

QUALIFICATION HIGHLIGHTS

Finance, Operational, & Compliance Auditing Governance, Risk & Compliance COSO Controls and ERM Frameworks
Financial & Management Accounting Enterprise Risk Management (ERM)
Sarbanes Oxley Compliance Project Management

PROFESSIONAL EXPERIENCE, CAPABILITIES, AND EXPERTISE

USAA

San Antonio, TX / Anchorage, AK
| Mar 2019 – Present

\$30B diversified financial services company serving 12.4M members

Position Held:
Risk Manager Talent Governance (1.5 yrs)

L&H Johnson LLC

Gilbert, AZ | Aug 2011 – Present
Consulting Services Firm

Owner since 2011

Denali Federal Credit Union

Anchorage, AK | Oct 2012 – August 2018

\$660M financial services member cooperative serving 75K members

Position Held:
VP, Internal Audit (CAE) (6 yrs)

Institute of Internal Auditors

Lake Mary, FL | (2004-Present)

Position/Roles:

Volunteer Course Facilitator (14yrs)

Global Guidance Contributor (GGC) program

- Develop and govern learning and development programs and resources for First Line of Defense (FLOD) risk practitioners.
- Facilitate training programs for risk and compliance subjects
- Lead the FLOD Learning and Development Working Group in establishing standards and governance routines and in assessing and designing development programs for FLOD risk teams across multiple business Lines
- Manage Professional membership group for First Line and Second Line of Defense employees in partnership with the Institute of Internal Auditors (IIA)
- Develop professional education and training offerings.
- Provide business controls and operational efficiency consulting services specializing in risk mitigation, critical thinking, and decision management to globally diverse financial institutions.
- Consultant to Denali Federal Credit Union and Nuvision Credit Union providing audit, risk, and compliance services during their merger.
- Decision management and facilitation services to US national and International financial Institutions.
- Modernized audit practices to incorporate risk based focus, satisfy and conform to professional practices, and improve audit efficiency. Implemented computerized and continuous auditing techniques resulting in increased audit coverage and reduced audit cycle time (average audit cycle of 2months to 3 weeks per project).
- Designed and implemented corrective action tracking programs and procedures (using SharePoint) to report status of risk remediation and deficiency corrections.
- Influence organization strategy, governance and operations through involvement with Enterprise Risk Management (ERM) & Risk Management (RMCO), Asset/Liability (ALCO), IT steering, and Management Strategic Planning committees.
- Facilitated IIA CPE training courses in Operational Auditing, Risk Management, COSO Control Framework, IT Audit Fundamentals, Cybersecurity Auditing, Project Management, and Critical Thinking Skills.
- Contributed to development and update on Third Party Risk Management (Oct 2018) and Assessing Risk Management Practice (Mar 2019) Practice Guides.
- Through own firm designed and created training programs in Applying Project Management Tools & Techniques, Developing Critical Thinking, and Applying Operational Auditing Tools training courses for presentation to audit professionals.

Maricopa Community College District Chandler, AZ (2003 – 2012) <u>Position/Roles:</u> Adjunct Faculty (3yrs) Accounting Program Advisory Board (6yrs)	<ul style="list-style-type: none"> ➤ Designed and instructed courses in Financial Accounting, Managerial Accounting, Audit, and Business Statistics. ➤ Provided direction, guidance, and advisory to Phoenix College Accounting Department concerning accounting/business program initiatives, curriculum, and strategies.
Hypercom Corporation. Scottsdale, AZ (2005 – 2011) <i>\$700 Million Payment Technologies and transaction Solutions</i> <u>Positions Held:</u> Audit Director (CAE) / Senior Manager (total 5 yrs); Hypercom Corporation. (continued) Director of Inventory Management, Logistics & Business Processes (1 yr);	<ul style="list-style-type: none"> ➤ Launched new audit function – defined objectives, scope, and strategy and established/implemented operating procedures. ➤ Established the corporate Enterprise Risk Management (ERM) process, outlining strategic direction, procedures and implementing process. Co-chaired Executive Risk Committee that oversaw the ERM process. ➤ Led a world-wide executive team to assess and modify inventory management and manufacturing support processes across regional lines to improve inventory control and management resulting in process improvements that reduced inventory position by \$20 million and increased inventory turns by 20%. ➤ Influenced and shaped corporate strategy, governance and financial reporting through corporate level committees including Financial Disclosure, Risk Steering (ERM), and Operations Executive Committees.
<u>Other Experience</u> Mobile Mini, Inc. Tempe, AZ Arizona State University Tempe, AZ Texas Instruments Inc. Dallas, TX Experience Summary: Audit Manufacturing, Supply Chain, and Procurement Tools Management	<ul style="list-style-type: none"> ➤ Established internal audit (IA) function by setting up new IA department including defining the department scope and strategy and designing procedures to successfully complete Sarbanes-Oxley 404 evaluation process ➤ Inaugurated co-source audit practices for financial, manufacturing, and sales operations audits. ➤ As one of founding members of Contract Manufacturing team, administered and coordinated manufacturing transfer projects and production from internal build to third-party manufacturing partners securing a \$400million revenue stream in the first year of the outsourced manufacturing program. ➤ Redesigned and implemented Purchase Card and Electronic Catalog programs and integrated these procurement and payment systems with resource planning and accounting applications. Increased lower cost tool usage to more than 2000 users and 250 suppliers while generating transaction cost reduction exceeding \$1million.

EDUCATION AND CERTIFICATIONS

Master's Degree International Management (MIMS) – University of Texas (Dallas)

Bachelor's Degree Accounting/Business – Brigham Young University

Professional Certifications:

Certified Internal Auditor (CIA) | Certified in Risk Management Assurance (CRMA) |

Certified Information Systems Auditor (CISA) | Certified in Risk and Information Systems

Control (CRISC) | NAFCU Certified Compliance Officer (NCCO)

PROFESSIONAL MEMBERSHIPS AND OTHER

Member – Institute of Internal Auditors (IIA) | ISACA | Association of Credit Union Internal Auditors (ACUIA) | Interface Alaska IT Conference –Advisory Council | IIA Professional Guidance Development Programs