$56,000.00	\$69,600.00	\$6,450.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
EXPENSES													
SUB-TASK NO.	ITEM(S)	QUANTITY	UNIT PRICE	TOTAL PRICE	COMMENTS:  								
				\$0.00									
				\$0.00									
				\$0.00									
				\$0.00									
				\$0.00									
				\$0.00	FIRM'S TOTAL COST OF LABOR (or Fixed Price):	\$173,630							
				\$0.00	IF CPFF, TOTAL INDIRECT COST @ 0.00%	\$0							
		TOTAL EXPENSES:			\$0					FIRM'S TOTAL EXPENSES \$0			
SUB-CONTRACTORS: Firm Initials and Price Per Task					FIRM'S TOTAL COST (no Subcontracts or Fee) \$173,630								
FIRM:	ICE												
AMOUNT:	\$180,000				TOTAL SUBCONTRACTOR PRICES:					\$180,000			

estimate.tsk



# PROFESSIONAL SERVICES AGREEMENT

PSA No: 25-23-1-021  
Program No: SAMHS00158  
Federal No: 9500153

Project Title: **AMHS – Tustumena Replacement Vessel Propulsion System Integration CMGC**

To this Agreement between **THE STATE OF ALASKA, DEPARTMENT OF TRANSPORTATION & PUBLIC FACILITIES, NORTHERN REGION**

hereafter the CONTRACTING AGENCY, and **Siemens Energy Inc.**

hereafter the CONTRACTOR, effective on the last date executed by its parties, in consideration of the terms, conditions and promises of Articles 1 through 7 in this document, the parties hereby agree.

## CONTRACTOR

Signature: \_\_\_\_\_  
Name: Luke Briant Date \_\_\_\_\_  
Title: Director, Maritime & Offshore Americas

Signature: \_\_\_\_\_  
Name: \_\_\_\_\_ Date \_\_\_\_\_  
Title: \_\_\_\_\_

## CONTRACTING AGENCY

### Contract Manager

Signature: \_\_\_\_\_  
Name: Gregory A. Jennings Date \_\_\_\_\_  
Title: Special Project Liaison

### Contracting Officer

Signature: \_\_\_\_\_  
Name: Barbara L. Tanner, P.E. Date \_\_\_\_\_  
Title: AMHS Contracting Officer

## **ARTICLE 1 - PURPOSE**

1.1 The Contractor shall perform Construction Manager/General Contractor services as described in Appendix B.

## **ARTICLE 2 - COMPENSATION**

2.1 The maximum amount payable under this Agreement as set out in Appendix C, shall not exceed:

**Two Hundred Eighty Five Thousand and No/100 Dollars**

**(\$285,000.00)**

## **ARTICLE 3 - PERIOD OF PERFORMANCE**

3.1 CONTRACTOR shall commence services under this Agreement as authorized by written *Notice(s) to Proceed* and shall complete the services in accordance with any time schedule required by Appendices. This Agreement is of no force or effect until executed by the CONTRACTOR and the CONTRACTING AGENCY and no services shall be undertaken or performed until a Notice to Proceed is issued.

3.2 The Period of Performance under this Agreement shall end: **December 31, 2028**

## ARTICLE 4 - APPENDICES

4.1 The following Appendices are attached to this document and incorporated herein:

<u>Appendix</u>	<u>Title</u>	<u>Date Prepared</u>	<u>No. Pages</u>
A	General and Supplementary Provisions for CMGC Preconstruction Services	10/14/2022	57
B	Statement of Services plus Siemens Energy Proposal	01/19/2023	11
C	Compensation, Form 25A280, plus Exhibits: C-1	01/19/2023	4
D	Indemnification & Insurance, Form 25A269, plus Certificates of Insurance (for the prime CONTRACTOR)	01/19/2023	3
E	Certification of Compliance (Alaska Licenses/Registrations and Insurance)	11/28/2022	1

## ARTICLE 5 - CONTRACTING AGENCY DATA

<u>Office Address</u>	Appeals Officer: Commissioner DOT&PF
Street: 7559 N. Tongass Hwy	Authorization: AS 36.30.005
PO Box:	Funding Source: <b>Template: TPJ001</b>
City, State, Zip: Ketchikan, AK 99901	<b>Program: SAMHS00158</b>
Phone-Voice: (907) 228-8621	<b>Activity: 014P</b>
Email: <a href="mailto:gregory.jennings@alaska.gov">gregory.jennings@alaska.gov</a>	<b>Phase: T02015</b>
	<input checked="" type="checkbox"/> State <input checked="" type="checkbox"/> FHWA
	<input type="checkbox"/> FAA <input checked="" type="checkbox"/> Other: <u>FTA</u>
	(Check all that apply)

## ARTICLE 6 - CONTRACTOR DATA

Manager: Luke Briant	Alaska Business License No.: 932377
Title: Director, Head Maritime & Offshore Americas	Federal Tax Identification No.: 13-3987280
<u>Office Address</u>	<u>Type of Firm</u>
Street: 4400 Alafaya Trail	<input type="checkbox"/> Individual <input type="checkbox"/> Partnership
PO Box: 121057, Dept. 1057 Dallas, TX 75312-1057	<input checked="" type="checkbox"/> Corporation in state of: Delaware
City, State, Zip: Orlando, FL 32826	<input type="checkbox"/> Other (specify):
Phone-Voice: (561) 427-8588	
Email: <a href="mailto:luke.briant@siemens-energy.com">luke.briant@siemens-energy.com</a>	

## ARTICLE 7 - SUBCONTRACTORS

7.1 CONTRACTOR shall perform all professional services required under this Agreement except as may be performed by the Subcontractors listed below or as may be allowed under Appendix A, Article A19.

Proposed Construction Subcontractors:

Stage 2 Subs - Service, Equipment, etc.

Main & Auxiliary Prime Movers  
Main & Auxiliary Alternators  
Propulsors, Motors & Drives  
Maneuvering Thrusters, Motors & Drives  
Propulsion Electrical Distribution  
Ship Service Switchboard(s)  
Emergency Switchboard  
Emergency Diesel Generator Sets

Subcontractor

Wabtec (Pittsburgh, PA)  
Kato (N. Mankato, MN)  
Thrustmaster (Houston, TX)  
Thrustmaster (Houston, TX)  
Point Eight Power (Belle Chasse, LA)  
Point Eight Power (Belle Chasse, LA)  
Point Eight Power (Belle Chasse, LA)  
Catepillar (Griffin, GA)

# **GENERAL PROVISIONS for CMGC PRECONSTRUCTION SERVICES**



## TABLE OF CONTENTS

<b>SECTION 1.....</b>	<b>1</b>
<b>Acronyms, Definitions &amp; Usage Notes .....</b>	<b>1</b>
1.1 Acronyms .....	1
1.2 Definitions.....	3
1.3 Usage notes .....	10
<b>SECTION 2.....</b>	<b>11</b>
<b>General Terms.....</b>	<b>11</b>
<b>SECTION 3.....</b>	<b>13</b>
<b>Contractor Responsibilities.....</b>	<b>13</b>
3.1 Administration.....	13
3.2 Accounts/Records Management; Audit .....	14
3.3 Design-Related Preconstruction Services .....	16
3.4 Weight Related Preconstruction Services .....	16
3.5 Opinion of Probable Construction Cost .....	17
3.6 Schedule-Related Preconstruction Services .....	19
3.7 Risk-Related Preconstruction Services .....	21
3.8 Procurement Related Preconstruction Services.....	21
3.9 Preconstruction Services Matrix .....	22
3.10 Federal Aid Project.....	22
3.11 Infringement of Intellectual Property .....	23
<b>SECTION 4.....</b>	<b>24</b>
<b>Submittals .....</b>	<b>24</b>
4.1 General Requirements .....	24
4.2 Submittal Review Process.....	24
<b>SECTION 5.....</b>	<b>26</b>
<b>Department Responsibilities .....</b>	<b>26</b>
5.1 DOT&PF Responsibilities.....	26
<b>SECTION 6.....</b>	<b>27</b>
<b>Compensation .....</b>	<b>27</b>
6.1 Contract Price.....	27
<b>SECTION 7.....</b>	<b>28</b>
<b>Time.....</b>	<b>28</b>
7.1 Contract Time.....	28
7.2 Delay .....	28

<b>SECTION 8.....</b>	<b>30</b>
<b>Subcontracting .....</b>	<b>30</b>
8.1 Subcontracting.....	30
8.2 “Flow Down” Obligations.....	30
8.3 Third-Party Beneficiary Rights .....	30
8.4 Subcontract Review/Approval .....	30
8.5 Contractor Responsible for Subcontractors.....	30
<b>SECTION 9.....</b>	<b>31</b>
<b>Contract Change .....</b>	<b>31</b>
9.1 Amending and Supplementing the Contract .....	31
9.2 Unauthorized Work .....	31
9.3 Owner Directed Work .....	31
9.4 Change Proposal.....	31
<b>SECTION 10.....</b>	<b>33</b>
<b>Insurance and Indemnification.....</b>	<b>33</b>
10.1 Contract Requirements for Insurance & Indemnification .....	33
<b>SECTION 11.....</b>	<b>34</b>
<b>Contract Suspension; Termination .....</b>	<b>34</b>
11.1 Suspension of Work .....	34
11.2 Termination for Cause.....	34
11.3 Termination for Convenience.....	35
<b>SECTION 12.....</b>	<b>36</b>
<b>Claims.....</b>	<b>36</b>
12.1 Claim Notice; Supporting Proof; Conditions Precedent .....	36
12.2 Requirements of Filed Claim .....	36
12.3 Claim Validity; Additional Production .....	37
12.4 Contracting Officer’s Decision; Appeal.....	37
<b>SECTION 13.....</b>	<b>38</b>
<b>Guaranteed Maximum Price Principles .....</b>	<b>38</b>
13.1 GMP Formulation & Proposal .....	38
13.2 Cost of the Work .....	39
13.3 Fixed Fee .....	43

**SECTION 14..... 44**

**Miscellaneous..... 44**

14.1 Giving Notice ..... 44

14.2 Computation of Time ..... 44

14.3 Cumulative Remedies ..... 44

14.4 No Waiver ..... 44

14.5 Survival of Obligations ..... 44

14.6 Controlling Law; Forum..... 44

14.7 Captions..... 44

14.8 Integrated Contract..... 45

14.9 Legal Authority ..... 45

14.10 Assignments and Subcontracts..... 45

14.11 Ownership & Reuse of Documents..... 45

14.12 Binding Effect ..... 45

14.13 Counterparts ..... 45



## SECTION 1

### Acronyms, Definitions & Usage Notes

**1.1 Acronyms:** When used in the Contract, the acronyms below have the following meaning:

AAC	Alaska Administrative Code
ABS	American Bureau of Shipping
ADA	Americans with Disabilities Act
AGMA	American Gear Manufacturers Association
AISC	American Institute Steel Construction
AISI	American Iron & Steel Institute
AMCA	Air Movement and Control Association
AMHS	Alaska Marine Highway System
ANSI	American National Standard Institute
AS	Alaska Statutes
ASHRAE	American Society of Heating, Refrigeration and Air Conditioning Engineers
ASME	American Society of Mechanical Engineers Boilers and Pressure Vessel Code
ASTM	American Society for Testing & Materials
AWS	American Welding Society
CFR	Code of Federal Regulations
CMGC	Contract Manager/General Contractor
COAR	Contracting Officer's Authorized Representative
CPM	Critical Path Method
DEC	Department of Environmental Conservation, State of Alaska
DEMA	Diesel Engine Manufacturer's Association
DOT&PF	Alaska Department of Transportation and Public Facilities
FHWA	Federal Highway Administration, U.S. Department of Transportation
GCs	General Conditions for CMGC Construction Services

GHS	General Hydro Statics
GMP	Guaranteed Maximum Price
GPs	General Provisions for CMGC Preconstruction Services
IEEE-45	Institute of Electrical and Electronic Engineers Standard No. 45
IFP	Instructions for Proposers
IMO	International Maritime Organization (also IMCO)
ISM	International Safety Management
ISO	International Standards Organization
ITB	Invitation to Bid
MARAD	Maritime Administration
MSC	Marine Safety Center, USCG Headquarters
MSS	Manufacturers Standardization Society of the Valves and Fittings Industry
NEC	National Electrical Code
NEMA	National Electrical Manufacturers Association
NFPA	National Fire Protection Agency
NTP	Notice to Proceed
NVIC	Navigation and Vessel Inspection Circular, USCG
OSHA	Occupational Safety and Health Administration
QA	Quality Assurance
RFI	Request for Information
RFP	Request for Proposals
SAE	Society of Automotive Engineers
SAR	Search and Rescue
SNAME	Society of Naval Architects and Marine Engineers
SOLAS	International Conventions for the Safety of Life at Sea
SSPC	Steel Structures Painting Council

STCW	Standards of Training Certifications and Watchkeeping
SWBS	Ship Work Breakdown Structure
UL	Underwriters Laboratory
USC	United States Code
USCG	United States Coast Guard
USPHS	United States Public Health Service
VEP	Value Engineering Proposal
WHO	World Health Organization

## 1.2 Definitions

For purposes of the Contract, the terms identified in this Section are defined as follows:

*Amendment:* A formal written contract modification used during the Preconstruction Phase, by which the Parties agree to an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Time, or other Contract change.

*Application for Payment:* Contractor's request for progress or final payment for satisfactory performance of Work.

*Bid Package:* A substantially complete set of Construction Documents from which a prospective Subcontractor or Supplier may submit a bid for services, Material, or Equipment.

*Biddability:* The clarity of procurement documents, the soundness of the Department's evaluation and selection criteria for acquisitions, and the ease of prospective bidders or proposers to understand the Department's requirements, thereby enabling the submission of a competitive bid or proposal that is responsive to the Department's requirements.

*Business day:* Any Monday, Tuesday, Wednesday, Thursday, or Friday, except if a legal holiday falls on any of these days, in which case that day is not Business day. If a State-observed holiday falls on a Saturday, then Saturday and the preceding Friday are both legal holidays. If the holiday falls on a Sunday, then Sunday and the following Monday are both legal holidays. The following is a list of legal holidays recognized in Alaska:

- New Year's Day - January 1
- Martin Luther King, Jr. Day - Third Monday in January
- Presidents' Day - Third Monday in February
- Seward's Day - Last Monday in March
- Memorial Day - Last Monday in May
- Independence Day - July 4
- Labor Day - First Monday in September
- Alaska Day - October 18
- Veteran's Day - November 11

- Thanksgiving Day - Fourth Thursday in November
- Christmas Day - December 25 and
- Any day designated by proclamation by the President of the United States or the Governor as a legal holiday.

*Change Order:* A formal written contract modification used during the Construction Phase, by which the Parties agree to an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Time, or other Contract change.

*Change Proposal:* A written request by Contractor, submitted in compliance with Contract requirements, seeking an adjustment in Contract Price or Contract Time, or both, through means of an Amendment.

*Constructability Review:* Review of industry capability to determine if the required level of tools, methods, techniques, and technology are available to permit a competent and qualified construction contractor to build the project feature in question to the level of quality required.

*Commissioner:* Commissioner of the Alaska Department of Transportation & Public Facilities.

*Construct:* To build, alter, repair, refurbish, maintain, improve, or demolish.

*Construction Documents:* Documents developed by the Design Consultant that contain drawings, standards, specifications, requirements, and information regarding Project design, Material, Equipment, systems, construction, performance, and/or workmanship. During the Preconstruction Phase, Construction Documents may undergo progressive, collaborative development by the Project Team.

*Construction Manager/General Contractor (CMGC) Method:* A project delivery method whereby an owner generally awards two separate contracts to a contractor: one for Preconstruction Services and another for Construction Services. In the Department's Contract, its General Provisions for CMGC Preconstruction Services (GPs) address the principal terms and conditions concerning the Preconstruction Phase of the Project and its General Conditions CMGC Construction Services (GCs) address the principal terms and conditions of the Construction Phase of the Project.

*Construction Phase:* The segment of the Project that generally follows the successful negotiation of a Guaranteed Maximum Price and the conclusion of the Preconstruction Phase, during which Contractor constructs its contracted work. through performance of Construction Services. (However, on certain projects, Early Work Package(s) may precede conclusion of the Preconstruction Phase.)

*Construction Services:* The work undertaken by a construction manager/general contractor, a/k/a CMGC construction contractor, to construct its contracted work. Construction Services include all procurement, including Material and Equipment, labor, services, and costs to perform, supervise, and administer physical construction of the Work and tender it for acceptance. The Department may authorize Construction Services through a single contract or through a combination of contracts covering portions of a Project. Depending on the Project, the Department may obtain Construction Services from more than one CMGC construction contractor.

*Contract (or Agreement):* The Preconstruction Services Contract Form, its incorporated documents, and subsequent Amendments, if any, that comprise the terms and conditions regarding Contractor's performance of Preconstruction Services for the Project. Depending on the Project, the Department may issue more than one Contract for Preconstruction Services.

*Contract Drawings:* Those Drawings developed by the Design Consultant during the Preconstruction Phase, with input from the Project Team, that contain certain design specifications and illustrate systems, aspects, or features of the Vessel, from which Contractor may not deviate during the Construction Phase unless the Department expressly approves such deviation in writing. Contract Drawings are intended to represent a cohesive, integrated design, they do not depict all features and details of the systems or structures, and hence are not final design drawings.

*Contract Price:* The compensation payable to Contractor for performance of its contracted Work.

*Contract Time:* The time allowed under the Contract, including any extension authorized by Amendment, for Contractor's completion of its contracted Work.

*Contracting Officer:* The State official authorized to enter and administer the Contract. The term includes authorized representatives of the Contracting Officer acting within the limits of his/her authority as delegated by the Contracting Officer.

*Contractor:* Unless the context otherwise indicates, the person(s) or entity(s) whom the Department has engaged to provide Preconstruction Services concerning the Project.

*Contractor's Contingency:* A Cost of the Work component that compensates the CMGC construction contractor for Direct Costs that might result from incomplete design, unforeseen and unpredictable conditions, or uncertainties within the defined project scope.

*Cost Model:* An open and transparent breakdown of the Project's scope of work into discrete components with corresponding costs assigned to each component. The Cost Model's purpose is to validate the Department's construction budget and to enable pricing of various alternatives during Functional Design in a manner that directly reflects how and when the alternatives may be built. The Cost Model identifies Contractor's Direct Costs, Indirect Costs, estimates, assumptions, methodology, and components used in developing and refining its Opinion of Probable Construction Cost and, ultimately, its Cost of the Work proposal. Contractor verifies resulting cost projections through the Open Book Method.

*Cost of the Work:* Direct Costs that a CMGC construction contractor will necessarily incur in proper performance of the Work. Cost of the Work comprises only those items identified in Section 13.2.

*Critical Path Method (or CPM):* A mathematically based algorithm for scheduling a set of project activities, used as a tool for effective project management. It involves constructing a model of the project including a list of all activities required to complete the project, the duration that each activity will require for completion, and the dependencies between the activities.

*Day:* A calendar day of 24 hours measured from midnight to the next midnight. Unless the Contract identifies a day as a Business day, all references to "day" mean a calendar day.

*Department (or Owner):* The Department of Transportation & Public Facilities (DOT&PF).

*Design Consultant:* The design professional with whom the Department has contracted to provide Design Services for the Project.

*Design Services:* Architectural and engineering services provided by the Design Consultant.

*Direct Costs:* In accordance with federal cost principles, those costs that can be specifically identified with a particular project function, activity, or task, e.g., Material, Equipment, labor, etc.

*Directive:* The Department's written communication to Contractor that enforces or interprets a Contract requirement or directs Contractor to commence or suspend an item of work within the general scope of the Contract.

*Early Work Package:* A portion or phase of physical construction work that is procured before all design work for the Project is complete. The Department may procure an Early Work Package when construction risks have been addressed and the scope of work is defined sufficiently for the Department and Contractor to reasonably determine price.

*Equipment:* Unless the context otherwise indicates, all machinery, electronics, appliances, and other components installed on the Vessel that enable it to operate and function in a manner that fulfills the Vessel's intended purpose as a safe, reliable, and efficient public ferry vessel.

*Federal Aid Project:* A project on which the Department uses participating federal monies to fund the Work, either in whole or in part.

*Fixed Fee:* The fee that the Department pays to a CMGC construction contractor for Construction Services performed during the Construction Phase or an Early Work Package. It represents compensation for profit plus the portion of Indirect Costs allocated to the Project.

Stated as an equation:  $\text{Fixed Fee} = \text{Fixed Fee Percentage} \times \text{Cost of the Work}$ .

*Fixed Fee Percentage:* The numeric value that Contractor identifies in its price proposal in response to the Department's Request for Proposals, whereby Contractor proposes that the Department multiply that numeric value, or percentage, by the Cost of the Work to derive Contractor's Fixed Fee for performance of Construction Services.

*Functional Design:* The Project design that generally results from Design Consultant's provision of Design Services during the Preconstruction Phase and defines all material aspects of the Project to a sufficient degree that enables the Contractor to construct a product that satisfies the Department's specified requirements, features, and functions. The Functional Design process will culminate with the Design Consultant's generation of Contract Drawings.

*Funding Agency:* An agency of a federal, state, or local government that furnishes funds for the Project.

*General Conditions for CMGC Construction Services (or GCs):* The Department's general contract provisions that prescribe terms and conditions for performance of Construction Services during the Construction Phase and for Early Work Packages.

*Guaranteed Maximum Price (or GMP):* The not-to-exceed dollar amount for which Contractor agrees to accomplish all Work required by its Construction Services contract during the Construction Phase or an Early Work Package. GMP is the sum of two components, namely negotiated Cost of the Work plus Contractor's Fixed Fee.

Stated as an equation:  $GMP = \text{Cost of the Work} + \text{Fixed Fee}$ .

*Independent Cost Estimate:* A construction cost estimate for the Project that is prepared independently from any cost estimates of the Department, the Design Consultant, and Contractor.

*Independent Cost Estimator (or ICE):* The person or entity with whom the Department contracts to perform the Independent Cost Estimate and to advise the Department on cost-related issues.

*Indirect Costs:* In accordance with federal cost principles, those costs not readily identified with a specific project or organizational activity but incurred for the benefit of multiple projects or activities. Indirect costs might include costs that are frequently referred to as overhead expenses, *e.g.*, home office rent and utilities, officers' salaries, accounting department costs and personnel department costs.

*Material:* Item or substance incorporated into construction of the Project.

*Milestone:* A point along the Project continuum that signifies a change or stage in Work performance.

*Milestone Meeting:* Principal interval along in the Project development continuum when the Project Team assembles to review and address Project objectives, which include:

- Ensuring a constructible and cost-effective design that is consistent with the design intent and design standards;
- Confirming that all Work has been included and described in sufficient detail for that stage of design to ensure complete pricing of Work;
- Discussing assumptions on means and methods, and construction staging or sequencing of Work that affects cost;
- Reconciling quantity and cost differences between the Parties' respective estimators;
- Identifying any errors, omissions, ambiguities, or other items that need to be corrected;
- Addressing other prescribed topics; and
- Fostering constructive discussion between the parties concerning Project-related issues.

*Notice to Proceed (or NTP):* Department's written notification that authorizes Contractor to initiate performance of Work in accordance with the NTP and Contract requirements.

*Open Book Method:* The cost accounting process by which Contractor discloses to the Department and the Independent Cost Estimator all relevant data and information upon which it relies in formulating its Opinions of Probable Construction Cost and, later, its Cost of the Work/GMP proposal. If requested, the Department will enter an agreement with Contractor to safeguard disclosed cost information that Contractor deems confidential. See Appendix A for Confidentiality Agreement form.

*Opinion of Probable Construction Cost (or OPCC):* Contractor's estimate of the cost to perform its intended Construction Services and construct its contracted Work. With the aid of its Cost Model, Contractor develops and refines its OPCC during the Preconstruction Phase. Ultimately, this iterative process results in Contractor's Cost of the Work proposal, which is a component of its GMP proposal.

*Owner's Estimate:* The Department's estimate used for comparison with and evaluation of each OPCC. The Department may elect to have the Independent Cost Estimate serve as the Owner's Estimate.

*Parties:* The Department and Contractor(s).

*Preconstruction Phase:* The period of the Project that precedes the Construction Phase, during which Contractor(s), the Department, the Design Consultant, and the Independent Cost Estimator collaborate and perform their respective preconstruction responsibilities.

*Preconstruction Services:* The information, research, advice, and related tasks that a construction manager/general contractor provides to the Department and its Design Consultant concerning the impacts of design on the physical construction of a Project, including scheduling work sequencing, cost engineering, constructability, cost estimating, risk identification, project innovations, and Early Work Packages. These varied services are addressed in greater detail throughout the Contract. Depending on the Project, the Department may obtain Preconstruction Services from more than one Contractor.

*Product Data:* Illustrations, standard schedules, performance charts, instructions, brochures, cutsheets, diagrams, communications, and other information furnished by the Contractor to illustrate Equipment, Material, product, or system for some portion of the Work.

*Production Design:* A CMGC construction contractor's design responsibility that starts once the Department has completed relevant Functional Design and has entered a Construction Services Contract with the contractor or issued an Early Work Package. It is design work that is necessary to directly support the production processes and construction of the Project. Production Design is often multi-purposed. It may:

- Demonstrate how a CMGC construction contractor intends to implement the design concepts expressed in the Department's completed Functional Design, *e.g.*, implementation of the contractor's means, methods, techniques, sequences, and procedures of construction;
- Provide a process for revising or supplementing the completed Functional Design, subject to the Department's prior approval; and
- Serve as a means of guidance for and coordination of the Work by a CMGC construction contractor, subcontractors, and suppliers.

*Production Documents:* Shop drawings, diagrams, calculations, computer imagery, and other data prepared during the Production Design process by a CMGC construction contractor (or its subcontractor of any tier, supplier, manufacturer, or distributor) to illustrate some portion of construction work.

*Project:* The organized undertaking to complete a specific set of predetermined objectives for the planning, design, and construction of a State asset, property, or facility. The Department's Request for Proposal identifies in greater detail the subject and nature of the Project.

*Project Schedule:* Using the Critical Path Method, a schedule that Contractor(s) prepares and the Department and Contractor(s) use during the Construction Phase for their respective purposes, which may include Project coordination, evaluating construction progress, assessing proposed Contract changes, and processing Applications for Payment.

*Project Team:* Representatives from the Design Consultant, Contractor(s), and Department, including the ICE, working in collaboration during the Preconstruction Phase on any given Project task. The individual representatives of the respective Project Team members may vary at times depending upon the task at hand.

*Quality Control Plan:* Contractor's program intended to ensure that its contract performance and the results of that performance meet the quality and compliance standards of the Contract and Regulatory Requirements.



*Reasonable Efforts:* With respect to a given goal, the efforts that a reasonable person in the position of the promisor or obligated person would use to achieve that goal as expeditiously as possible.

*Regulatory Body:* Any international, national, state, or local government agency or any public or private organization having regulatory, auditing, or enforcement jurisdiction over any aspect of the Work.

*Regulatory Requirements:* Relevant laws, statutes, regulations, rules, ordinances, codes, and standards of any Regulatory Body.

*Request for Proposal (or RFP):* The Department's published solicitation that identifies its needs concerning the subject Project and has invited proposals from offerors to meet those needs.

*Risk Management Plan:* The plan that includes Project risk identification, assessment, and completion of a Risk Register detailing risks, mitigation, and the assigned responsibility for each risk.

*Risk Register:* A listing of Project risks and opportunities, risk assessments, and an evaluation of mitigation and responsibility for those risks.

*Sample:* Physical example that illustrates Material, Equipment, or workmanship, and establishes standards by which item or work shall be judged.

*Schedule of Bid Items:* Part of the Construction Documents that includes an itemized identification of the Work by division and section of the Specifications.

*Schedule of Values:* A detailed schedule prepared and updated by a contractor during the Construction Phase that apportions the construction contract sum and all Change Orders among all cost code divisions or portions of the Work and used as the basis for reviewing Contractor's Application for Payment

*Ship Work Breakdown Structure (or SWBS):* A deliverable-oriented grouping of project elements that aids in organizing and identifying the Scope of Work for a vessel construction project. Each descending level represents an increasingly detailed definition of a project component or phase. Project components or phases may be products or services.

*Shop Drawings (a/k/a Working Drawings):* See Production Documents.

*Specifications:* Written statement of particulars, standards, requirements, and specifications for Material, Equipment, systems, and workmanship, as well as certain administrative requirements and procedural matters applicable to the Project, to which the contractor shall adhere in construction of the Project.

*State:* State of Alaska.

*Subcontracting Plan:* The plan developed by Contractor that outlines Contractor's expected approach to subcontracting in the Construction Phase of the Project, if awarded the construction contract.

*Subcontractor:* A person or entity to whom Contractor has sublet a portion of the Contract and who provides services, Work Product, and/or items to Contractor as identified in the subcontract.

*Submittal:* Document, information, or Sample that Contractor furnishes to the Department for approval before an item is purchased, fabricated, delivered, incorporated, or otherwise adopted in connection with Contractor's contract performance. A Submittal may take different forms, including a Production Document, image, Product Data, or a Sample. Generally, Submittals enable the Department to confirm that Contractor's proposed articles, systems, etc. comply with the Construction Documents.

*Submittal Schedule:* A schedule, prepared and maintained by Contractor, of required Submittals and the time requirements for the Department's review of the Submittals and the performance of related activities.

*Supplemental Agreement:* A negotiated, formal written agreement in which the Department identifies additional work that is beyond the general scope of the parties' initial Contract that Contractor agrees to perform.

*Supplementary Provisions:* The Contract Document that amends or supplements these General Provisions.

*Supplier:* A manufacturer, fabricator, vendor, distributor, or materialman having a direct contract with Contractor or a Subcontractor to furnish Material or Equipment for incorporation in the Work and who, either individually or through its employees, engages in no more than an incidental amount of construction activity, if any, on site.

*Work:* Depending on the context, the labor, services, procurement, and deliverables provided or to be provided by Contractor to fulfill its obligations during the Preconstruction or Construction Phase, as applicable.

*Work Product:* Research, studies, calculations, estimates, schedules, charts, models, registers, matrices, plans, drawings, drafts, diagrams, opinions, instructions, reports, data, digital images, videos, photographs, audio files, memoranda, communications, and other materials prepared or revised by Contractor, its Subcontractor(s) or Supplier(s) in the performance of its obligations under the Contract.

### **1.3 Usage notes:** Unless the context otherwise requires:

1.3.1 The word "includes" or "including" means "including, but not limited to".

1.3.2 If a provision within these General Provisions refers to a Section number, then the referenced Section resides within these GPs unless otherwise stated.

1.3.3 If a word or phrase is defined, its other derivative forms have a corresponding meaning.

1.3.4 Words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract in accordance with such recognized meaning.

## **SECTION 2**

### **General Terms**

2.1 The Contract comprises more than one document. The documents are complementary. As a rule, what is required by any one document shall be as binding as if required by all. The intent of the Contract is to include all items and services necessary for the proper execution and completion of the Work. A work-item not explicitly addressed in the Contract shall be required unless it is not consistent therewith and is not reasonably inferable therefrom as being necessary to produce the intended results.

2.2 Contractor represents to the Department that by executing the Contract, it has reviewed and considered Project information, including the Project goals, the Contract, the Construction Documents prepared to date, and the Department's budgetary and scheduling constraints. Based upon its review and assessment, Contractor represents to the Department that it can and will devote all resources, services, and effort necessary to properly fulfill the requirements of its Preconstruction Services Contract.

2.3 Contractor will take its direction from the Department in performance of Preconstruction Services. Contractor shall perform the Work as required by the Contract and as authorized by sequentially numbered Notices to Proceed (NTP). Contractor shall not perform services or incur billable expense except as authorized by a corresponding NTP.

2.4 Contractor acknowledges that the Department has engaged Contractor for its subject matter knowledge, expertise, and judgment. In rendering contract performance, Contractor shall exercise these skills and fully cooperate with the Department, its Design Consultant, and other Contractors, if any, in furthering the Department's Project interests and goals. Contractor shall furnish prompt and efficient business administration, project management, and superintendence, and shall use Reasonable Efforts to provide Preconstruction Services in a prompt, economical manner. Among other things, Contractor shall attend all required meetings and provide review, verification, comments, estimates, and recommendations concerning the planning, design, procurement, and construction of the Project. Contractor will communicate and collaborate with other Project Team members in a prompt and professional manner and simultaneously copy the Department on written communication sent to another Project Team member.

2.5 Contractor acknowledges that the Work is in the developmental stage and not fully defined. If an ambiguity, duplication, overlap, or conflict of the responsibilities appears to exist between the Design Consultant and Contractor, the Department will decide the matter, after considering any relevant input from the respective entities. Contractor shall abide by the decision of the Department provided it does not require the performance of Work beyond what is objectively reasonable.

2.6 Contractor shall use reasonable care in performing Preconstruction Services. The Department, the Design Consultant, and other Contractors, if any, are entitled to rely on, and shall not be responsible for, the accuracy, completeness, and timeliness of Contractor's information and services. However, Contractor does not warrant or guarantee estimates or schedules preceding a negotiated Guaranteed Maximum Price and execution of a contract for Construction Services.

2.7 Contractor is not responsible for furnishing architectural or professional engineering services during the Preconstruction Phase. Yet, if awarded a contract for Construction Services, Contractor is responsible for Production Design.

2.8 The Department's award of the Preconstruction Services Contract provides no assurance or basis for reliance that the Department will award Contractor a contract for Construction Services. Rather, an award of a contract for Construction Services to Contractor will depend, in part, upon the Parties' successful negotiation of a Guaranteed Maximum Price.

2.9 Regardless of the Department's award of the Preconstruction Services contract to Contractor, it reserves the right to pursue other project delivery methods not involving Contractor or to terminate the Project.

2.10 If the Contractor providing preconstruction services and the Department do not agree on a Guaranteed Maximum Price for providing construction services, the Department may seek an alternative Contractor through competitive bids or proposals for construction of the Project. The department may prohibit the Contractor providing preconstruction services from participating in the subsequent process, providing a determination has been made. The Department will provide the Contractor with written notification of its determination, no later than 14 days before the competitive solicitation is advertised.

2.11 The Parties acknowledge that this Project is subject to and contingent upon continued availability of federal and state funds.

## **SECTION 3**

### **Contractor Responsibilities**

Contractor shall provide timely and professional Preconstruction Services in accordance with the Contract, Regulatory Requirements, and other applicable standards and otherwise fulfill its contractual responsibilities to the Department, which are addressed in Section 3 and elsewhere in the Contract.

#### **3.1 Administration**

3.1.1 Within 30 days of the initial Project Team meeting, Contractor shall submit a Construction Management Plan (CMP) to the Department. Thereafter, Contractor shall submit updated CMPs to the Department each month until the Department otherwise directs in writing. The CMP shall include the following:

- (a) Organizational chart of Contractor's Project team;
- (b) Communications protocol;
- (c) Project Milestone dates and initial Project Schedule;
- (d) Strategies for fast-tracking or phasing the construction;
- (e) A list of possible work segments amenable to construction under Early Work Packages, if any, along with supporting justification;
- (f) Anticipated sub-agreements to be awarded to Subcontractors and Suppliers in connection with Project construction;
- (g) Permitting/certification finalization and compliance strategy;
- (h) Safety and training programs;
- (i) Construction quality control;
- (j) Construction security plan; and
- (k) List of Contractor's deliverables and Submittals during the Preconstruction Phase.

Contractor shall update and add detail to its previous version of the CMP to keep it current throughout the Preconstruction Phase so that the CMP is ready for implementation at the start of the Construction Phase if Contractor is awarded the construction contract. As applicable, the update/revisions shall account for such matters as:

- (l) Revisions in Construction Documents;
- (m) Contractor's examination of the results of any additional investigatory assessment, whether obtained by the Department, the Design Consultant or Contractor;
- (n) Unresolved permitting/certification issues;

- (o) Status of the procurement of long-lead time Material or Equipment;
- (p) Funding issues identified by the Department; and
- (q) Input from any public involvement process.

3.1.2 The experience and skill of key individuals that Contractor identified in its response to the RFP (collectively, “Key Personnel”) was a material factor in the Department’s determination to award the Contract to Contractor. Accordingly:

- (a) The Department will include the identity of Key Personnel to the Supplementary Provisions.
- (b) The Key Personnel shall be available to complete the Work on the Project at whatever level the Project requires. Contractor may not change Key Personnel without the Department’s written approval. Examples of the Department’s grounds for approval include death of a team member, change in employment status, organizational conflict of interest, or other such significant cause.
- (c) To secure the Department’s approval, Contractor must submit a written request to the Department for consideration as soon as possible after the status-affecting event. The request must identify the nature of the desired change, the reason therefor, and an explanation of how the desired change will meet the required qualifications for the position/responsibility.
- (d) Unless the Department otherwise directs in writing, all Key Personnel shall attend the initial Project Team meeting, which will promptly follow Contract award and the Department’s issuance of a Notice to Proceed.
- (e) In advance of the initial Project Team meeting, the Department will provide Contractor with the current iteration of the Construction Documents for its review and general collaboration with the Department and Design Consultant.

### **3.2 Accounts/Records Management; Audit**

3.2.1 Contractor shall keep full and detailed accounts and exercise such controls as may be necessary for proper financial management under the Contract. Contractor’s accounting and control systems shall meet Generally Accepted Accounting Principles issued by the Financial Accounting Standards Board. Among the Project-related records Contractor must properly compile and maintain are those relating to:

- (a) Contract performance, including drawings, Work specifications, contracts, Subcontracts, Amendments, work orders, purchase orders, invoices, receipts, Directives, written interpretations, and clarifications;
- (b) Project costs, pricing, expenses, including payroll records that identify the name and address of each employee, his/her correct job classification, rate of pay, daily and weekly number of hours of work, deductions made, and actual wages paid;
- (c) Project funding;
- (d) Regulatory compliance; and

(e) Written communication generated and received sent regarding the Project.

Contractor shall preserve these records for a period of three years after the final payment in connection with the Project or final resolution of any settlement proposal or claim resulting from contract termination or contract dispute, or for such further period as may be necessary to resolve any matters that may be pending.

3.2.2 Contractor shall afford full access to and shall permit any authorized representatives of the Department and the Funding Agency to investigate, examine, audit, and copy Project-related records referenced in Section 3.2.1.

3.2.3 Circumstances giving rise to the Department's investigation, examination, auditing, and copying of Contractor's records include evaluation and verification of Contractor's:

- (a) Pending or past contract modifications, invoices, applications for payment, or claims submitted by Contractor or any of its Subcontractors at any tier or Suppliers;
- (b) Direct and Indirect Costs, including overhead and payroll tax and fringe benefit allocations;
- (c) Acquisition of Subcontractor or Supplier warranties;
- (d) Compliance with Contract provisions; and
- (e) Contract claim

3.2.4 Upon request, Contractor shall promptly deliver to the Department, the Funding Agency, or their respective designee copies of all records in the manner and format requested.

3.2.5 Upon reasonable notice, Contractor shall provide the Department with access to its facilities, permit the Department to interview current employees regarding matters under investigation, and provide the Department with adequate workspace to interview employees and to examine such records.

3.2.6 If an investigation reveals cumulative Contractor overcharges to the Department more than one-quarter percent of the Contract Price, Contractor shall immediately reimburse the Department for the overcharges. Contractor shall also reimburse the Department for the documented cost of its investigation.

3.2.7 If Contractor fails to comply with any conditions in this Section, the Department may retain a maximum of 10% of the amount of each payment request submitted until such deficiencies are corrected.

3.2.8 The requirements in this Section do not mitigate, lessen, or change any other requirements in the Contract or under applicable law regarding audits, payment submissions, records, records retention, misrepresentation, or fraud.

### **3.3 Design-Related Preconstruction Services**

Upon initial Contract award to Contractor, and at designated Milestones thereafter, the Department will provide a set of iterated Construction Documents to Contractor and Contractor will render design-related Preconstruction Services, which include those addressed in Sections 3.3.1-3.3.6.

3.3.1 Contractor shall review and assess the Construction Documents. Contractor is responsible for determining if the Project elements related to its intended Construction Services are constructable using commercially practicable means and methods; that the construction work is described in the Construction Documents with sufficient completeness to enable pricing of a complete project within a Guaranteed Maximum Price; and that the manner of presentation and organization of information in the Construction Documents enables accurate estimation of the Cost of the Work. Contractor shall bring to the Department's attention all design errors and omissions it has discovered that might affect Contractor's ability to accurately estimate the Cost of the Work or that may adversely impact proper construction.

3.3.2 Contractor shall evaluate the Department's design related to its intended Construction Services, compare it to the construction scope of work, the Department's allotted construction budget and construction schedule, and determine whether the scope can be executed within those constraints. A validated design is one that a qualified contractor can construct within the Department's budget and schedule constraints.

3.3.3 If Contractor's review of the Construction Documents suggests that the Department's scope of work for the Project or a discrete element exceeds budgetary or schedule constraints, it shall assist the Department in refining the scope to ensure that the work conforms to the budget and schedule constraints.

3.3.4 Consistent with any Department-issued NTP, Contractor shall conduct systematic review of a design element, a system, or a process with the goal of improving performance, quality, safety, and life-cycle costs.

3.3.5 Contractor shall:

- (a) Advise the Department regarding current construction industry practices and any concerns regarding timely availability of Material or Equipment;
- (b) Furnish the Department with recommendations concerning alternative Material and Equipment, if any; and
- (c) Provide current pricing data and availability for specified Material and Equipment as well as any alternative Material and Equipment options.

3.3.6 The Contractor a construction plan for review by the Department within 45 days of contract award. The Construction plan will be updated and resubmitted at each agreed Milestone.

### **3.4 Weight Related Preconstruction Services**

3.4.1 Contractor shall prepare a detailed weight control plan and weight estimate for the lightship of the vessel, or its specific scope of supply, in accordance with Technical Specification (TS) 1D.8, TS 1E.4, and TS 1E.5. Contractor shall continually update weight estimates and submit them on the same schedule as the cost estimates

3.4.2 By the end of the Preconstruction Phase, the Project Team must arrive at an accepted weight estimate,

---



whereby the Team agrees that the lightship characteristics in the weight estimate are achievable, that the weight estimate includes an acceptable construction margin and an Owner change margin, and that the resulting lightship weight and vertical center of gravity are less than the required values.

### **3.5 Opinion of Probable Construction Cost**

3.5.1 Before development of the first Opinion of Probable Construction Cost (OPCC), but no later than 7 days after the initial Project Team meeting, the Department, the ICE, and Contractor will resolve necessary details regarding the Cost Model, *e.g.*, specific cost coding structure, estimating guidelines, assumptions, etc., to ensure that the OPCC and the Independent Cost Estimate are developed in a consistent manner and the Department and ICE can readily compare competing estimates and work toward reconciling differences. Lump sum estimates are unacceptable.

3.5.2 Contractor shall develop and submit to the Department an initial OPCC estimate within 45 days of the date that the Parties resolved Cost Model details per Section 3.5.1. Thereafter, Contractor shall provide the Department with updated OPCCs at Functional Design Milestones as set following Contract Award, unless the Department otherwise directs.

3.5.3 Contractor shall consult with the Design Consultant to determine what Material, Equipment, and other construction items the Construction Documents will require for Project construction. Contractor shall base its estimates on quantitative take-offs whenever possible.

3.5.4 On a Federal-Aid Project, Contractor's costs and cost estimates in the OPCC shall comply with federal cost principles.

3.5.5 When preparing its OPCC, Contractor shall:

- (a) Maintain an up-to-date Cost Model that includes all details underlying the OPCC, when submitted. These details shall include all Cost of the Work, Subcontractor and Supplier quotes, as well as assumptions, calculations, risk pools, and any other agreed items.
- (b) Produce the OPCC through use of the Open Book Method, enabling assessment of Contractor's assumptions, costs, and calculations;
- (c) Acquire quotes from potential Subcontractors and Suppliers (multiple quotes are desired). Contractor shall share this information in the open Cost Model and require that its prospective Subcontractors and Suppliers share their information, quotes, and Product Data with the ICE, the Department, and the Design Consultant, if requested; and
- (d) Not include Contractor's Indirect Costs or anticipated Profit, Contractor's Preconstruction Services compensation, the Design Consultant's compensation, or any cost for which the Department is independently responsible.

3.5.6 The Department will retain the ICE's Independent Cost Estimate and the Owner's Estimate for its own use. It will not furnish Contractor with either estimate, although the Department may permit the ICE to share discrete cost items during reconciliation meetings at Milestones and GMP negotiations.

3.5.7 During the Preconstruction Phase, Contractor may not communicate with the ICE outside the

Department's presence. Contractor will channel all communications with the ICE through the Department.

3.5.8 The Department will compare Contractor's OPCC with the ICE's Independent Cost Estimate using a unified line-item format prescribed by the Department that will enable line-by-line comparison and interpretation of estimates. If Contractor's OPCC and the Independent Cost Estimate are not within an acceptable margin, the Department will conduct a review to determine the cause for such difference.

3.5.9 If disparity exists between estimates, the Parties and the ICE shall attend a cost reconciliation meeting. Typically, the cost reconciliation meeting will be a component of the Milestone Meeting. However, Contractor will attend cost reconciliation meetings at such additional or alternative times as the Department may require upon 3 days written notice.

- (a) The purpose of the meeting is to review cost assumptions and attempt to reconcile cost differences between Contractor's OPCC and the ICE's Independent Cost Estimate.
- (b) If the Department and Contractor agree upon changes to cost assumptions, Contractor will make necessary adjustments to the Cost Model and OPCC to reflect these changes and resubmit them to the Department for review and approval. Contractor shall carry forth such changes in any ensuing OPCC.

3.5.10 Seven business days before a Milestone Meeting, Contractor shall submit to the Department its OPCC, the supporting Cost Model, a summary that identifies all documents and assumptions that Contractor used to develop the OPCC, and a pre-Meeting variance report. The variance report shall identify differences, if any, between Contractor's current and previously furnished OPCC.

3.5.11 As part of, or prior to, each Milestone Meeting the Department and Contractor will review all cost variances between the OPCC and the ICE's estimate. The Preconstruction Phase may not advance to the next milestone until identified variances are resolved or determined to be within an acceptable margin by the Department.

3.5.12 After each Milestone Meeting, Contractor shall prepare a post-Meeting variance report that identifies changes, if any, to the information it had reported in its pre-Meeting variance report and the reason(s) for the changes. Also, the report shall identify any known quantitative difference that exists between (i) an OPCC line-item estimate and (ii) the corresponding line-item in the ICE's estimate, the amount of the difference, and whether the difference is caused by a difference in labor quantity, labor unit price, Material/Equipment, or other cost component. Contractor shall furnish the Department with the report within 14 days after the Milestone Meeting. If Contractor does not report of any changes, the Department will conclude that none exist.

3.5.13 Between OPCC submissions, Contractor shall provide and update a construction cost report on a monthly basis or such other interval designated by the Department that identifies the upward or downward movements of anticipated costs due to value engineering, scope changes, and Department requested design modifications. Contractor shall keep the Department informed as to the changes in costs relative to previous estimate versions and the Department's construction budget.

3.5.14 At any time Contractor has reason to believe that the OPCC will likely exceed the construction budget for an item within Contractor's scope of work, it shall promptly notify the Department. Additionally, Contractor shall demonstrate the accuracy of its estimate in such detail as the Department reasonably requires and make recommendations for corrective action consistent with the construction budget.

3.5.15 The Department will cooperate with Contractor to keep the Work within the construction budget, for instance by giving of appropriate and reasonable consideration to Contractor's recommendations, approving redesign, providing constructability reviews and reports, deductive alternatives or reductions in Work, requesting additional value engineering, or making modifications to the Construction Documents. Also, the Department may exercise other rights or remedies, *e.g.*, terminate the Contract for convenience.

3.5.16 Contractor and the Design Consultant are mutually obliged to cooperate and use Reasonable Efforts to permit Contractor's timely development of OPPCs and, ultimately, Contractor's GMP proposal. Similarly, Contractor and the ICE are mutually obligated to cooperate and use Reasonable Efforts to reconcile their respective cost estimates at agreed Milestone intervals so as not to unduly delay prosecution of the Preconstruction Phase.

### **3.6 Schedule-Related Preconstruction Services**

The Project Schedule shall be a rational, reasonable, and realistic plan for completing the Construction Services that Contractor intends to perform during the Construction Phase, and conform to the requirements specified in this Section and elsewhere in the Contract. Contractor acknowledges that the preparation and proper management of the Project Schedule is a material component of the Preconstruction Services contract and, later, the Construction Services contract, if awarded. Within 45 days of the initial Project Team meeting, Contractor shall submit to the Department a preliminary Project Schedule using the Critical Path Method (CPM). The schedule duration shall span the Construction Phase and account for all construction-related activities.

#### **3.6.1 Technical Requirements:**

- (a) Contractor shall develop the Project Schedule using commercially available CPM scheduling software as approved by the Department. The level of detail of the Contractor's schedule shall be a function of the complexity of the Work involved. At a minimum, the CPM schedule shall be developed to the Specification Section (pay item) level.
- (b) The Project Schedule shall include all activities necessary to successfully perform the Construction Services and incorporate Milestone events specified by the Department, including Notice to Proceed, keel laying, launch, post-launch drydocking, builder's sea trials, Substantial Completion, operational acceptance, and other Milestones related to specified work phases. The Project Schedule shall also include Contractor-defined Milestones to identify target dates for critical events based upon Contractor's chosen sequence of work. The incorporated schedule content, *e.g.*, work activities, deadlines, etc. is subject to the Department's approval.
- (c) Activities shall be sufficiently detailed and limited in duration to enable proper planning and coordination of the Work, effective evaluation of the reasonableness and realism of the Project Schedule, accurate monitoring of progress, and reliable analysis of schedule impacts.
- (d) Activity durations shall be based upon reasonable and realistic allocation of the resources required to complete each activity, given physical and logistical constraints on the performance of the Work. All logic shall validly reflect physical or logistical constraints on relationships between activities. Except for the first and last activities in the Project Schedule, each activity shall have at least one predecessor and one successor relationship to form a logically connected network plan from notice to proceed to the contract completion date.

- (e) Contractor shall cost-load activities that it enters in the Project Schedule. The assigned dollar value of each activity of the network shall cumulatively equal the total of Contractor's current OPCC. The activities and resource loading must correspond with data contained in Contractor's Cost Model. Costs for mobilization, bonds, permits, insurance costs may be shown separately from cost-loaded Schedule activities. Contractor shall not include Indirect Costs or profit within the cost loading. Rather, the Department compensates for these items through Contractor's Fixed Fee, which is a component of the GMP.
- (f) Critical path work (pay) items shall be broken down to the sub-task level.
- (g) Contractor shall assign manpower loading for each activity of the network. In addition, Contractor shall prepare and submit a separate manpower summary analysis in graphic format depicting manpower by Subcontractor and aggregate. The graph(s) shall show the number of man-days of effort, by month, over the duration of the Project Schedule.
- (h) For each Project Schedule activity, the following requirements apply:
  - (1) Activity durations shall be whole working days with a maximum duration of the 21 days each, unless otherwise approved by the Resident Engineer;
  - (2) Contractor shall identify all activities on a 5-day workweek calendar and shall provide a list of all planned holidays or other periods of shutdown and anticipated periods of overtime or multiple shift work.

3.6.2 Contractor shall recommend logical sequencing of work to promote schedule compliance and project efficiency.

3.6.3 Seven business days before each Milestone Meeting, and at such other intervals as the Department may direct, Contractor shall provide the Department with the current iteration of the Project Schedule for the Construction Phase.

3.6.4 If the Department finds that the Project Schedule does not comply with any Contract requirement, it will provide written notice to Contractor. Within 3 business days of written notice, or such other time as the Department specifies, Contractor shall take one of the following actions:

- (a) Revise the Project Schedule; or
- (b) Provide sufficient information demonstrating compliance.

If Contractor fails to adequately address the Department's exceptions to the Project Schedule, the Department may withhold a sum of up to 10% from all future payments to Contractor until the Preconstruction Services Phase is complete or until Contractor has complied with Project Schedule requirements; alternatively, it may terminate the Contract for cause.

3.6.5 The Project Schedule is subject to Department review and may require revision. Contractor acknowledges that the purpose of the review and resolution of exceptions is to maximize the usefulness of the Project Schedule for planning and performance of the Construction Phase.

3.6.6 If Contractor proposes a revision to the version of the Project Schedule that the Department has most recently approved, Contractor shall submit a written narrative to the Department that identifies the substance of the revision, the rationale for the revision, and the impact of the revision on the projected substantial completion

date and the available float for all activities.

### **3.7 Risk-Related Preconstruction Services**

3.7.1 Contractor shall develop a Risk Management Plan. The intent of this Plan is to establish a framework that enables effective identification, management, and communication of Project risks and mitigation opportunities throughout the Construction Phase concerning its contracted Work. The Plan shall include risk management team roles and responsibilities.

3.7.2 In collaboration with other Project Team members, Contractor shall:

- (a) Develop a risk register applicable to the Construction Phase. This register shall detail all identified risks, including description, cause, probability of occurring, impact(s) on objectives, proposed mitigation measures, risk probability after mitigation measures, and the party(s) responsible for implementation of mitigation measure(s); and
- (b) Develop a risk matrix that identifies the importance of each risk based on its probability and consequence levels

3.7.3 Contractor shall provide the Department with a copy of the current risk register and matrix seven business days before each Milestone Meeting, at which the Project Team will review and update the register, as appropriate.

### **3.8 Procurement Related Preconstruction Services**

3.8.1 Contractor shall develop a Subcontracting Plan for the Department's review that outlines Contractor's procedure for competitive award of Work to qualified Subcontractors. The Plan shall:

- (a) Include a schedule that identifies steps in the Subcontractor qualification and bid/proposal process;
- (b) Identify Contractor's criteria for assessing Subcontractor qualification and selection for award of a Subcontract. Contractor acknowledges that the criteria will seek to further a common goal of promoting the best interests of the Project while insuring there is adequate competition and competitive pricing.

3.8.2 The Subcontracting Plan shall identify Work that Contractor proposes to self-perform and identify how Contractor will ensure compliance with the 30% minimum requirement imposed by 23 C.F.R. §635.116.

3.8.3 The Subcontracting Plan shall address Contractor's bid package strategy and schedule to support the design and construction schedule. Contractor shall advise the Department and its Design Consultant on design concepts and a bid package schedule to facilitate meeting that schedule. The strategy shall identify the division of the work to facilitate bidding and award of subcontracts, considering such factors as the sequence of construction, improving or accelerating construction completion, and other relevant issues.

3.8.4 Contractor shall obtain subcontractor bids based upon Construction Documents issued by the Department expressly for this purpose. Contractor shall present to the Department a list of the bids submitted by approved subcontractors and identify the bid intended for award. Contractor shall use the identified bid to develop and refine its OPCC. If requested, Contractor shall provide the Department with bids/proposals and evaluations concerning prospective Subcontractors.

3.8.5 Contractor shall submit its initial Subcontracting Plan within 45 days of contract award. A final Subcontracting Plan shall be submitted prior to GMP acceptance. Contractor shall include all supporting documentation along with its Submittal of the final Plan update.

3.8.6 Contractor shall develop purchase technical specifications (PTS) for Material and Equipment that fall within its intended scope of work and, consistent with Submittal requirements in Section 4, furnish each proposed PTS to the Department for approval. Equipment requiring a PTS includes that listed below:

Each PTS shall contain a full technical description of the Material or Equipment to be ordered. Contractor will ensure that the Supplier has identified installed weight (with and without fluids, if applicable), and has certified that these values are within the manufacture's specified tolerances. Contractor shall provide the Department with the manufacturer's Product Data that lists all characteristic and optional items for the product.

Consistent with Section 4, Contractor shall submit for the Department's approval a PTS for any item that Contractor requests a substitution of Material or Equipment from that which the Department has specified or Contractor has previously proposed. The PTS shall clearly identify the salient characteristics of the proposed item to enable comparison to the previous item.

3.8.7 Contractor shall furnish information regarding Material and Equipment that have the greatest probability of increase during the Project, the range of likely increase expressed in percentage, and proposed strategies for avoiding cost escalation for such items.

3.8.8 Contractor shall identify Material and Equipment that require long lead-time, make all Supplier inquiries necessary, and verify delivery times of all Material and Equipment necessary to ensure timely order, purchase, and delivery of such items and enable Contractor's compliance with any later-adopted Project Schedule.

### **3.9 Preconstruction Services Matrix**

Through Supplementary Provisions or other means, the Department may adopt a Preconstruction Services Matrix that further identifies tasks and deliverables for which Contractor is contractually responsible during the Preconstruction Services Phase.

### **3.10 Federal Aid Project**

The Work that is the subject of the Contract is a Federal Aid Project. Contractor shall familiarize itself and comply with Regulatory Requirements of the Funding Agency for Material and Equipment that fall within its intended scope of work.

3.10.1 In rendering Preconstruction Services, Contractor shall be mindful of restrictions imposed by the Buy America Act, 23 U.S.C. §313, as well as its implementing regulations, 23 C.F.R §635.410, and shall not propose any Material or Equipment for use or incorporation into the project that may result in violation of the Act.

3.10.2 During the Construction Phase or an Early Work Package, the CMGC construction contractor must comply with the Buy America Act (Act). Among other things:

- (a) If the contractor uses steel or iron in construction of the Project, all manufacturing processes (as determined under the Act) must occur domestically in the United States, except that the contractor may use a minor amount of nondomestic steel or iron so long as the cumulative aggregate cost of all nondomestic steel used on the Project does not exceed the Act's maximum allowance. Any use of nondomestic steel in the Project

must be approved by the Department prior to installation. At the contractor's expense, it shall remove all impermissible nondomestic steel or iron incorporated in the Work and replace it with domestic steel or iron.

- (b) Before award of the construction contract, the selected contractor shall certify in writing that all iron and steel incorporated into the Project is domestically manufactured in compliance with the Act. If an item is not domestically manufactured, the contractor must identify the item in its certification.
- (c) Once the CMGC construction contractor has started the Work, it must provide the Department with reasonable advance notice of delivery of Material and Equipment subject to the Act.
- (d) At delivery, the CMGC construction contractor must provide Department with: (1) manufacturers' certificates that verify the Material's and Equipment's compliance with Act, *e.g.*, Certified Mill Test Reports, and (2) a reasonable opportunity to cross-reference the certificates with Material heat numbers, serial numbers, or other means of confirmation. If the contractor fails to provide Department with manufacturers' certificates and/or an opportunity to confirm compliance with the Act, the relevant Material or Equipment will be deemed nondomestic and noncompliant with the Buy America Act, unless the contractor promptly demonstrates that the Act's nondomestic allowance permits use of the Material or Equipment.
- (e) The CMGC construction contractor must retain manufacturers' Buy America compliance certificates in an organized fashion for the duration prescribed in the construction contract.

### **3.11 Infringement of Intellectual Property**

Contractor shall indemnify, hold harmless, and defend the State from all claims for infringement by reason of the use of any patented design, device, material, process, any trademark, or copyright.

## **SECTION 4**

### **Submittals**

#### **4.1 General Requirements**

Contractor's performance during the Preconstruction Phase requires that it furnish Submittals for the Department's review and approval. In such case, the following requirements apply:

4.1.1 With reasonable promptness and in such sequence as to cause no unreasonable delay in the Work, Contractor shall prepare and submit to the Department all Submittals required by the Contract and the Construction Documents. Contractor shall use the Submittal portal provided by the Department for all Submittals, e.g., Submittal Exchange®, unless otherwise directed. No proffered item will constitute a Submittal, and will not be reviewed or accepted as such, unless delivered through the designated Submittal portal.

4.1.2 Before furnishing the Department with a Submittal, Contractor shall ensure that it is complete and furnishes sufficient, detailed information that enables the Department's informed assessment of the Submittal.

4.1.3 As appropriate for the particular Submittal, Contractor shall:

- (a) Review and coordinate the Submittal with requirements of the Contract, Construction Documents, the Work, and any prior approvals by the Department;
- (b) Furnish the Submittal to the Department in a timely manner to enable detailed review, taking into account the possibility of rejection, revisions, and resubmission;
- (c) Determine and verify all measurements, quantities, dimensions, values, specified performance and design criteria, installation requirements, and any additional details that the Department may request;
- (d) Determine and verify the suitability and availability of all Material and Equipment offered with respect to the indicated application, fabrication, installation, operation, lifecycle, and any additional details relevant thereto;
- (e) Determine and verify all information regarding Contractor's responsibilities for means, methods, techniques, sequences, timeliness, and procedures of construction, safety precautions, and any additional details relevant thereto; and
- (f) Include a clear written statement identifying the manner in which the Submittal deviates from requirements of the Contract or Construction Documents, if at all. Contractor shall provide this written notice in a communication separate from the Submittal, e.g., a transmittal email accompanying the Submittal.

#### **4.2 Submittal Review Process**

4.2.1 The Department will review Contractor's Submittal and respond to Contractor in writing via the Submittal portal, noting that the Submittal is "approved," "conditionally approved subject to comments," or "returned for revision and resubmission." It will include further written details that require Contractor's attention, supplementation, revision, etc., if applicable.

4.2.2 The time that the Department will require to review any given Submittal depends on several variables,



*e.g.*, complexity of the Submittal, the degree of Departmental review, etc. Generally, the Department will require ten business days to review a Submittal, after which it will furnish its response to Contractor. If it requires additional time, the Department will notify Contractor in writing.

4.2.3 If the Department approves a Submittal, Contractor shall apply a written notation on the relevant document(s), certifying that the Department has approved the Submittal and identifying the date of approval.

4.2.4 Approval of a Submittal does not absolve Contractor of responsibility for an error or omission it has committed regarding the Submittal.

4.2.5 If the Department returns a Submission for revision and resubmission Contractor shall make additions, revisions, etc. required by the Department and furnish its re-Submittal for review and approval. If Contractor modifies the re-Submittal in a manner that differs from the addition, revision, etc. that the Department identified in writing, then Contractor shall clearly identify those modifications to the Department in a separate written document accompanying the re-Submittal.

4.2.6 Contractor's provision of a noncompliant Submittal will not toll or extend an applicable deadline pertaining to the subject Submittal. Contractor must furnish a compliant re-Submittal within the scheduled deadline.

4.2.7 The Department's review and approval of a Submittal does not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions or programs incident thereto.

## **SECTION 5**

### **Department Responsibilities**

#### **5.1 DOT&PF Responsibilities**

5.1.1 The Department will provide Contractor with detailed Project requirements, Construction Documents, and the budget figure established for fulfillment of the Construction Phase.

5.1.2 The Department will compensate Contractor for its Preconstruction Services as prescribed by the Contract.

5.1.3 The Department will designate an individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information on behalf of the Department and render day-to-day decisions regarding to administration of the Contract.

5.1.4 Under separate contract, the Department has retained a Design Consultant to prepare the Functional Design of the Vessel and design-related Construction Documents required for the Project. The Department is responsible for ensuring the Design Consultant collaborates with Contractor in refining the Functional Design and Construction Documents.

5.1.5 The Department is the interpreter of the requirements of the Contract (as well as any ensuing construction contract) and the judge of the performance in relation thereto. It will provide interpretations consistent with the intent of, and reasonably inferable from, the Contract, and may issue resulting instructions necessary for the proper, timely execution or progress of the Work. Contractor may make written requests to the Department for such interpretations and decisions.

## **SECTION 6**

### **Compensation**

#### **6.1 Contract Price**

The Department will compensate Contractor for proper performance of Preconstruction Services as addressed in Articles 2 and 4 of the accompanying Preconstruction Services Contract Form. The parties may alter the terms of compensation, if at all, only through Contract Amendment.

## **SECTION 7**

### **Time**

#### **7.1 Contract Time**

The Contract Time provided by the Agreement is addressed in Article 3 of the accompanying Preconstruction Services Contract Form. The parties may alter the Contract Time, if at all, only through Amendment.

#### **7.2 Delay**

7.2.1 If the Department, or anyone for whom the Department is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor is entitled to an equitable adjustment in the Contract Time and Contract Price, as appropriate. Contractor's entitlement to an adjustment of Contract Time is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Time.

7.2.2 Contractor is not entitled to an adjustment in Contract Price or Contract Time for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier is deemed to be within the control of Contractor.

7.2.3 If Contractor's performance or progress is delayed, disrupted, or interfered with by a cause that is beyond its control and without its fault or negligence, then Contractor is entitled to an equitable adjustment in Contract Time, but not Contract Price. Contractor's entitlement to an adjustment of the Contract Time is conditioned on such adjustment being essential to Contractor's ability to complete the Work within the Contract Time. Such an adjustment shall be Contractor's sole and exclusive remedy for the delays, disruption, and interference identified in this Section 7.2.3. Examples of such causes include the following:

- Acts of war or terrorism,
- Acts of the federal or state government in its sovereign capacity,
- Governmental quarantine restrictions,
- Severe and unavoidable natural catastrophes such as floods, epidemics, and earthquakes,
- Trade embargoes, and
- Delay of a Subcontractor or Supplier at any tier arising from a cause noted immediately above that is beyond the control and without the fault or negligence of both the Contractor and the relevant Subcontractor or Supplier.

7.2.4 The following is a non-exclusive list of events or causes that do not fall within the scope of Section 7.2.3:

- An increase in prices or other change in general economic conditions,
- A change in Regulatory Requirements, and
- Circumstance resulting in Contractor's insufficiency of funds to comply with an obligation to pay money.

7.2.5 Contractor is not entitled to an adjustment in Contract Price or Contract Time for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor.

7.2.6 Contractor shall submit any Change Proposal seeking an adjustment in Contract Price or Contract Time within 30 days of the commencement of the delaying, disrupting, or interfering event. Otherwise, Contractor will not receive any such adjustment.

## **SECTION 8**

### **Subcontracting**

#### **8.1 Subcontracting**

8.1.1 If Contractor wishes to use a Subcontractor, it shall notify the Department in writing of the name and address of the proposed Subcontractor. Contractor shall obtain the Department's written approval before subcontracting any Work under the Contract.

8.1.2 Contractor shall not delete, substitute, or change the role of any Subcontractor without the prior written approval of the Department.

#### **8.2 "Flow Down" Obligations**

Contractor shall require each of its Subcontractors to be bound to Contractor by the terms of the Contract, and to assume toward Contractor all the obligations and responsibilities that Contractor assumes toward the Department. The agreement shall preserve and protect the rights of the Department under the Contract with respect to the Work to be performed by the Subcontractor so that the subcontracting thereof does not prejudice the Department's rights. Where appropriate, Contractor shall require each Subcontractor to enter into similar agreements with its sub-tier subcontractors, if any. Before execution of a subcontract, Contractor shall make available to each proposed Subcontractor a copy of the Contract. Similarly, the Subcontractor shall make a complete copy of the Contract available to any sub-tier subcontractors.

#### **8.3 Third-Party Beneficiary Rights**

8.3.1 Each Subcontract shall clearly state: (i) the Department is a third-party beneficiary of the Subcontract and shall have the right to enforce all terms of the Subcontract for its own benefit; and (ii) all guarantees and warranties, express and implied, shall inure to the benefit of the Department as well as Contractor.

8.3.2 Nothing contained in the Contract creates any third-party beneficiary or other rights inuring to the benefit of any Subcontractor, Supplier, or any other third person as against the Department.

#### **8.4 Subcontract Review/Approval**

Contractor shall provide the Department with proposed subcontracts for review and approval as to form.

#### **8.5 Contractor Responsible for Subcontractors**

Contractor is responsible to the Department for the acts and omissions of (i) its Subcontractors, Suppliers, and agents performing Work under a contract with Contractor and (ii) lower tier subcontractors, suppliers, and agents with whom its Subcontractors or Suppliers have contracted in connection with the Project.

## **SECTION 9**

### **Contract Change**

#### **9.1 Amending and Supplementing the Contract**

9.1.1 The Parties may amend or supplement the Contract only by Amendment or Supplemental Agreement.

9.1.2 The Parties will use an Amendment to revise the Contract Price or the Contract Time, or to effect other contract change that does not affect Contract Price or Contract Time.

9.1.3 If the Department wishes to have Contractor perform work that is outside the scope of the original Contract, it may implement the change by setting forth the relevant contract terms in a Supplemental Agreement.

#### **9.2 Unauthorized Work**

Contractor is not entitled to compensation or an extension of the Contract Time regarding any work it performs that the Contract, as amended or supplemented, does not authorize or require.

#### **9.3 Owner Directed Work**

9.3.1 The Department may add, delete, or revise Work that is in the general scope of the Contract. If the change causes an increase or decrease in Contractor's costs or Contract Time, then the Parties will make an equitable adjustment in the Amendment, so long as the increase or decrease necessarily and exclusively results from the change.

9.3.2 For Work prescribed by the Contract, Contractor is not entitled to Contract Price or Contract Time beyond that set forth in the Contract.

#### **9.4 Change Proposal**

9.4.1 If the Department requests or instructs Contractor to perform Work that Contractor believes is not required under the Contract, requires Work that exceeds Contract requirements, or conflicts with the Contract, then within 30 days of receipt of the request or instruction, and before performing such Work, Contractor shall notify the Department in writing of this belief and submit a Change Proposal.

9.4.2 If Contractor does not provide written notice and the Change Proposal within 30 days, no equitable adjustment or Amendment is due.

9.4.3 The Change Proposal shall specify any proposed change in Contract Price or Contract Time and explain the basis for its belief, with citations to any applicable provisions of the Contract. Contractor shall include all supporting cost and pricing data, as well as a written statement certifying that, to the best of Contractor's knowledge and belief, the supporting data and documents are accurate, complete, and current; that they and will continue to be accurate and complete during the performance of the Contract; and that any requested price or time adjustment is the entire adjustment to which Contractor believes it is entitled concerning the submitted Change Proposal.

9.4.4 The Department will review Contractor's Change Proposal and supporting material. It may request additional data and documents from Contractor to make an informed decision. Once the Department possesses sufficient information, it may deny the Change Proposal in whole, approve it in whole, or deny it in part and approve it in part. The Department shall furnish its determination to Contractor in writing.

9.4.5 If the Department denies Contractor's Change Proposal, in whole or in part, Contractor may pursue relief in accordance with Section 12 of these General Provisions.

## **9.5 Execution of Amendment**

By accepting and signing an Amendment, the Department and Contractor agree that the Contract change effected by the Amendment is a fair and equitable adjustment for Contractor's Direct and Indirect Costs; that it provides full compensation and adequate adjustment for the changed Work, including Contract Price and Contract Time; and that Contractor releases the Department from all liability under the Contract for further equitable adjustment in connection with the Work addressed in the Amendment.



## **SECTION 10**

### **Insurance and Indemnification**

#### **10.1 Contract Requirements for Insurance & Indemnification**

Article 4 of the Preconstruction Services Contract Form identifies the Contract's governing requirements regarding insurance and indemnification.

## **SECTION 11**

### **Contract Suspension; Termination**

#### **11.1 Suspension of Work**

11.1.1 The Department may suspend Work, either in whole or in part, as it deems necessary due to:

- (a) Unavailability of Project funding;
- (b) Contractor's material and substantial failure to carry out orders or to capably perform any provision of the Contract;
- (c) Conditions that it considers unfavorable for the prosecution of the Work; or
- (d) Any other reason that it in the State's interest.

11.1.2 If the Department suspends the Work for an indefinite period, Contractor shall archive all Work Product, store any procured Material or Equipment, and preserve from damage or deterioration all Work performed under an Early Work Package.

11.1.3 The Department will issue any notice of suspension of Work and any notice of resumption of suspended Work in writing. If either Party believes an adjustment in Contract Price or Contract Time is warranted due to the suspension/resumption, the Parties shall attempt to resolve that matter through good faith negotiations. If the parties cannot reach an agreement, Contractor may pursue a claim in accordance with Section 12.

#### **11.2 Termination for Cause**

11.2.1 The Department may terminate the Contract for cause if:

- (a) Contractor's repeated failure to perform the Work in accordance with the Contract;
- (b) Contractor's insolvency, except that if Contractor declares bankruptcy, the Department will terminate in accordance with applicable requirements of the bankruptcy code.;
- (c) Contractor makes a general assignment for the benefit of its creditors;
- (d) A receiver is appointed to take over Contractor's affairs;
- (e) Contractor is a party to fraud, deceit, misrepresentation, or malfeasance in connection with the Contract;
- (f) Contractor disregards or fails to comply with Regulatory Requirements; or
- (g) Contractor fails to comply with or perform one or more contractual obligation without justification.

11.2.2 If grounds for termination exist, the Department will serve Contractor with written notice of default that identifies the basis for the Department's conclusion. If the Department believes Contractor possesses the means to cure the default without prejudice to the Department, its default notice will identify the necessary corrective

action that Contractor must take and the date by which the Contractor must accomplish the corrective measure(s). If Contractor cures the default within the prescribed deadline, the Department will rescind the notice of default and refrain from terminating the Contract. Otherwise, it will terminate the Contract as stated in the notice of default or any amendment thereto.

11.2.3 The Contracting Officer shall make any determination regarding default and termination for cause on behalf of the Department. In making such determination, the Contracting Officer shall not abuse his/her discretion. In any legal review of the Contracting Officer's determination, the abuse of discretion standard will apply.

### **11.3 Termination for Convenience**

11.3.1 When in the State's interest, the Department may terminate the Contract for convenience, in whole or in part, by furnishing Contractor with written notice of the same. Unless otherwise stated in the Department's notice, the termination is effective immediately.

11.3.2 Contractor shall abide by instructions in the notice, and any supplement thereto, regarding contract termination.

11.3.3 If the Agency terminates for convenience, it will pay Contractor:

- (a) Compensation earned for services completed before the effective termination; and
- (b) Other reasonable expenses directly attributable to the convenience termination.

Contractor is not entitled to payment, and the Department will not pay, for loss of anticipated Indirect Costs, profits, or revenue, or other economic loss arising out of or resulting from such termination.

11.3.4 Upon termination, Contractor shall deliver to the Department all Work Product.

## **SECTION 12**

### **Claims**

#### **12.1 Claim Notice; Supporting Proof; Conditions Precedent**

12.1.1 If Contractor knows or has reason to know of any act or occurrence that might form the basis of a claim for adjustment of Contract Price or Contract Time, or for other relief, it shall immediately provide the Department with written notice of the potential claim, identifying the nature of the claim and the basis therefor.

12.1.2 If Contractor believes it is entitled to an adjustment of Contract Price or Contract Time, or any other relief, it shall immediately begin keeping complete, accurate, and specific daily records regarding facts, information, and data that are material to its potential claim, including actual costs and losses incurred. It shall provide the Department timely access to and copies of all such documents.

12.1.3 In computing and presenting a claim concerning adjustment of Contract Price, Contract Time, or any other matter, Contractor must establish actual damages or loss based on its internal costs. Contractor may not rely on shorthand damage calculation methods such as total cost, modified total cost, or jury verdict.

12.1.4 If Contractor and the Department cannot resolve the matter by negotiated agreement within 14 days of Contractor's timely written notice required by Section 12.1, then within 28 days of its submission of the written notice, Contractor shall provide the Department with additional written notice of its intent to claim, which accurately summarizes the intended claim.

12.1.5 If Contractor has fulfilled the conditions precedent set forth in Sections 12.1.1 and 12.1.4 and has not succeeded in resolving the matter, then no later than 90 days after the date Contractor became aware of the basis of its claim or should have known of the basis of the claim, whichever is earlier, it may file a written contract claim with the Contracting Officer. The claim shall conform to the requirements of Section 12.2.

#### **12.2 Requirements of Filed Claim**

12.2.1 When submitting its written claim to the Contracting Officer, Contractor shall:

- (a) Identify the item or items of Work that are the subject of the claim;
- (b) Identify the act, event or condition giving rise to the claim;
- (c) Identify the Contract provisions that apply to the claim and that provide Contractor's requested relief;
- (d) State the specific relief requested, including adjustment of Contract Time if applicable, and the manner in which the requested relief was calculated;
- (e) Provide supporting documents relevant to Contractor's claim; and
- (f) Include a statement signed by Contractor's authorized representative certifying that the claim is made in good faith, that the supporting cost and pricing data are accurate and complete to the best of Contractor's knowledge and belief, and that the amount requested accurately reflects the Contract adjustment that Contractor believes is due.

## **12.3 Claim Validity; Additional Production**

12.3.1 To be valid, a claim must demonstrate:

- (a) Contractor is entitled to an equitable adjustment of Contract Price, Contract Time, or other requested relief;
- (b) Contractor's alleged entitlement to adjustment or other requested relief arises as a result of the act, event or condition alleged in the claim; and
- (c) The Contract entitles Contractor to adjustment or relief for such act, event, or condition alleged in the claim.

12.3.2 The Contracting Officer may obtain additional information and documents from Contractor regarding the claim. Contractor shall furnish such information and documents to the Contracting Officer within 30 days of receipt of such a request.

12.3.3 If Contractor: (i) fails to produce timely, responsive information or documents in reply to the Contracting Officer's request and (ii) when afforded a reasonable opportunity by the Contracting Officer, does not demonstrate that it made Reasonable Efforts to furnish the requested information or documents, then the Contracting Officer may regard Contractor's production failure as a waiver of its claim and dismiss the claim with prejudice.

## **12.4 Contracting Officer's Decision; Appeal**

12.4.1 The Contracting Officer may dismiss a claim if s/he concludes that Contractor has not satisfied the conditions precedent identified in Sections 12.1 and 12.4.

12.4.2 The Contracting Officer will issue a decision on Contractor's claim within 90 days of receipt, unless the Contracting Officer requests additional information from Contractor or extends the deadline as permitted by statute or regulation. The Contracting Officer's decision is final and conclusive unless, within 14 days of receipt of the decision, Contractor delivers a written notice of appeal to the Commissioner in accordance with AS 36.30.625. In such case, Contractor must contemporaneously serve the Contracting Officer with a copy of the notice.

12.4.3 If material facts are not in dispute and the matter is subject to disposition as a matter of law, the Commissioner may issue an appeal decision without convening a hearing.

12.4.4 If material facts are in dispute or a hearing is otherwise appropriate, the Commissioner may refer the matter to a private hearing officer or to the Office of Administrative Hearings (OAH) per AS 44.64.030(b) for hearing and a recommended or final decision.

## **12.5 Other Legal Provisions**

12.5.1 Contractor is subject to criminal and civil penalties under AS 36.30.687 (including forfeiture of all claimed amounts) if it makes or uses a misrepresentation in support of a claim, or defrauds or attempts to defraud the Department at any stage of proceedings relating to a procurement or contract claim.

12.5.2 AS 36.30.620 – AS 36.30.690, as comprised on the effective date of the Contract, are incorporated by reference.

## **SECTION 13**

### **Guaranteed Maximum Price Principles**

#### **13.1 GMP Formulation & Proposal**

13.1.1 The Guaranteed Maximum Price (GMP) is the contract pricing arrangement used for the Construction Phase of a CMGC contract. The GMP sum is the total, not-to-exceed dollar amount for which Contractor has agreed to properly fulfill its obligations under the Construction Services Contract. At times, this document will refer to the GMP sum in shorthand as “the GMP”.

13.1.2 Contractor is entitled to the following compensation and no other for satisfactory performance of the Work required by the Contract:

- (a) Subject to provisions of the Contract, reimbursement of the Cost of the Work that Contractor actually incurs in performance of the Contract; and
- (b) A Fixed Fee equal to the Fixed Fee Percentage multiplied by the Cost of the Work component of the GMP.

13.1.3 If, during the Preconstruction Phase, Contractor believes any documentation or information developed is not sufficiently complete to clearly define the anticipated construction work and enable development of its GMP proposal, it shall make all necessary and immediate requests to the Department to develop the same.

13.1.4 Unless otherwise agreed, at 85%-95% Functional Design Contractor shall deliver to the Department a Guaranteed Maximum Price proposal, comprising two components: (i) the total Cost of the Work required to perform the Construction Services and (ii) Contractor’s Fixed Fee. If the Construction Documents are not complete at the time Contractor must submit its GMP proposal, Contractor shall exercise reasonable care and judgment to determine the intent of the design and shall formulate its proposal based on the quality and quality of Cost of the Work items reasonably inferable from the existing Construction Documents.

13.1.5 Contractor’s GMP proposal shall include:

- (a) Its current Cost Model along with a detailed statement of the cost of all Material, Equipment, labor, Contractor’s Contingency, and other items that constitute the total Cost of the Work;
- (b) Costs for all Project-required Performance Bonds, Payment Bonds and insurance coverages;
- (c) Adequate documentation substantiating the costs contained in the proposal;
- (d) Contractor’s Fixed Fee;
- (e) An aggregate dollar amount for items (a) through (d) above;
- (f) An updated Schedule of Bid Items, which shall be consistent with Schedules of Bid Items that the Department approved during its preceding OPCC review;
- (g) A list of the subcontractors that Contractor proposes to use during performance of the Construction Phase. The list must include the name and location of the place of business for each subcontractor, evidence of each subcontractor's valid Alaska business license.

- (h) An affirmation signed by Contractor's authorized representative attesting that:
- (1) Contractor is satisfied that the Project as described in the Construction Documents is constructible using commercially practicable means and methods;
  - (2) Contractor is satisfied that the Department has sufficiently identified and outlined the construction work to enable it to estimate the Cost of the Work with reasonable accuracy;
  - (3) Contractor has disclosed to the Department all design errors and omissions of which it is aware that may affect the Cost of the Work; and
  - (4) Contractor acknowledges that the Department will not adjust the GMP or contract time established for Construction Phase due to design errors or omissions of which Contractor knew during the Preconstruction Phase. However, the Department may approve a Change Order to address the effect, if any, that unknown design errors or omissions have on the scope of work

13.1.6 After receiving reasonable cooperation by the Department and Design Consultant, if Contractor submits a GMP proposal that does not comply with Section 13.1.5, the Department may reject the proposal and terminate the Contract for cause in accordance with Section 11.

## **13.2 Cost of the Work**

Cost of the Work component is the cumulative total of reimbursable Direct Costs that Contractor will incur to properly perform its Construction Services and construct its contracted work. Once the Department accepts Contractor's Cost of the Work proposal, the Cost of the Work is not subject to price adjustment unless the Department has changed the scope of work by Change Order or Supplemental Agreement or the Parties have executed a deductive Change Order in accordance with Section 13.2.7(f).

Except as the Department may otherwise agree in writing, costs included in the Cost of the Work shall be in amounts no higher than those prevailing in the locality of the Project, shall not include any of the costs itemized in Section 13.2.8, and shall include only the following items:

### **13.2.1 Labor Costs, comprising:**

- (a) Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by the Department and Contractor. Such employees shall include foremen, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work shall be apportioned based on their time spent on the Work. Payroll costs shall include salaries and wages plus the cost of fringe benefits, which shall include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto.
- (b) Wages or salaries of the contractor's shipyard superintendent when performing Work, with the Department's prior approval;
- (c) Wages or salaries of Contractor's employees at offsite workshops or other locations when expediting the production or transportation of Material or Equipment required by the construction contract;

### **13.2.2 Subcontract Costs:**

Payments made by Contractor to subcontractors for Work performed by subcontractors. If any subcontract provides that the subcontractor is to be paid on the basis of Cost of the Work plus a fee, the subcontractor's Cost of the Work and fee shall be determined in the same manner as the contractor's Cost of the Work and fee as provided in this Section 13.2.

#### 13.2.3 Special Consultants:

Costs of special consultants employed for services specifically related to the Work, use of which the Department has provided its written approval.

#### 13.2.4 Material & Equipment Costs:

- (a) Cost of all Material and Equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and suppliers' field services required in connection therewith.
- (b) All cash discounts shall accrue to Contractor unless the Department deposits funds with Contractor with which to make payments, in which case the cash discounts shall accrue to the Department. All trade discounts, rebates, and refunds and returns from sale of surplus Material and Equipment shall accrue to the Department, and Contractor shall make provisions so that they may be obtained.

#### 13.2.5 Supplemental Costs:

- (a) The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
- (b) Cost, including transportation and maintenance, of all Materials, Equipment, supplies, machinery, appliances, office, and temporary facilities at the Project site, and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of the contractor.
- (c) Costs of Material and Equipment properly stored off the Project site at an acceptable location, subject to the Department's prior written approval;
- (d) Rental charges for temporary field office facilities, construction equipment, machinery, and hand tools not customarily owned by construction workers that are provided by Contractor at the project site, and the costs of transportation, installation, dismantling, minor repairs, and removal of such temporary facilities, construction equipment, machinery, and hand tools. Rates and quantities of construction equipment and machinery owned by the contractor, or a related party as defined in Section 13.2.6, shall be subject to the Department's prior approval. The total rental cost of any such items may not exceed the purchase price of any comparable item. The rental of any such items shall cease when the use thereof is no longer necessary for the Work.
- (e) Sales, use, or similar taxes, imposed by a governmental authority that are related to the Work and for which the contractor is liable;
- (f) Fees and assessments for permit, licenses, certifications, and inspections for which the construction contract assigns responsibility to Contractor;
- (g) Fees for laboratory or forensic analysis if required by the construction contract, but not those fees related to defective or nonconforming work by Contractor, its subcontractors, or suppliers;



- (h) Royalties and license fees paid for the use of a particular design, process, or product required by the construction contract
- (i) Deposits lost for causes other than negligence of Contractor, any subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- (j) Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of property insurance established in accordance with contract requirements), provided such losses and damages have resulted from causes other than the negligence of Contractor, any subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and approval of the Department. No such losses, damages, and expenses shall be included in the Cost of the Work for the purpose of determining the contractor's Fixed Fee.
- (k) The cost of utilities, fuel, and temporary sanitary facilities at the Project site.
- (l) Costs for communications services, computer equipment, and software properly allocable to the Work;
- (m) Premiums for that portion of required insurance and bonds that are properly allocable to Contractor's construction of its contracted work.;

#### 13.2.6 Related Party

- (a) As used in this Section, "related party" means: (1) a parent, subsidiary, affiliate, or other entity having common ownership of, or sharing common management with, Contractor; (2) any entity in which any stockholder in, or management employee of, Contractor holds an equity interest in excess of 10% in the aggregate; (3) any entity that has the right to control the business or affairs of Contractor; or (4) any person or any member of the immediate family or any person, who has the right to control the business or affairs of Contractor.
- (b) If any of the costs to be reimbursed arise from a transaction between Contractor and a related party, Contractor shall notify the Department of the specific nature of the contemplated transaction, including the identity of the related party and the anticipated cost to be incurred before any such transaction is consummated or cost incurred. If the Department, after such notification, authorizes the proposed transaction in writing, then the cost incurred will be included as a cost to be reimbursed, and Contractor shall procure the Work, goods, or services from the related party as a subcontractor. If the Department does not authorize the transaction in writing, then Contractor shall procure the Work, goods, or services from a person or entity other than the related party.

#### 13.2.7 Contractor's Contingency

During the Preconstruction Phase, Contractor and the Department will work collaboratively to better understand, manage, and reduce risks on the Project, thereby lowering contingency costs. Negotiation of the contingency sum and its subcomponents, if any, will occur during GMP negotiations. Nevertheless, the Department may request contingency information, input, etc. at any point throughout the Preconstruction Phase.

- (a) The Contractor's Contingency is an account intended to address costs that Contractor might incur due to incomplete design, unforeseen and unpredictable conditions, or uncertainties within the defined Project scope. The amount of this account will depend on the status of design, procurement, and construction, as

well as the complexity and uncertainties concerning elements of the Project and specific contingency items negotiated by the Parties and included in the account.

- (b) As negotiated by the Department and Contractor, the Contractor's Contingency account may comprise multiple contingency items and the maximum dollar amount allocated to each such item. When seeking to include an item with the Contractor's Contingency account, the Contractor must provide the Department with adequate justification for the inclusion of that contingency item and the proposed dollar amount allocated for that contingency item.
- (c) Contractor's use of monies from the Contractor's Contingency account is permissible only to address the particular event, risk, work item, etc. that the contingency item is intended to cover. The contingency items and their corresponding maximum dollar amounts are item-specific. A sum payable for a particular contingency item may not be reallocated to offset the cost overrun of another contingency item unless adequately justified by the Contractor and approved by the Department.
- (d) Contractor's compensation for Contractor Contingency Work is reimbursement for Cost of the Work items it incurs in connection with that Contingency item. In its discretion, the Department may allow the transfer of the Contractor's Contingency in accordance with Section 13.2.7(c). However, in no case will the total monies payable from the Contractor's Contingency account exceed the total sum of the Contractor's Contingency account to which the Parties agreed during GMP negotiations and identified in the Construction Services Contract.
- (e) Contractor is not entitled to compensation drawn from Contractor's Contingency unless the Department has provided Contractor with an executed contingency authorization form that identifies the approved Contingency Work or cost. Once the Contractor commences authorized Contingency Work, it will provide the Department with periodic progress reports as directed by the Resident Engineer.
- (f) If not used, the Contractor's Contingency belongs to the Department, which is entitled to a corresponding reduction of the GMP. Upon operational acceptance of the Vessel, the Parties will execute a Change Order to reduce the GMP by deducting: (1) all unused Contractor's Contingency and (ii) the corresponding portion of the Fixed Fee allocated to the unused Contractor's Contingency.

#### 13.2.8 Excluded Costs:

Cost of the Work does not include the items listed below:

- (a) Payroll costs and other compensation of Contractor's officers, executives, principals (of partnerships and sole proprietorships), general managers, safety managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expeditors, timekeepers, clerks, and other personnel employed by Contractor, whether at the Project site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Section 13.2.1 or specifically covered by Section 13.2.3. The payroll costs and other compensation excluded here are to be considered administrative costs covered by Contractor's Fixed Fee, if appropriate.
- (b) Expenses of Contractor's principal and branch offices other than the Contractor's temporary field office at the Project site.

- (c) Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against the contractor for delinquent payments.
- (d) Costs due to the negligence of Contractor, any subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including the correction of defective Work, disposal of Materials or Equipment wrongly supplied, and making good any damage to the Project.
- (e) Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Section 13.2.1 - 13.2.5

### **13.3 Fixed Fee**

13.3.1 The Fixed Fee compensates Contractor for: (i) Indirect Costs allocable to the Project during performance of Construction Services; and (ii) its profit for Construction Services rendered.

13.3.2 The dollar value of the Fixed Fee is derived by multiplying Contractor's Fixed Fee Percentage that Contractor submitted in response to the Department's Request for Proposals for the Project by the Cost of the Work component of the negotiated GMP.

## **SECTION 14**

### **Miscellaneous**

#### **14.1 Giving Notice**

Whenever any provision of the Contract requires written notice, it will be deemed validly given if delivered in person or sent by registered or certified mail, postage prepaid, to:

- The Contracting Officer (for the Department) and
- Contractor's signatory on the Preconstruction Services Contract Form (for Contractor).

#### **14.2 Computation of Time**

When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day that is a legal holiday under Alaska law, such day will be omitted from the computation.

#### **14.3 Cumulative Remedies**

The duties and obligations imposed by the Contract and the rights and remedies available to the Parties thereunder are in addition to any legal rights and remedies otherwise available to them.

#### **14.4 No Waiver**

Except as provided in Section 12.3.3, no waiver of satisfaction of a condition or nonperformance of an obligation under the Contract will be effective unless it is in writing and signed by the party granting the waiver and no such waiver will constitute a waiver of satisfaction of any other condition or nonperformance of any other obligation.

#### **14.5 Survival of Obligations**

All representations and indemnification obligations made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, survive final payment, completion, and acceptance of the Work or termination or completion of the Contract or termination of the services of Contractor.

#### **14.6 Controlling Law; Forum**

14.6.1 The Contract and the rights and obligations inhering therein are governed by Alaska law.

14.6.2 If Contractor has a claim arising in connection with the Contract that it cannot resolve with the Department by mutual agreement, it shall pursue the claim, if at all, in accordance with Section 12 and the provisions of the Alaska Procurement Code, AS 36.30.005 *et seq.*

14.6.3 In the event Section 12 and Section 14.6.2 does not otherwise compel Contractor to pursue its claim in an administrative forum, it shall pursue its claim, its appeal, and any associated litigation in the appropriate court for the State of Alaska, and not elsewhere.

#### **14.7 Captions**

The captions and headings in the Contract are for convenience of reference only, and shall not be used to interpret, define, or limit its provisions.

## **14.8 Integrated Contract**

14.8.1 The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.

14.8.2 The Contract comprises integrated documents and contains the complete agreement and understanding of the Parties. There are no unwritten agreements or understandings between the Parties. Changes ordered or agreed upon, Directives given, or equitable adjustments issued under the Contract must be in writing to be binding and effective.

14.8.3 No oral statement of any person shall, in any manner or degree, modify or otherwise affect, change, or amend the terms of the Contract.

## **14.9 Legal Authority**

Contractor warrants that it possesses the legal authority to enter the Contract with the Department and that it has taken all actions required by its procedures, by-laws, and/or applicable law to exercise that authority, and to lawfully authorize its undersigned signatory to execute the Agreement and to bind Contractor to its terms. The person(s) executing the Contract on behalf of the Contractor warrant(s) that such person(s) have full authorization to execute the Contract.

## **14.10 Assignments and Subcontracts**

Contractor's rights and obligations under the Contract are personal and may not be transferred, assigned, or subcontracted without the prior written consent of the Department. Any attempt at assignment, transfer, subcontracting without such consent is void.

## **14.11 Ownership & Reuse of Documents**

14.11.1 The Department's payment to Contractor for Preconstruction Services compensates Contractor for all Work Product that it and its Subcontractors and Suppliers at all tiers generate through Contract performance. As such, the Work Product becomes property of the Department, except items that have pre-existing copyrights. The Department's ownership rights include the right to copy, publish, display, transfer, prepare derivative works, or otherwise use the Work Product. Contractor shall provide digital and native files of all Work Product, and "hard copies" if requested. Contractor may, at its own expense, keep copies of all its writings for administrative recordkeeping purposes. Contractor shall not use, willingly allow, or cause to have such materials used for any purpose other than the performance of Contractor's obligations under the Contract without the prior written consent of the Department.

14.11.2 The provisions of this Section 14.11 survive final payment, or termination of the Contract.

## **14.12 Binding Effect**

All provisions of the Contract, including the benefits and burdens, shall extend to and be binding upon the Parties' respective heirs, legal representatives, successors, and assigns.

## **14.13 Counterparts**

The Contract may be executed in multiple identical original counterparts, all of which shall constitute one agreement.

**SUPPLEMENTARY PROVISIONS  
for  
CMGC PRECONSTRUCTION SERVICES**



## **Intent**

These Supplementary Provisions amend or supplement the *General Provisions for CMGC Preconstruction Services* (Sept. 2022). All General Provisions that are not so amended or supplemented remain in full force and effect.

A Supplementary Provision (SP) may modify a General Provision (GP) regarding some, but not all, of the Contractors working on the Project. If so, the SP will identify to whom it applies.

The section numbering system used in the Supplementary Provisions is the same used in the General Provisions. To illustrate, in this document a modification of GP 3.3.6 appears as SP 3.3.6.

Instructions regarding the application of individual Supplementary Provisions appear in italicized font.

## **SECTION 1 Acronyms, Definitions & Usage Notes**

### **Definitions**

**SP 1.2:** *Add the following defined terms:*

Propulsion System Integrator Contractor: Person(s) or entity(s) whom the Department has engaged to provide Preconstruction Services focused on the propulsion system to be integrated into the Project.

Shipyard Contractor: Person(s) or entity(s) whom the Department has engaged to provide Preconstruction Services focused on the general construction of the vessel and the integration of provided propulsion and vehicle elevator and turntable systems.

Vehicle Elevator and Turntable Systems Manufacturer Contractor (or VETS): Person(s) or entity(s) whom the Department has engaged to provide Preconstruction Services focused on the Vehicle Elevator and Turntable System (VETS) to be integrated into the Project.

## **SECTION 3 Contractor Responsibilities**

### **Administration**

**SP 3.1.2(a):** *Delete the existing sentence in GP 3.1.2(a) and substitute the following:*

Key Personnel of the respective Contractors are as follows:

- Shipyard Contractor [*Insert identities after Contract award & updated as required.*]
- Propulsion System Integrator Contractor [*Insert identities after Contract award & updated as required.*]



- VETS Manufacturer Contractor [*Insert identities after Contract award & updated as required.*]

## **Design-Related Preconstruction Services**

**SP 3.3.6:** *Delete the existing sentence in GP 3.3.6 and substitute the following:*

Specific design-related Preconstruction Services to be performed by the respective Contractors are as follows:

- (a) The Shipyard Contractor shall develop a Construction Plan including module or unit breakdowns and assembly procedures based on its facility capabilities.
- (b) The Propulsion System Integrator Contractor shall develop a testing and validation plan for all major components of the propulsion system to ensure that they perform to specification individually prior to delivery to the Shipyard, including required regulatory acceptance. It will develop an installation plan including procedures, sequencing, tolerances, and other technical details to assist the Shipyard with the physical installation of all components.
- (c) The VETS Manufacturer Contractor shall develop a testing and validation plan for all major system components of the Vehicle Elevator and Turntable System to ensure that they perform to specification before installation by the Shipyard, including required regulatory acceptance. It will develop an installation plan including procedures, sequencing, tolerances, and other needed technical details to assist the Shipyard with the physical installation of the components.

## **Weight Related Preconstruction Services**

**SP 3.4.1:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor delete the existing sentence in GP 3.4.1 and substitute the following:*

The Propulsion System Integrator Contractor and the VETS Manufacturer Contractor shall each prepare detailed weight estimates for all components within their respective contracted scope of supply for incorporation into the Shipyard Contractor's weight estimate. These estimates shall include equipment weight (wet and dry as applicable) and centers of gravity. Submitted information is to be based on manufacturer data with relevant backup provided. Initial weight information is to be provided within 30 days of contract award. Current weight data is to be submitted to the Project Team within 10 days of a request by the Department in a single tabulated format. Changes and additions from previous submittals are to be identified through revision tracking.

## **Schedule-Related Preconstruction Services**

**SP 3.6:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, after the first sentence delete the remainder of the paragraph and substitute the following:*

Contractor shall provide accurate and timely information related to the scheduling of design development, production, delivery, installation, and testing activities for all components within its contracted scope of supply for incorporation into the Shipyard Contractor's construction Project Schedule efforts. Contractor shall provide initial schedule information within 30 days of Contract award. Contractor's furnished information shall be of sufficient detail to support Shipyard's development of a Critical Path Method Schedule per 3.6.1 of the General Provisions.

**SP 3.6.1:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, after "Technical Requirements" delete the colon, insert a period, and add the following sentence:*

Contractor shall refer to GP 3.6.1(a)-(h) for guidance in determining the nature and extent of information to furnish the Shipyard Contractor to aid its preparation of a Contract-compliant Project Schedule.

**SP 3.6.3:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, delete GP 3.6.3 and substitute the following:*

Contractor will provide updated scheduling information to the Project Team within 10 days of a request by the Department. Contractor shall identify changes and additions from previous scheduling information furnished to the Shipyard Contractor.

**SP 3.6.4:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, delete GP 3.6.4 and substitute the following:*

If the Department finds that scheduling information furnished by Contractor is insufficient to support Construction Schedule development, it will provide written notice to Contractor. Within 3 business days of written notice, or such other time as the Department specifies, Contractor shall take one of the following actions:

- (a) Revise the provided schedule information; or
- (b) Provide sufficient information demonstrating compliance.

If Contractor fails to adequately address the Department's exceptions to the schedule information, the Department may withhold a sum of up to 10% from all future payments to Contractor until the Preconstruction Services Phase is complete or until Contractor has complied with the requirements; alternatively, it may terminate the Contract for cause.

**SP 3.6.5:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, at the conclusion of the sentence add the following:*

(The Department acknowledges that this section is principally directed at the Shipyard Contractor, which is ultimately responsible for generating the Project Schedule. Nevertheless, the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor will provide information, documents, and assistance that the Shipyard reasonably requires to comply with this section.)

**SP 3.6.6:** *As applied to the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor, at the conclusion of the sentence add the following:*

(The Department acknowledges that this section is principally directed at the Shipyard Contractor, which is ultimately responsible for generating the Project Schedule. Nevertheless, the Propulsion System Integrator Contractor and the VETS Manufacturer Contractor will provide information, documents, and assistance that the Shipyard reasonably requires to comply with this section.)

## **Procurement Related Preconstruction Services**

**SP 3.8.6:** *Delete last sentence of first paragraph that reads: “Equipment requiring a PTS includes that listed below” and substitute the following:*

A non-exclusive list of Equipment requiring a PTS from each Contractor is set forth below:

(a) The Shipyard Contractor shall develop Purchase Technical Specifications for the following:

- Passenger and services elevator systems
- Passenger boarding ladder systems
- Life/rescue boat and davit system
- Service boat and davit system
- Marine evacuation system
- Fin stabilizer system
- Oil-Fired Hot Water Heater
- Stern Door
- Electro-Hydraulic Sliding Watertight Doors
- HVAC Air handlers
- Marine sanitation device
- Anchor windlass
- Fire Detection System
- Public Address/Intercom/General Alarm System
- Dial Telephone System
- All Bridge Electronics, excluding propulsion controls
- Tank Level Indication
- Bilge Level Alarm System
- Fixed Fire Extinguishing System(s)
- CCTV System
- All Exterior Communications Systems

- Shipboard Computer Networking System
- Searchlights
- Chilled Water Plant
- Refrigeration Plant
- Fuel Oil Purifiers
- Lube Oil Purifiers (if installed)
- Oily Water Separator
- Starting Air Compressors
- Whistle
- Paint
- Joiner Systems
- Galley Equipment
- Passenger Door Key Card System

(b) The Propulsion System Integrator Contractor shall develop Purchase Technical Specifications for the following:

- Main and Auxiliary Prime Movers
- Main and Auxiliary Alternators
- Propulsors, Motors, and Drives
- Maneuvering Thrusters, Motors, and Drives
- Propulsion Electrical Distribution (Switchboard)
- Ship Service Switchboard(s)
- Emergency Switchboard
- Propulsion Control, Machinery Monitoring, and Power Management Systems
- Battery Storage and Management Systems, if any
- Emergency Diesel Generator Set
- Transformers

(c) The VETS Manufacturer Contractor shall develop Purchase Technical Specifications for the following:

- Hoisting and Turntable Electrical Motors and Drives
- Control System Hardware and Programming, including safety systems
- Braking System Primary Components
- Side Door Drive Primary Components

- Side Deck and Hatch(es) Hydraulics
- Shore Ramp Deployment/Recovery System

# STATEMENT OF SERVICES

## APPENDIX B

PSA No:	25-23-1-021
Original	Agreement
Program No:	SAMHS00158
Federal No:	9500153
Date Prepared:	01/19/2023

### PSA No. 25-23-1-021

## AMHS – Tustumena Replacement Vessel Propulsion System Integration CMGC

The Department of Transportation and Public Facilities (Department), and its marine division, the Alaska Marine Highway System (AMHS), requires assistance in the design development and subsequent construction of the Tustumena Replacement Vessel (TRV). The TRV will be a Roll-On/Roll-Off passenger vessel with a vehicle handling system (vehicle elevator) similar to the existing M/V *Tustumena* and M/V *Kennicott* that can serve the Alaska Marine Highway routes between Homer, Kodiak, and the Aleutian Chain.

The procurement delivery method for the Project is the construction manager/general contractor (CMGC) method. Under CMGC the TRV Project will be comprised of two phases: Preconstruction Phase and Construction Phase. The Department's *General Provisions for CMGC Preconstruction Services* (GPs) outline the process, terms, and conditions that apply to services rendered during the Preconstruction Phase; its *General Conditions for CMGC Construction Services* (GCs) apply to the Construction Phase.

### **BACKGROUND**

The Preconstruction Services and Construction Services for the TRV Project will be distributed across three elements each with a separate prime contractor. One contractor each will be selected for Shipyard Constructor, Propulsion System Integrator, and Vehicle Elevator and Turntable System Manufacturer. The selected contractors will work as part of the overall Project Team under the direction of the Department. The members of the Project Team will consist of:

- Owner – State of Alaska Department of Transportation and Public Facilities
- Design Consultant – Glostén
- Shipyard Contractor – To be awarded
- Propulsion System Integrator – Siemens Energy, Inc.
- Vehicle Elevator and Turntable System Manufacturer – To be awarded
- Independent Cost Estimator – NWE, LLC

Faithful execution of the Preconstruction Services will require the Propulsion System Integrator Contractor, to earnestly work with the entire Project Team. The application of the unique experience, skills, and resources available to the Contractor will assist in developing a sound Vessel design that can be delivered within the agreed to cost and schedule. As a member of the Project Team, the Contractor will perform the required Preconstruction Services in a prompt, efficient, and timely manner.

Supplemental Information available as follows:

- Technical Specifications, identified as Attachment 1 to the RFP
- General Arrangement Drawings, identified as Attachment 2 to the RFP
- General Provisions for CMGC Preconstruction Services identified as Attachment 3 to the RFP
- Supplementary Provisions CMGC Preconstruction Services, identified as Attachment 4 to the RFP

Project information and more specifics on CMGC are available on the Project website:

<https://dot.alaska.gov/amhs/fleet/trv.shtml>

**Project Information****TRV Characteristics and Capacities**

AMHS will class the TRV with the American Bureau of Shipping. The Vessel will have the following characteristics and capacities:

Length Over All (LOA)	330 Feet
Depth	24.5 Feet
Breadth Over All (BOA)	74 Feet
Design Draft	15' – 10" to 16' – 6" (End of Service Life)
Air Draft	90 Feet
Cruise/Service Speed	15 Knots
Vans & Cars	14 Vans & 26 Cars
Cars Only	58
Vehicle Loading Ability	Stern & Side (Port & Starboard), Vehicle Elevator
Vehicle Lane Length	1,220 Feet
Passengers	250 (Berths for 126)

**Vehicle Elevator**

Length x Width	52'x17'
Safe Working Load	80,000 lb
Safe Working Load w/Passengers	64,000 lb
Max Number of Cars	4
Max Number of Passengers	25

**Operational Characteristics and Classification**

Vessel Type	Roll-On/Roll-Off (RO/RO) Passenger Vessel
Service Type	Domestic Only (SOLAS), USCG Subchapter H Service Route Type Oceans (No more than 50 nautical miles from shore)
Range/Endurance	4,000 nm (20 days)

**Summary of Overall Project Goals**

The Department has identified the following overall Project goals:

- Engage the services of capable, efficient, and earnest Contractors that will provide quality Preconstruction Services in a responsive and timely manner.
- Expeditiously design and construct a Vessel that possesses sound attributes, that will:
  - Increase service reliability, reduce the in-port turnaround time, and increase passenger and vehicle capacity, compared to current service levels
  - Provide efficient and reliable operation.
  - Possess a vehicle elevator lift that will reliably meet the challenges of the various locations that the TRV must serve.
  - Meet all applicable regulations and standards at the time of delivery.
  - Provide the Department and people of Alaska with a fully functional Vessel that effectively and efficiently serves the communities of Homer, Kodiak, and the Aleutian Chain for the next 60 years
- Collaboratively identify construction risks and develop innovative, cost-effective mitigations.
- Collaboratively identify regulatory risks and develop innovative mitigations.
- Expedite construction while ensuring regulatory compliance and sufficient design readiness for efficient construction.

### **Summary of Propulsion System Integrator CMGC Contractor Goals**

The Department has identified the following Contractor goals for this Project:

- Provide solutions to achieve Project goals that balance capital cost, operating cost, and functionality, while providing viable upgrade paths for future adaptation of emerging technologies.
- Assist in identifying risks existing within the design that would impact project cost and schedule. Work with the Project Team to mitigate and minimize these risks through sound design and innovation.
- Identify ways to proactively reduce or eliminate construction risks, reduce construction timeline, reduce change orders, and claims during construction.
- Support innovation and provide flexibility for future adaptation of emerging technologies.
- Support technologies that reduce emissions
- Collaboratively work with the TRV team to identify ways to streamline constructability during design.
- Assist the Design Consultant in controlling and mitigating overall vessel weight in support of Service Life considerations.
- Assist with the identification of improvements that may expedite the project schedule.
- Provide and facilitate knowledge transfer to understand and implement best practices for Propulsion System design and integration.
- Contribute to a professional and collaborative Project Team that will see the project through to completion.
- Fulfill all other Preconstruction Service requirements in a skilled, responsive, and timely manner.

### **Anticipated Schedule**

The Project will consist of two phases:

- Preconstruction Phase.
- Construction Phase.

The Department's anticipated schedule is as follows:

- Preconstruction Phase. Feb 2023 through Sep 2023
  - Notice to Proceed Feb 2023
  - 60% Milestone May 2023
  - 80% Milestone July 2023
  - Negotiate GMP Aug 2023
- Construction Phase. Sep 2023 through Mar 2027
  - Notice to Proceed Sep 2023
  - Substantial Completion Nov 2027
  - Delivery Jan 2027
  - Warranty Period Jan 2028

The Construction Phase schedule will be further developed and refined by the Project Team during the Preconstruction Phase.



**Scope of Work:****A. Preconstruction Phase**

The presented scope of work is based on the established Project goals and currently known risks. One selection factor used in determining the successful Offeror will be its ability to analyze Project goals, evaluate work elements, and formulate a sound proposal. A proposal that the Contractor has demonstrated it can and will faithfully execute. This process may produce new approaches or modify the Project work elements. The final scope of work for the Project will evolve based on input from the members of the Project Team and other stakeholders.

The TRV is being designed with a diesel-electric propulsion plant utilizing DC or AC. The overall architecture as of this time consists of two main generator sets producing ~3000kw each and two auxiliary generator sets producing ~500kw each. These will provide power to two azimuthing propulsors of podded or L-drive design, two bow thrusters, and all ship service loads via appropriate switchboards. Installation of battery energy storage is being investigated to potentially offset in-port emissions, provide peak shaving, or provide other efficiency benefits.

The Propulsion System Integrator will provide design assistance to the Department and Design Consultants by leveraging their specialized technical expertise including experience with AC and DC systems. The Contractor will review the vessel performance requirements, arrangements, operating conditions, and overall state of design. Based on review and in consultation with the Design Consultants, Contractor will assist in equipment selection including providing equipment dimensions, equipment weights, ancillary support requirements (cooling, power levels, etc.), and other design requirements. This effort will culminate in the Project Team specifying a complete Propulsion System package.

This package will include, but is not limited to;

- Main and Auxiliary Prime Movers
- Main and Auxiliary Alternators
- Propulsors, Motors, and Drives
- Maneuvering Thrusters, Motors, and Drives
- Propulsion Electrical Distribution
- Ship Service Switchboard(s)
- Emergency Switchboard
- Propulsion Control, Machinery Monitoring, and Power Management
- Battery Storage and Management Systems, if any
- Emergency Diesel Generator Set

The Contractor will work with proposed equipment vendors and manufacturers to ensure Buy America compliance, as defined in 23 U.S.C. §313 and 23 C.F.R §635.410. The Contractor will perform any required analysis needed to provide performance metrics, weights, centers, dimensions, and other details to the Design Consultants in a timely and accurate manner.

The Contractor will engage with the Design Consultant, the Independent Cost Estimator (ICE), other retained Contractors, and the Department as a member of the collaborative Project Team. The Contractor will provide input on schedule, phasing, constructability, risk, and cost throughout the Preconstruction Phase of the Project as relates to their area of expertise. All deliverables are to be complete and accurate and provided within the schedule defined at beginning of the Contract per Section 4.1 of the GPs. The Contractor will make reasonable efforts to avoid delays in deliverables. The Contractor shall inform the Department immediately if a deliverable will not be submitted according to schedule.

The Department's *General Provisions for CMGC Preconstruction Services* identify Contractor's tasks during the Preconstruction Phase in detail and the Department strongly encourages interested parties to review the GPs when preparing their proposals. However, for present purposes, the Department will highlight several of the principal preconstruction tasks:

**Design-Related Preconstruction Services (GP §3.3)**

- Thoroughly review all specifications, drawings, reports, and other necessary Project documentation to provide design validation from a Propulsion System design and integration expertise perspective.

- Identify and procure subcontracted services of Key Vendors for the provision of analysis and data on features, capabilities, and operations for the following systems (Key Vendors may change over the course of the Preconstruction Phase as the design matures):
  - Main and Auxiliary Prime Movers
  - Main and Auxiliary Alternators
  - Propulsors, Motors, and Drives
  - Maneuvering Thrusters, Motors, and Drives
  - Propulsion Electrical Distribution
  - Ship Service Switchboard(s)
  - Emergency Switchboard
  - Propulsion Control. Machinery Monitoring and Power Management
  - Battery Storage and Management Systems, if any
  - Emergency Diesel Generator Set
- Provide constructability input on all facets of the Project related to the Propulsion System.
- Advise on the state of propulsion technology to ensure design incorporates current developments in capabilities and efficiency within cost, schedule, and operational parameters
- Actively participate in discussions to study and recommend ideas for design options as they pertain to constructability, innovation, future adaptability, value, and quality.
- Provide written reviews or reports and detailed mark-ups of the Project drawings and specification packages at Project Milestones. Comments should be related to constructability; clarifications; drawing and specification errors, omissions, or conflicts; effect on schedule; effect on cost; risk identification; future adaptability; and value engineering suggestions/recommendations.

#### **Weight Estimating (GP §3.4)**

- Develop and provide a list of weights and centers for propulsion system equipment to be utilized at each identified milestone or as requested. Changes and additions from the previous submittal are to be identified through revision tracking.

#### **Cost Estimating (GP §3.5)**

- Provide Propulsion System cost estimates for Milestones, which include the following tasks:
  - Submit current cost estimates or cost quotations for equipment provided by the contractor and third-party vendors and manufacturers.
  - Submit cost estimates for required technician labor required for installation, testing, and commissioning of the Propulsion System components to include anticipated expenses.
  - At the end of the Preconstruction Phase - and relying on the preceding iterative OPCC process - formulate and submit a Guaranteed Maximum Price (GMP) proposal for performance for the delivery and technical installation support of the Propulsion System components including documentation and required Regulatory approval.
  - Engage in any necessary review/reconciliation/negotiation with the Department.

### **Scheduling (GP §3.6)**

- Provide schedule data to the Department sufficiently in advance milestones for integration into the total CPM project schedule being developed for the Construction Phase.
- Provide and maintain current anticipated manufacturing and delivery timelines for all major equipment of the Propulsion System.
- Proactively advise the Project Team of changes in equipment availability and delivery schedules that would impact construction timelines.

### **Risk-Related Preconstruction Services (GP §3.7)**

- Participate in quantitative and qualitative risk management discussions with the Project Team to identify risks, develop mitigation strategies, and assign risk responsibility.
- Collaborate with the Project Team to develop a Risk Management Plan and perform risk assessments as needed.

### **Procurement (GP §3.8)**

- Advise & assist the Department regarding long-lead items.
- Provide real-time cost pricing including vendor and subcontractor quotes.
- Develop purchase technical specifications for equipment the Contractor will be responsible for procuring during Construction
- Ensure compliance with Buy America Act provisions and assist Department with any waiver requests deemed appropriate by the Project Team. The intent is to reduce or eliminate the need for waivers through technology, equipment, and materials selection.

### **Communications**

- Engage in meetings as required and provide a list of action items after each.
- Provide timely responses to requests for information from Project Team members with accurate information. All electronic mail correspondence between Project Team members is to be copied to the provided Project email address and the Department is to be made aware of all other communications between Project Team members promptly to ensure transparency and free information flow.
- Provide regular project progress reports highlighting deliverables, project cost status, and action item efforts.
- Submit deliverables as required utilizing Department furnished submittal portal (Submittal Exchange).
- Engage in review, comment, and approval as required utilizing Department furnished submittal portal (Submittal Exchange).

### **Meetings**

- Staff all Project Team meetings with appropriate personnel.
- Attend and constructively engage in all Project meetings.
- Project meetings will occur as follows:
  - Regular Progress Meetings, held as needed to ensure Project progress, ~1 – 2 hours, by video conference. The frequency will be dictated by the needs of the Project Team.

- Milestone Meetings, minimum of 8 hours, in person at a location agreeable to all parties.
- GMP Negotiations, minimum of 8 hours, in person at the Department's offices.

## **B. Construction Phase**

Upon agreement on the terms of the GMP, the Department and Contractor will enter a separate Construction Services Contract. During the Construction Services Contract, the Contractor will act as the primary system integrator for the propulsion system as per the scope of work defined during the Preconstruction Phase. The Contractor will provide the propulsion system package to the Shipyard Constructor Contractor and provide all installation, testing, and commissioning support as per the defined scope of work.

All work will be performed per the Project Schedule and GMP and following the GCs and Contract Documents. The Department will administer the Construction Services Contract in a manner generally consistent with a design-bid-build project. See GCs for further details. As the total scope of work for the Project will be distributed over multiple Contractors the Department may delay the implementation of the Construction Services Contract until Contracts are awarded for each element of the Project.

## **ADMINISTRATIVE REQUIREMENTS**

**Project Staff.** All services must be performed by or under the direct supervision of the following individuals. Only prior written approval from the Department shall accomplish replacement of, or addition to, the Project Staff named below:

<u>Name</u>	<u>Project Responsibilities</u>
Vera Kotova	Contract Manager
Jeff Glover	Project Manager
Arnout van Maanen, Marine Systems Integration Engineer	Lead Engineer
Cassie Bradley, Marine Systems Engineer	Power Systems Integration Lead
Angel Vera, Marine Drive Systems Engineer	Lead Technician for Installation & Commissioning
Chuck Dasnoit, Marine Systems Commissioning Engineer	Production Foreman or Supervisor

**Professional Registration.** *Where applicable*, all reports, plans, specification, estimates and similar work products provided by the Contractor shall be prepared by or under the supervision of the Registered Engineer, Architect or Land Surveyor in responsible charge for the services. These Engineers, Architects, or Land Surveyors shall be currently registered in the State of Alaska and they shall sign and seal as to the accuracy of each final work product for which they are responsible.

**Contractor Name on Plan Sheets and Documents.** No Contractor logos shall be allowed on any electronic or hard copy document produced for the Department. Contractor letterhead shall be allowed only as exhibits in document appendices. The Contractor name shall be in the same font as other lettering on the plan sheet or document, shall be 0.05" in height on 11"x17" plan sheets, and shall be included in the binding edge of each sealed and signed plan sheet in the following format:

PLANS DEVELOPED BY: CONSULTING FIRM NAME, LLC, CERT. OF AUTHORIZATION NO.  
ADDRESS  
PHONE NUMBER

**Standards, Guidelines, References, and Software.** *As applicable*, the Contractor shall use the most current editions of any publications of standards, guidelines, or references that have been adopted by the Department at the time that design services begin. Major changes in design guidance during the course of the project that change the design criteria may be addressed by amendment.

**Submittal Requirements.** Deliverables shall be compatible with Department standard software, and submitted in their original electronic format as well as PDF. Hard copies shall be submitted for everything requiring an original seal, and as required by the project managers of the specific projects.



January 11<sup>th</sup>, 2023

Barbara L. Tanner  
Alaska Department of Transportation & Public Facilities Contracts Division  
P.O. Box 112500 (mailing)  
3132 Channel Drive  
Juneau, Ak 99811-2500

**Customer Reference:**

RFP No: 25-23-1-021

Program No: SAMHS00158

Federal No: 9500153

**Siemens Energy Reference:** SF2211949942 - AMHS TRV Propulsion System Integrator CMGC RFP

**Subject:** Preconstruction Services Estimate - AMHS TRV Propulsion System Integrator CMGC RFP

Dear Barbara,

Siemens Energy Inc. is pleased to present this firm fixed price proposal to perform the propulsion system integration CMGC services for the Tustumena Replacement Vessel. The included pricing and billing rates are offered for completion of the Preconstruction phase to comply with the proposed statement of services defined in RFP No: 25-23-1-021 and supplemental information provided to proposers.

**PROPRIETARY INFORMATION**

THE INFORMATION CONTAINED IN THIS PROPOSAL AND ALL RELATED DOCUMENTS IS PROPRIETARY AND CONFIDENTIAL INFORMATION THAT BELONGS TO SIEMENS ENERGY INC. (SEI). THIS INFORMATION IS BEING DISCLOSED TO YOU FOR THE SPECIFIC PURPOSE OF YOUR EVALUATION OF SEI AS A CONTRACTOR FOR A PARTICULAR PROJECT. BY REVIEWING THE INFORMATION CONTAINED IN THESE DOCUMENTS, YOU AGREE TO BE BOUND TO A CONFIDENTIALITY OBLIGATION WITH RESPECT TO THIS INFORMATION. SPECIFICALLY, YOU HEREBY AGREE THAT (1) YOU WILL TREAT THIS INFORMATION WITH THE SAME LEVEL OF CARE AS YOU TREAT YOUR OWN PROPRIETARY INFORMATION; (2) YOU WILL NOT DISCLOSE THIS INFORMATION TO THIRD PARTIES WITHOUT THE PRIOR WRITTEN CONSENT OF SEI; AND (3) YOU WILL NOT USE THIS INFORMATION FOR ANY PURPOSE BEYOND EVALUATING SEI AS A CONTRACTOR AND/OR CONTRACTING WITH SEI FOR THE PARTICULAR PROJECT QUOTED HEREIN.



## SCOPE OF WORK

The scope of work will include the services defined in Proposed Statement of Services, Appendix B., Section A., Preconstruction Phase of the RFP # 25-23-1-021.

Siemens Energy's preconstruction services:

- **Review of current TRV design**
- **Provide Siemens Energy TRV design recommendations**
  - Complete propulsion system analysis
- **Conduct preliminary/iterative design services**
  - Define system architecture & single line drawing
  - Propulsion system SSV (Single Source Vendor) equipment specification
  - Fault current analysis
  - Harmonics study
  - Propulsion SSV management report
  - Propulsion system report
- **Provide Siemens Energy TRV final design recommendations**
  - Draft propulsion system purchase specification for shipyard bid package
- **Travel and Expenses**
  - Kickoff meeting with AMHS in Alaska (5 days)
  - Milestone meeting #1 in Seattle (1 day)
  - Milestone meeting #2 in Seattle (1 day)
  - Milestone meeting #3 in Seattle (1 day)
  - Milestone meeting #4 in Seattle (1 day)
  - Final design review meeting in Alaska (3 days)



## COMMERCIAL CONDITIONS

### 1. Price Basis

Price is based on the scope of work defined in Proposed Statement of Services, Appendix B., Section A., Preconstruction Phase of the RFP # 25-23-1-021, based on a 9-month schedule, beginning in February 2023. Travel and Expenses costs are estimated and will be invoiced based on actual costs. If any additional services or engineering deliverables are required this proposal will be subject to recalculation. **Prices effective Jan 11, 2023, and subject to change each fiscal year. The current effective fiscal year is 10/01/2022 to 09/30/2023.**

### 2. Period of Performance

February 2023, to November 2023.

### 3. Validity

This firm price proposal is valid for 30 days from Tuesday, January 11, 2023. After this date, the quotation is subject to reconfirmation or change. This proposal is subject to credit approval and applicable U.S (United States) (United States). Government regulations. This quotation is also subject to obtaining any licenses or approvals required by the appropriate authorities.

### 4. Payment Conditions

Invoices will be billed monthly. All invoices will be due Net 30 days after invoice issuance.

### 5. Domestic Taxes and Duties

Domestic taxes and duties are specifically excluded.

### 6. Terms and Conditions

General Provisions for CMGC Preconstruction Services, Attachment 3  
Supplementary Provisions CMGC Preconstruction Services, Attachment 4

## 7. Price

Siemens Energy Preconstruction Services Estimate:

Preconstruction Function	Billing Rate
Contract Manager (FY 2023)	\$167.00
Project Manager (FY 2023)	\$217.00
Lead Engineer (FY 2023)	\$203.00
Power Systems Integration Lead (FY 2023)	\$203.00

Preconstruction Service	Estimated Labor Effort	Cost
Review of Current TRV Design	10%	\$24,500.00
Provide Design Recommendations	15%	\$36,750.00
Preliminary/Iterative Design	60%	\$147,000.00
Provide Final Design Recommendations	15%	\$36,750.00
	<b>Subtotal</b>	<b>\$245,000.00</b>
	<b>Travel &amp; Expenses</b>	<b>\$40,000.00</b>
	<b>Total</b>	<b>\$285,000.00</b>

Notes:

- a) Hours will be tracked and if more hours are required based on increased scope, AMHS will be invoiced @ rates listed above.

As one of the world's largest electrical companies, **Siemens Energy Inc.** provides a global experience base in process knowledge unparalleled in the Maritime & Offshore industries. Thank you for the opportunity to present this technical solution to enhance your company's operations. We trust that our technical proposal and prices are of interest and meet your requirements. If you have any questions or require further information, please contact Luke Briant directly at (561) 427-8588 or Barrett Carpenter at (503) 702-3829.

Sincerely,

**Briant  
Luke**  
Digitally signed  
by Briant Luke  
Date:  
2023.01.11  
11:23:00 -05'00'

Luke Briant  
Director, Maritime & Offshore  
Siemens Energy, Inc  
Marine & Offshore

**Curme  
Michelle**  
Digitally signed by Curme Michelle  
DN: cn=Curme Michelle, c=DE,  
o=Siemens,  
email=Michelle.Kinney@siemens-  
energy.com  
Date: 2023.01.11 09:58:59 -05'00'

Michelle Curme  
Finance and Business Administration  
Siemens Energy Inc.  
Marine & Offshore



# COMPENSATION

## APPENDIX C

PSA No: 25-23-1-021  
Program No: SAMHS00158  
Federal No: 9500153  
Date Prepared: 01/19/2023

C1. Payments will be made on approved invoices submitted for months during which costs are incurred. Except for Fixed Price(s) and Fixed Fees, compensation shall be cost-based on actual costs to the Contractor for providing services. Provisions for Audit are contained in Appendix A.

C2. EXCEPT WHEN PAYMENT IS BY FIXED PRICE, PRIME CONTRACTOR'S LABOR AND ASSOCIATED INDIRECT COST SHALL BE INVOICED TO THE CONTRACTING AGENCY WITHIN 45 DAYS OF PERFORMANCE. SUBCONTRACTORS' LABOR AND ASSOCIATED INDIRECT COST SHALL BE INVOICED TO THE CONTRACTING AGENCY WITHIN 60 DAYS OF PERFORMANCE. ALL OF THE CONTRACTOR'S AND SUBCONTRACTORS' OTHER DIRECT COSTS (EXPENSES) SHALL BE INVOICED TO THE CONTRACTING AGENCY WITHIN 90 DAYS OF BEING INCURRED. **CHARGES SUBMITTED AFTER THE ABOVE STATED TIMES WILL, AT THE CONTRACTING AGENCY'S DISCRETION, NOT BE PAID.**

C3. Price proposals and Notices-to-Proceed (NTPs) for this Agreement must conform to the Labor Rates, Indirect Cost Rate(s), Unit Prices, Fee/Profit Arrangements, Estimated Costs, and Price Caps contained in the Exhibits attached to this Appendix C.

C4. Payments are limited to the amount(s) cited in each Notice-to-Proceed (NTP) issued for this Agreement. The Contractor expressly has no right to any payment in excess of each NTP amount.

C5. Final payment to the Contractor may be withheld until a Release from Agreement, on a form prescribed by the Contracting Agency, is executed by the Contractor.

C6. Payments for this Agreement and any Amendment, including Costs and Fee, will be adjusted to exclude any significant sums by which the Contracting Agency finds that payments are increased because the cost or pricing data furnished by the Contractor or prospective Contractor is inaccurate, incomplete, or not current on the date of the Agreement or subsequent submittal date of pricing data (AS 36.30.400).

C7. The following terminology and explanations are applicable to this Agreement; any inconsistencies appearing in this Agreement must be resolved in accordance with the terminology in paragraphs C7.1-C7.6 and C8.

C7.1 Direct Costs of Direct Labor - Base salary and/or wages paid to employees charged directly to this Agreement exclusive of Fringe Benefits or other Indirect Costs and Fees (including profit).

C7.2 Other Direct Costs ("Expenses") - **PRE-APPROVED** unit priced items, actual costs for specific subcontracts identified in this Agreement, and actual costs for the following:

Transportation (economy rate/air-coach);  
Food and lodging (Generally, not to exceed agency per diem rates);  
Incidental travel expenses; and  
- If not recovered in the Indirect Cost Rate - the following:  
Equipment & computer use at **PRE-APPROVED** rates;  
Specific materials and supplies; and  
Other **PRE-APPROVED** direct expenses.

Each Expense is limited to reasonable costs which do not exceed that which would be incurred by an ordinarily prudent person in the conduct of competent business.

C7.3 Indirect Costs - Allowable expenses that, because of their incurrence for common or joint cost objectives, must be allocated to this Agreement using a specified Indirect Cost Rate. A cost objective is a function, organizational subdivision, contract, project or work unit for which cost data is accumulated under the Contractor's accounting system. Generally, the Contracting Agency requires Indirect Costs to be segregated into the following categories: Fringe Benefits, Overhead (General & Administrative Expenses - including Indirect Labor), and Allocated Home Office Overhead (if applicable).

C7.3.1 Fringe Benefits - Costs for items such as:

Vacation time, holidays and authorized leave;  
Group and Worker's Compensation Insurance;  
Deferred Compensation/Retirement plans;  
Social Security and Unemployment Taxes; and  
Group Medical plan and Life Insurance Premiums.

C7.3.2 Overhead - Costs for items such as the following, if they are not included in Direct Costs:

Indirect Labor (Supervisory, Administrative, etc., base salary or wages)  
Recruiting expenses, travel, food and lodging;  
Rent, heat, power, light and janitorial services;  
Office supplies, reproduction costs, communications;  
Upkeep and depreciation of equipment and computers;  
Rentals of equipment and computers; and,  
Business Insurance premiums not billed to clients;

C7.3.3 Allocated Home Office Overhead (if applicable) - Costs for management, supervisory, and administrative functions which benefit separate unit operations.

C7.3.4 Indirect Cost Rate - An established percentage of incurred expenses for Direct Costs of Direct Labor

which is used as a basis of compensation for Indirect Costs. Fees or Profit are not included in the Indirect Cost Rate.

C7.4.1 If this Agreement wholly or partially allocates Indirect Costs on other than a Direct Labor dollar basis, a description of the Indirect Cost pools or service centers used, and the Indirect Cost Rates(s) and base(s), shall be attached in an Exhibit to this Appendix C; otherwise, such an allocation shall not be allowed for this contract.

C7.4.2 Indirect Cost Rates may be fixed or provisional and will be established for the duration of the Agreement, fiscal year, or other time period.

C7.4.3 Provisional Indirect Cost Rates or "Fixed/Provisional" Rates require a Contracting Agency approved audit of accounting records after each of the Contractor's or Subcontractor's fiscal years during which they perform work under the Agreement.

C7.5 Non-allowable Costs - Payments for the following items and certain other costs defined in 48 CFR Part 31 and related regulations are not allowable. Such costs shall not be included as billable Direct or Indirect Costs or in the calculation of the Indirect Cost Rate.

Interest and other financial costs  
Contributions and donations  
Federal income taxes & tax return preparation fees  
Deferred state income taxes

Bad debts  
Fines and penalties  
Entertainment, social club memberships, etc.  
Goodwill  
Provisions for contingencies  
Losses on other contracts and related legal fees  
Legal fees, etc., related to contract claims

C7.6 Fee - Profit plus any costs not allocable to this contract. The amount of Fee may be fixed or variable, depending on the method of payment used. Non allocable costs shall not be considered by the Contracting Agency when negotiating Fee.

C8. Markup of any costs as compensation for administration, management or handling, etc., is prohibited. Costs of such efforts are included within the elements of Direct Labor and/or Indirect Labor. Compensation for any risk associated with incurring costs is included within Fee (Profit).

C9. The following Exhibits complete this Appendix C (Components of Appendix C - Compensation, shall stand and prevail in the following order: DOT&PF Form 25A280, Exhibit C-1, Exhibit C-2, et al, in the order of their number):

Exhibit C-1 Method(s) of Payment  
Exhibit C-2 NTP & Invoice Summary

# COMPENSATION

## APPENDIX C EXHIBIT C-1, METHOD(S) OF PAYMENT

### AMHS – Tustumena Replacement Vessel Propulsion System Integration CMGC

PSA No: 25-23-1-021

Initial PSA Award

Program No: SAMHS00158

Federal No: 9500153

Date Prepared: January 19, 2023

1. Payments will be made in accordance with Article A7 (Basic Agreement), Articles C1 - C9 (Appendix C), the following, and the applicable discussions of Methods of Payment presented below.

<u>CONTRACTOR &amp; SUBCONTRACTORS</u>	<u>SUBCONTRACTOR TO: (FIRM)</u>	<u>METHOD OF PAYMENT</u>	<u>ESTIMATED COST</u>	<u>FEE</u>	<u>ESTIMATED PRICE</u>
Siemens Energy Inc.		TE	\$285,000.00		\$285,000.00

Prior Negotiated Compensation Amount \$0.00

Total Agreement Amount \$285,000.00

Note: If a Method of Payment is "Fixed Price", then the amount listed under "Estimated Price" is the Fixed Price.

Item	Description	Amount	PSA Document	NTP #
Phase A	Preconstruction Services		Original PSA	
1	Review Current TRV Design	\$24,500.00	Original PSA	1
2	Provide Design Recommendations	\$36,750.00	Original PSA	1
3	Preliminary/Iterative Design Support	\$147,000.00	Original PSA	1
4	Final Design Recommendations	\$36,750.00	Original PSA	1
5	Travel & Expenses	\$40,000.00	Original PSA	1
Total		\$285,000.00		

2. **FIXED PRICE(S)** payments will be a single lump sum payment equal to the Fixed Price upon acceptable completion of this Agreement, or progress payments not to exceed the Fixed Price.

3. **FIXED PRICE(S) PLUS EXPENSES** payments will be as follows:

3.1 Payments of the **FIXED PRICE** will be a single lump sum payment equal to the Fixed Price upon acceptable completion of services, or progress payments not to exceed the Fixed Price(s).

3.2 Payments for Other Direct Costs (**EXPENSES**) will be made for actual substantiated costs not to exceed the total specified amount for expenses which are directly chargeable to and necessary for performance of the services assuming they are not recovered through the Indirect Cost Rate.

4. **COST PLUS FIXED FEE** payments will be made according to the following:

4.1 Payments for DIRECT COST OF DIRECT LABOR will be equivalent to the number of hours expended by each job classification multiplied by the applicable Direct Labor Rate. Job Classifications, Labor Hours and Direct Hourly Rates are estimated for this contract. Work shall be performed by the lowest paid qualified personnel. Further, when performing work for which they are over qualified, individuals will charge time at rates equivalent to skill levels commensurate with the work they perform. Contract payments will be based on the actual Direct Labor Rates paid to employees in any direct labor job classification who work on the contract, except that no Direct Hourly Rate shall exceed \$ PER HOUR except for the following individuals whose rates are capped (fixed) as listed below for the duration of this Agreement:

<u>FIRM</u>	<u>JOB CLASSIFICATION</u>	<u>PERSON'S NAME</u>	<u>DIRECT RATE (\$/HR)</u>
-------------	---------------------------	----------------------	----------------------------

4.2 Payments for **INDIRECT COSTS** shall be equivalent to the amounts for Direct Cost of Direct Labor multiplied by the following applicable **INDIRECT COST RATES (IDCR)** :

<u>CONTRACTOR / SUBCONTRACTOR</u>	<u>IDCR (%)</u>	<u>IDCR TYPE (F,F/P, P)</u>
Siemens	IDCR Pending	F/P

This contract will bill using the rates provided in Siemens' proposal until a determination for an audited rate is made.

4.2.1 IDCR with "F" is Fixed for the duration of this Agreement.

4.2.2 IDCR with "F/P" is Fixed for the last half of the firm's current fiscal year plus not to exceed six months of its next fiscal year, after which the IDCR becomes a Provisional Rate until an audit is completed and a Fixed IDCR is negotiated for each successive twelve month interval.

4.2.3 IDCR with "P" is Provisional until completion of post performance audit to establish actual incurred rate which is used to negotiate a final IDCR for the period covered by the audit. Post performance audits may be done after each fiscal year of a multi-year contract or once after completion of the contract. Audit findings and other rationale will be used to negotiate a final IDCR that appropriately allocates Indirect Costs to this Agreement for each fiscal year.

4.2.4 Revisions to any IDCR may be implemented only by a contract Amendment. Further, adjustment of any payments made based on Provisional IDCRs will not be done without a contract Amendment that fully explains the amount of the adjustments.

4.3 Payments for **OTHER DIRECT COSTS** (Expenses) will be made for actual substantiated costs which are directly chargeable to and necessary for performance of services assuming they are not recovered through the Indirect Cost Rate. "Markup" of Other Direct Costs is prohibited (reference paragraph C8).

4.4 If not defined elsewhere in this Appendix C, progress payments for a firm's (Contractor or any Subcontractor) **FIXED FEE** will be equivalent to the ratio of the firm's Direct Cost of Direct Labor to date, divided by the firm's total estimated Direct Cost of Direct Labor, multiplied by the total amount of the firm's Fixed Fee. The Fee amount for each firm participating in this Agreement was determined as follows:

5. **TIME AND EXPENSES** payments will be made according to the following:

5.1 Payments for **TIME** will be equivalent to the number of hours expended by each job classification multiplied by the applicable Billing Rate. Work will be performed by personnel with the lowest reasonable skill levels and hourly rates. Further, when performing work for which they are over qualified, individuals will charge time at rates equivalent to skill levels commensurate with the work they perform.

5.1.1 BILLING RATES for persons who work on this contract shall be the sum of the person's actual Direct Labor Rate plus an allowance for Indirect Cost at the then current Agency approved Indirect Cost Rate for the person's employer (firm) plus a fee (profit) of ten percent; e.g.:  $\$25 + (1.50 \times \$25) + (.10 \times [\$25 + (1.50 \times \$25)]) = \$68.75$ , however, not to exceed \$ PER HOUR except for the following individuals whose rates are capped (fixed) as listed below for the duration of this Agreement.

<u>FIRM</u>	<u>JOB CLASSIFICATION</u>	<u>PERSON'S NAME</u>	<u>BILLING RATE (\$/HR)</u>
-------------	---------------------------	----------------------	-----------------------------

5.1.2 **BILLING RATES** are negotiated hourly labor rates which include compensation for all Costs (Direct Cost of Direct Labor and all Indirect Costs) plus Fee, except for allowable direct Expenses.

5.1.3 **Time & Expenses Overtime** shall be calculated at 1.5 times the base labor rate (DL & IDCR) and then the profit factor added.

5.2 Payments for Other Direct Costs (**EXPENSES**) will be made for actual substantiated costs which are directly chargeable to and necessary for performance of services assuming they are not recovered through the Indirect Cost Rate. "Markup" of Expenses is prohibited (reference paragraph C8).

# INDEMNIFICATION AND INSURANCE

## Appendix D in Professional Services Agreements

<b>PSA No:</b> 25-23-1-021
<b>Program No:</b> SAMHS00158
<b>Federal No:</b> 9500153
<b>Date Prepared:</b> 01/19/2023

CONTRACTOR shall include the provisions of this form in all subcontracts which exceed \$25,000 and shall ensure Subcontractor's compliance with such provisions.

### ARTICLE D1 INDEMNIFICATION

D1.1 The CONTRACTOR shall indemnify, hold harmless, and defend the CONTRACTING AGENCY from and against any claim of, or liability for negligent acts, errors or omissions of the CONTRACTOR under this Agreement. The CONTRACTOR shall not be required to indemnify the CONTRACTING AGENCY for a claim of, or liability for, the independent negligence of the CONTRACTING AGENCY. If there is a claim of, or liability for, the joint negligent error or omission of the CONTRACTOR and the independent negligence of the CONTRACTING AGENCY, the indemnification and hold harmless obligation shall be apportioned on a comparative fault basis. "CONTRACTOR" and "CONTRACTING AGENCY", as used within this article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term "Independent Negligence" is negligence other than in the CONTRACTING AGENCY's selection, administration, monitoring, or controlling of the CONTRACTOR and in approving or accepting the CONTRACTOR's Work.

D1.2 The CONTRACTOR shall exercise that degree of skill, care and judgment commensurate with the professional standards for the services of a similar nature. When such standards are in dispute, they shall be established by a panel of three qualified, impartial professionals objectively selected and appointed by the Appeals Officer.

D1.3 The CONTRACTOR shall correct, through re-performance at its expense, any services which are deficient or defective because of the CONTRACTOR's failure to perform said services in accordance with professional standards, provided the CONTRACTING AGENCY has notified the CONTRACTOR in writing within a reasonable time, not to exceed 60 days, of the discovery of any such deficiency during the performance of the services and within 12 months of the date of final payment under this Agreement.

### ARTICLE D2 INSURANCE

D2.1 Without limiting the CONTRACTOR's indemnification, it is agreed that CONTRACTOR shall purchase at its own expense and maintain in force at all times for the duration of this Agreement, plus one year

following the date of final payment, the following policies of insurance. Where specific limits are shown, it is understood that they shall be the minimum acceptable limits. If the CONTRACTOR's policy contains higher limits, the CONTRACTING AGENCY shall be entitled to coverage to the extent of such higher limits. Certificates of insurance must be furnished to the CONTRACTING AGENCY and incorporated into this Agreement with copies attached to this document. Certificates must provide for the CONTRACTING AGENCY to receive notice of any policy cancellation or reduction per AS 21.36 Sections 210-310. Failure to furnish certificates of insurance or lapse of the policy is a material breach and grounds for termination of the CONTRACTOR's services and may preclude other Agreements between the CONTRACTOR and the CONTRACTING AGENCY.

**D2.1.1 Worker's Compensation Insurance:** The CONTRACTOR shall provide and maintain, for all employees engaged in work under this Agreement, coverage as required by AS 23.30.045, and; where applicable, any other statutory obligations including but not limited to Federal USL&H and Jones Act requirements. The policy(s) must waive subrogation against the State of Alaska.

**D2.1.2 Commercial General Liability Insurance:** Such policy shall have **minimum** coverage limits of \$300,000 combined single limit per occurrence, covering all business premises and operations used by the Contractor in the performance of services under this agreement. The policy shall be written on an "occurrence" form and shall not be written as a "claims-made" form unless specifically reviewed and agreed to by the CONTRACTING AGENCY.

**D2.1.3 Comprehensive Automobile Liability Insurance:** Such policy shall have **minimum** coverage of \$300,000 combined single limit per occurrence covering all vehicles used by the Contractor in the performance of services under this agreement.

**D2.1.4 Professional Liability (E&O) Insurance:** Covering all negligent errors or omissions, and negligent acts, which the CONTRACTOR, Subcontractor or anyone directly or indirectly employed by them, make in the performance of this Agreement which result in financial loss to the State of Alaska. Limits required are per the following schedule:

**MINIMUM LIMITS OF E&O INSURANCE**

Contract Amount	Combined Single Limit, Per Occurrence & Annual Aggregate
Under \$25,000	As Available
\$25,000 to \$100,000	\$300,000
\$100,000 to \$499,999	\$500,000
\$500,000 to \$999,000	\$1,000,000
\$1,000,000 and over	Negotiable

D2.1.5 Professional Liability Insurance required for this

Agreement is

\$ Not Required

**ARTICLE D3****MODIFICATION OF INSURANCE REQUIREMENTS**

(Article D3 is completed only when some of the standard insurance coverages are not applicable.)

**CONTRACTOR RELATED MODIFICATIONS**

- D3.1 ☐ **Workers Compensation Insurance** is not required because the CONTRACTOR is an Independent Contractor, Sole Proprietor or Self-Employed Person having no employees in any sense of AS 23.30.045.
- D3.2 ☐ **Comprehensive or Commercial General Liability Insurance** is not required because the general public and clients do not have any business access to a place of business or home office maintained by the CONTRACTOR.
- D3.3 ☐ **Comprehensive Automobile Liability Insurance** is not required because only public transportation, or a rented passenger vehicle with business use insurance, will be used to accomplish requirements of this Agreement.

**PROJECT RELATED MODIFICATIONS FOR E&O COVERAGE**

***When services may apply to fire, life safety or structural aspects and/or wherever the services should safeguard life, limb, health or property, Professional Liability Insurance shall be required.***

(E&amp;O Coverage may be waived only if it was specifically not required within the solicitation for proposals.)

- D3.4 ☐ **Professional Liability (E&O) Insurance** is not required because: 1) the CONTRACTING AGENCY's use of the services or Work products obtained from the CONTRACTOR will not result in significant exposure to any third party claims for loss or damage; and 2), the CONTRACTOR services will not apply to any construction, alteration, demolition, repair or direct use of any highway, airport, harbor, building or other structure.
- D3.5 ☒ **Professional Liability (E&O) Insurance** is not required because this Agreement is for one of the following applicable (*checked*) services for which E&O coverage is not needed:
- ☐ Right-of-Way Fee Appraisals
  - ☐ Photogrammetric Mapping Services
  - ☒ Architectural/Engineering review of Construction Bid Documents wherein design responsibility clearly remains with the designer of record.

**OTHER BASIS FOR MODIFICATIONS**

(Requires written concurrence from Division of Risk Management)

- D3.6 ☐ Attached Exhibit D-1 identifies and provides justification for insurance modifications.

Above *checked* modifications of the insurance requirements specified in Article D2 are hereby approved:**CONTRACTING OFFICER**

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name: Barbara L. Tanner, P.E.

Title: AMHS Contracting Officer



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
01/10/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

<b>PRODUCER</b> MARSH USA, INC. 445 SOUTH STREET MORRISTOWN, NJ 07962-1966			<b>CONTACT NAME:</b> <b>PHONE (A/C, No, Ext):</b> <b>FAX (A/C, No):</b> <b>E-MAIL ADDRESS:</b>	
CN131455505-ENERG-22/23      ENERG    MORG      NOC60			<b>INSURER(S) AFFORDING COVERAGE</b>	
<b>INSURED</b> SIEMENS ENERGY, INC. 4400 ALAFAYA TRAIL ORLANDO, FL 32826-2399			<b>NAIC #</b>	
			INSURER A : HDI Global Insurance Company      41343	
			INSURER B : Travelers Property Casualty Co. of America      25674	
			INSURER C : The Charter Oak Fire Insurance Company      25615	
			INSURER D :	
			INSURER E :	
			INSURER F :	

**COVERAGES**      **CERTIFICATE NUMBER:** NYC-011537754-01      **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			GLD5737101	10/01/2022	10/01/2023	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 MED EXP (Any one person) \$ 100,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 1,000,000 PRODUCTS - COMP/OP AGG \$ INCL. \$
B	<b>AUTOMOBILE LIABILITY</b> <input checked="" type="checkbox"/> ANY AUTO <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY			TC2JCAP-2G401355-TIL-22	10/01/2022	10/01/2023	COMBINED SINGLE LIMIT (Ea accident) \$ 5,000,000 BODILY INJURY (Per person) \$ N/A BODILY INJURY (Per accident) \$ N/A PROPERTY DAMAGE (Per accident) \$ N/A \$
	<b>UMBRELLA LIAB</b> <input type="checkbox"/> OCCUR <b>EXCESS LIAB</b> <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
C	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b> ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N <input checked="" type="checkbox"/> N	N/A	UB-1R980049-22-51-K (AOS) UB-1R977776-22-51-R (AZ, MA, WI)	10/01/2022 10/01/2022	10/01/2023 10/01/2023	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000
B							

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)  
RE: JOB: PSA # 25-23-1-021, PROGRAM # SAMHS00158  
IF THESE POLICIES ARE CANCELLED FOR ANY REASON OTHER THAN NON-PAYMENT OF PREMIUM, THE INSURER WILL DELIVER NOTICE OF CANCELLATION TO THE CERTIFICATE HOLDER UP TO 60 DAYS PRIOR TO THE CANCELLATION OR AS REQUIRED BY WRITTEN CONTRACT, WHICHEVER IS LESS.

<b>CERTIFICATE HOLDER</b>  STATE OF ALASKA DEPARTMENT OF TRANSPORTATION & PUBLIC FACILITIES PO BOX 112500 JUNEAU, AK 99811-2500	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.  AUTHORIZED REPRESENTATIVE of Marsh USA Inc  <i>Justin Bernhardt</i>
--	---

© 1988-2016 ACORD CORPORATION. All rights reserved.



# CERTIFICATION OF COMPLIANCE

## APPENDIX E

PSA No:	25-23-1-021
Program No:	SAMHS00158
Federal No:	9500153
Date Prepared:	November 28, 2022

### ALASKA LICENSES/REGISTRATIONS AND INSURANCE

Contractor and all Subcontractors shall comply with the following applicable requirements of Alaska Statutes:

1. For Procurements over the Small Procurement Limits, **Alaska Business License** (Form 08-070 issued under AS 43.70) at the time designated for award as required by AS 36.30.210(e) for Contractor and all Subcontractors.
2. **Certificate of Registration** for each individual to be in "responsible charge" (AS 08.48.341(14)) for Architecture, Engineering or Land Surveying (Form 08-2407 issued under AS 08.48.211) issued prior to submittal of proposal. Associates, consultants, or specialists under the supervision of a registered individual in "responsible charge" are exempt from registration requirements (AS 08.48.331).
3. **Certificate of Incorporation (Alaska firms) or Certificate of Authorization** for Foreign Firm ("Out-of-State" firms). All corporations, regardless of type of services provided, must have one of the certificates (AS 10.06.218 and other sections of Title 10.06 - Alaska Corporations Code).
4. **Current Board of Director's Resolution** for incorporated Contractors and incorporated Subcontractors for Architecture, Engineering or Land Surveying (reference AS 08.48.241) which names the person(s) designated in "responsible charge" for each discipline. Such persons shall be licensed in Alaska and shall participate as project staff in the Contract/Subcontracts.
5. **Corporations, limited liability companies, and limited liability partnerships** shall have a valid Certificate of Authorization under 08.48.241 prior to award.
6. **All partners** in a Partnership to provide Architectural, Engineering, or Land Surveying **must be legally registered in Alaska** prior to submittal of proposal for at least one of those disciplines (AS 08.48.251) which the Partnership offers.
7. **Joint Ventures**, regardless of type of services provided, must be licensed/registered in the legal name of the Joint Venture as used in this proposal (AS 43.70.020 and 43.70.110(4)).
8. The Contractor certifies that firms or individuals **debarred or suspended by the Department, State or Federal agencies** are not employed or subcontracted under this Professional Services Agreement.

Contractor will ensure that it and all Subcontractors have insurance coverage to effectuate the requirements of Appendix D, "Indemnification and Insurance", DOT&PF Form 25A269, as prepared for this Agreement.

**I certify that I am a duly authorized representative of the Contractor and that the above requirements for Alaska Licenses, Registrations and Insurance will be complied with in full. This certification is a material representation of fact upon which reliance will be placed if the proposed contract is awarded.**

Briant  
Luke

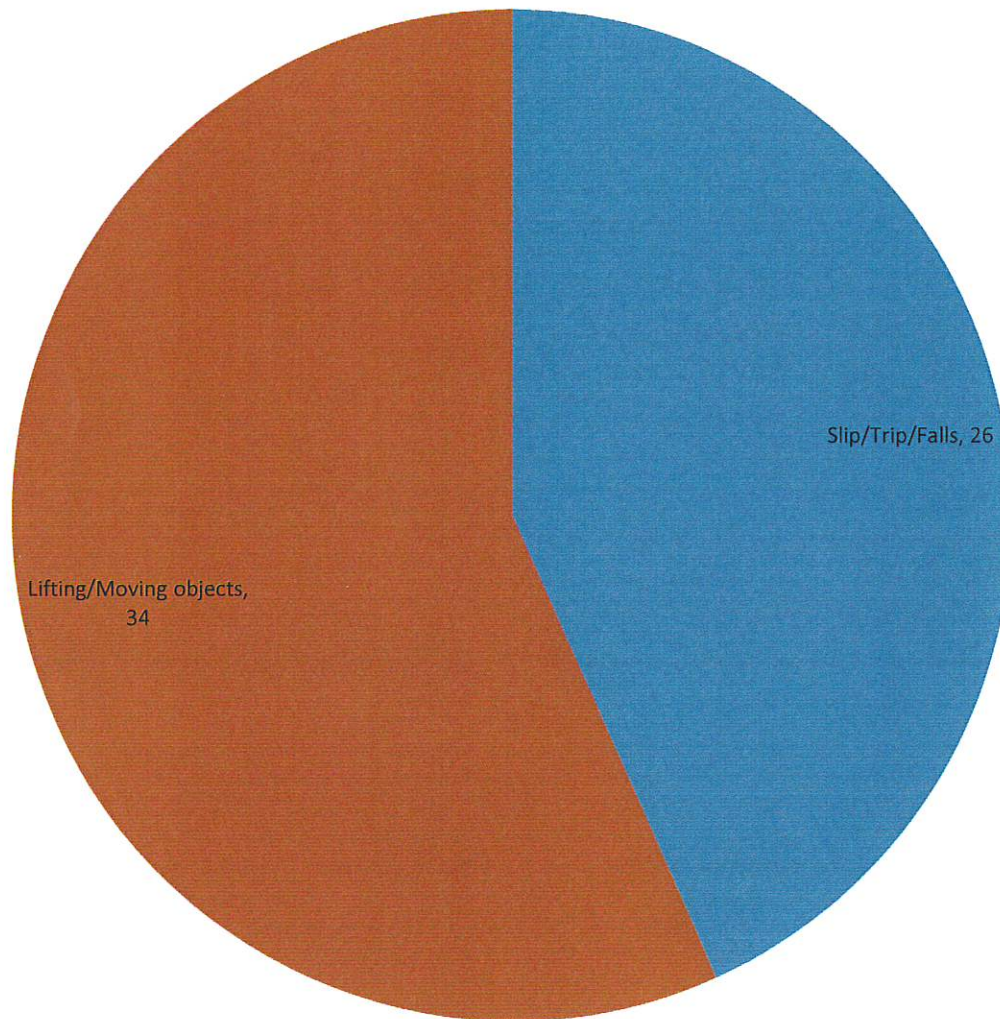
Digitally signed by  
Briant Luke  
Date: 2022.12.08  
19:13:35 -05'00'

Signature	Date
Name ..... : Briant Luke	
Title ..... : Head Maritime & Offshore Americas	

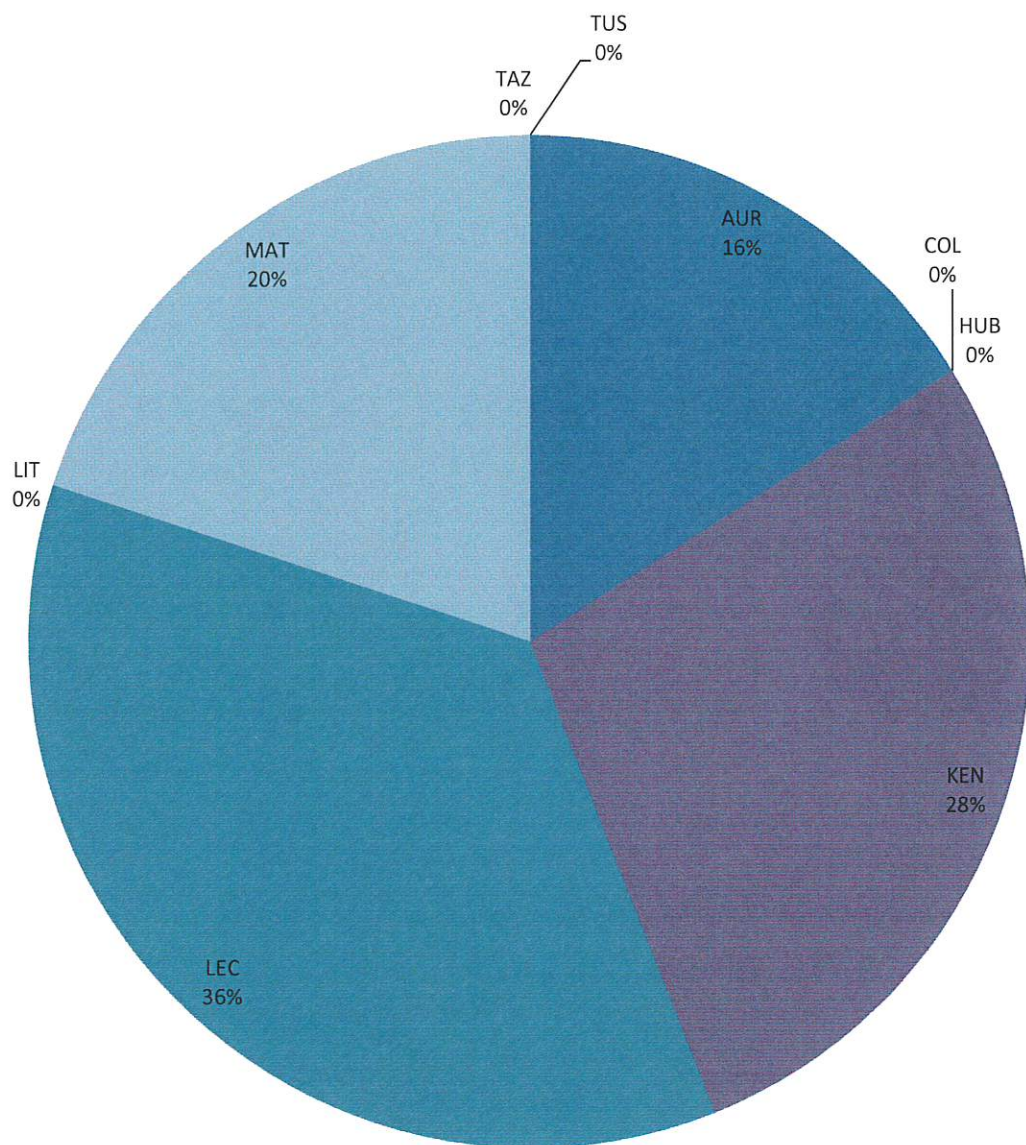
For information about licensing, Offerors may contact the Alaska Department of Commerce, Community, and Economic Development, Division of Corporations, Business and Professional Licensing at P.O. Box 110806, Juneau, AK 99811-0806, or at Telephone (907) 465-2550, or at Internet address:  
<http://www.commerce.alaska.gov/web/cbpl/home.aspx>.



## AMHS Report on Slip,Trip & Falls and Lifting /Moving objects

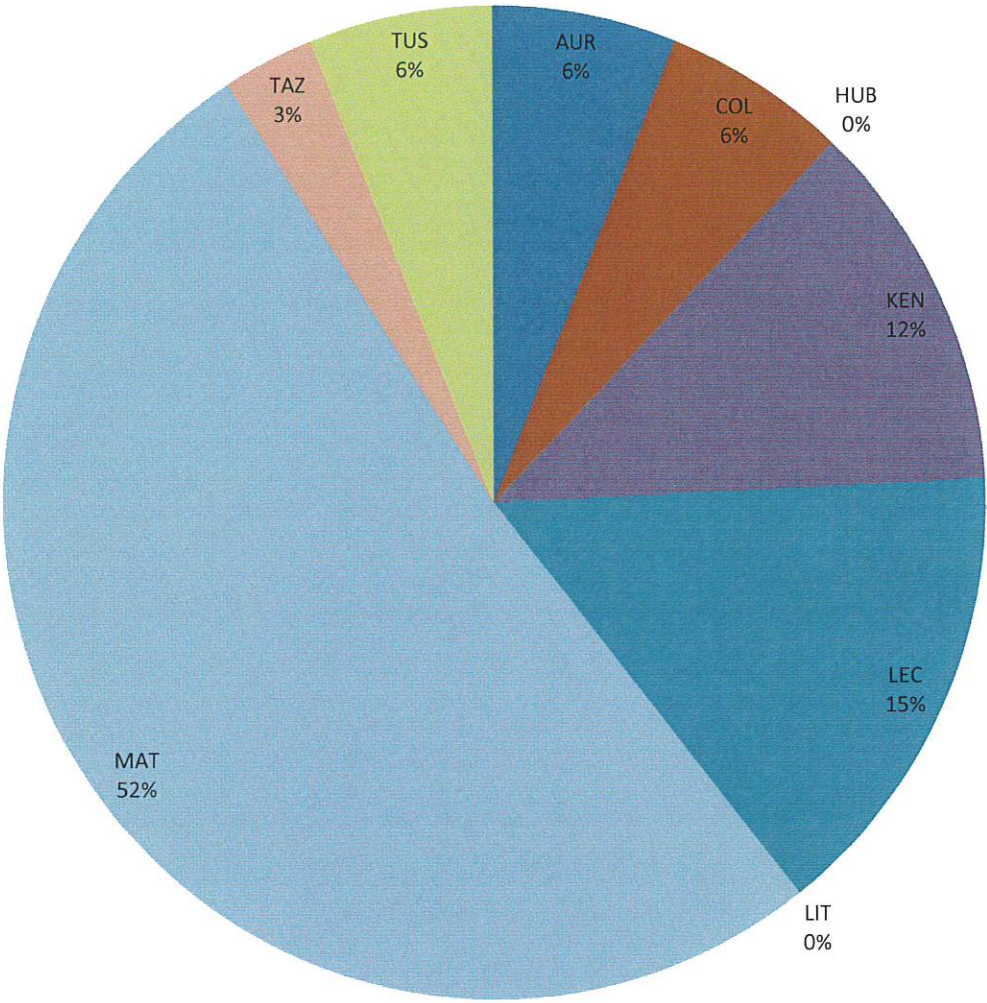


## Slip/Trip/Falls

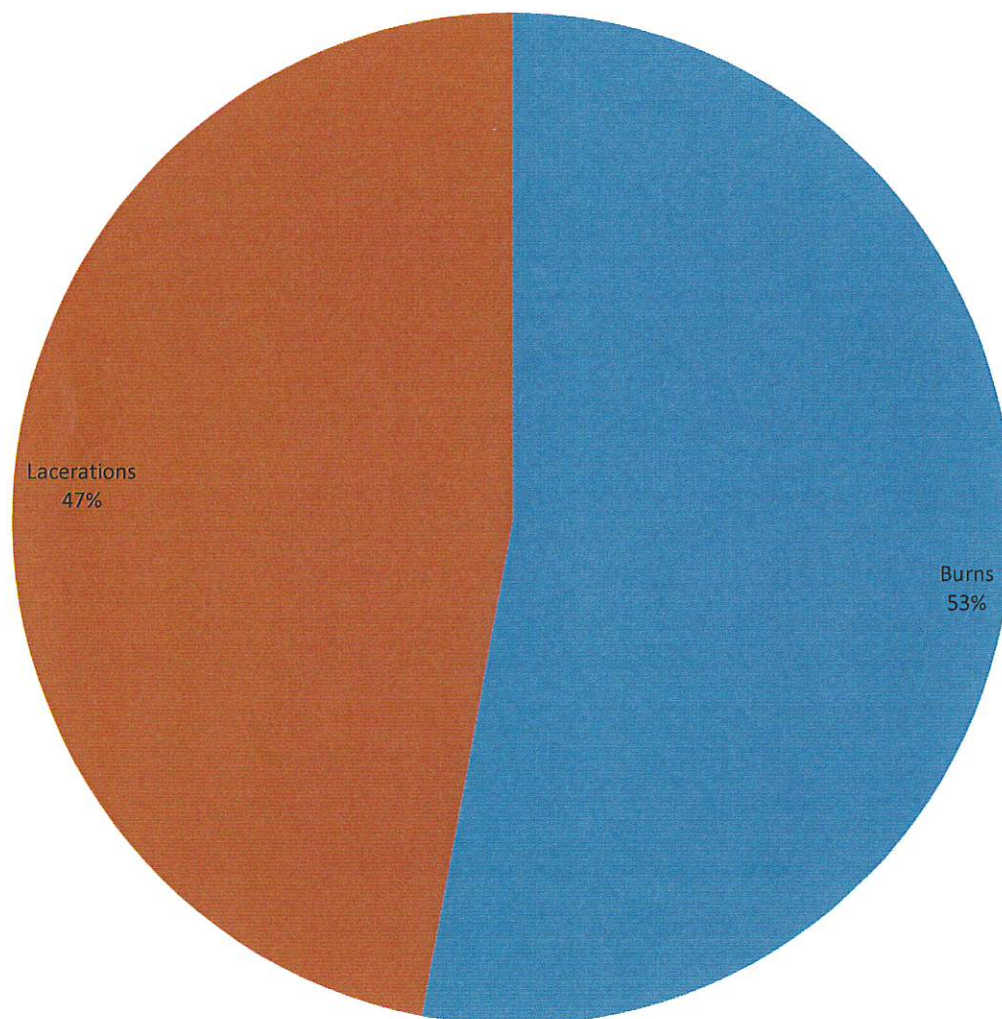




Lifting/Moving objects

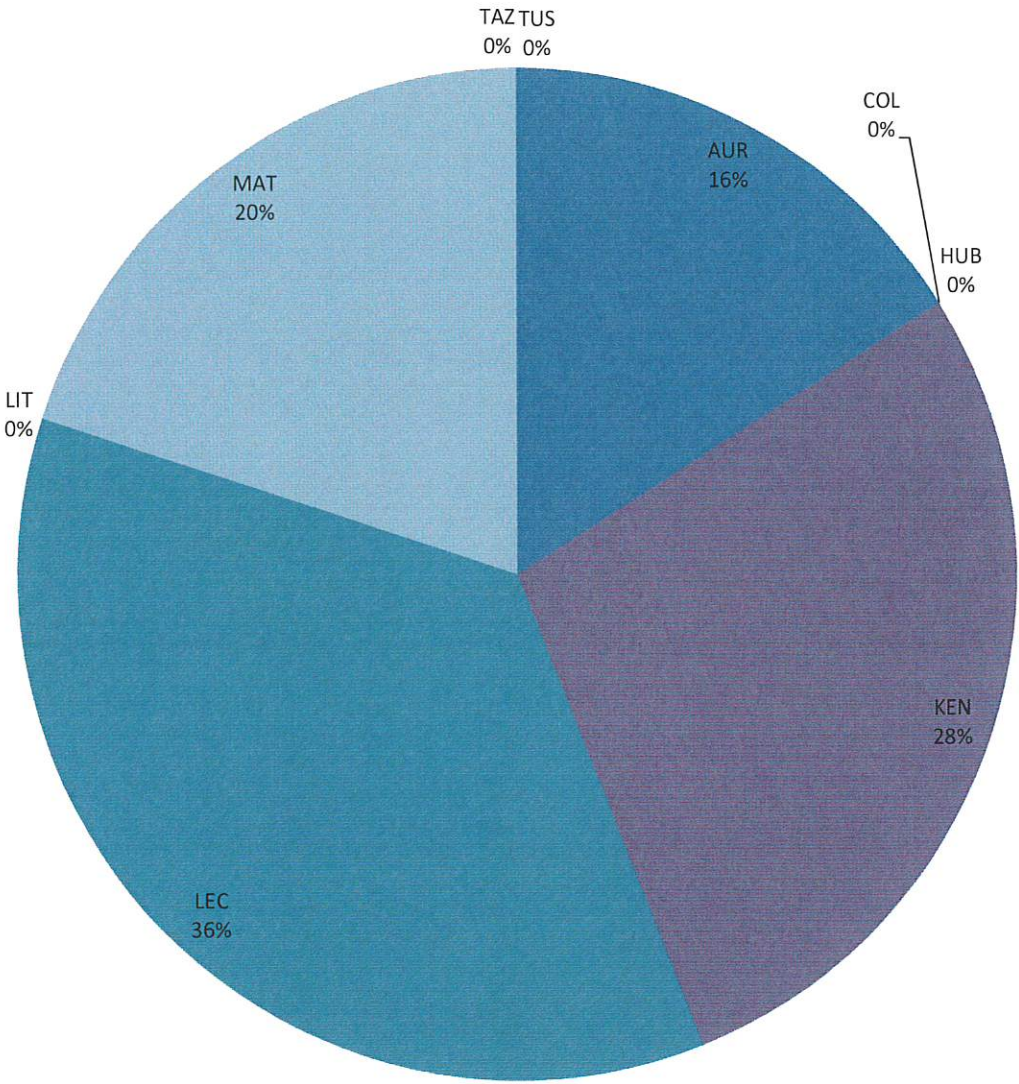


## AMHS Report on Burns & Lacerations

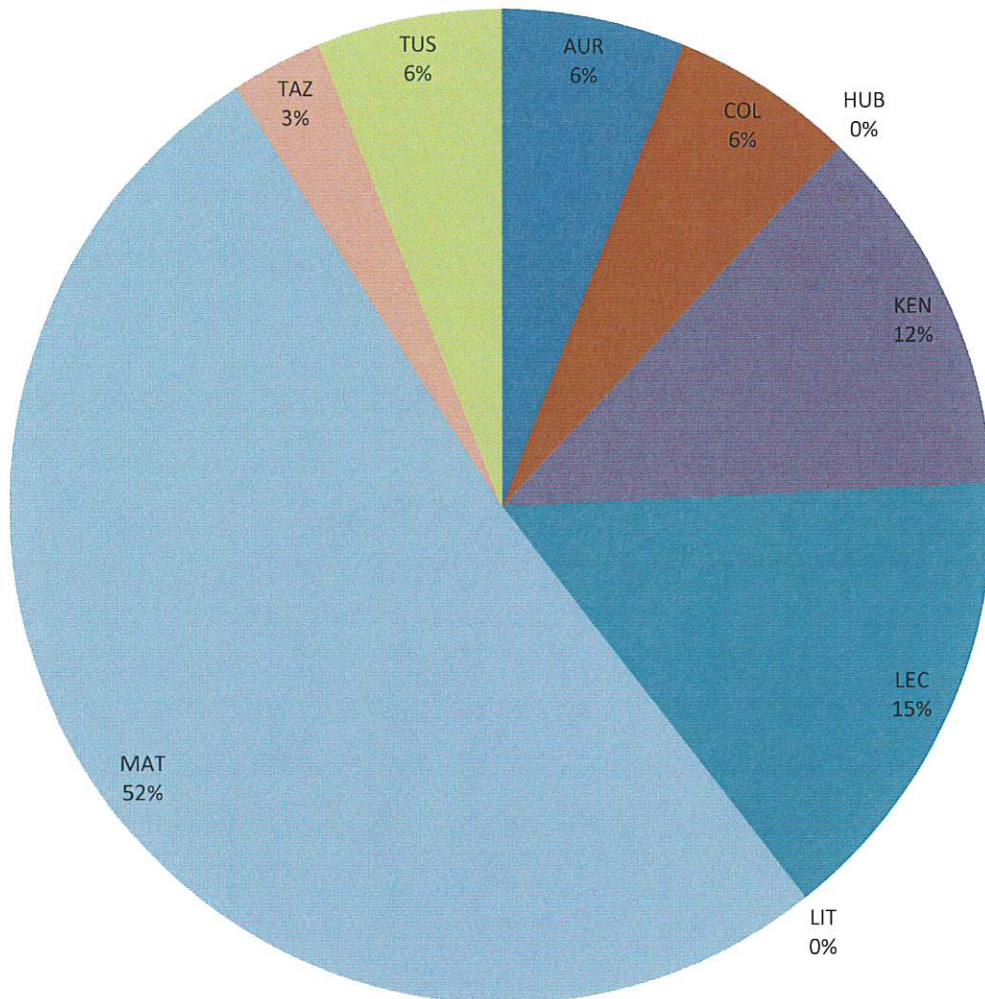




Burns

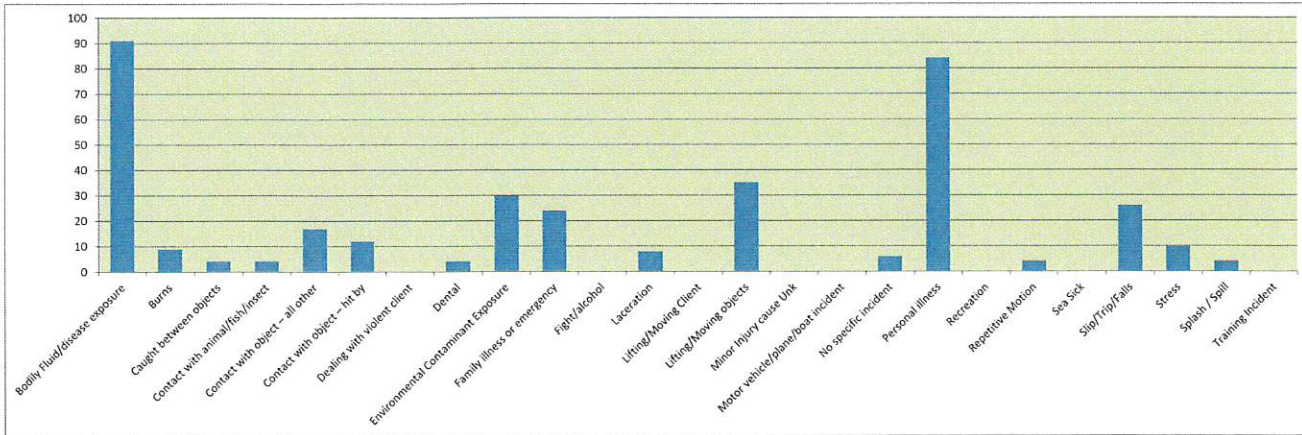


## Lacerations





**AMHS Report of Occupational Injury & Illness statistics for 2022**  
Edsel J. Clayton Jr. AMHS Occupational Safety & Compliance Officer



Injury Sustained Description	2017	2018	2019	2020	2021	2022	2022 Average	2021		2022 Trend
								Average	Trend	
Bodily Fluid/disease exposure	0	1	0	6	22	91	20	4.83	↑	↑
Burns	6	6	7	3	10	9	6.8333333	6.5	↑	↑
Caught between objects	9	11	12	5	7	4	8	8.67	↑	↓
Chemical Exposure**	0	0	0	0	0	0	0	0	↔	↔
Contact with animal/fish/insect	4	2	2	1	3	4	2.6666667	2.17	↑	↑
Contact with object – all other	18	16	11	6	13	17	13.5	13.67	↑	↓
Contact with object – hit by	13	25	13	5	10	12	13	14.5	↑	↓
Dealing with violent client	0	0	0	0	0	0	0	0	NA	NA
Dental *	9	10	6	1	6	4	6	5.83	↔	↑
Environmental Contaminant Exposure	12	8	5	4	1	30	10	6.5	↓	↑
Family illness or emergency *	31	20	21	9	20	24	20.833333	20.17	↑	↑
Fight / alcohol *	0	0	0	0	0	0	0	0	NA	NA
Laceration	11	13	8	3	9	8	8.6666667	8.5	↑	↑
Lifting/Moving Client	0	0	2	0	0	0	0.3333333	0.33	↔	↔
Lifting/Moving objects	39	41	31	17	32	35	32.5	33	↑	↓
Minor Injury cause Unk	0	0	0	0	1	0	0.1666667	0.17	↑	↔
Motor vehicle/plane/boat incident	0	0	0	0	1	0	0.1666667	0.33	↓	↑
No specific incident	10	8	3	6	6	6	6.5	6.5	↓	↔
Personal illness *	171	187	129	47	79	84	116.16667	124.17	↑	↓
Recreation *	1	0	1	1	0	0	0.5	0.5	↓	↔
Repetitive Motion	19	22	19	3	13	4	13.333333	14.83	↑	↓
Sea Sick	0	0	0	0	1	0	0.1666667	0.166	NA	↔
Slip/Trip/Falls	35	0	38	20	37	26	26	30.67	↑	↓
Stress	2	4	1	2	10	10	4.8333333	4.67	↑	↑
Splash / Spill*	0	5	0	3	4	4	2.6666667	2	↑	↑
Training Incident	1	2	1	0	0	0	0.6666667	0.67	↑	↔

Total Average Annual Injury Rate

\* Not tracked 2007 - 2009

\*\*Not tracked 2007 - 2010

Bodily Fluid/disease exposure count of three (Covid 19 count of 88) for 2022

**2022 Cost of workplace injuries for AMHS**

Cause and Number of Claims	Incured	Paid	Total Paid by AMHS
Pandemic WC Medical Only	0		Pandemic WC Lost Time \$
Pandemic WC Lost Time	0		
Pandemic	3	\$46,500	Lost Time Incurred \$
Fall, Slip, or Trip	3	\$110,906	Medical Only Incurred \$
Strain or Injury By - Lifting	3	\$144,157	Total \$ \$626,301
Struck or Injured	1	\$7,523	Total Paid: \$ \$134,937
Caught In, Under or Between	3	\$400,288	
Cut, Puncture, or Scrape	1	\$7,500	
Strain or Injury By	6	\$179,244	
Other	0		

See attached 2022 AMHS Work Comp Claim Summary for comparison

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

#### Claim Number: EV-2021-WC014079

EV-2021-WC014079	Beams, David Patrick	Notification Only	2548DOT-AM HS-Tustumena	12/3/2021	12/3/2021	Pulling in 8 strand, IW felt a strain in the mid section area and then it became painful		0	Strain or Injury By - Pushing or Pulling	Internal Organs	0	Open	
------------------	----------------------	-------------------	-------------------------	-----------	-----------	--	--	---	--	-----------------	---	------	--

#### Total by Claim Number 1 Claim

#### Claim Number: EV-2021-WC014077

EV-2021-WC014077	Hudson, Adam Jon	Notification Only	2542DOT-AM HS-Kennicott	12/2/2021	12/3/2021	while running nightly cleanup IW started a cleaning the grill and the door flopped open causing solution to spill into hot deep-fried oil and splattering IW.		0	Burn - Steam or Hot Fluids	Lower Arm	0	Open	
------------------	------------------	-------------------	-------------------------	-----------	-----------	---	--	---	----------------------------	-----------	---	------	--



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC014064

EV-2021-WC014064	Jones, Tammy May	Notification Only	2548DOT-AM HS-Tustumena	11/28/2021	11/28/2021	Standing for multiple hours on steel deck.		0	Strain Or Injury By NOC	Foot	0	Open	
------------------	------------------	-------------------	-------------------------	------------	------------	--	--	---	-------------------------	------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC014063

EV-2021-WC014063	Lundberg, Caroline Sierra	Notification Only	2542DOT-AM HS-Kennicott	11/27/2021	11/27/2021	While pulling the stocking cart, IW's finger got smashed by the handle of the cart.		0	Strain or Injury By - Pushing or Pulling	Finger(s)	0	Open	
------------------	---------------------------	-------------------	-------------------------	------------	------------	---	--	---	--	-----------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC014030

EV-2021-WC014030	Espeseth, Josef A	Notification Only	2542DOT-AM HS-Kennicott	11/15/2021	11/15/2021	IW was going down a set of stairs, left ring finger caught on a hand rail post and IW heard a crack noise		0	Striking Against or Stepping On NOC	Finger(s)	0	Open	
------------------	-------------------	-------------------	-------------------------	------------	------------	---	--	---	-------------------------------------	-----------	---	------	--

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC014025</b>													
EV-2021-WC014025	Soto, Noemi	Notification Only	2542DOT-AM HS-Kennicott	11/13/2021	11/14/2021	IW was taking luggage to room without a elevator		0	Strain or Injury By - Lifting	Shoulder(s)	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013995</b>													
EV-2021-WC013995	Queen, Michael S	Notification Only	2548DOT-AM HS-Tustumena	11/7/2021	11/7/2021	Lump or growth beneath the Xiphoid (chest) that has been causing pain		0	Other, Miscellaneous NOC	Internal Organs	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013988</b>													
EV-2021-WC013988	Carrillo, Summit Pintor	Notification Only	2544DOT-AM HS-Le Conte	10/30/2021	11/4/2021	work related stress from unfortunate incident with co-worker		0	Other than Physical Cause of Injury	Heart	0	Open	

**Total by Claim Number 1 Claim**



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2021-WC013969</b>													
EV-2021-WC013969	Gardner, David W	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	IW took covid test and tested positive		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013965</b>													
EV-2021-WC013965	Ammeter, Robert R	Notification Only	2542DOT-AM HS-Kennicott	10/27/2021	10/29/2021	IW was threading an electrical wire through a vice and their thumb hit the vice cutting themselves.		0	Strain or Injury By - Using Tool or Machinery	Thumb	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013962</b>													
EV-2021-WC013962	Logan, Shawn A	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	Unknown how IW was exposed		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
<b>Total by Claim Number 1 Claim</b>													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2021-WC013961</b>													
EV-2021-WC013961	Winter, Demetrius A	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	Covid, unknown how IW was exposed		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013960</b>													
EV-2021-WC013960	Winrod, Enoch	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	Working in close proximity to a Covid positive co-worker		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013959</b>													
EV-2021-WC013959	Laurent, James C	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	Exposed to Covid while on ship		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013956</b>													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013956	Dixon, Adam P	Notification Only	2540DOT-AM HS-Aurora	10/27/2021	10/27/2021	IW was exposed to covid while aboard the M/V Aurora		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013944													
EV-2021-WC013944	Soto, Noemi	Notification Only	2542DOT-AM HS-Kennicott	10/22/2021	10/22/2021	IW was putting clothes in laundry bin and the door swung open and hit elbow.		0	Striking Against or Stepping On - Stationary Object	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013921													
EV-2021-WC013921	Khachaturyan, Marina G	Notification Only	2544DOT-AM HS-Le Conte	10/15/2021	10/15/2021	Food roll container fell from fridge top and cut IW		0	Cut, Puncture, or Scrape - Object Being Handled	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013920													
EV-2021-WC013920	Sladky, Jerry Ray	Notification Only	2543DOT-AH MS-Columbia	10/14/2021	10/14/2021	IW grabbed a hot pan from ovan		0	Burn - Hot Objects or Substances	Hand	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013919</b>													
EV-2021-WC013919	Smitchko, Joanne Carol	Notification Only	2542DOT-AM HS-Kennicott	10/13/2021	10/14/2021	IW dropped a trash can on foot while cleaning out handicap stall on a ship.		0	Struck or Injured By - Falling or Flying Object	Foot	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013863</b>													
EV-2021-WC013863	Waddell, Richard A	Notification Only	2548DOT-AM HS-Tustumena	9/2/2021	10/3/2021	Significant irritation in both hands and wrists.		0	Burn - Chemical	Wrist(s) and Hand(s)	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013834</b>													
EV-2021-WC013834	Pihlman, Tatiana Mikjailovna	Notification Only	2543DOT-AH MS-Columbia	9/22/2021	9/22/2021	Sprained wrist while on house keeping duty and has had recurring pain in the joint up to the thumb		0	Cumulative, NOC	Wrist	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013817													
EV-2021-WC013817	Young, Geraldine Marlene	Notification Only	2543DOT-AH MS-Columbia	9/22/2021	9/22/2021	IW was walking up a ramp and fell landing on the right side.		0	Fall, Slip, or Trip - On Same Level	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013813													
EV-2021-WC013813	Hough, Carol Lynn	Notification Only	2544DOT-AM HS-Le Conte	9/20/2021	9/22/2021	EE was throwing a heaving line and it recoiled backwards and caused his left elbow to hit the door.		0	Striking Against or Stepping On - Object Being Lifted or Handled	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013801													
EV-2021-WC013801	Calunsag Quimpo, Michael N	Notification Only	2546DOT-AM HS-Matanuska	9/15/2021	9/19/2021	during meal time IW was exposed to Covid-19		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013800

EV-2021-WC013800	Ruiz, Johnny Romero	Notification Only	2546DOT-AM HS-Matanuska	9/15/2021	9/18/2021	Exposure to Covid-19 during meal time		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	
------------------	---------------------	-------------------	-------------------------	-----------	-----------	---------------------------------------	--	---	--------------------------	--	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013799

EV-2021-WC013799	Collins, Koyen L	Notification Only	2542DOT-AM HS-Kennicott	9/18/2021	9/18/2021	IW was pushing a cart and it bounced back off a wall and hit IW's foot		0	Strain or Injury By - Pushing or Pulling	Foot	0	Open	
------------------	------------------	-------------------	-------------------------	-----------	-----------	--	--	---	--	------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013798



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013798	Patrick, Antony M	Notification Only	2542DOT-AM HS-Kennicott	9/18/2021	9/18/2021	Chief cook was moving pallet with a jack and the tool pinned IW's shin/ankle against a pallet of frozen food.		0	Caught In, Under, or Between NOC	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013765													
EV-2021-WC013765	Landingham, Loran Lynn	Notification Only	2544DOT-AM HS-Le Conte	5/28/2021	9/10/2021	From previous injury		0	Strain or Injury By - Repetitive Motion	Thigh	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013751													
EV-2021-WC013751	Seligman, Susan L	Notification Only	2542DOT-AM HS-Kennicott	9/7/2021	9/7/2021	slipped on wet floor		0	Slip or Trip, Did Not Fall	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013750

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013750	Boden, Annette Myrtle	Notification Only	2548DOT-AM HS-Tustumena	9/4/2021	9/4/2021	While cleaning stateroom IW accidentally poked right eye with thumbnail		0	Cut, Puncture, or Scrape NOC	Eye(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013714													
EV-2021-WC013714	Clarke, Shantal D	Notification Only	2546DOT-AM HS-Matanuska	8/29/2021	8/30/2021	burned while handling deep fryer basket		0	Burn - Hot Objects or Substances	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013695													
EV-2021-WC013695	Greif, Curtis E	Notification Only	2546DOT-AM HS-Matanuska	8/27/2021	8/27/2021	IW fell after going under a rope on the stairs.		0	Fall, Slip, or Trip - On Stairs	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013636													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013636	Russo, Tara Raye	Notification Only	2544DOT-AM HS-Le Conte	8/18/2021	8/18/2021	EE was pulling the spring line and strained the right side of her glutes.		0	Strain or Injury By - Pushing or Pulling	Buttocks	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013634													
EV-2021-WC013634	Soto, Noemi	Notification Only	2542DOT-AM HS-Kennicott	8/17/2021	8/18/2021	EE was cutting open linen and cut her right hand and finger.		0	Cut, Puncture, or Scrape NOC	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013621													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013621	Jones, Tammy May	Notification Only	2548DOT-AM HS-Tustumena	8/12/2021	8/17/2021	EE lifted a 3-gallon bucket of pickles up onto another bucket on a storage cart when she felt a twinge in the abdomen followed by lingering pain.		0	Strain or Injury By - Lifting	Abdomen including Groin	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013614													
EV-2021-WC013614	Hee, Elliot G	Notification Only	2546DOT-AM HS-Matanuska	8/12/2021	8/12/2021	Tripped while carrying bucket and landed with throat hitting bucket lip		0	Fall, Slip, or Trip NOC	Larynx	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013602



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013602	Castro, Rene Navarro	Notification Only	2546DOT-AM HS-Matanus ka	7/3/2021	7/7/2021	Carrying plates working on the laundry		0	Strain or Injury By - Repetitive Motion	Thumb	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013601													
EV-2021-WC013601	Nordtvedt, Joel	Notification Only	2542DOT-AM HS-Kennicott	7/15/2021	7/15/2021	Moving steel in rack		0	Cut, Puncture, or Scrape - Object Being Handled	Neck - Multiple Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013563													
EV-2021-WC013563	Greif, Curtis E	Notification Only	2546DOT-AM HS-Matanus ka	7/24/2021	7/27/2021	IW backed up and bumped into a shelf and hit him in the shoulder		0	Striking Against or Stepping On - Stationary Object	Shoulder(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013560

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013560	Gray, Denise Pauline	Notification Only	2545DOT-AM HS-Malaspina	7/28/2021	7/28/2021	Riding scooter down hill when a car came around corner, IW turned to avoid collision but took a spill and hurt wrist breaking fall.		0	Motor Vehicle - Collision with a Fixed Object	Wrist	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013549

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013549	Perez, Nima Parreno	Notification Only	2542DOT-AM HS-Kennicott	7/21/2021	7/21/2021	Unknown. Dropped food, when IW went to clean up he slipped but held onto something. during the process IW hurt their back		0	Fall, Slip, or Trip NOC	Upper Back Area (Thoracic)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013526													
EV-2021-WC013526	Uddipa, Ludy Pasion	Notification Only	2546DOT-AM HS-Matanuska	6/30/2021	7/4/2021	Right foot started hurting when IW tried to open a fire escape door and has gotten worse since.		0	Fall, Slip, or Trip NOC	Foot	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013517

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013517	Lewis, Geraldine D	Notification Only	2542DOT-AM HS-Kennicott	7/13/2021	7/15/2021	IW lost balance and fell on their left knee		0	Fall, Slip, or Trip - On Same Level	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013497													
EV-2021-WC013497	Gamble, Elizabeth Anne	Notification Only	2542DOT-AM HS-Kennicott	6/1/2021	6/1/2021	Tripped over laundry bag and fell		0	Fall, Slip, or Trip NOC	Wrist(s) and Hand(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013462													
EV-2021-WC013462	Cope, Leslie D	Notification Only	2554DOT-AM HS-Tazlina	6/16/2021	6/17/2021	EE was standing up from working on the deck and injured his right knee.		0	Strain Or Injury By NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013460



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013460	Lumbab, Roland Logero	Notification Only	2546DOT-AM HS-Matanus ka	6/12/2021	6/12/2021	Cutting plastic bag of spare ribs with knife, slid down to IW's left thumb.		0	Cut, Puncture, or Scrape - Hand Tool	Thumb	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013419													
EV-2021-WC013419	Lopez, Daniel G	Notification Only	2542DOT-AM HS-Kennicott	5/27/2021	5/27/2021	Bunk Bed came down after unlatching without pulling lever		0	Caught In, Under, or Between - Object Handled	Upper Arm Including Clavicle & Scapula	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013395													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013395	Harsh, Rebecca Lee	Notification Only	2546DOT-AM HS-Matanuska	2/4/2021	2/5/2021	EE slipped on water that was on the car deck outside the forward crew quarter's door and twisted her left knee.		0	Fall, Slip, or Trip - Liquid or Grease	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013394													
EV-2021-WC013394	Bushnell, Pamela	Notification Only	2542DOT-AM HS-Kennicott	3/25/2021	4/3/2021	EE rolled her left ankle on a shower threshold.		0	Strain or Injury By - Twisting	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013385													
EV-2021-WC013385	Anderson, Kari	Notification Only	2548DOT-AM HS-Tustumena	5/19/2021	5/31/2021	EE was on watch and her foot began to ache and it worsened over time.		0	Striking Against or Stepping On NOC	Foot	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2021-WC013374</b>													
EV-2021-WC013374	Vercessi, John Patrick	Notification Only	2544DOT-AM HS-Le Conte	5/26/2021	5/26/2021	EE tripped over the line on the starboard side and twisted and smashed his right knee and left ankle.		0	Fall, Slip, or Trip NOC	Multiple Lower Extremities	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013357</b>													
EV-2021-WC013357	Lumbab, Roland Logero	Notification Only	2546DOT-AM HS-Matanuska	5/23/2021	5/23/2021	EE was lifting a basket of french fries and cooking hamburgers on the grill repeatedly and injured his left wrist.		0	Strain or Injury By - Repetitive Motion	Wrist	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: EV-2021-WC013344**

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013344	Seligman, Susan L	Notification Only	2542DOT-AM HS-Kennicott	5/14/2021	5/16/2021	EE strained her right hand (thumb) and wrist area due to repetitive cutting of food using a ship knife.		0	Strain or Injury By - Repetitive Motion	Wrist(s) and Hand(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013319													
EV-2021-WC013319	Collins, Kurt Lee	Notification Only	2546DOT-AM HS-Matanuska	5/5/2021	5/10/2021	EE sustained a blister from having to rapidly close a failed valve on a boiler blow-down system.		0	Caught In, Under, or Between - Machine or Machinery	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013301													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013301	Quimpo, Richard R	Notification Only	2546DOT-AM HS-Matanuska	5/2/2021	5/2/2021	EE was using a pressure nozzle to fill a bucket with Super Clean and some splashed into his left eye.		0	Burn - Chemical	Eye(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013293													
EV-2021-WC013293	Ingalls, Katherine Celeste	Notification Only	2546DOT-AM HS-Matanuska	4/27/2021	4/27/2021	EE's chief cook accidentally tripped her and she flew a good distance before hitting the steel deck hard on her right side.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013292



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013292	Lewis, Tammy Lee	Notification Only	2546DOT-AM HS-Matanuska	4/29/2021	4/29/2021	The wind blew the life jacket box lid down onto EE's nose and right arm.		0	Struck or Injured By - Falling or Flying Object	Nose	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013291													
EV-2021-WC013291	Spencer, Sharese E	Notification Only	2544DOT-AM HS-Le Conte	4/28/2021	4/28/2021	EE was stocking the four peak while doing stores at the car deck and smacked her index finger against the metal bars.		0	Caught In, Under, or Between - Object Handled	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013288													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013288	Camba, Prudencio Mindegore n	Notification Only	2542DOT-AM HS-Kennicott	4/24/2021	4/27/2021	EE hit his lower right leg on the metal base of the soup bowl mount and bruised leg.		0	Caught In, Under, or Between NOC	Lower Leg	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013273													
EV-2021-WC013273	Roark, Sarah Katherine	Notification Only	2546DOT-AM HS-Matanuska	4/17/2021	4/24/2021	EE woke up with some bites on her forehead. Over time, more bites appeared and welts formed on her forehead, scalp, hairline, and eyebrows.		0	Struck or Injured By - Animal or Insect	Other Facial Soft Tissue	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013270

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013270	Carrillo, Summit Pintor	Notification Only	2542DOT-AM HS-Kennicott	4/23/2021	4/23/2021	EE was opening the door, and the metal doorknob came loose, dropped on the floor, and bounced back, and hit her right lower leg (below the knee).		0	Caught In, Under, or Between - Object Handled	Lower Leg	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013269													
EV-2021-WC013269	Carrillo, Summit Pintor	Notification Only	2543DOT-AH MS-Columbia	4/14/2021	4/15/2021	EE slipped and bumped the door knob, injuring the right side of her arm, above the elbow.		0	Fall, Slip, or Trip - Ice or Snow	Upper Arm Including Clavicle & Scapula	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013241													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013241	Kerber, Margarita Erika	Notification Only	2542DOT-AM HS-Kennicott	3/14/2021	3/14/2021	EE was cleaning the stairs and the weather was very bad and she fell. EE felt very dizzy and uneasy.		0	Fall, Slip, or Trip NOC	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013238													
EV-2021-WC013238	Carrillo, Mark Bernard Detera	Notification Only	2542DOT-AM HS-Kennicott	4/12/2021	4/13/2021	EE was scrubbing the chair in the FWD lounge and injured his knee.		0	Strain or Injury By - Twisting	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013235													
EV-2021-WC013235	Ingalls, Katherine Celeste	Notification Only	2546DOT-AM HS-Matanuska	4/5/2021	4/7/2021	EE tripped on one of the galley mats and stumbled, twisting her left ankle.		0	Fall, Slip, or Trip NOC	Ankle	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Claim Number: EV-2021-WC013231

EV-2021-WC013231	Beams, David Patrick	Notification Only	2548DOT-AM HS-Tustume na	4/10/2021	4/10/2021	EE was painting the vessel exterior, near the off-flow sewage pipe, when the pipe broke and sprayed him all over his bare face and clothing.		0	Absorption, Ingestion, or Inhalation NOC	Other Facial Soft Tissue	0	Open	
------------------	----------------------	-------------------	--------------------------	-----------	-----------	--	--	---	--	--------------------------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013222

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013222	Ruiz, Johnny Romero	Notification Only	2546DOT-AM HS-Matanuska	3/4/2021	3/8/2021	EE was experiencing toothache and swollen gums since he ate grilled rockfish and mushrooms. It started with his gums being swollen and it turned to allergies.		0	Absorption, Ingestion, or Inhalation NOC	Teeth	0	Open	
EV-2021-WC013214	Newlun, April Marie	Notification Only	2549DOT-AM HS-Shore side	3/30/2021	4/1/2021	EE tripped over a stool and fell on her knee.		0	Fall, Slip, or Trip NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013214

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013193

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013193	Hudson, Skylar Thomas	Notification Only	2542DOT-AM HS-Kennicott	3/30/2021	3/30/2021	EE was stepping out of his crew quarter's bathroom and the ship rolled and put him off balance, causing him to slam his left knee into the door frame of the bathroom.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013179													
EV-2021-WC013179	Peralta, Reynold I	Notification Only	2546DOT-AM HS-Matanuska	3/28/2021	3/28/2021	EE was cutting lettuce in the salad area and sustained a cut on his left ring finger.		0	Cut, Puncture, or Scrape - Hand Tool	Finger(s)	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Claim Number: EV-2021-WC013165

EV-2021-WC013165	Johnston, Nicholas	Notification Only	2554DOT-AM HS-Tazlina	3/19/2021	3/23/2021	EE was holding two objects together and another crew member had a drill and was drilling a hole in them. The drilled slipped and cut EE's left pointer finger and he received first aid on site.		0	Cut, Puncture, or Scrape - Object Being Handled	Finger(s)	0	Open	
------------------	--------------------	-------------------	-----------------------	-----------	-----------	--	--	---	---	-----------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013156

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013156	Olson, Steven Burton	Notification Only	2542DOT-AM HS-Kennicott	3/20/2021	3/21/2021	EE was using a wrench to secure the steam valve on an uncontrollable heater. The wrench slipped and his hand contacted the steampipe.		0	Burn - Hot Objects or Substances	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC013144													
EV-2021-WC013144	Hackett, Kady-lee	Notification Only	2540DOT-AM HS-Aurora	3/16/2021	3/17/2021	EE was coming down the stairs, and landed unbalanced on her right ankle, causing it to twist and sprain.		0	Fall, Slip, or Trip - On Stairs	Ankle	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2021-WC013118</b>													
EV-2021-WC013118	Crespo, Lee Ann Nicole	Notification Only	2546DOT-AM HS-Matanuska	3/13/2021	3/13/2021	EE was on the marine vessel Matanuska and it was sailing through rough waters and it caused her to become seasick, nauseous, and dizzy.		0	Other, Miscellaneous NOC	Abdomen including Groin	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2021-WC013091</b>													
EV-2021-WC013091	Tran, Nghiem Thanh	Notification Only	2546DOT-AM HS-Matanuska	3/6/2021	3/6/2021	EE was helping to offload boxes at the food store and a box of olive oil had dropped on his left foot.		0	Struck or Injured By - Falling or Flying Object	Foot	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013082

EV-2021-WC013082	Gilbert, Christopher Paul	Notification Only	2544DOT-AM HS-Le Conte	2/28/2021	3/4/2021	EE was working in MSD room operating valves at foot level and injured his lower back.		0	Strain Or Injury By NOC	Low Back Area	0	Open	
------------------	---------------------------	-------------------	------------------------	-----------	----------	---	--	---	-------------------------	---------------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013076

EV-2021-WC013076	Russo, Tara Raye	Notification Only	2544DOT-AM HS-Le Conte	2/28/2021	3/1/2021	EE was in the galley, and some "oven off" cleaner spray had splashed in her right eye.		0	Burn - Chemical	Eye(s)	0	Open	
------------------	------------------	-------------------	------------------------	-----------	----------	--	--	---	-----------------	--------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2021-WC013015



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC013015	Sturgill, Eddie M	Notification Only	2543DOT-AH MS-Columbia	2/12/2021	2/12/2021	EE slipped on some ice and fell on the left region of the buttocks.		0	Fall, Slip, or Trip - Ice or Snow	Buttocks	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC012990													
EV-2021-WC012990	Romey, Samuel Amos	Notification Only	2546DOT-AM HS-Matanuska	1/30/2021	1/31/2021	EE was moving a 55-gallon drum of engine coolant and experienced some shoulder pain.		0	Strain or Injury By - Lifting	Shoulder(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC012958													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2021-WC012958	Mcgraw, Dhante L	Notification Only	2544DOT-AM HS-Le Conte	1/27/2021	1/27/2021	While trying to lift a dumpster, IW lost control of it and dropped it on their big toe.		0	Cut, Puncture, or Scrape - Object Being Handled	Great Toe(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2021-WC012951													
EV-2021-WC012951	Salazar, Maxmillion B	Notification Only	2540DOT-AM HS-Aurora	1/26/2021	1/26/2021	EE was changing the lathe machines chucks out and didn't anticipate that the weight of it would cause it to drop so quickly. It landed on the IW's pinky finger		0	Cut, Puncture, or Scrape - Powered Hand Tool	Finger(s)	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2021-WC012887</b>													
EV-2021-WC012887	Sladky, Jerry Ray	Notification Only	2543DOT-AH MS-Columbia	1/6/2021	1/6/2021	Big swell caught IW off balance and made them fall against table and bench. striking left rib cage		0	Fall, Slip, or Trip NOC	Chest	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2020-WC013922</b>													
EV-2020-WC013922	Ohnstad, Gunnar A	Notification Only	2546DOT-AM HS-Matanuska	8/12/2020	10/15/2021	IW injured their left shoulder on 08/12/2020 and as time passed the injury began to worsen to the point that IW lost range of motion.		0	Strain Or Injury By NOC	Shoulder(s)	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2020-WC012850</b>													

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012850	Lumbab, Roland Logero	Notification Only	2546DOT-AM HS-Matanus ka	12/28/2020	12/28/2020	EE was using a buffer machine and it jammed back into her really hard, hitting her right wrist and stomach. It pushed her back about four feet away and caused her to fall, striking her cheek on the handle of the buffer machine.		0	Struck or Injured By - Hand Tool in Use	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012849



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012849	Ingalls, Katherine Celeste	Notification Only	2542DOT-AM HS-Kennicott	12/24/2020	12/24/2020	EE was going upstairs to get coins from the lockers and when she bent to put the key in, a wave knocked her over on her buttocks hard.		0	Fall, Slip, or Trip - On Same Level	Buttocks	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2020-WC012831</b>													
EV-2020-WC012831	Hudson, Adam Jon	Notification Only	2542DOT-AM HS-Kennicott	12/17/2020	12/17/2020	EE was lifting heavy boxes and strained his lower back.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: EV-2020-WC012809**

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012809	Nye, Kevin Clay	Notification Only	2543DOT-AH MS-Columbia	12/12/2020	12/14/2020	EE has a pre-existing condition with the right knee that has been surgically repaired. On this date, while he was working he had a pain flare-up in the knee.		0	Other, Miscellaneous NOC	Knee	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2020-WC012789</b>													
EV-2020-WC012789	Macaulay, Murry Scott	Notification Only	2543DOT-AH MS-Columbia	12/1/2020	12/9/2020	EE believes that he strained his Achilles tendon on his left foot; however, he's unsure of how this occurred.		0	Strain Or Injury By NOC	Foot	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012779

EV-2020-WC012779	Beams, David Patrick	Notification Only	2548DOT-AM HS-Tustuma	8/17/2020	8/17/2020	EE was stepping off of the main hatch and his right foot landed in the wrong position causing his ankle to twist.		0	Fall, Slip, or Trip - Different Level	Ankle	0	Open	
------------------	----------------------	-------------------	-----------------------	-----------	-----------	---	--	---	---------------------------------------	-------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012740

EV-2020-WC012740	Clements, Daniel Wayne	Notification Only	2542DOT-AM HS-Kennicott	11/25/2020	11/25/2020	EE's hand was hit with a hammer while working in the galley.		0	Struck or Injured By - Hand Tool in Use	Hand	0	Open	
------------------	------------------------	-------------------	-------------------------	------------	------------	--	--	---	---	------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012704

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012704	Booth, Malcolm Eli	Notification Only	2542DOT-AM HS-Kennicott	11/18/2020	11/18/2020	EE was tying up on the bow onboard the Kennicott and missed a step and scuffed both left knee and elbow.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
<b>Total by Claim Number 1 Claim</b>													
Claim Number: EV-2020-WC012676													
EV-2020-WC012676	Mcclennan, Wade Christian	Notification Only	2542DOT-AM HS-Kennicott	11/14/2020	11/14/2020	EE was attempting to bring a lifeboat back aboard the shif. As he was hooking it up, the block on the stern fell and got one of his fingers on his right hand.		0	Struck or Injured By - Object Being Lifted or Handled	Finger(s)	0	Open	



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012675

EV-2020-WC012675	Botsford, Ladonna Mae	Notification Only	2542DOT-AM HS-Kennicott	11/13/2020	11/14/2020	EE was descending the ladder from the solarium deck to the sundeck and missed the last rung of the ladder causing her to lurch abruptly onto the sundeck, injuring her left shoulder and arm.		0	Fall, Slip, or Trip - Ladder or Scaffolding	Upper Arm Including Clavicle & Scapula	0	Open	
------------------	-----------------------	-------------------	-------------------------	------------	------------	---	--	---	---	--	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012649

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012649	Katzeek, Patrick Curtis	Notification Only	2542DOT-AM HS-Kennicott	11/9/2020	11/9/2020	EE was running after a vehicle and twisted his left knee and felt a pop.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2020-WC012638</b>													
EV-2020-WC012638	Jereza, Hilly Villanueva	Notification Only	2544DOT-AM HS-Le Conte	11/5/2020	11/6/2020	The M/V LeConte was on rough water and it caused the bathroom door to slam close on EE's right thumb.		0	Caught In, Under, or Between NOC	Thumb	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: EV-2020-WC012612**

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012612	Griswold, Ann Livesley	Notification Only	2544DOT-AM HS-Le Conte	10/24/2020	10/31/2020	The drain tube on the coffee machine wasn't aligned right and it got knocked off, resulting in scalding water pouring over the EE's left hand.		0	Burn - Steam or Hot Fluids	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012601

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012601	Nix, David Michael	Notification Only	2542DOT-AMHS-Kennicott	10/29/2020	10/29/2020	Shortly after takeoff from Juneau, EE was climbing down the capstain catwalk and his left thumb got caught in the padeye of the handrail and caused his thumb to be torqued backward.		0	Caught In, Under, or Between NOC	Thumb	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012600



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012600	Hudson, Adam Jon	Notification Only	2542DOT-AM HS-Kennicott	10/28/2020	10/28/2020	EE was walking around the corner in the galley by the forward steamers and slipped on the deck, causing his left knee to pop in a different direction.		0	Fall, Slip, or Trip - Liquid or Grease	Multiple Lower Extremities	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012458													
EV-2020-WC012458	Howell, Daniel Kenneth	Notification Only	2542DOT-AM HS-Kennicott	9/19/2020	9/20/2020	EE was moving laundry for crew change and injured lower back and hip.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012457

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012457	Clark, Cynthia Lou	Notification Only	2540DOT-AM HS-Aurora	9/20/2020	9/20/2020	While standing up from filling a mop bucket, struck back of head on protruding wall, and heard a "neck crack."		0	Striking Against or Stepping On - Stationary Object	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012383													
EV-2020-WC012383	Greif, Curtis E	Notification Only	2546DOT-AM HS-Matanuska	8/26/2020	8/26/2020	EE was cutting open the top of a box with a leatherman and accidentally cut his right ring finger.		0	Cut, Puncture, or Scrape - Hand Tool	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012372													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012372	Bell, David Michael	Notification Only	2549DOT-AM HS-Shore side	8/25/2020	8/25/2020	EE fell through a hole in the grate at the Auke Bay terminal by the ramp controls. It was dimly lit and he couldn't see well that day.		0	Fall, Slip, or Trip - Into Openings	Thigh	0	Open	
EV-2020-WC012360	Russell, Ransom Uliah	Notification Only	2542DOT-AM HS-Kennicott	8/23/2020	8/23/2020	IW was vomiting		0	Absorption, Ingestion, or Inhalation NOC	Internal Organs	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012360

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012257

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012257	Cheshire, John Ch	Notification Only	2543DOT-AH MS-Columbia	7/28/2020	7/29/2020	EE was doing a lot of work at the site on his knees with knee pads; however, on the 28th, he was on the fast rescue boat and his unprotected knee hit the deck. He didn't experience swelling and pain until later in the evening.		0	Strain or Injury By - Repetitive Motion	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012247



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012247	Quick, Robert James	Notification Only	2542DOT-AM HS-Kennicott	7/26/2020	7/28/2020	EE felt pain while working on the right side of his neck.		0	Strain or Injury By - Lifting	Neck - Multiple Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012234													
EV-2020-WC012234	Smitchko, Joanne Carol	Notification Only	2542DOT-AM HS-Kennicott	7/25/2020	7/25/2020	EE fell face first onto the ramp and propelled onto hands and knees at a rapid pace.		0	Fall, Slip, or Trip - On Same Level	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012225

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012225	Svenson, Barbie Lynn	Notification Only	2542DOT-AM HS-Kennicott	7/21/2020	7/22/2020	EE had touched wet railing by accident and then went to move hair out of her face when walking downstairs and also threw a towel in a bucket and water sanitizer splashed back into her face.		0	Burn - Chemical	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012193

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012193	Bell, David Michael	Notification Only	2548DOT-AM HS-Tustumena	7/20/2020	7/20/2020	EE re-injured an old back injury lifting a forklift trailer - dolly off of the forks.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012191													
EV-2020-WC012191	Guinn, Jesse M.s.	Notification Only	2542DOT-AM HS-Kennicott	7/17/2020	7/17/2020	EE was cleaning/scrubbing grill and grease splashed on his inner left wrist. He applied burn gel to the wound.		0	Burn - Steam or Hot Fluids	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012184													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012184	Broida-font anez, Gabriel Ernesto	Notification Only	2548DOT-AM HS-Tustumena	7/14/2020	7/16/2020	EE woke up to report for watchstanding duties and found that he could barely stand on his right foot (unknown mechanism of injury)		0	Other, Miscellaneous NOC	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012143													
EV-2020-WC012143	Mcneill, Brody Bodean	Notification Only	2548DOT-AM HS-Tustumena	6/8/2020	7/8/2020	EE tested positive for Covid-19		0	Pandemic	Lungs	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC012114													
EV-2020-WC012114	Mace, Ward Spencer	Notification Only	2542DOT-AM HS-Kennicott	6/27/2020	6/27/2020	EE was covered by sewage spatter		0	Absorption, Ingestion, or Inhalation NOC	Insufficient Info to Properly Identify - Unclassified	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012019

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012019	Wilson, Linda Sue	Notification Only	2542DOT-AM HS-Kennicott	6/12/2020	6/12/2020	EE was lifting the gate handle for the ramp gate to the Kennicott and sustained a deep puncture by a sharp piece of rusted metal on the gate handle.		0	Cut, Puncture, or Scrape NOC	Thumb	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC012002

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC012002	Boden, Annette Myrtle	Notification Only	2548DOT-AM HS-Tustume na	6/8/2020	6/8/2020	EE was putting blankets in the linen locker onboard. EE's co-worker had opened the locker and EE wasn't aware of a package of blue blankets that were on the floor and tripped over it, landing on both knees.		0	Fall, Slip, or Trip NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011979



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011979	Kelly, Dwayne Lee Savage	Notification Only	2544DOT-AM HS-Le Conte	5/22/2020	5/22/2020	EE twisted ankle while coming off a ladder onto the engine room deck.		0	Strain or Injury By - Twisting	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011965													
EV-2020-WC011965	Diatta, Edouard	Notification Only	2542DOT-AM HS-Kennicott	5/29/2020	5/29/2020	EE was stepping up and the camera was there and he hit his head on it and fell to the ground.		0	Fall, Slip, or Trip - Different Level	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011935													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011935	Nation, Alec Nigel	Notification Only	2546DOT-AM HS-Matanuska	5/22/2020	5/22/2020	EE was working in a machine shop doing welding and fabricating when his left eye started stinging like a piece of dust or metal got into it.		0	Foreign Matter in Eye(s)	Eye(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011871													
EV-2020-WC011871	Bushnell, Pamela	Notification Only	2542DOT-AM HS-Kennicott	4/4/2020	5/13/2020	EE was working and accidentally caught her foot in the watertight door on the boat.		0	Striking Against or Stepping On - Object Being Lifted or Handled	Ankle	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011866



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011866	Boden, Annette Myrtle	Notification Only	2543DOT-AH MS-Columbia	5/12/2020	5/12/2020	EE was twisting and lifting stores during the transfer from one vessel in the yard to another resulting in intermittent back spasms.		0	Strain or Injury By - Twisting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011850													
EV-2020-WC011850	Romey, Samuel Amos	Notification Only	2546DOT-AM HS-Matanuska	5/8/2020	5/8/2020	EE was using a grinder and it kicked back and hit his fingers on his left hand. He then put his hand on hot metal and burned it.		0	Caught In, Under, or Between - Machine or Machinery	Hand	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2020-WC011806</b>													
EV-2020-WC011806	Soto, Rafael	Notification Only	2546DOT-AM HS-Matanuska	4/28/2020	4/28/2020	EE was using a grinder and it cut through his latex glove and lacerated his left index finger.		0	Caught In, Under, or Between - Machine or Machinery	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011777

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011777	Pickett, Elizabeth Mae	Notification Only	2546DOT-AM HS-Matanuska	4/20/2020	4/20/2020	EE was cleaning out Jr. locker that had tools, parts, chemicals and although she was wearing gloves most of the time, she developed hives on the back of both hands and wrists.		0	Burn - Contact NOC	Wrist(s) and Hand(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011705

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011705	Clayton, Edsel J	Notification Only	2549DOT-AM HS-Shore side	3/23/2020	3/23/2020	EE twisted left ankle while stepping out of hazmat locker, and fell to ground landing on left knee and wrist.		0	Fall, Slip, or Trip - Different Level	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011683													
EV-2020-WC011683	Nathan, Kimberly Ann	Notification Only	2542DOT-AM HS-Kennicott	3/16/2020	3/19/2020	EE had a dish hit her foot while working.		0	Struck or Injured By - Object Being Lifted or Handled	Foot	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011525													
EV-2020-WC011525	Bradley, John Robert	Notification Only	2548DOT-AM HS-Tustumena	2/13/2020	2/13/2020	EE was bent over to pick up a hand tool and experienced pain in his lumbar (left side).		0	Strain or Injury By - Reaching	Low Back Area	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011521

EV-2020-WC011521	Weniger, Katie Lucille	Notification Only	2548DOT-AM HS-Tustume na	2/12/2020	2/12/2020	EE was alighting from the gangway to the deck and rolled his left ankle upon the fire hose being tested and laid along the deck.		0	Fall, Slip, or Trip NOC	Ankle	0	Open	
------------------	------------------------	-------------------	--------------------------	-----------	-----------	--	--	---	-------------------------	-------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011487

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011487	Guthrie, Scott Watson	Notification Only	2546DOT-AM HS-Matanus ka	2/3/2020	2/3/2020	EE was climbing down ladder in the fidley (state) and missed the last rung and fell back hitting the back of his head and right wrist.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011481													
EV-2020-WC011481	Castro, Rene Navarro	Notification Only	2546DOT-AM HS-Matanus ka	1/30/2020	1/30/2020	EE smashed right thumb while pulling laundry cart into the elevator		0	Caught In, Under, or Between NOC	Thumb	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011442													

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011442	Perez, Nima Parreno	Notification Only	2542DOT-AMHS-Kennicott	1/20/2020	1/20/2020	EE slept on her break and woke up with pain in her lower left leg. She discovered a red spot that was tender (bug bite).		0	Struck or Injured By - Animal or Insect	Lower Leg	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011440

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011440	Svenson, Barbie Lynn	Notification Only	2546DOT-AM HS-Matanuska	1/19/2020	1/19/2020	EE was removing a towel from a towel rack in the room when she got shocked and jerked back her arm and hit her right elbow really hard on the grab handle and had to sit down because she saw stars.		0	Striking Against or Stepping On - Stationary Object	Elbow	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011439



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011439	Rockwell, Earl Reynold	Notification Only	2546DOT-AM HS-Matanuska	1/19/2020	1/19/2020	EE has an old back injury that was tweaked on his first week on the Matanuska. He was working in a lot of stores and doing a lot of lifting and injured the right side of his lower back.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2020-WC011423

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2020-WC011423	Wilson, Linda Sue	Notification Only	2546DOT-AM HS-Matanuska	1/13/2020	1/14/2020	EE fell on icy terminal parking lot coming to Ketchikan and bruised her left thigh and tweaked back muscles on left side.		0	Fall, Slip, or Trip - Ice or Snow	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2020-WC011399													
EV-2020-WC011399	Lee, John Barney	Notification Only	2554DOT-AM HS-Tazlina	1/9/2020	1/9/2020	EE was walking the foredeck and banged his head on the stairs while walking under them.		0	Striking Against or Stepping On - Stationary Object	Other Facial Soft Tissue	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011365

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011365	Loughead, Tom Egan Albert	Notification Only	2546DOT-AM HS-Matanus ka	12/28/2019	12/29/2019	EE was going up a step in the MSO room and his right knee buckled. Going up and down stairs was hard and painful for a while afterwards and his knee has been tender since. He has not carried full weight nor have full range of motion and being on his knee		0	Strain Or Injury By NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011363



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011363	Granberg, Loreen Joane	Notification Only	2546DOT-AM HS-Matanuska	12/28/2019	12/28/2019	EE was walking into crew mess and mat slipped out from under her. She caught herself by grabbing the door jamb, but twisted her lower back in the process.		0	Fall, Slip, or Trip NOC	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011360

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011360	Susalla, Bridget Rose	Notification Only	2554DOT-AM HS-Tazlina	12/12/2019	12/26/2019	EE tripped up a step in the engine room and landed on the vertical trim that was surrounding the deck plates. He landed directly on his knee cap sustaining a laceration and bruise that extended down his shin a week later. He's still in pain and has pictu		0	Fall, Slip, or Trip NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011345



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011345	Susalla, Bridget Rose	Notification Only	2545DOT-AM HS-Malaspina	12/12/2019	12/26/2019	IW tripped up a step in the engine room. IW landed on the vertical trim that surrounds the deck plates. IW landed directly on their knee cap. IW has a laceration and bruising that a week later extended up to the shin that is still in pain.		0	Fall, Slip, or Trip NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011312

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011312	Seligman, Susan L	Notification Only	2545DOT-AM HS-Malaspina	11/26/2019	12/2/2019	Repetitive Motion of cleaning/scrapping on cabin deck-living Quarters.		0	Strain or Injury By - Repetitive Motion	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC011286													
EV-2019-WC011286	Garrett, Joseph Wayne	Notification Only	2542DOT-AM HS-Kennicott	11/30/2019	12/2/2019	While unlatching lifeboat # 3 bowing gear pelican hook, it was under strain and it hit their hand.		0	Caught In, Under, or Between - Object Handled	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011281

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011281	Ingalls, Katherine Celeste	Notification Only	2542DOT-AM HS-Kennicott	11/27/2019	11/27/2019	Tripped on the floor mats and slammed their knee on the steel/ cement deck. knee is really swollen and in mass pain.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC011271													
EV-2019-WC011271	Richter, Brandy Leigh	Notification Only	2542DOT-AM HS-Kennicott	12/2/2019	12/2/2019	IW closed air start valve on STBD main engine piece of sharp metal, splinter in hand.		0	Caught In, Under, or Between - Object Handled	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC011148													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011148	Bell, David Michael	Notification Only	2548DOT-AM HS-Tustumena	10/24/2019	10/28/2019	EE was pulling a man out of the water who fell in at the fuel dock and injured his lower back		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC011141													
EV-2019-WC011141	Carle, Davis A	Notification Only	2545DOT-AM HS-Malaspin a	10/26/2019	10/26/2019	EE stepped in round drain and didn't roll all the way over injuring right ankle and ball of foot.		0	Fall, Slip, or Trip NOC	Ankle	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011140

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011140	Seligman, Susan L	Notification Only	2545DOT-AM HS-Malaspina	10/25/2019	10/26/2019	EE was walking in the hallway and felt pain in right leg, thigh, and buttocks.		0	Strain Or Injury By NOC	Multiple Lower Extremities	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011138

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011138	Hales, Evan Caleb	Notification Only	2540DOT-AM HS-Aurora	10/23/2019	10/24/2019	EE was at the bottom of ladder and was turning out as he stepped off, but his foot did no come off the rung causing his left knee to be wrenched. He returned work but it has been bothering him a little.		0	Strain or Injury By - Twisting	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011101

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011101	Richter, Brandy Leigh	Notification Only	2542DOT-AM HS-Kennicott	10/13/2019	10/14/2019	EE turned on ramp to help someone with a question and rolled her right ankle and tried to reach out and grab a hold of something to break her fall when she landed on her tailbone on steel deck knocking the breath out of her.		0	Fall, Slip, or Trip - On Same Level	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011060

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011060	Webb, Noah	Notification Only	2542DOT-AM HS-Kennicott	10/1/2019	10/2/2019	EE was cranking on a trailer jack and sprained his wrist.		0	Strain or Injury By - Repetitive Motion	Wrist(s) and Hand(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC011058													
EV-2019-WC011058	Jones, Tammy May	Notification Only	2543DOT-AH MS-Columbia	10/2/2019	10/2/2019	EE was reaching in to get rice while the steamer wasn't running and sustained a burn injury to her right hand.		0	Burn - Hot Objects or Substances	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011005

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011005	Walters, Lonnie Arines	Notification Only	2542DOT-AM HS-Kennicott	9/24/2019	9/25/2019	EE was moving a heavy pallet in and out of the elevator with a pallet jack. The pallet was difficult to move and required a lot of pushing and pulling which put a painful strain on his lower back.		0	Strain or Injury By - Pushing or Pulling	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC011004

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC011004	Bowden, Todd Martin	Notification Only	2542DOT-AM HS-Kennicott	9/21/2019	9/23/2019	EE was lashing down vehicles on car deck with rachets and straps. While working under a car he felt a sharp pain in his lower back during the loading process.		0	Strain or Injury By - Twisting	Low Back Area	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2019-WC010985</b>													
EV-2019-WC010985	Russo, Tara R	Notification Only	2543DOT-AH MS-Columbia	9/20/2019	9/21/2019	EE was making beds, lifting mattresses over head and injured neck and shoulders.		0	Strain or Injury By - Repetitive Motion	Multiple Body Parts	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2019-WC010984</b>													

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010984	Gilyard, Paul Anthony	Notification Only	2545DOT-AM HS-Malaspina	9/20/2019	9/20/2019	EE tweaked ankle while coming back onto ship.		0	Strain or Injury By - Twisting	Ankle	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010932



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010932	Ingalls, Katherine Celeste	Notification Only	2542DOT-AM HS-Kennicott	9/7/2019	9/7/2019	Ms. Ingalls co-worker Ken Durbin was cleaning stainless steel in the galley. Ms. Ingalls went into the c/c office and upon exiting she slid and crashed on her bad knee (which still hasn't been addressed) and cut her right elbow on the door frame.		0	Fall, Slip, or Trip - Liquid or Grease	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010881

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010881	Hubbard, Douglas Gregory	Notification Only	2542DOT-AM HS-Kennicott	8/26/2019	8/26/2019	Mr. Hubbard was chopping carrots and cut his ring finger		0	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010866													
EV-2019-WC010866	Alcorn, Jesse Dean	Notification Only	2548DOT-AM HS-Tustumena	8/22/2019	8/22/2019	Continuing use of wrist for job duties is making it painful to work. Continuation of strain from 20th.		0	Strain or Injury By - Repetitive Motion	Wrist	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010857

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010857	Gamble, Elizabeth Anne	Notification Only	2542DOT-AM HS-Kennicott	8/24/2019	8/24/2019	A package of blankets were thrown and hit Ms. Gamble on her upper left thigh and back, knocking her into a table/chair.		0	Struck or Injured By - Object Being Handled by Others	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010847													
EV-2019-WC010847	Khachaturyan, Marina G	Notification Only	2544DOT-AM HS-Le Conte	8/22/2019	8/22/2019	Ms. Khachaturyan was stepping into the freezer and slipped and overextended her right foot and the pain came some time later.		0	Fall, Slip, or Trip NOC	Foot	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Claim Number: EV-2019-WC010815

EV-2019-WC010815	Whisamore, John Breton	Notification Only	2548DOT-AM HS-Tustumena	8/14/2019	8/14/2019	Mr. Whisamore bent over to retrieve vehicle chocks and felt a strain in lower back on right side. Not painful, more like a "Charlie horse".		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
------------------	------------------------	-------------------	-------------------------	-----------	-----------	---	--	---	-------------------------------	---------------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010812

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010812	Mcgraw, Dhante L	Notification Only	2544DOT-AM HS-Le Conte	7/22/2019	7/24/2019	Mr. McGraw was unloading the car deck and there was a yellow metal attachment that was being used. A car drove over it and it shot back and hit his right foot (big toe).		0	Struck or Injured By - Falling or Flying Object	Toe(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010795													
EV-2019-WC010795	Vercessi, John Patrick	Notification Only	2544DOT-AM HS-Le Conte	8/9/2019	8/9/2019	Mr. Vercessi was flipping up the ramp flukes and it bounced back and hit his left hand.		0	Struck or Injured By - Falling or Flying Object	Finger(s)	0	Open	

Total by Claim Number 1 Claim



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Claim Number: EV-2019-WC010766

EV-2019-WC010766	Ingalls, Katherine Celeste	Notification Only	2543DOT-AH MS-Columbia	8/5/2019	8/5/2019	Ms. Ingalls was directed to walk to another vessel at the Purser's booth at the top of the ramp she twisted her left ankle on the curb and fell scraping her right knee once and her right hand in several spots.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	
------------------	----------------------------	-------------------	------------------------	----------	----------	---	--	---	-------------------------	---------------------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010764

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010764	Rebustes, Homer Escalona	Notification Only	2554DOT-AM HS-Tazlina	8/5/2019	8/5/2019	Mr. Rebustes was moving and re-arranging store supplies in the warehouse and when he put the bottle juices on top of the shelves he felt a pop in his right shoulder socket.		0	Strain or Injury By - Lifting	Shoulder(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010693

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010693	Posey, Isabella Josephine	Notification Only	2543DOT-AH MS-Columbia	7/22/2019	7/22/2019	Ms. Posey grabbed blender blade while it was off and sustained a 1/4 inch cut to her pinky finger.		0	Cut, Puncture, or Scrape - Powered Hand Tool	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010662													
EV-2019-WC010662	Sausedo, Mariana Teresa	Notification Only	2543DOT-AH MS-Columbia	7/14/2019	7/14/2019	Ms. Sausedo's body was sore from bending over and being on her feet and knees.		0	Strain or Injury By - Twisting	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010658



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010658	Mantovi, Peter James	Notification Only	2548DOT-AM HS-Tustumena	7/13/2019	7/14/2019	Mr. Mantovi was lifting trailer tongue and his back popped. There was increasing pain over twenty four hour period.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010655													
EV-2019-WC010655	Barlow, Jared Benjamin	Notification Only	2542DOT-AM HS-Kennicott	7/10/2019	7/10/2019	Mr. Barlow was trying to put the lifeboat back on the boat and it falls pinching his left index finger and hitting his right upper arm.		0	Caught In, Under, or Between - Machine or Machinery	Multiple Upper Extremities	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010643													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010643	Castro, Rene Navarro	Notification Only	2543DOT-AH MS-Columbia	7/10/2019	7/10/2019	Mr. Castro was carrying plates and trays in restaurant and felt pain in left thumb to wrist area.		0	Strain or Injury By - Repetitive Motion	Wrist(s) and Hand(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010631													
EV-2019-WC010631	Nevins, Cameron D	Notification Only	2540DOT-AM HS-Aurora	7/7/2019	7/8/2019	He was welding		0	Burn - Welding Operation	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010625													
EV-2019-WC010625	Clark, Cynthia Lou	Notification Only	2540DOT-AM HS-Aurora	7/8/2019	7/8/2019	EE was walking down the hall when a panel fell hitting her in the eye, then temple.		0	Struck or Injured By - Falling or Flying Object	Multiple Head Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010616													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010616	Puterbaugh, Joshua M	Notification Only	2543DOT-AH MS-Columbia	7/4/2019	7/5/2019	while carrying the main ramp with 3 others the ramp impeded his movement and caused him to roll his ankle		0	Slip or Trip, Did Not Fall	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010615													
EV-2019-WC010615	Sheakley, Warren S	Notification Only	2540DOT-AM HS-Aurora	5/5/2019	7/6/2019	On tie up pullys on cables had barbs that were old and rusty.		0	Caught In, Under, or Between - Object Handled	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010613

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010613	Malo, Mark Ryan	Notification Only	2544DOT-AM HS-Le Conte	7/5/2019	7/5/2019	Mr Malo was walking on a narrow plank and missed placed his foot causing him to land on the nee hard		0	Fall, Slip, or Trip - Different Level	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010606													
EV-2019-WC010606	Andersen, Damian Jordan	Notification Only	2543DOT-AH MS-Columbia	7/4/2019	7/4/2019	Mr. Andersen slipped on stairs. tried catching himself with his hand		0	Fall, Slip, or Trip - Different Level	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010601

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010601	Hill, Brian N	Notification Only	2540DOT-AM HS-Aurora	7/2/2019	7/2/2019	Was exposed to an strong odor from a dumpster that was so strong that made Mr. Hill cough.		0	Absorption, Ingestion, or Inhalation NOC	Lungs	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010589													
EV-2019-WC010589	Lorentz, Paul Matthews	Notification Only	2543DOT-AH MS-Columbia	7/1/2019	7/1/2019	When changing STBD strainer lid turned on pump and the lid wasn't seated once EE was adjusting it he cut his hand		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010587

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010587	Clark, Cynthia Lou	Notification Only	2540DOT-AM HS-Aurora	7/1/2019	7/1/2019	When the head cook came out of the steamer he caused a mess that EE went to clean up but slipped and fell in it		0	Slip or Trip, Did Not Fall	Thigh	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010577													
EV-2019-WC010577	Schweinefus, Darren	Notification Only	2544DOT-AM HS-Le Conte	5/21/2019	6/26/2019	Grinding on for deck piping.		0	Strain or Injury By - Using Tool or Machinery	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010576													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010576	Pooler, Denise Kay	Notification Only	2542DOT-AM HS-Kennicott	6/27/2019	6/28/2019	Mrs Pooler tripped in cafeteria, landed on her left knee, hand and right elbow, the jar also cased her to clench her teeth together hard.		0	Fall, Slip, or Trip NOC	Shoulder(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010573

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010573	Mcalister, Ryan Vincent	Notification Only	2545DOT-AM HS-Malaspin a	6/26/2019	6/26/2019	Mr. McAlister tripped on cage net and stopped his fall with his hands on the car-deck door but it was sharp and it cut him.		0	Fall, Slip, or Trip NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010552



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010552	Buchanan, Nicholas Joel	Notification Only	2543DOT-AH MS-Columbia	6/24/2019	6/24/2019	Mr. Buchanan was letting the ramp down for the dirty linen and the handle got away from him and spun around and smashed his hand.		0	Struck or Injured By - Moving Parts of Machine	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010546

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010546	Markovic, Kristina Lidija	Notification Only	2545DOT-AM HS-Malaspina	6/22/2019	6/23/2019	Ms. Markovic was pouring hot water into her tea and looked away for a second. The second she looked away the hot water from the dispenser went onto her hand.		0	Burn - Steam or Hot Fluids	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010527													
EV-2019-WC010527	Payne, James William	Notification Only	2543DOT-AH MS-Columbia	6/17/2019	6/17/2019	Mr. Payne was trying to get desk drawer back on track and smashed his left finger.		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010509

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010509	Buchanan, Nicholas Joel	Notification Only	2543DOT-AH MS-Columbia	6/13/2019	6/13/2019	Mr. Buchanan was pulling trash out through freight elevator and the screen door fell on top of his head.		0	Struck or Injured By - Object Being Lifted or Handled	Other Facial Soft Tissue	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010494

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010494	Harkins, Jacob M	Notification Only	2546DOT-AM HS-Matanuska	6/7/2019	6/9/2019	Mr. Harkins leaned down to put cover on boiler burner box and his head made contact with a bolt that protruded out of the burner assembly and caused a minor laceration with mild bleeding.		0	Struck or Injured By NOC	Other Facial Soft Tissue	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010479

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010479	Lewis, Hilliard Harrison	Notification Only	2545DOT-AM HS-Malaspin a	6/6/2019	6/6/2019	Mr. Lewis caught his heel on step of ladder and dropped approximately 3 feet. He caught his hands and arms in the railing to prevent further falling. Felt pain in neck, knee, and thumb.		0	Fall, Slip, or Trip - Ladder or Scaffolding	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010464													
EV-2019-WC010464	Kerber, Margarita Erika	Notification Only	2543DOT-AH MS-Columbia	6/3/2019	6/4/2019	Ms. Kerber slammed her finger between two doors while cleaning bedrooms.		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010441

EV-2019-WC010441	Olson, Christopher Lars	Notification Only	2544DOT-AM HS-Le Conte	5/27/2019	5/30/2019	Mr. Olson was entering the shower and the floor was extremely slippery from being cleaned from being cleaned the day before. Mr. Olson slipped and in an effort not to fall he twisted his right knee and heard a slight pop. Knee has been painful since.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
------------------	-------------------------	-------------------	------------------------	-----------	-----------	--	--	---	-------------------------	------	---	------	--

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010428

EV-2019-WC010428	Svenson, Barbie L	Notification Only	2543DOT-AH MS-Columbia	5/27/2019	5/27/2019	Ms. Svenson was pushing buttons to put recliner in TV lounge up and thumb go jammed.		0	Caught In, Under, or Between - Object Handled	Hand	0	Open	
------------------	-------------------	-------------------	------------------------	-----------	-----------	--	--	---	---	------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010399

EV-2019-WC010399	Robinson, Brandon William	Notification Only	2543DOT-AH MS-Columbia	5/19/2019	5/19/2019	Tilt skillet crank handle was extended. when walking by hit knee on handle.		0	Striking Against or Stepping On - Stationary Object	Knee	0	Open	
------------------	---------------------------	-------------------	------------------------	-----------	-----------	---	--	---	---	------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010381

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010381	Roark, Sarah K	Notification Only	2544DOT-AM HS-Le Conte	5/15/2019	5/15/2019	She was walking past the cab of a tractor-trailer and the passenger opened the door into her left shoulder.		0	Struck or Injured By - Object Being Handled by Others	Shoulder(s)	0	Open	
<a href="#">Total by Claim Number 1 Claim</a> <a href="#">Claim Number: EV-2019-WC010356</a>													
EV-2019-WC010356	Baxter, Daniel Foster	Notification Only	2545DOT-AM HS-Malaspina	5/10/2019	5/10/2019	Mr. Baxter touched a hot pan and burned his right thumb.		0	Burn - Hot Objects or Substances	Thumb	0	Open	
<a href="#">Total by Claim Number 1 Claim</a> <a href="#">Claim Number: EV-2019-WC010337</a>													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010337	Pickett, Elizabeth M	Notification Only	2544DOT-AM HS-Le Conte	5/7/2019	5/7/2019	Ms. Pickett was working with a moisture separator and the nipple came off and blew debris into the back of her left hand.		0	Cut, Puncture, or Scrape NOC	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010328

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010328	Weisenburger, Rebecca Louise	Notification Only	2542DOT-AMHS-Kennicott	5/3/2019	5/3/2019	Ms. Weisenburger was trying to remove a box of cod from the bottom shelf of a freezer and grabbed the box with both hands and pulled. She heard a loud popping sound in her left shoulder. She has pain whenever trying to lift items.		0	Strain or Injury By - Lifting	Shoulder(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010301



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010301	Bartlett, Nicholas S	Notification Only	2543DOT-AH MS-Columbia	4/29/2019	4/29/2019	Mr. Bartlett was handling a tie up line. The linehandlers in Skagway at the terminal took off running with the tie up line and Mr. Bartlett couldn't see them and tweaked his back.		0	Strain or Injury By - Holding or Carrying	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010300

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010300	Callahan, Myra Jean	Notification Only	2543DOT-AH MS-Columbia	4/29/2019	4/29/2019	Ms. Callahan was unloading cases of water and beverages. in her hurry, she tripped over the threshold.		0	Fall, Slip, or Trip NOC	Other Facial Soft Tissue	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2019-WC010261</b>													
EV-2019-WC010261	Karling, Emelie B	Notification Only	2543DOT-AH MS-Columbia	4/22/2019	4/23/2019	Ms. Karling had been cleaning lounge tv and stairwells and felt a sharp pain in her lower back.		0	Strain or Injury By - Twisting	Low Back Area	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2019-WC010227</b>													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010227	Allen, Donald Gordon	Notification Only	2543DOT-AH MS-Columbia	4/10/2019	4/11/2019	Mr. Allen's hand slipped while pulling towels and he hit his eye.		0	Struck or Injured By - Hand Tool in Use	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010220

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010220	Clark, Cynthia Lou	Notification Only	2540DOT-AM HS-Aurora	4/11/2019	4/11/2019	EE was working with a large passenger load and the vessel was short handed. She was making CM bed and stepped back and tripped over his luggage. She tried to right herself and ended up twisting and falling backwards, landing hard on the deck (steel with		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010208

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010208	Mamburam , John Lyndon Tesoro	Notification Only	2543DOT-AH MS-Columbia	3/25/2019	4/8/2019	Mr. Mamburam was wearing white powder gloves and it caused his skin to break out on his fingers.		0	Burn - Contact NOC	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010194

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010194	Ingalls, Katherine Celeste	Notification Only	2543DOT-AH MS-Columbia	4/3/2019	4/3/2019	Ms. Ingalls tripped over the doorway lip to the laundry room. Her left hand smacked the door frame and after a few hours her hand had swelled up a decent amount and started hurting.		0	Fall, Slip, or Trip - On Same Level	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010154



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010154	Dewitt, Christopher H	Notification Only	2543DOT-AH MS-Columbia	3/22/2019	3/23/2019	While walking on the main car deck, I tripped over a button ( turtle back ) in lane 5, fell down on elbow		0	Fall, Slip, or Trip - On Same Level	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010110													
EV-2019-WC010110	Kent, Robert Lawrence	Notification Only	2542DOT-AM HS-Kennicott	3/15/2019	3/15/2019	Mr. Kent was doing a firehose test, connecting and tightening hoses. He stepped on a connection and rolled his left ankle.		0	Strain or Injury By - Twisting	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010104													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010104	Leegard, Conan Ericson	Notification Only	2544DOT-AM HS-Le Conte	3/10/2019	3/14/2019	Mr. Leegard caught his left foot on stair tread and felt sharp quick pain which lasted for a second. This pain has occurred several times since then as well.		0	Fall, Slip, or Trip - On Stairs	Foot	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010086

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010086	Wilson, Marlene Mae	Notification Only	2545DOT-AM HS-Malaspina	3/11/2019	3/12/2019	Ms. Wilson discovered a leak in swisher hose. Rinse solution/ pots & pans chemical leaked into the sink bucket and got on her right hand.		0	Burn - Chemical	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010058													
EV-2019-WC010058	Phillips, Robert Raymond	Notification Only	2540DOT-AM HS-Aurora	2/28/2019	3/2/2019	Mr. Phillips had possible exposure to asbestos on the ship due to a broken access panel.		0	Absorption, Ingestion, or Inhalation NOC	Lungs	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC010051

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC010051	Richter, Brandy Leigh	Notification Only	2540DOT-AM HS-Aurora	2/28/2019	3/4/2019	EE was exposed to asbestos due to a broken access panel on the ship.		0	Absorption, Ingestion, or Inhalation NOC	Lungs	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC010033													
EV-2019-WC010033	Andersen, Damian Jordan	Notification Only	2543DOT-AH MS-Columbia	3/5/2019	3/6/2019	Mr. Anderson was draining an industrial dishwasher and sustained burned injury to left hand.		0	Burn - Temperature Extremes	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC009988

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC009988	Treadway, Raymond Lloyd	Notification Only	2543DOT-AH MS-Columbia	2/24/2019	2/25/2019	Mr. Treadway was digging down the hatch for forward engineering stores when it juttet forward to quick and his finger smacked into the inner steel frame of the hatch.		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC009976

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2019-WC009976	Boden, Annette Myrtle	Notification Only	2548DOT-AM HS-Tustumena	2/20/2019	2/20/2019	Ms. Boden was bending down to pick up trash off the floor of state room 202 when she hit her forehead on an oar.		0	Striking Against or Stepping On - Stationary Object	Other Facial Soft Tissue	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC009974													
EV-2019-WC009974	Galindo, Eleanor	Notification Only	2545DOT-AM HS-Malaspin a	2/18/2019	2/19/2019	Ms. Galindo was walking into the bar area and tripped on plastic floor covering that wasn't taped.		0	Fall, Slip, or Trip - On Same Level	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2019-WC009804													
EV-2019-WC009804	Karling, Emelie B	Notification Only	2543DOT-AH MS-Columbia	1/14/2019	1/15/2019	Ms. Karling tripped and fell.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2019-WC009779

EV-2019-WC009779	Sladky, Jerry Ray	Notification Only	2543DOT-AH MS-Columbia	1/11/2019	1/11/2019	Mr. Sladky was working the stores on the Columbia in bellingham, WA. As a case of food came down the slide, his hand was caught between the case and backboard of the tray panel causing the knuckle on his left middle finger to become bruised.		0	Struck or Injured By - Object Being Lifted or Handled	Finger(s)	0	Open	
------------------	-------------------	-------------------	------------------------	-----------	-----------	---	--	---	---	-----------	---	------	--

Total by Claim Number 1 Claim



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: EV-2019-WC009773</b>													
EV-2019-WC009773	Harsh, Rebecca Lee	Notification Only	2544DOT-AM HS-Le Conte	1/10/2019	1/10/2019	Ms. Harsh was pulling a pan from lower shelf & hit the back of her hand on the way out.		0	Strain or Injury By - Reaching	Hand	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: EV-2019-WC009742</b>													
EV-2019-WC009742	Vercessi, John Patrick	Notification Only	2549DOT-AM HS-Shore side	1/5/2019	1/5/2019	Mr. Vercessi pulled a cart and slipped on ice. His feet went out from under him and he landed on his elbow first and then his hip. He twisted his back and also injured his neck		0	Fall, Slip, or Trip - Ice or Snow	Multiple Body Parts	0	Open	



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009721

EV-2018-WC009721	Gudgel, Mary Ann	Notification Only	2546DOT-AM HS-Matanuska	12/31/2018	12/31/2018	Was cleaning out the walk in cooler using Bleach and rag to wipe the ceiling, it dripped in my eye		0	Burn - Chemical	Eye(s)	0	Open	
------------------	------------------	-------------------	-------------------------	------------	------------	--	--	---	-----------------	--------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009717

EV-2018-WC009717	Deguzman, Jun Castillo	Notification Only	2540DOT-AM HS-Aurora	12/29/2018	12/29/2018	Was pulling fueling hose to cardeck and fell backwards hitting the steal/cermet deck.		0	Fall, Slip, or Trip NOC	Low Back Area	0	Open	
------------------	------------------------	-------------------	----------------------	------------	------------	---	--	---	-------------------------	---------------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009716

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009716	Wong-bars allo, Ylitch U	Notification Only	2549DOT-AM HS-Shore side	12/20/2018	12/20/2018	While lowering the lifeboat and being inside of it started rocking without control.		0	Motor Vehicle - Collision with a Fixed Object	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009707													
EV-2018-WC009707	Nathan, Kimberly A	Notification Only	2543DOT-AH MS-Columbia	12/26/2018	12/26/2018	Rough waves caused trays to fall on my lower back from behind.		0	Struck or Injured By - Falling or Flying Object	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009701													
EV-2018-WC009701	Mclavey, Michael Anthony	Notification Only	2545DOT-AM HS-Malaspin a	12/21/2018	12/21/2018	Doing stores on boat smashed knuckle moving heavy box.		0	Caught In, Under, or Between NOC	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009700													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009700	Rotola, Brian Phillip	Notification Only	2543DOT-AH MS-Columbia	12/24/2018	12/24/2018	Mr. Rotola slipped on bow of vessel and landed on ballard.		0	Fall, Slip, or Trip - On Same Level	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009697													
EV-2018-WC009697	McLavey, Michael Anthony	Notification Only	2543DOT-AH MS-Columbia	12/21/2018	12/21/2018	Mr. McLavey was working in the stores on the boat and smashed his knuckle while moving a heavy box		0	Caught In, Under, or Between NOC	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009695

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009695	Posey, Clark Thomas	Notification Only	2543DOT-AH MS-Columbia	12/21/2018	12/21/2018	Stepped up to FSA, Truck loading platform and slipped hitting shin on edge.		0	Striking Against or Stepping On NOC	Lower Leg	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009694													
EV-2018-WC009694	Carrillo, Mark Bernard Detera	Notification Only	2545DOT-AM HS-Malaspina	12/21/2018	12/21/2018	Carrying a hot pan, I hit the corners of the pan with my arms.		0	Burn - Hot Objects or Substances	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009684													
EV-2018-WC009684	Soto, Rafael	Notification Only	2545DOT-AM HS-Malaspina	12/17/2018	12/19/2018	Mr. Soto was smacked on the left finger by the metal deck plate.		0	Struck or Injured By NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009667													

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC09667	Marte, Emerson Malapad	Notification Only	2542DOT-AM HS-Kennicott	12/13/2018	12/13/2018	Mr. Marte was counting the deposit and placing the money inside the safe vault when the door slammed on his middle finger and caused it to bruise.		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC09666

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009666	Rozier, Kathryn Marie	Notification Only	2542DOT-AM HS-Kennicott	12/13/2018	12/14/2018	Ms. Rozier was exposed to chemicals during cleaning and developed itchy red bumps on her skin. Her skin was very irritated by the chemicals.		0	Burn - Chemical	Lower Arm	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2018-WC009665</b>													
EV-2018-WC009665	Aguirre, Ronaldo Adrales	Notification Only	2545DOT-AM HS-Malaspin a	12/13/2018	12/13/2018	Mr. Aguirre was offloading clean laundry from the elevator and he injured his chest.		0	Strain or Injury By - Lifting	Chest	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009608

EV-2018-WC009608	Phillips, Joshua Sherman	Notification Only	2542DOT-AM HS-Kennicott	12/4/2018	12/5/2018	Mr. Phillips was replacing dishwasher detergent (loose powder). The container with the replacing detergent has to be inverted in order to place into the machine. Some of the powder got on his arm resulting in a chemical burn.		0	Burn - Chemical	Lower Arm	0	Open	
------------------	--------------------------	-------------------	-------------------------	-----------	-----------	---	--	---	-----------------	-----------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009600

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009600	Susalla, Bridget Rose	Notification Only	2545DOT-AM HS-Malaspina	12/5/2018	12/5/2018	Ms. Susalla was cleaning in the auxiliary room (cleaning the upper mezz forward of compressors between the compressor and storage bins. When she stood up she hit her head on a valve that was not secured and it landed on her head and bounced off her neck.		0	Striking Against or Stepping On - Stationary Object	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009590





# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009590	Bradley, John Robert	Notification Only	2548DOT-AM HS-Tustume na	12/2/2018	12/3/2018	Mr. Bradley ran into the watertight door handle while exiting the hatch and injured the right side of his midsection.		0	Striking Against or Stepping On - Stationary Object	Abdomen including Groin	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009589													
EV-2018-WC009589	Lee, John Barney	Notification Only	2542DOT-AM HS-Kennicott	12/2/2018	12/2/2018	Mr. Lee slid the top box off a bottom box and the bottom box fell off the shelf onto his foot.		0	Struck or Injured By - Falling or Flying Object	Foot	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009579

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009579	Harsh, Rebecca Lee	Notification Only	2543DOT-AH MS-Columbia	11/29/2018	11/29/2018	Ms. Harsh stepped out of state room #217 and twisted her left ankle.		0	Strain or Injury By - Twisting	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009572													
EV-2018-WC009572	Cellarius, Nelson Iliseu	Notification Only	2548DOT-AM HS-Tustumena	11/27/2018	11/27/2018	Mr. Cellarius was struck on the right knee by a 15 lb metal plate that slid of the top of the jack stand and fell.		0	Struck or Injured By - Falling or Flying Object	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009571

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009571	Morato, Celestino Orta	Notification Only	2545DOT-AM HS-Malaspina	11/28/2018	11/28/2018	Mr. Morato was closing the dry stores door and accidentally caught his finger between a sharp edge and the door cut his finger.		0	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009564													
EV-2018-WC009564	Carle, Davis A	Notification Only	2543DOT-AH MS-Columbia	11/27/2018	11/27/2018	Mr. Carle was hit on the head by a piece of snack bar paneling that fell off the ceiling.		0	Struck or Injured By - Falling or Flying Object	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009542													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009542	Kent, Robert Lawrence	Notification Only	2542DOT-AM HS-Kennicott	11/20/2018	11/21/2018	Mr. Kent was walking on the stern of the ship and stepped on a moving mooring line which caused him to fall back on his butt and back.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009541													
EV-2018-WC009541	Labombarbe, David Joseph	Notification Only	2540DOT-AM HS-Aurora	11/21/2018	11/21/2018	Mr. Labombarbe was cleaning toilet when his glove ripped and the cleaning solvent (bleach based) got onto his hand.		0	Burn - Contact NOC	Hand	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Claim Number: EV-2018-WC009509

EV-2018-WC009509	Olson, Christopher Lars	Notification Only	2544DOT-AM HS-Le Conte	11/14/2018	11/15/2018	Mr. Olson was coming down from officer quarters to the foyer at the bottom of the stairs a passenger turned the corner to come up the stairs as he went to stop his left hand slipped and slammed into the handrail. His left elbow popped slightly, but the p		0	Striking Against or Stepping On - Stationary Object	Elbow	0	Open	
------------------	-------------------------	-------------------	------------------------	------------	------------	--	--	---	---	-------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009479

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009479	Rozier, Kathryn Marie	Notification Only	2542DOT-AM HS-Kennicott	11/7/2018	11/8/2018	Ms. Rozier had redness and itchy sore hands and arms due to the chemicals that she was using to clean.		0	Burn - Contact NOC	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009461

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009461	Hill, Brian Norman	Notification Only	2553DOT-AM HS-Fairweather	11/2/2018	11/3/2018	Mr. Hill slipped on ice and fell backwards, he was able to walk it off and went to work. He slipped on another patch of ice on the Helo Deck and hyperextended his left knee. He tried to walk it off but it's slowly getting worse.		0	Fall, Slip, or Trip - Ice or Snow	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009460

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009460	Roark, Sarah K	Notification Only	2544DOT-AM HS-Le Conte	11/3/2018	11/3/2018	Ms. Roark was washing windows and her co-worker didn't see her on his right and moved his brush and the pole end went into her left eye.		0	Striking Against or Stepping On - Object Being Lifted or Handled	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009447



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009447	Hudson, Adam Jon	Notification Only	2542DOT-AM HS-Kennicott	10/31/2018	10/31/2018	Mr. Hudson was cleaning the Gaylord overhead and accidentally placed his hand on a hot burner sustaining a burn to his left palm.		0	Burn - Hot Objects or Substances	Hand	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009431

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009431	Ruiz, Johnny Romero	Notification Only	2545DOT-AM HS-Malaspina	10/29/2018	10/29/2018	Mr. Ruiz went to the coolers to get vegetables and when he turned back he got hit on the left leg by a pan. He didn't notice it and he got a scratch on his leg.		0	Cut, Puncture, or Scrape NOC	Lower Leg	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009399

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009399	Bernard, Terri Jane	Notification Only	2542DOT-AM HS-Kennicott	10/17/2018	10/23/2018	Ms. Bernard was walking supplies to the lower level of the building and when she lifted the box and rested it on her left shoulder as she was carrying it down the stairs. About two days later she had pain in the location where the box rested on her shoul		0	Strain or Injury By - Lifting	Multiple Upper Extremities	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009392

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009392	Leegard, Conan Ericson	Notification Only	2545DOT-AM HS-Malaspin a	10/19/2018	10/19/2018	Stopped a chock with foot and it jumped up after hitting a turtle and struck ankle.		0	Struck or Injured By - Falling or Flying Object	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009390													
EV-2018-WC009390	Sturgill, Eddie M	Notification Only	2543DOT-AH MS-Columbi a	10/18/2018	10/18/2018	Rolled ankle on wrinkle rod and fell to posterior. In the course of the fall, twisted knee and heard and felt a pop in the right knee, there is some discomfort in the right groin area as well.		0	Fall, Slip, or Trip - On Same Level	Knee	0	Open	

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009384

EV-2018-WC009384	Larsen, Mark H	Notification Only	2543DOT-AH MS-Columbia	10/15/2018	10/16/2018	Mr. Larsen stepped off catwalk down to dolphin platform and twisted his left ankle.		0	Strain or Injury By - Twisting	Ankle	0	Open	
------------------	----------------	-------------------	------------------------	------------	------------	---	--	---	--------------------------------	-------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009383

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009383	Von Stauffenberg, Edith C	Notification Only	2543DOT-AH MS-Columbia	10/12/2018	10/15/2018	Ms. Von Stauffenberg was walking in the Haines Ferry Terminal lobby when her shoestring from one shoe caught the shoe string of the other shoe and tripped her. She fell forward onto a metal stand which fell over with her. The bottom of the stand hit her l		0	Struck or Injured By - Falling or Flying Object	Lower Leg	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009370



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC09370	Brannon, Michael Thomas	Notification Only	2540DOT-AM HS-Aurora	10/12/2018	10/12/2018	Mr. Brannon was changing the oil on the starboard life boat and injured his back.		0	Strain Or Injury By NOC	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09368													
EV-2018-WC09368	Arnold, Glen T	Notification Only	2542DOT-AM HS-Kennicott	10/12/2018	10/12/2018	Grinder kicked back and scraped his left forearm		0	Striking Against or Stepping On - Sanding, Scraping, Cleaning Operation	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09347													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009347	Giezentanner, Tamara Lee N	Notification Only	2543DOT-AH MS-Columbia	10/4/2018	10/6/2018	Ms. Giezentanner pulled both thigh muscles maneuvering up and down evacuation slide.		0	Strain Or Injury By NOC	Thigh	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009317													
EV-2018-WC009317	Cheshire, John Clarence	Notification Only	2543DOT-AH MS-Columbia	10/1/2018	10/2/2018	When Mr. Cheshire was hauling lines or moving stoves he pulled something in his stomach		0	Strain or Injury By - Lifting	Abdomen including Groin	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009316



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009316	Galindo, Eleanor	Notification Only	2543DOT-AH MS-Columbia	10/2/2018	10/2/2018	Ms. Galindo was cleaning ovens and dripped chemical and water on her arms, face, and chest.		0	Burn - Chemical	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009304													
EV-2018-WC009304	Baines, Dianna Kay	Notification Only	2545DOT-AM HS-Malaspin a	9/28/2018	9/28/2018	Ms. Baines was vacuuming the forward lounge and turned the wrong way and tweaked her back.		0	Strain or Injury By - Twisting	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009257

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009257	Hudson, Heidi	Notification Only	2542DOT-AM HS-Kennicott	9/21/2018	9/21/2018	Ms. Hudson was throwing the heaving line to the dock and felt the left side of her lower back get tweaked.		0	Strain or Injury By - Welding or Throwing	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009226

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009226	Wiley, Pichilla Luce	Notification Only	2542DOT-AM HS-Kennicott	9/16/2018	9/16/2018	Ms. Wiley was cleaning the women's showers on the cabin date. There were some black mats outside the shower but as she was cleaning she was holding on to the rail to hold herself up but didn't realize that water had accumulated under the black mat. She s		0	Fall, Slip, or Trip - On Same Level	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009179

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009179	Torres, Katie	Notification Only	2546DOT-AM HS-Matanuska	9/7/2018	9/8/2018	Ms. Torres fell in the snack bar and missed the back of the chair and fell onto the small carpet.		0	Fall, Slip, or Trip - On Same Level	Elbow	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009178

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009178	Boyd, Claudia Margaret	Notification Only	2543DOT-AH MS-Columbia	9/8/2018	9/8/2018	Ms. Boyd was doing lots of bussing due to job requirements. Although she was taking ibuprofen, th pain is still on the bone (osteoarthritis). She's been limping and having lots of pain.		0	Strain or Injury By - Repetitive Motion	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009177

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009177	Landingham, Loran Lynn	Notification Only	2549DOT-AM HS-Shore side	9/8/2018	9/8/2018	Mr. Landingham had tripped over tong of trailers that were being pulled by the truck and hurt his hands, knees, and back.		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009168													
EV-2018-WC009168	Heimbold, Craig Adrian	Notification Only	2540DOT-AM HS-Aurora	9/3/2018	9/5/2018	Mr. Heimbold was doing a push up and sprained his left wrist.		0	Strain or Injury By - Pushing or Pulling	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009154													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009154	Hickey, Kelin William	Notification Only	2543DOT-AH MS-Columbia	9/2/2018	9/2/2018	Mr. Hickey was opening the fire station valve and cut his left hand on the fire ax.		0	Cut, Puncture, or Scrape - Hand Tool	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009142													
EV-2018-WC009142	Boutte, Paul Louie	Notification Only	2543DOT-AH MS-Columbia	8/31/2018	8/31/2018	Mr. Boutte was coming up the stairs from the crew mess and hit his head on the over hang. He iced the injury.		0	Striking Against or Stepping On NOC	Multiple Head Injury	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009098

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009098	Daniels, Nicholas Delbert	Notification Only	2543DOT-AH MS-Columbia	8/23/2018	8/23/2018	Mr. Daniels slept on his shoulder wrong and aggravated a prior injury.		0	Strain or Injury By - Repetitive Motion	Shoulder(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009060													
EV-2018-WC009060	Huley, Rose Marie	Notification Only	2545DOT-AM HS-Malaspin a	8/19/2018	8/19/2018	Ms. Huley states that she has mental anguish or stress that has caused back/shoulder pain for her.		0	Other than Physical Cause of Injury	No Physical Injury	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009058



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009058	Huley, Rose Marie	Notification Only	2545DOT-AM HS-Malaspina	8/17/2018	8/17/2018	Ms. Huley was checking rooms and Geri Lewis pushed the door a little and the door stopped and didn't work. The slide closed onto Ms. Huley's right forearm causing three of her fingers to go numb for a couple of minutes.		0	Strain or Injury By - Pushing or Pulling	Lower Arm	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009057



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC09057	Catron, Tyler Joseph	Notification Only	2543DOT-AH MS-Columbia	8/17/2018	8/17/2018	Mr. Catron was working in the store when a box fell o his toe.		0	Struck or Injured By - Object Being Lifted or Handled	Toe(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09051													
EV-2018-WC09051	Nye, Kevin Clay	Notification Only	2543DOT-AH MS-Columbia	8/15/2018	8/15/2018	Mr. Nye was walking by the pipe reaming tool in the machine shop and since it wasn't stowed away properly it stabbed him in the left thigh.		0	Cut, Puncture, or Scrape NOC	Thigh	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09050													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC09050	Morrell, Jennifer Rachel	Notification Only	2545DOT-AM HS-Malaspin a	8/15/2018	8/15/2018	Ms. Morrell tripped and stumbled on the bow in the dark causing her to incorrectly place weight on her left foot.		0	Fall, Slip, or Trip NOC	Multiple Lower Extremities	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09046													
EV-2018-WC09046	Posey, Clark Thomas	Notification Only	2543DOT-AH MS-Columbia	8/13/2018	8/14/2018	Mr. Posey was climbing down from the side step of a dump truck and hit the back of his hand on a higher step.		0	Cut, Puncture, or Scrape NOC	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC09033													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009033	Walker-horton, Keyonna Dominique	Notification Only	2545DOT-AM HS-Malaspina	8/13/2018	8/13/2018	Ms. Walker-Horton went to reach for the tray, turned her wrist to pick it up, and since the tray was heavy she dropped it when her wrist twisted.		0	Strain or Injury By - Twisting	Wrist	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009027

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009027	Greif, Curtis E	Notification Only	2545DOT-AM HS-Malaspin a	8/11/2018	8/11/2018	Mr. Greif has pain on left side of chest when taking a deep breath and he believes that it's probably due to loading stores on 08-10-2018.		0	Strain or Injury By - Repetitive Motion	Chest	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009025

# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009025	Hudson, Skylar Thomas	Notification Only	2543DOT-AH MS-Columbia	8/9/2018	8/9/2018	Mr. Hudson was walking out of the paper goods room in the storeroom with a big box of paper coffee cups and turned around to put it on the cart and tripped over the stand where they put potatoes. He caught himself but jarred and strained the right side o		0	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009018



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009018	Gray, Denise P	Notification Only	2545DOT-AM HS-Malaspin a	8/8/2018	8/8/2018	Ms. Gray was trying to help a coworker who had difficulty with the mooring line while they were docking and while trying to help run the line she sprained her lower back.		0	Strain or Injury By - Pushing or Pulling	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2018-WC009008													
EV-2018-WC009008	Atkinson, Matthew Daniel	Notification Only	2543DOT-AH MS-Columbia	8/7/2018	8/7/2018	Mr. Atkinson was petting a dog and injured his right index finger.		0	Struck or Injured By NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: EV-2018-WC009006

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC009006	Pedersen, Neal Ross	Notification Only	2548DOT-AM HS-Tustume na	8/7/2018	8/7/2018	Mr. Pedersen was moving laundry and pulled on heavy laundry bag spraining his left wrist.		0	Strain or Injury By - Lifting	Wrist	0	Open	
<b>Total by Claim Number 1 Claim</b> <b>Claim Number: EV-2018-WC008990</b>													
EV-2018-WC008990	Kelly, Kathryn Lambert	Notification Only	2548DOT-AM HS-Tustume na	8/1/2018	8/1/2018	Ms. Kelly had her hand on the door jam when the fire door shut and hit several of her fingers.		0	Caught In, Under, or Between NOC	Hand	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: EV-2018-WC008989**



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
EV-2018-WC008989	Boutte, Paul Louie	Notification Only	2543DOT-AH MS-Columbia	8/4/2018	8/4/2018	Mr. Boutte was trying to pick up the big pieces of glass from a tray of wine/beer that had fallen and got a shard of glass lodged in his middle finger.		0	Cut, Puncture, or Scrape - Broken Glass	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: EV-2012-WC011437													
EV-2012-WC011437	Baldwin, Donna Jean	Notification Only	2542DOT-AM HS-Kennicott	8/18/2012	8/18/2012	EE was carrying a case of chowder and dropped it on her right foot.		0	Struck or Injured By - Falling or Flying Object	Foot	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001826



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001826	Taua'i, Alexis K	Notification Only	2542DOT-AM HS-Kennicott	11/25/2022	11/25/2022	Oil was leaking from darit. IW applied diapers to soak up the oil but IW stepped on a bolt that went through shoe and punctured their right big toe		0	Striking Against or Stepping On - Stationary Object	Great Toe(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001799

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001799	COLLINS, KURT L	Notification Only	2546DOT-AM HS-Matanus ka	11/18/2022	11/21/2022	Pipe wrench slipped while tightening, IW was pulling while assistant was pushing with IW's arm between the wrench and a vertical surface.		0	Cut, Puncture, or Scrape - Hand Tool	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001798													
202210001798	ZAHNOW, JOSHUA W	Notification Only	2542DOT-AM HS-Kennicott	11/19/2022	11/21/2022	Jammed/smashed on fueling sign while moving out of doorway.		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001794													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001794	CARRILLO, SUMMIT P	Notification Only	2544DOT-AM HS-Le Conte	11/18/2022	11/18/2022	IW was making bed and was standing on a chair to do so but slipped and fell.		0	Fall, Slip, or Trip - Different Level	Hip	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001793													
202210001793	HILLARD, KEITH L	Notification Only	2546DOT-AM HS-Matanus ka	11/17/2022	11/18/2022	IW was walking and didnt see icy spot causing feet to come out from under them and falling on the right side, hitting hard		0	Fall, Slip, or Trip NOC	Neck - Multiple Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001774													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001774	CALDERON , NEIL R	Notification Only	2544DOT-AM HS-Le Conte	11/11/2022	11/18/2022	Slipped in the parking lot while pulling extra luggage cart connecting to the state truck.		0	Fall, Slip, or Trip - Ice or Snow	Multiple Trunk	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001772													
202210001772	VAN DIEST, AMY J	Notification Only	2542DOT-AM HS-Kennicott	11/10/2022	11/18/2022	Lifting small metal wheel chair ramp across water tight door. Dropped on right foot.		0	Cut, Puncture, or Scrape - Object Being Handled	Foot	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001771

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001771	NEWMAN, DARREN	Notification Only	2544DOT-AM HS-Le Conte	11/8/2022	11/17/2022	Maneuvering between emergency generator and wall. Stepped forward and knee hyper extended.		0	Strain Or Injury By NOC	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001765													
202210001765	SIMPSON, DAVID M	Notification Only	2546DOT-AM HS-Matanus ka	11/9/2022	11/16/2022	IW was working under a sink and a wrench hit them in the forehead		0	Cut, Puncture, or Scrape - Hand Tool	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001757													
202210001757	ROZIER, KATHRYN M	Notification Only	2542DOT-AM HS-Kennicott	11/6/2022	11/10/2022	PA struck out causing chemicals to get on IW right hand and forearm		0	Burn - Chemical	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001744													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001744	BOLSHAKOFF, GREGORY G	Notification Only	2542DOT-AM HS-Kennicott	11/6/2022	11/8/2022	Hit eye on handle of door jack		0	Struck or Injured By NOC	Eye(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001694													
202210001694	FRIERSON, ANTHONY B	Notification Only	2546DOT-AM HS-Matanuska	10/25/2022	10/28/2022	Have small metal balls on draw string of hoodie. It bounced up and hit IW in the eye cracking the lens of glasses.		0	Foreign Matter in Eye(s)	Eye(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001663													

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001663	MCGILTON, CHRISTINA A	Notification Only	2543DOT-AH MS-Columbia	10/18/2022	10/19/2022	IW was being shown how to disconnect a FD from Co2, while coworker climbed ladder their cloths caught on a shelve causing a paint can to fall onto IW.		0	Struck or Injured By - Falling or Flying Object	Lower Leg	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001660



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001660	OZENBAUGH, TANNER E	Notification Only	2542DOT-AM HS-Kennicott	10/15/2022	10/17/2022	IW dunked their hand in sanitizer sink that had the heater running unbeknownst to IW resulting in a burn.		0	Burn - Steam or Hot Fluids	Hand	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001649													
202210001649	MACE, WARD S	Notification Only	2542DOT-AM HS-Kennicott	10/10/2022	10/12/2022	Lower rear molar started aching during the night		0	Caught In, Under, or Between NOC	Teeth	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001648													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001648	PICKETT, ELIZABETH	Notification Only	2548DOT-AM HS-Tustumena	10/12/2022	10/12/2022	IW stepped and fell into the bilge. They tried to catch themselves but instead smashed hand on engine		0	Fall, Slip, or Trip - Into Openings	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001638													
202210001638	RUSO, TARA R	Notification Only	2546DOT-AM HS-Matanuska	10/9/2022	10/10/2022	Monkey fist was thrown and hit arm		0	Struck or Injured By - Falling or Flying Object	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001637													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001637	SNIDER, FRANK D	Notification Only	2542DOT-AM HS-Kennicott	10/3/2022	10/10/2022	Twisted wrong while moving heavy food box. Transferring stores/food from the Matanuska to the Kennicott.		0	Strain or Injury By - Holding or Carrying	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001618													
202210001618	NORE, IVER P	Medical Only	2548DOT-AM HS-Tustumena	10/1/2022	10/4/2022	Repetitive heavy year round outdoor and indoor work on steel vessel decks. lifting, kneeling, walking, pushing, and pulling		10,500	Strain or Injury By - Repetitive Motion	Knee	48	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001615



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001615	PERALTA, REYNOLD V	Notification Only	2546DOT-AM HS-Matanus ka	9/30/2022	10/3/2022	Shoulder and lower back due to heavy lifting.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001603													
202210001603	ROCKWELL, EARL REYNOLD I	Notification Only	2542DOT-AM HS-Kennicott	9/26/2022	9/28/2022	cleaning the purifier room on their knee and acid got caught in their pants		0	Burn - Chemical	Lower Leg	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001601													
202210001601	PATRICK, ANTHONY M	Notification Only	2542DOT-AM HS-Kennicott	9/26/2022	9/26/2022	lifting multiple linen bags at one time		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001600													
202210001600	ELY, STEWART	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	9/25/2022	9/28/2022	Tested positive for Covid 19		15,000	Pandemic	Body Systems and Multiple Body Systems	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: 202210001576</b>													
202210001576	RUIZ, JOHNNY R	Notification Only	2546DOT-AM HS-Matanus ka	9/23/2022	9/26/2022	IW was hit by a door of a cooler and IW was carrying a pan with food in it from the cooler. Door hit IW in the left side as IW was carrying a pan.		0	Striking Against or Stepping On NOC	Chest	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: 202210001573</b>													
202210001573	GRANT, MARCELLA A	Notification Only	2546DOT-AM HS-Matanus ka	9/22/2022	9/23/2022	Soar throat		0	Other, Miscellaneous NOC	Whole Body	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: 202210001561**

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001561	DEVENNY, RICHARD L	Medical Only	2542DOT-AM HS-Kennicott	9/21/2022	9/22/2022	Dog in cage was barking near IW, IW told dog to shut up and left to a storage room. when IW came back they told dog to shut up again and it bolted out of cage and attacked them		7,523	Struck or Injured By - Animal or Insect	Lower Arm	266	Open	
Total by Claim Number 1 Claim Claim Number: 202210001539													
202210001539	ROBERTS, DOUGLAS W	Medical Only	2546DOT-AM HS-Matanuska	9/15/2022	9/16/2022	TESTED POSTIVE FOR COVID		5,300	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001538													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001538	NEWMAN, DARREN	Notification Only	2544DOT-AM HS-Le Conte	9/12/2022	9/15/2022	descending engine room stairs knee twisted		0	Strain or Injury By - Twisting	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001537													
202210001537	HOWARD, CATHERINE D	Medical Only	2542DOT-AM HS-Kennicott	9/11/2022	9/14/2022	was cleaning a real on bed, boat shifted causing iw to slam my wrist into a ladder.		15,500	Strain or Injury By - Lifting	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001529													
202210001529	VERCESSI, JOHN P	Notification Only	2544DOT-AM HS-Le Conte	9/11/2022	9/15/2022	IW was backing up a car and slipped on yellow tie downs. Tweaked knee.		0	Strain or Injury By - Twisting	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001524



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001524	VERNON, AMBER J	Notification Only	2548DOT-AM HS-Tustume na	9/12/2022	9/14/2022	Tested positive for Covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001508													
202210001508	VANETTIN GER, PAUL C	Notification Only	2548DOT-AM HS-Tustume na	9/12/2022	9/12/2022	Tested positive for Covid19		0	Other, Miscellaneous NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001501													
202210001501	NYE, KEVIN C	Notification Only	2540DOT-AM HS-Aurora	9/10/2022	9/12/2022	while in life boat and returning to the ship the mv Aurora, the gear shft control failed to go into reverse gear and lifeboat crashed into ship causing me to get hurt.		0	Motor Vehicle - Crash of Water Vehicle	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001497



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/TPA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001497	VOLKMAN N, MATTHIAS	Notification Only	2540DOT-AM HS-Aurora	9/10/2022	9/12/2022	WHILE MOTONIERING BACK TO THE SHIP WITH THE LIFEBOARD THECONTR OL STICK WOULDN'T GO IN RESERS AND KEPT GOING FORWARD. I COULDN'T STOP THE BOAD IN TIME AND WE CRASHED INTO THE STERN OF MV AURORA		0	Motor Vehicle - Crash of Water Vehicle	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001485



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001485	PEREZ, NIMA P	Notification Only	2542DOT-AM HS-Kennicott	9/6/2022	9/9/2022	Picked up food from fryer sled from the plate splash oil onto forearm		0	Burn - Steam or Hot Fluids	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001470													
202210001470	GROCHOWSKI, STANISLAW	Notification Only	2540DOT-AM HS-Aurora	9/5/2022	9/5/2022	woke up and had a sore throat tested positive for COVID		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001466													
202210001466	MCALISTER, RYAN V	Notification Only	2544DOT-AM HS-Le Conte	9/2/2022	9/2/2022	While loading baggage cart truck contacted the hitch while moving		0	Caught In, Under, or Between - Machine or Machinery	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001461

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001461	MCGILTON, CHRISTINA A	Notification Only	2543DOT-AH MS-Columbia	9/2/2022	9/5/2022	cleaning shopvac for bearing to by changed out while lifting a tub and slipped out of hand, turned head and hit bracket that stabilizing fan. Bruised and lump on forehead.		0	Striking Against or Stepping On - Stationary Object	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001460													
202210001460	CHESHIRE, JOHN C	Lost Time/Indemnity	2546DOT-AM HS-Matanuska	9/2/2022	9/5/2022	was helping life some bags of cement and pulled something in the stomach		19,000	Strain or Injury By - Lifting	Abdomen including Groin	4,776	Open	
Total by Claim Number 1 Claim Claim Number: 202210001457													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001457	HENRIKSEN, CRAIG A	Medical Only	2543DOT-AH MS-Columbia	9/2/2022	9/6/2022	changed out propane tank on fork lift		7,000	Strain or Injury By - Lifting	Low Back Area	534	Open	
Total by Claim Number 1 Claim Claim Number: 202210001433													
202210001433	SOTO, NOEMI	Notification Only	2546DOT-AM HS-Matanuska	8/27/2022	8/28/2022	Helping the second steward move the dirty laundry, when we arrived at Juneau. Twisted my right knee		0	Strain or Injury By - Twisting	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001432													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001432	CARROLL, LISA A	Notification Only	2540DOT-AM HS-Aurora	8/27/2022	8/27/2022	advised second cook do not close the food court roll curtains as I was waiting on a customer. She then proceeded to close the food court roll curtain and it came down on my head. she then hurried back and opened it.		0	Struck or Injured By - Fellow Worker, Patient, or Other Person		0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001431													
202210001431	VAN DIEST, AMY J	Notification Only	2546DOT-AM HS-Matanus ka	8/26/2022	8/26/2022	checking rooms and hit my head on corner of closet/shelf		0	Striking Against or Stepping On - Stationary Object	Multiple Head Injury	0	Open	
Total by Claim Number 1 Claim													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
<b>Claim Number: 202210001429</b>													
202210001429	MATTILA, ELIZABETH D	Notification Only	2546DOT-AM HS-Matanus ka	8/24/2022	8/30/2022	IW was bitten when asleep and awake. Red circles, swelling, and breaks in skin appeared.		0	Struck or Injured By - Animal or Insect	Wrist(s) and Hand(s)	0	Open	
<b>Total by Claim Number 1 Claim</b>													
<b>Claim Number: 202210001426</b>													
202210001426	CARRILLO, SUMMIT P	Notification Only	2540DOT-AM HS-Aurora	8/28/2022	8/30/2022	when i put the cooked foods on the line steamer, i was accidentally hit the upper steamer and burn my left forearm		0	Burn - Hot Objects or Substances	Lower Arm	0	Open	

**Total by Claim Number 1 Claim**  
**Claim Number: 202210001402**

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001402	MAITA, FRANK J	Lost Time/Indemnity	2540DOT-AM HS-Aurora	8/24/2002	8/24/2022	IW fell from bunk.		35,000	Fall, Slip, or Trip NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001401													
202210001401	MORRELL, JENNIFER R	Notification Only	2546DOT-AM HS-Matanus ka	8/23/2022	8/24/2022	During routine work housing down outer decks, tweaked back at some point dragging hoses around		0	Strain or Injury By - Pushing or Pulling	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001390													
202210001390	DULAY, GRANT A	Notification Only	2546DOT-AM HS-Matanus ka	8/21/2022	8/22/2022	Over extension wrist, horizontal way pronation		0	Strain or Injury By - Twisting	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001389													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001389	WALLIN, ERIC A	Notification Only	2546DOT-AM HS-Matanuska	8/20/2022	8/22/2022	Pulling spring line, pain in groin, potential hernia.		0	Strain or Injury By - Pushing or Pulling	Abdomen including Groin	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001388													
202210001388	CASTRO, RENE	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	8/18/2022	8/22/2022	Door hit IW on the heel as he went to take a shower		22,000	Caught In, Under, or Between NOC	Foot	9,116	Open	
Total by Claim Number 1 Claim Claim Number: 202210001370													
202210001370	BODEN, ANNETTE M	Medical Only	2543DOT-AH MS-Columbia	8/9/2022	8/17/2022	Over time, stomach issues worsened, became unable to hold fluids, experience extreme fatigue		23,438	Cumulative, NOC	Multiple Body Parts	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001369



State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001369	INGALLS, KATHERINE C	Notification Only	2546DOT-AM HS-Matanus ka	8/16/2022	8/17/2022	IW was pulling a laundry cart with crew member trying to get past him. IW tried to get out of their way and left shoelace caught on the cart which ran over left foot. IW fell and -		0	Caught In, Under, or Between NOC	Multiple Lower Extremities	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001368



# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001368	VAN DIEST, AMY J	Notification Only	2546DOT-AM HS-Matanus ka	8/16/2022	8/17/2022	Pushing a very full laundry bag down the laundry Shute and fell straight down with the bag. Back hit the top, and upper arm scrapped the side on the way down.		0	Fall, Slip, or Trip - Into Openings	Multiple Trunk	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001365

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001365	QUIMPO, RICHARD R	Lost Time/Indemnity	2546DOT-AM HS-Matanuska	8/15/2022	8/16/2022	When picking up trash IW took the dumpster up a ramp but got stuck and ended up twisting their right ankle.		47,902	Strain or Injury By - Twisting	Foot	20,076	Open	
Total by Claim Number 1 Claim Claim Number: 202210001364													
202210001364	CLARKE, SHANTAL D	Notification Only	2542DOT-AM HS-Kennicott	8/15/2022	8/16/2022	Public exposure		0	Other, Miscellaneous NOC	Ear(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001363													
202210001363	WATTS, HUGH S	Notification Only	2546DOT-AM HS-Matanuska	8/13/2022	8/16/2022	Too much heavy lifting		0	Strain or Injury By - Repetitive Motion	Wrist(s) and Hand(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001360

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001360	BELL, DAVID M	Notification Only	2548DOT-AM HS-Tustumena	8/14/2022	8/15/2022	Re-aggravated prior back/ sciatic nerve injury while lifting floor jack to turn table		0	Strain or Injury By - Lifting	Multiple Trunk	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001344													
202210001344	MCMANUS, WANNAPORN	Notification Only	2546DOT-AM HS-Matanuska	8/10/2022	8/12/2022	Received bug bites while sleeping		0	Struck or Injured By - Animal or Insect	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001340													
202210001340	CELLARIUS, NELSON I	Notification Only	2540DOT-AM HS-Aurora	8/9/2022	8/11/2022	Right foot slipped on wet surface.		0	Slip or Trip, Did Not Fall	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001325													
202210001325	ESTES, KYLE D	Notification Only	2542DOT-AM HS-Kennicott	8/7/2022	8/9/2022	IW tested positive for covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001319													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001319	SNIDER, FRANK D	Notification Only	2542DOT-AM HS-Kennicott	8/5/2022	8/8/2022	IW tested positive for covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001318													
202210001318	DURON, MIGUEL E	Notification Only	2542DOT-AM HS-Kennicott	8/4/2022	8/8/2022	Bumped knee on angle iron, swelling. Angle iron on main engine near lube oil spin filter		0	Slip or Trip, Did Not Fall	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001308													
202210001308	ESTIGOY, JONATHAN C	Became Lost Time/Indemnity	2546DOT-AM HS-Matanuska	8/3/2022	8/4/2022	8/2/22 after work back was throbbing and pain radiated to neck, this AM 8/3/22 IW couldn't move to get out of bed alone.		30,138	Strain Or Injury By NOC	Neck - Soft Tissue	528	Open	

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim  
Claim Number: 202210001306

202210001306	BOTSFORD, LADONNA M	Notification Only	2546DOT-AM HS-Matanus ka	8/3/2022	8/4/2022	Grabbed mooring line as it was running out chock and hand smacked chock; excessive swelling began half an hour later.		0	Struck or Injured By NOC	Wrist	0	Open	
--------------	---------------------	-------------------	--------------------------	----------	----------	---	--	---	--------------------------	-------	---	------	--

Total by Claim Number 1 Claim  
Claim Number: 202210001305

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001305	ROARK, SARAH K	Notification Only	2544DOT-AM HS-Le Conte	8/3/2022	8/4/2022	While helping a passenger to his trailer and hook it up to his sprinter van, IW felt a strain in their right lower back pelvic muscle as well.		0	Strain or Injury By - Lifting	Multiple Trunk	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001303													
202210001303	PLATT, LARRY M	Notification Only	2544DOT-AM HS-Le Conte	7/31/2022	8/4/2022	Pulling line and tripped on bit.		0	Strain or Injury By - Pushing or Pulling	Shoulder(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001300													
202210001300	NIEUWSTAD, RICHARD W	Notification Only	2548DOT-AM HS-Tustumena	8/3/2022	8/3/2022	IW tested positive for covid		0	Cumulative, NOC	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001295													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001295	WRIGHT, SCOTT D	Notification Only	2548DOT-AM HS-Tustumena	8/2/2022	8/3/2022	IW tested positive for covid		0	Cumulative, NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001271													
202210001271	MAYER, JOHN W	Notification Only	2548DOT-AM HS-Tustumena	7/28/2022	7/28/2022	IW tested positive for covid		0	Cumulative, NOC	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001266													
202210001266	GRANBERG, LOREEN J	Notification Only	2542DOT-AM HS-Kennicott	7/27/2022	7/27/2022	Slipped on water on landing of stairwell going from sub-deck to top deck. Right arm hyperextended and IW landed on their right side.		0	Fall, Slip, or Trip - Liquid or Grease	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001257													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001257	LOUGHEAD , TOM	Notification Only	2546DOT-AM HS-Matanus ka	7/25/2022	7/26/2022	Have been on the vessel for ten weeks straight. Pain developed over time on here and is worst in the morning probably due to the prison mattresses IW is sleeping on.		0	Cumulative, NOC	Hip	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001256													
202210001256	LABOMBA RBE, DAVID J	Medical Only	2540DOT-AM HS-Aurora	7/25/2022	7/26/2022	Tripped on mat		28,150	Fall, Slip, or Trip NOC	Low Back Area	309	Open	
Total by Claim Number 1 Claim Claim Number: 202210001247													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001247	RIORDAN, DEBORAH K	Notification Only	2542DOT-AM HS-Kennicott	7/19/2022	7/25/2022	Tripped while getting into the elevator on the car deck.		0	Fall, Slip, or Trip NOC	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001246													
202210001246	STARSHIN E, CARESSA L	Notification Only	2544DOT-AM HS-Le Conte	7/20/2022	7/25/2022	IW was doing stores, Carrying a box into galley and scraped the skin off right index finger on a sharp metal box.		0	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001229

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001229	LUMBAB, ROLAND L	Lost Time/Indemnity	2546DOT-AM HS-Matanus ka	7/20/2022	7/21/2022	IW has been working for almost five weeks consistently on the grill and jabbed hand.		65,300	Strain or Injury By - Repetitive Motion	Wrist	22,101	Open	
Total by Claim Number 1 Claim Claim Number: 202210001228													
202210001228	PERALTA, REYNOLD V	Notification Only	2546DOT-AM HS-Matanus ka	7/20/2022	7/21/2022	IW Tested positive for covid-19		0	Pandemic	Neck - Soft Tissue	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001227													
202210001227	VERCESSI, JOHN P	Notification Only	2544DOT-AM HS-Le Conte	7/17/2022	7/21/2022	The tong on the cart swung out and knocked IW in the left knee		0	Caught In, Under, or Between NOC	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001178

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001178	KARLING, EMELIE B	Notification Only	2542DOT-AM HS-Kennicott	7/10/2022	7/12/2022	Contracted covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001175													
202210001175	MOGEL, KRISTINA L	Notification Only	2544DOT-AM HS-Le Conte	7/8/2022	7/8/2022	IW caught Covid at work		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001174													
202210001174	ISENHOUR, AARON M	Lost Time/Indemnity	2548DOT-AM HS-Tustumena	7/9/2022	7/11/2022	picking up box and moving it , experienced pain in cervical / spinal column		60,000	Strain or Injury By - Lifting	Vertebrae	32,192	Open	
Total by Claim Number 1 Claim Claim Number: 202210001173													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001173	BUTTRAM, JENNEY H	Notification Only	2544DOT-AM HS-Le Conte	7/8/2022	7/11/2022	IW tested positive for covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001172													
202210001172	LAURENT, JIM	Notification Only	2544DOT-AM HS-Le Conte	7/8/2022	7/11/2022	IW was infected with a virus		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001171													
202210001171	HOWARD, CATHERINE D	Notification Only	2542DOT-AM HS-Kennicott	7/7/2022	7/9/2022	Overnight onset of symptoms covid 19		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001170													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001170	SANDS, FRANK J	Notification Only	2542DOT-AM HS-Kennicott	7/7/2022	7/9/2022	Overnight onset Covid 19		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001168													
202210001168	AMMETER, ROBERT R	Notification Only	2542DOT-AM HS-Kennicott	7/8/2022	7/9/2022	IW was removing the drain plug from the star board main engine turbo and the socket came off the extension, and the tension hit the IW in the head		0	Cut, Puncture, or Scrape - Hand Tool	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001167													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001167	SCHWARTZ, TRISHA P	Notification Only	2542DOT-AM HS-Kennicott	7/8/2022	7/9/2022	Overnight onset of symptoms covid 19		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001166													
202210001166	DIXON, BRUCE D	Notification Only	2542DOT-AM HS-Kennicott	7/7/2022	7/7/2022	Covid 19		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001159													
202210001159	AUSTIN, EDWARD D	Notification Only	2544DOT-AM HS-Le Conte	7/5/2022	7/8/2022	IW was on a ferry and was near someone who had covid-19		0	Other, Miscellaneous NOC	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001157													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001157	TRAPP, JAMIE E	Notification Only	2546DOT-AM HS-Matanuska	7/6/2022	7/7/2022	Stood up and hit head on bookshelf.		0	Striking Against or Stepping On - Stationary Object	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001150													
202210001150	KERBER, PAUL	Notification Only	2544DOT-AM HS-Le Conte	6/29/2022	7/6/2022	Descending stairwell to outside unloading, IW sprained their left foot and toes.		0	Striking Against or Stepping On NOC	Foot	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001141													
202210001141	AFOLABI, ALU O	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	6/29/2022	7/5/2022	Walking out the gallery door on top the boat deck and slip upon stepping on the deck.		12,000	Slip or Trip, Did Not Fall	Knee	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001140



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001140	TORKELSON, LISA M	Became Lost Time/Injury	2542DOT-AM HS-Kennicott	7/2/2022	7/5/2022	IW had felt an increasing numbness in her hands/ fingers. Her hands swelled and would go numb often in the morning or when she would sleep		17,601	Strain or Injury By - Repetitive Motion	Hand	6,340	Open	
Total by Claim Number 1 Claim Claim Number: 202210001135													
202210001135	RUSSO, TARA R	Notification Only	2544DOT-AM HS-Le Conte	6/21/2022	6/30/2022	Twisted ankle going down stairs right ankle		0	Slip or Trip, Did Not Fall	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001132													
202210001132	SEVERNS, MATTHEW W	Notification Only	2542DOT-AM HS-Kennicott	6/27/2022	7/1/2022	cut finger tip on pump impeller		0	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001131													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001131	HERNANDEZ, ARMANDO	Notification Only	2542DOT-AM HS-Kennicott	6/27/2022	7/1/2022	Covid positive rapid test		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001130													
202210001130	GARCIA, RICHARD J	Notification Only	2542DOT-AM HS-Kennicott	6/26/2022	7/1/2022	ship rocked and elbow caught corner of door frame		0	Caught In, Under, or Between NOC	Elbow	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001129													
202210001129	TORKELSON, LISA M	Medical Only	2542DOT-AM HS-Kennicott	6/29/2022	7/1/2022	IW may have hyper extended their elbow lifting and moving laundry bag with a straight arm		9,000	Caught In, Under, or Between NOC	Elbow	2,829	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001128

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001128	FOSMORE, LACY A	Notification Only	2542DOT-AM HS-Kennicott	6/26/2022	7/1/2022	Severe soar throat, coughing, weakness, fatigue. Covid -19 symptoms		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001126													
202210001126	JOHNSON, BLAKE C	Notification Only	2542DOT-AM HS-Kennicott	6/30/2022	7/1/2022	Sudden onset, sore throat, bad headache, shortness of breath, chronic fatigue, Tachycardia ( Covid - 19)		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001110													
202210001110	WINTER, DEMETRIUS A	Notification Only	2546DOT-AM HS-Matanuska	6/27/2022	6/28/2022	Laying in bed that is old.		0	Strain Or Injury By NOC	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001106

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001106	QUIMPO, RICHARD R	Notification Only	2546DOT-AM HS-Matanuska	6/24/2022	6/27/2022	When tying up in sitka, IW overextended their pinky finger to the point it became hard to move		21,300	Caught In, Under, or Between NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001104													
202210001104	SVENSON, BARBIE L	Notification Only	2542DOT-AM HS-Kennicott	6/22/2022	6/25/2022	covid 19 pandemic symptoms appeared		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001103													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001103	GILBERT, CHRISTOPHER P	Notification Only	2544DOT-AM HS-Le Conte	6/22/2022	6/27/2022	IW was working on a plugged vent pipe in the overhead M.S.D. space. IW was standing on the internal ribbon of hull and lost footing and landed off center, rolling his ankle and straining an old shoulder injury		0	Fall, Slip, or Trip - Different Level	Upper Arm Including Clavicle & Scapula	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001093

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001093	CARRILLO, SUMMIT P	Notification Only	2544DOT-AM HS-Le Conte	6/20/2022	6/22/2022	When the iw was taking out the meatballs they accidentally hit the left door of the oven that caused a burn on their leff arm		0	Burn - Fire or Flame	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001091													
202210001091	LEEGARD, CONAN E	Medical Only	2544DOT-AM HS-Le Conte	6/22/2022	6/22/2022	Crew mess dinner had 10 min to eat before watch was scarfing food down and food is stuck in airway.		29,933	Other, Miscellaneous NOC	Neck - Soft Tissue	5,170	Open	
Total by Claim Number 1 Claim Claim Number: 202210001074													

State of Alaska

Claim AdHoc  
DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001074	FOSTER, IAN C	Notification Only	2543DOT-AH MS-Columbia	6/21/2022	6/21/2022	tested positive covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001073													
202210001073	NYE, MEAGAN E	Notification Only	2543DOT-AH MS-Columbia	6/21/2022	6/21/2022	tested positive for Covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001070													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001070	GILYARD, PAUL A	Notification Only	2546DOT-AM HS-Matanus ka	6/16/2022	6/20/2022	Someone stole clothing out of laundry room. IW became progressively more angry as no one came forth. all the smiling started to affect IWs face.		0	Other than Physical Cause of Injury	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001067													
202210001067	ST CLAIR, JON G	Notification Only	2546DOT-AM HS-Matanus ka	6/17/2022	6/17/2022	I caught covid in fairhaven wash		0	Pandemic	Whole Body	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210001050



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210001050	HENRIKSEN, CRAIG A	Notification Only	2543DOT-AH MS-Columbia	6/3/2022	6/15/2022	IW had to move a manlift on the dry dock and slipped and pulled my shoulder		0	Strain or Injury By - Pushing or Pulling	Neck - Multiple Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001042													
202210001042	LABOMBAR, DAVID J	Notification Only	2540DOT-AM HS-Aurora	6/14/2022	6/14/2022	Covid		0	Other, Miscellaneous NOC	Insufficient Info to Properly Indentify - Unclassified	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001030													
202210001030	OPRISORU, COSTICA	Notification Only	2542DOT-AM HS-Kennicott	6/11/2022	6/11/2022	working on the car deck in the presence of motor vehicle emitting exhausting fumes in a confined space.		0	Absorption, Ingestion, or Inhalation NOC	Larynx	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
Total by Claim Number 1 Claim Claim Number: 202210001026													
202210001026	MENZEL, RENATE M	Notification Only	2542DOT-AM HS-Kennicott	6/9/2022	6/13/2022	Working onboard Kennicott caught covid symptoms		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210001024													
202210001024	AMADOR, VALERIE	Notification Only	2546DOT-AM HS-Matanuska	6/9/2022	6/13/2022	IW was spraying super clean while the ventilation blew the chemicals into the inside corner of IW's eye		0	Burn - Chemical	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000948

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000948	VAN DIEST, AMY J	Notification Only	2546DOT-AM HS-Matanus ka	5/21/2022	5/24/2022	IW was carrying two bags of sheets on laundry day. Foot caught on a raised part of the floor and IW's ankle twisted.		0	Slip or Trip, Did Not Fall	Ankle	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000935													
202210000935	SAUSED, MARIANA T	Medical Only	2546DOT-AM HS-Matanus ka	5/18/2022	5/18/2022	Ate dinner, after felt sharp pain in upper stomach.		7,500	Absorption, Ingestion, or Inhalation NOC	Abdomen including Groin	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000932													
202210000932	SMITH, DEDRICK E	Notification Only	2544DOT-AM HS-Le Conte	5/20/2022	5/20/2022	Tested at purser office on 5/20/22		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000917													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000917	ROARK, SARAH K	Notification Only	2544DOT-AM HS-Le Conte	5/16/2022	5/17/2022	While at work IW tested positive for covid-19 after working with a sore throat, runny nose, and body aches		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000916													
202210000916	KRZESNI, JOSEPH M	Notification Only	2544DOT-AM HS-Le Conte	5/16/2022	5/16/2022	Unknown how covid was contracted		0	Pandemic	Whole Body	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000903

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000903	GREGORAT OS, KATHERINE M	Became Lost Time/Indemnity	2546DOT-AM HS-Matanus	5/1/2022	5/12/2022	IW was working in close proximity with coworker who had covid causing IW to test positive for Covid as well		22,000	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000900													
202210000900	FORST, ZACHARY A	Notification Only	2554DOT-AM HS-Tazlina	5/12/2022	5/13/2022	IW tested positive for Covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000890													
202210000890	Mahony, Patrick	Notification Only	2554DOT-AM HS-Tazlina	5/8/2022	5/9/2022	IW tested positive for Covid		0	Pandemic	Whole Body	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000878

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000878	CORONEL, ROWIE J	Notification Only	2546DOT-AM HS-Matanus ka	5/8/2022	5/9/2022	IW was burned by hot bacon grease when they were taking it out of the oven		0	Burn - Hot Objects or Substances	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000860													
202210000860	TRANSKI, PAUL A	Notification Only	2546DOT-AM HS-Matanus ka	5/2/2022	5/2/2022	IW tested positive for Covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000832													
202210000832	CORONEL, ROWIE J	Notification Only	2546DOT-AM HS-Matanus ka	5/1/2022	5/2/2022	IW contracted Covid via proximity of co-workers		0	Pandemic	Insufficient Info to Properly Identify - Unclassified	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000831

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000831	LUNDBERG, CAROLINE	Notification Only	2546DOT-AM HS-Matanus ka	5/1/2022	5/2/2022	IW contracted covid from a fellow crew member		0	Pandemic	Insufficient Info to Properly Identify - Unclassified	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000830													
202210000830	CARRILLO, SUMMIT P	Notification Only	2546DOT-AM HS-Matanus ka	5/1/2022	5/1/2022	IW was hit by walk in door		0	Caught In, Under, or Between - Object Handled	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000829													
202210000829	HUGO, NATHANIEL N	Notification Only	2546DOT-AM HS-Matanus ka	4/29/2022	4/29/2022	severe pain in the gall bladder		0	Other, Miscellaneous NOC	Internal Organs	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000822													
202210000822	HOUGH, CAROL L	Notification Only	2544DOT-AM HS-Le Conte	4/28/2022	4/28/2022	Covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000819													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000819	KEGEL, ERIK	Notification Only	2540DOT-AM HS-Aurora	4/27/2022	4/27/2022	IW was using a pry bar but it slipped causing them to fall backward hitting their left shoulder blade area		0	Fall, Slip, or Trip NOC	Upper Back Area (Thoracic)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000812													
202210000812	LAGEN, NICOLAS C	Notification Only	2546DOT-AM HS-Matanus ka	4/25/2022	4/26/2022	Steam burn during fan room maintenance		0	Burn - Hot Objects or Substances	Lower Arm	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000800													
202210000800	SVENSON, BARBIE L	Notification Only	2546DOT-AM HS-Matanus ka	4/25/2022	4/25/2022	IW got their finger stuck in a store room door latch		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000798



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000798	WALLIN, ERIC A	Notification Only	2546DOT-AM HS-Matanus ka	4/25/2022	4/25/2022	IW tested positive for covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000795													
202210000795	VAN DIEST, AMY J	Notification Only	2546DOT-AM HS-Matanus ka	4/22/2022	4/23/2022	IW was cleaning with chemicals and was wearing proper gear but has developed a rash on the arms, neck and face		0	Burn - Chemical	Multiple Upper Extremities	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000794													
202210000794	HALES, EVAN C	Notification Only	2546DOT-AM HS-Matanus ka	4/22/2022	4/22/2022	IW tested positive for covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000782													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000782	SOTO, RAFAEL	Notification Only	2546DOT-AM HS-Matanus ka	4/20/2022	4/20/2022	IW has become stressed due to circumstances with work and their supervisor.		0	Other than Physical Cause of Injury	No Physical Injury	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000764													
202210000764	KOVERDAN, MARK G	Medical Only	2546DOT-AM HS-Matanus ka	4/8/2022	4/8/2022	Exposure to COIVD-19		9,500	Pandemic	Whole Body	0	Reopened	
Total by Claim Number 1 Claim Claim Number: 202210000748													
202210000748	TRAN, NGHIEM T	Notification Only	2546DOT-AM HS-Matanus ka	3/30/2022	4/1/2022	The lower back area has been in pain continually while carrying supplies		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000746													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000746	LEE, JOHN B	Notification Only	2546DOT-AM HS-Matanus ka	4/15/2022	4/15/2022	IW fell ill and tested positive for Covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000739													
202210000739	OZENBAUGH, TANNER E	Notification Only	2546DOT-AM HS-Matanus ka	4/13/2022	4/14/2022	IW was lifting a box of meat and their foot got stuck and ended up crushing their hand trying to catch himself		0	Cut, Puncture, or Scrape - Object Being Handled	Wrist	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000738													
202210000738	NIX, DAVID M	Notification Only	2542DOT-AM HS-Kennicott	4/13/2022	4/13/2022	Cold like symptoms		0	Pandemic	Insufficient Info to Properly Identify - Unclassified	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000730

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000730	BELL, DAVID M	Notification Only	2540DOT-AM HS-Aurora	4/11/2022	4/11/2022	A mooring line was dropped too soon and was running out causing the IW to jump on it to stop it		0	Fall, Slip, or Trip NOC	Knee	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000720													
202210000720	TURNER, THOMAS A	Notification Only	2546DOT-AM HS-Matanuska	4/10/2022	4/10/2022	IW contracted a virus via close proximity to a passenger or coworker.		0	Cumulative, NOC	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000689													
202210000689	HOWELL, DANIEL K	Became Lost Time/Indemnity	2543DOT-AH MS-Columbi	4/6/2022	4/6/2022	IW twisted left knee going down the stairs		47,756	Fall, Slip, or Trip - On Stairs	Knee	9,011	Open	
Total by Claim Number 1 Claim Claim Number: 202210000678													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000678	CORONEL, ROWIE J	Notification Only	2546DOT-AM HS-Matanus ka	3/30/2022	4/1/2022	IW was lifting dirty laundry and causing pain in the lower back and left hip		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000677													
202210000677	SAUSEDON, MARIANA T	Notification Only	2546DOT-AM HS-Matanus ka	3/31/2022	3/31/2022	IW ran into a coworker who was carrying light bulbs that where dropped and and shattering getting into IW's eyes and mouth		0	Cut, Puncture, or Scrape - Broken Glass	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000636

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000636	WELLS, TRAVIS N	Notification Only	2542DOT-AM HS-Kennicott	3/23/2022	3/23/2022	IW was maneuvering a life boat from inside the dry dock when something impacted his head twice while he had safety hat on		0	Hit Over Head-On	Skull	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000625													
202210000625	HINDS, CHRISTOPHER	Notification Only	2542DOT-AM HS-Kennicott	3/22/2022	3/22/2022	IW's finger got caught in a door		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000614													
202210000614	CASTRO, RENE	Notification Only	2546DOT-AM HS-Matanuska	3/15/2022	3/20/2022	IW found a bump on his back and believes it could be a bug bite		0	Struck or Injured By - Animal or Insect	Low Back Area	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000603

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000603	HUGO, NATHANIEL N	Notification Only	2546DOT-AM HS-Matanus ka	3/17/2022	3/17/2022	IW caught themselves while falling		0	Slip or Trip, Did Not Fall	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000484													
202210000484	MACE, WARD S	Became Lost Time/Indemnity	2542DOT-AM HS-Kennicott	2/24/2022	2/24/2022	Closed door against thumb		7,803	Strain Or Injury By NOC	Thumb	2,303	Reopened	
Total by Claim Number 1 Claim Claim Number: 202210000472													
202210000472	AFOLABI, ALU O	Notification Only	2542DOT-AM HS-Kennicott	2/22/2022	2/22/2022	Working and came down with COVID like symptoms.		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000462													
202210000462	HUDSON, SKYLAR	Notification Only	2546DOT-AM HS-Matanus ka	2/20/2022	2/21/2022	IW has had increasing pain in the lower back to the right hip from 2/15/22 with no signs of it going away.		0	Strain or Injury By - Repetitive Motion	Low Back Area	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim

Claim Number: 202210000461

202210000461	GRAHAM, ZACHARY E	Notification Only	2554DOT-AM HS-Tazlina	2/20/2022	2/20/2022	IW was pulling in a mooring line when they pulled left shoulder, back ,and knee		0	Strain or Injury By - Pushing or Pulling	Multiple Body Parts	0	Open	
--------------	-------------------	-------------------	-----------------------	-----------	-----------	---	--	---	--	---------------------	---	------	--

Total by Claim Number 1 Claim

Claim Number: 202210000458

202210000458	LOUGHEAD , TOM	Notification Only	2546DOT-AM HS-Matanus ka	2/17/2022	2/17/2022	IW ran into a ventilation unit		0	Striking Against or Stepping On NOC	Skull	0	Open	
--------------	----------------	-------------------	--------------------------	-----------	-----------	--------------------------------	--	---	-------------------------------------	-------	---	------	--

Total by Claim Number 1 Claim

Claim Number: 202210000448

202210000448	RUDHOLM, PAUL E	Notification Only	2542DOT-AM HS-Kennicott	2/15/2022	2/16/2022	IW contracted covid-19		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
--------------	-----------------	-------------------	-------------------------	-----------	-----------	------------------------	--	---	----------	--	---	------	--

Total by Claim Number 1 Claim

Claim Number: 202210000437



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000437	COOK, ERIK M	Notification Only	2546DOT-AM HS-Matanuska	2/12/2022	2/13/2022	IW was taking zinc off of a water cooler jacket and when pulling on the wrench the IW was in the wrong position hurting his back.		0	Strain or Injury By - Lifting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000419													
202210000419	CARROLL, LISA A	Notification Only	2543DOT-AH MS-Columbia	2/9/2022	2/9/2022	IW was wiping a walker down and cut herself		0	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000407

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000407	ALLPHIN, BRANDON T	Notification Only	2542DOT-AM HS-Kennicott	2/8/2022	2/8/2022	Covid symptoms while working on ship		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000397													
202210000397	ALLEN, CRAIG M	Notification Only	2546DOT-AM HS-Matanus ka	2/7/2022	2/7/2022	IW was throwing a heavy line when he felt a sudden sharp pain in the right shoulder that hasn't gone away		0	Strain or Injury By - Welding or Throwing	Shoulder(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000388													
202210000388	LUMBAB, ROLAND L	Notification Only	2546DOT-AM HS-Matanus ka	2/4/2022	2/5/2022	A sliding door hit the IW right middle finger		0	Caught In, Under, or Between NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000386													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000386	KOCHERT, WILLIAM T	Medical Only	2546DOT-AM HS-Matanus ka	2/2/2022	2/4/2022	IW was carrying equipment down stairs and bumped a railing cutting the left pinky finger		7,500	Cut, Puncture, or Scrape NOC	Finger(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000382													
202210000382	MISKOWIA K, RYAN J	Notification Only	2543DOT-AH MS-Columbia	1/24/2022	1/24/2022	Tested positive for COVID. Attended BST class at UAS. Currently being housed at MV Columbia.		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000381													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000381	RODRIGUE Z, PATRICK F	Notification Only	2543DOT-AH MS-Columbia	1/21/2022	1/27/2022	IW tested positive for Covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000379													
202210000379	HOWELL, DANIEL K	Notification Only	2543DOT-AH MS-Columbia	1/24/2022	1/24/2022	Tested positive for COVID. Worked on Kennicott until 01/21/22, living aboard M/V Columbia.		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000376													
202210000376	ACUNA, JUAN P	Notification Only	2544DOT-AM HS-Le Conte	1/13/2022	1/26/2022	IW tested positive for covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000375													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000375	LANDINGH AM, LORAN L	Notification Only	2544DOT-AM HS-Le Conte	1/13/2022	1/26/2022	IW tested positive for covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000372													
202210000372	AUSTIN, EDWARD D	Notification Only	2544DOT-AM HS-Le Conte	1/13/2022	1/26/2022	IW tested positive for Covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000370													
202210000370	SHEAKLEY, WARREN S	Notification Only	2544DOT-AM HS-Le Conte	1/13/2022	1/26/2022	IW tested positive for Covid while on the vessel		0	Misc.	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000366													
202210000366	BECK, JACOB P	Notification Only	2542DOT-AM HS-Kennicott	1/26/2022	1/26/2022	Working tested positive for COVID		0	Pandemic	Whole Body	0	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
Total by Claim Number 1 Claim Claim Number: 202210000354													
202210000354	HOWELL, ROLLAND C	Notification Only	2543DOT-AH MS-Columbia	1/30/2022	2/2/2022	IW tested positive for Covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000352													
202210000352	RHODES, DANIEL J	Notification Only	2543DOT-AH MS-Columbia	1/30/2022	2/2/2022	IW was in close contact to co-worker with covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000349													
202210000349	WEIMER, CARL G	Notification Only	2542DOT-AM HS-Kennicott	2/2/2022	2/2/2022	Covid-19		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000348													
202210000348	ARMSTRONG, JEFFREY D	Notification Only	2542DOT-AM HS-Kennicott	1/31/2022	2/2/2022	Covid-19		0	Pandemic	Whole Body	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202210000336



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000336	HERNANDEZ, ARMANDO	Became Lost Time/Indemnity	2546DOT-AM HS-Matanuska	1/27/2022	1/27/2022	IW was lifting a metal sheet when he injured his back		42,657	Strain or Injury By - Lifting	Low Back Area	19,338	Open	
Total by Claim Number 1 Claim Claim Number: 202210000334													
202210000334	HILLARD, KEITH L	Notification Only	2546DOT-AM HS-Matanuska	1/27/2022	1/27/2022	IW tested positive for covid		0	Pandemic	Whole Body	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000311													
202210000311	COLLINS, KOYEN L	Notification Only	2542DOT-AM HS-Kennicott	1/18/2022	1/26/2022	IW tested positive for Covid		0	Misc.	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000310													
202210000310	CLARKE, SHANTAL D	Notification Only	2542DOT-AM HS-Kennicott	1/17/2022	1/17/2022	Working tested positive for COVID		0	Pandemic	Multiple Body Parts	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000302													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202210000302	AMMETER, ROBERT R	Notification Only	2542DOT-AM HS-Kennicott	1/25/2022	1/25/2022	working in close proximity to a co-worker who had covid		0	Pandemic	Body Systems and Multiple Body Systems	0	Open	
Total by Claim Number 1 Claim Claim Number: 202210000284													
202210000284	MCGILTON, CHRISTINA A	Notification Only	2543DOT-AH MS-Columbia	1/13/2022	1/17/2022	IW's eyes were irritated after work and soon began to become worse		0	Chem. Smoke, Fumes, Paint, Oil	Eye(s)	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202110000193



# State of Alaska

## Claim AdHoc DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/TPA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202110000193	GRANBERG, LOREEN J	Notification Only	2542DOT-AMHS-Kennicott	12/28/2021	12/30/2021	IW was going into a walking refrigerator when they hit their right arm and shoulder and later became increasingly unconfutable		0	Striking Object	Elbow	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202110000171

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202110000171	MCCUTCHEON, JONATHAN H	Notification Only	2546DOT-AM HS-Matanuska	12/26/2021	12/27/2021	IW was loosening some fittings in the Engine room using a pipe wrench, when he leaned over and heard cracking and felt pain in his ribs.		0	Cut, Puncture, or Scrape - Hand Tool	Chest	0	Open	
Total by Claim Number 1 Claim Claim Number: 202110000164													
202110000164	PEDERSEN, MARK A	Became Lost Time/Incident	2542DOT-AM HS-Kennicott	12/21/2021	12/23/2021	While working on the ship IW missed a step causing pain in the lower back		96,500	Slip or Trip, Did Not Fall	Low Back Area	74,115	Open	
Total by Claim Number 1 Claim Claim Number: 202110000163													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202110000163	QUICK, ROBERT J	Notification Only	2542DOT-AM HS-Kennicott	12/19/2021	12/23/2021	IW was performing dish duty and developed a rash over time due to the soap and hot water		0	Cooking/Kitchen		0	Open	
Total by Claim Number 1 Claim Claim Number: 202110000137													
202110000137	CASTRO, RENE	Notification Only	2542DOT-AM HS-Kennicott	12/7/2021	12/7/2021	Hit baseboard		0	Striking Object	Toe(s)	0	Open	
Total by Claim Number 1 Claim Claim Number: 202110000125													
202110000125	Vercessi, John	Notification Only	2544DOT-AM HS-Le Conte	12/8/2021	12/8/2021	Going down aft stairwell, slipped on steps, fell on butt, slipped rest of way down		0	Fall, Slip, or Trip - On Stairs	Buttocks	0	Open	

Total by Claim Number 1 Claim  
Claim Number: 202110000124



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202110000124	HOWELL, ROLLAND C	Notification Only	2543DOT-AH MS-Columbia	12/10/2021	12/10/2021	Stood up, twisted to the right		0	Strain or Injury By - Twisting	Low Back Area	0	Open	
Total by Claim Number 1 Claim Claim Number: 2021-WC013968													
2021-WC013968	QUEEN, MICHAEL	Became Lost Time/Indemnity	2548DOT-AM HS-Tustumena	10/30/2021	10/30/2021	IW's right shoulder has been increasingly more swollen and irritable to the point IW has loss range of motion with it.		65,620	Strain Or Injury By NOC	Upper Arm Including Clavicle & Scapula	47,742	Open	
Total by Claim Number 1 Claim Claim Number: 2021-WC010676													
2021-WC010676	OWENS, ROLAND L	Became Lost Time/Indemnity	2540DOT-AM HS-Aurora	9/1/2021	9/1/2021	IW was lifting a heavy case up stairs when his leg gave out and he fell on the stairs.		94,025	Cut, Puncture, or Scrape - Object Being Handled	Knee	41,240	Open	

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
--------------	---------------	---------------	------------	-----------	-------------------------------	-------------------	------------	----------------	-------	-----------	------------	--------	----------------

Total by Claim Number 1 Claim

Claim Number: 2021-WC010661

2021-WC010661	Marsh, Christopher J	Lost Time/Injury	2549DOT-AM HS-Shore side	11/8/2021	11/8/2021	While line handling the bow line got snagged between the corner cuts in the bullrail, while attempting to free line, it popped up and caught IW in the wrist.		45,826	Struck or Injured By - Object Being Lifted or Handled	Wrist	14,156	Open	
---------------	----------------------	------------------	--------------------------	-----------	-----------	---	--	--------	---	-------	--------	------	--

Total by Claim Number 1 Claim

Claim Number: 2021-WC010643

2021-WC010643	Joyce, Keenan R	Medical Only	2543DOT-AH MS-Columbia	10/17/2021	10/17/2021	Tripped down a stair well		9,785	Fall, Slip, or Trip - Ladder or Scaffolding	Lower Arm	3,811	Reopened	
---------------	-----------------	--------------	------------------------	------------	------------	---------------------------	--	-------	---	-----------	-------	----------	--

Total by Claim Number 1 Claim

Claim Number: 2021-WC010623

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/TPA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2021-WC010623	WYNE, STACIE A	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	10/12/2021	10/13/2021	Pulling line with coworker and knee cap slipped out of place causing great pain.		114,150	Lifting/Handling Objects/People	Knee	48,032	Open	
Total by Claim Number 1 Claim Claim Number: 2021-WC010615													
2021-WC010615	HOWARD, SETH	Became Lost Time/Indemnity	2543DOT-AH MS-Columbia	10/4/2021	10/4/2021	IW was heaving in a tug line and left foot slipped causing IW to fall.		85,000	Fall, Slip, or Trip NOC	Low Back Area	66,426	Open	
Total by Claim Number 1 Claim Claim Number: 2021-WC010564													
2021-WC010564	Wardell, Matthew J	Became Lost Time/Indemnity	2540DOT-AM HS-Aurora	7/29/2021	7/29/2021	Vehicle backed into IW		49,960	Motor Vehicle NOC	Knee	1,699	Open	
Total by Claim Number 1 Claim Claim Number: 2021-WC010231													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2021-WC010231	WOLF, KIRUNAYA	Lost Time/Indemnity	2544DOT-AM HS-Le Conte	5/16/2021	5/19/2021	EE was repetitively scrubbing and lifting heavy pots, pans, and trash bags and injured her right wrist.		80,544	Strain or Injury By - Holding or Carrying	Wrist	33,640	Open	84
Total by Claim Number 1 Claim Claim Number: 2021-WC010122													
2021-WC010122	Nye, Kevin C	Lost Time/Indemnity	2543DOT-AH MS-Columbia	3/31/2021	4/2/2021	EE's right quadricep started feeling numb. He was working on the Tazlina and staying aboard the Columbia.		69,886	Other, Miscellaneous NOC	Multiple Lower Extremities	27,441	Reopened	67
Total by Claim Number 1 Claim Claim Number: 202000164-1													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
202000164-1	Marciniak, Raymond	Lost Time/Indemnity	2549DOT-AM HS-Shore side	7/13/1999	7/29/1999	HIT WITH CHAIN BINDER / LT LEG NERVE INJURY		1,425,026	Struck or Injured By - Object Being Lifted or Handled	Lower Leg	1,017,487	Open	
Total by Claim Number 1 Claim Claim Number: 2019-WC008353													
2019-WC008353	MAITA, FRANK J	Lost Time/Indemnity	2540DOT-AM HS-Aurora	3/17/2019	3/18/2019	Slipped while putting lines away on the bow deck which was wet and slippery. He injured his back and legs.		300,000	Fall, Slip, or Trip - On Same Level	Multiple Body Parts	243,885	Open	671
Total by Claim Number 1 Claim Claim Number: 2019-WC008008													
2019-WC008008	NATHAN, ROBERT S	Lost Time/Indemnity	2550DOT-AM HS-Lituya	1/27/2019	1/27/2019	Slipped on the vehicle ramp apron and twisted his right knee.		137,324	Fall, Slip, or Trip NOC	Knee	78,855	Open	50
Total by Claim Number 1 Claim Claim Number: 2018-WC009918													



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2018-WC009918	MAITA, FRANK J	Became Lost Time/Indemnity	2540DOT-AM HS-Aurora	12/20/2018	12/20/2018	Mr. Maita was on the bow of the boat and it was icy. While he was trying to toss the line to dock the boat he fell onto the steel deck.		80,000	Fall, Slip, or Trip - Ice or Snow	Multiple Body Parts	49,502	Open	

Total by Claim Number 1 Claim  
Claim Number: 2015-WC004056

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2015-WC004056	WING, PETER C	Lost Time/Indemnity	2549DOT-AM HS-Shore side	12/14/2015	12/21/2015	While releasing stern mooring line off of mooring dolphin and pulling line off the mooring required extreme pulling due to low tide conditions and wet line. While pulling back on line left shoulder/up per arm experience d notable pain, further aggravated b		578,243	Strain or Injury By - Pushing or Pulling	Upper Arm Including Clavicle & Scapula	533,329	Open	1,367

Total by Claim Number 1 Claim  
Claim Number: 2013-WC000456



# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2013-WC000456	Brannon, Michael T	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	5/21/2013	5/23/2013	left knee-slipped on oil in port side fin pocket and left leg slipped in between pump and hull		400,635	Fall, Slip, or Trip - Different Level	Knee	349,507	Reopened	797
Total by Claim Number 1 Claim Claim Number: 2012069779													
2012069779	Allen, Lorraine M	Lost Time/Indemnity	2543DOT-AH MS-Columbia	11/17/2012	11/18/2012	Struck by object - neck shoulder back.		392,601	Contact with Object	Multiple Body Parts	242,594	Open	617
Total by Claim Number 1 Claim Claim Number: 2011068158													
2011068158	Crockett, Russell D	Lost Time/Indemnity	2542DOT-AM HS-Kennicott	12/24/2011	12/24/2011	Groin - Injured from hauling lines on board.		89,129	Strain or Injury By - Lifting	Abdomen including Groin	62,933	Reopened	
Total by Claim Number 1 Claim Claim Number: 2008063136													

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2008063136	BUDGE, GLEN R	Lost Time/Indemnity	2545DOT-AM HS-Malaspin a	10/6/2008	10/24/2008	LEFT HIP - SLIPPED/FELL ON STAIRS.		2,518,864	Other, Miscellaneous NOC	Knee	1,257,390	Reopened	
Total by Claim Number 1 Claim Claim Number: 2006059115													
2006059115	Mccaw, Frank W	Lost Time/Indemnity	2545DOT-AM HS-Malaspin a	3/31/2006	4/7/2006	L. Hand/Arm Strain after lifting Motor (back fusion also now accepted)		1,609,079	Strain or Injury By - Lifting	Upper Arm Including Clavicle & Scapula	1,035,143	Open	

Total by Claim Number 1 Claim  
Claim Number: 2004055044

# State of Alaska

## Claim AdHoc

### DOT AMHSClaims by Month

Valued as of: 12/1/2022

Claim Number	Employee Name	Type of Claim	Department	Loss Date	Date Reported to Carrier/T PA	Event Description	RTW Status	Incurred Total	Cause	Body Part	Paid Total	Status	Lost Work Days
2004055044	Glover, Jesse J	Lost Time/Indemnity	2548DOT-AM HS-Tustume na	2/6/2004	2/6/2004	2/10/2004 09:47 AM (Jill) Employee slipped and fell while shoveling snowy & ice covered deck. Fell into open hatch 17 feet to car deck. Semi-conscious when found. 06/16/2004 03:09 PM (Betty) 06/16/2004 04:00 PM (Betty) Fractured b		691,782	Fall, Slip, or Trip - Ice or Snow	Multiple Body Parts	610,274	Reopened	

Total by Claim Number 1 Claim

Grand Totals: 485 Claims

