

Department of Commerce, Community, and Economic Development

DIVISION OF CORPORATIONS, BUSINESS
AND PROFESSIONAL LICENSING
Juneau Office

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April 12, 2021

The Honorable Kelly Merrick and the Honorable Neal Foster Co-Chair, House Finance Committee State Capitol Room 511 and 505 Juneau, Alaska 99801

RE: Follow-up on HB 117 Committee Questions

Dear Co-Chairs Merrick and Foster:

During the House Finance Committee Hearing on HB 117: Extend Board of Direct-Entry Midwives on Friday, April 9, 2021, the committee raised a number of questions on which they asked the Division of Corporations, Business, and Professional Licensing (CBPL or "division") follow up. The purpose of this letter is to provide the information we've compiled in response to these questions.

1. How many investigators are currently employed by the division?

The division employs one Chief Investigator, three Senior Investigators, and 14 Investigators I-III to provide professional investigative and enforcement services for our 43 professional licensing programs.

2. How many investigators were on staff during 2012-2014?

The division had one Chief Investigator and 16 Investigators I-III. In 2014, we added two Senior Investigators to improve quality control and review of casework. In 2020, creation of an additional Senior Investigator position was approved, and it was immediately filled.

3. What level of division investigative effort is attributed to the Board of Certified Direct-Entry Midwives?

0.15% of division investigative personal services expenses during the audit period (FY17-FY20) were expended on this program:

	FY17	FY18	FY19	FY20	Grand Total		
MID 1000	\$ 3,177	\$ 1,522	\$ 2,041	\$ 3,142	\$	9,882	
Total INV 1000	\$ 1,490,235	\$ 1,434,105	\$ 1,685,367	\$ 1,767,657	\$	6,377,364	
	0.21%	0.11%	0.12%	0.18%		0.15%	

These expenses were in support of follow-through on 10 matters, resulting in action on one midwife's license:

2017	1	1
Review Complete	1	1
License application problem	1	1
2018	4	4
Incomplete Complaint	2	2
Unprofessional conduct	2	2
No Action - No Violation	2	2
Incompetence	1	1
Standard of care	1	1
2019	2	2
License Action	1	1
Violation of licensing regulation	1	1
No Action - No Violation	1	1
Negligence	1	1
2020	3	3
Advisement Letter	1	1
Falsified application	1	1
Incomplete Complaint	1	1
Violation of licensing regulation	1	1
Review Complete	1	1
Violation of licensing regulation	1	1

This activity, plus continuing education audit compliance action, resulted in an average per-case cost of \$8,718 during the audit period:

FY17		FY18		FY19		FY20		Grand Total	
\$	3,177	\$	1,522	\$	2,041	\$	3,142	\$	9,882
\$	-	\$	-	\$	-	\$	-	\$	-
\$	-	\$	878	\$	2,513	\$	12,882	\$	16,273
\$	3,177	\$	2,400	\$	4,554	\$	16,024	\$	26,155
	1		4		2		3		3
	3177		600		2277	\$	5,341	\$	8,718
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If the committee has any additional questions or would like us to arrange for additional testimony, we are happy to do so. A division representative will be on the line for questions at all subsequent hearings of this legislation.

Sincerely,

Sara Chambers Division Director

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CC: Glenn Hoskinson, Legislative Liaison, Department of Commerce, Community, and Economic Development