

Alaska State Legislature

Select Committee on Legislative Ethics

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Ethics Committee Meeting

August 16, 2017, 8:30 a.m.

Anchorage LIO - 2nd Floor Large Conference Room

(Meeting will be teleconferenced - call **1-907-563-9085. Please note this is a new number.**)

FULL COMMITTEE: Open Session

(+) indicates background material in packet

1. CALL THE MEETING TO ORDER
2. WELCOME NEW LEGISLATORS
3. WELCOME NEW PUBLIC MEMBERS (+)
4. APPROVAL OF AGENDA
5. APPROVAL OF MINUTES
 - a. August 18, 2016 Full Committee (+)
 - b. August 18, 2016 Senate Subcommittee (+)
 - c. August 18, 2016 House Subcommittee (+)
6. PUBLIC COMMENT
7. ELECTION OF COMMITTEE CHAIRS FOR 2017-2018 (+)
8. CHAIR/STAFF REPORT
 - a. Comments from Administrator
 - b. Informal Advice Staff Report (+)
 - c. Ethics Training - AS 24.60.150(a)(3) and (4)
 - i. 2016 Final Numbers (+)
 - ii. 2017 Training Update (+)
 - iii. 2018 Ethics Training Proposal

- d. Ethics Disclosures (+)
 - e. COGEL Conference Report (+)
 - i. Conner Thomas Comments
 - ii. Skip Cook Comments
 - iii. Jerry D. Anderson Comments
 - f. Publications (+)
 - i. Advisory Opinions and Public Decisions - AS 24.60.150(a)(3)
 - ii. 2017 Standards of Conduct Handbook
 - g. Legislative Update (+)
 - h. Contract Renewal (+)
 - i. Budget Report: FY17 (+)
9. ANNUAL BENEFIT AND LOAN REVIEW - AS 24.60.050(b) (+)
10. Motion to go into **EXECUTIVE SESSION** to discuss matters which by law must remain confidential.
11. EXECUTIVE SESSION
12. PUBLIC SESSION
13. OTHER BUSINESS
14. ADJOURN

ITEM 1: CALL THE MEETING TO ORDER

ITEM 2: WELCOME NEW LEGISLATORS

ITEM 3: WELCOME NEW PUBLIC MEMBERS

JOYCE M. ANDERSON

*1240 West 82nd Avenue
Anchorage, AK 99518-2438
(907) 349-2238 or (907) 360-7674
joycejimalaska@gci.net*

EDUCATION

Master of Arts - Public Administration; Hamline University, St. Paul, MN 1996
Minnesota Certified Municipal Clerk (MCMC); University of Minnesota, St. Paul, MN 1993
Bachelor of Arts - Business Administration; Metropolitan State University, Minneapolis, MN 1985
Associate in Applied Science - Business; Metropolitan State Junior College, Minneapolis, MN 1972

PROFESSIONAL BACKGROUND

CONSULTANT (July 2014-March 1, 2016): Select Committee on Legislative Ethics

ADMINISTRATOR (June 2001-July 2014): Select Committee on Legislative Ethics

CONSULTANT (1999-2000): Elections and Voter Registration, City of Minneapolis

DIRECTOR (1985-1999): Elections and Voter Registration, City of Minneapolis

SUPERVISOR (1985): Police Department, Records Division, City of Minneapolis

ASSISTANT DIRECTOR (1982-1985): Elections and Voter Registration, City of Minneapolis

SUPERVISOR (1979-1982): Preventable Disease Division, City of Minneapolis Health Department

Various Clerical Positions (1970-1978), City of Minneapolis

TRAINING

National Certified Investigator Advanced Training, University of Kentucky, 2008
National Certified Investigator Basic Training, University of Kentucky, 2004
Certificate, Effective Presentations/ How to Deal with the Media, St. Paul, MN, 1990

VOLUNTEER ACTIVITIES

Member, Anchorage Stakeholders Group Vote-by-Mail, 2015 to present
Member, Anchorage Municipal Election Commission, appointed November 2012
Officer, League of Women Voters Anchorage, 2000 to present
Officer, Independent Order of Foresters (fraternal organization), Anchorage, 2000 to 2004
Officer, Independent Order of Foresters (fraternal organization), Minneapolis, 1978 to 1993.
Member, Brooklyn Park Minnesota Charter Commission, 1990-1994; Chair 1992-94.

INTERESTS

Gardening, hunting, fishing, kayaking and enjoying five grandchildren and one great-grandson.

REFERENCES

Pat Redmond, President, League of Women Voters of Alaska, 240-5585
Barbara A. Jones, Anchorage Municipal Clerk, 343-4312

DEBORAH RUTH FANCHER
17900 SPAIN DRIVE
ANCHORAGE, ALASKA 99516
(907)244-1733
DRFancher@aol.com

FORMAL EDUCATION

University of Washington Bachelors Degree in Chemistry Minor: Biology Secondary Teaching Certificate	1983-1986 Seattle, Washington
Oregon State University General Liberal Arts Coursework	1981-1983 Corvallis, Oregon
University of Alaska, Anchorage Graduate Courses	1988-2002 Anchorage, Alaska
Alaska Pacific University Masters Degree: Education	2003-2006 Anchorage, Alaska

PROFESSIONAL EXPERIENCES

Chemistry/Biology Instructor Roosevelt High School	1986 Seattle, Washington
Science Instructor University of Alaska, Anchorage	1987-1988 Anchorage, Alaska
Environmental Health Specialist Municipal Health Department	1987-1989 Anchorage, Alaska
Chemistry/Biology Instructor Anchorage School District	1989-2003 Anchorage, Alaska
Chemistry/AP Chemistry Instructor Science Dept. Chair Grace Christian School	2004-2014 Anchorage, Alaska
Chemistry Instructor University of Alaska, Anchorage	2015-present Anchorage, Alaska

AWARDS & ACTIVITIES

Family Partnership Charter School-Anchorage School District
Board Member 2004-2009 (2, 3 year terms)
Toshiba/NSTA Exploravision Teacher/Mentor 2000-2004
BP Exploration Teacher of Excellence 2001
Duke University Pharmacology Award 1999
East Anchorage Rotary Outstanding Teacher 1998
Dow Chemical Teaching Award 1990
FDA/NSTA Food Science Award Recipient 2000
Anchorage School District Safety Award 1990
East High School Science Club Advisor 1993-2003
East High School Business Partnership Coordinator 2002-2003
National Science Teacher's Association Member
National Environmental Health Association Member
Registered Environmental Health Specialist
Certified Water Safety Instructor
Service High School Science Club Sponsor 1990-1993
Service High School Class of 1992 Sponsor
High School Basketball Coach 1992-1994
Middle School Science Olympics Coordinator
Science Fair Volunteer 1999-2003
Seeds in Space Participant 1990
Red Ribbon Chairperson
Science Olympics Coordinator 1990-2001

REFERENCES

George Taft, Director. State of Alaska Crime Laboratory (retired)
Bob Moser, Chemistry Instructor. Anchorage School District (retired)
Nathan Davis, Principal. Lake and Peninsula School District

Lee F. Holmes, PE, CxA, CCS, CPMP, CEM, EMP, EBCP, DGCP

Current Position and Title:

Support Services of Alaska, General Manager

Professional Work History:

2006 to present: Support Services of Alaska, General Manager

2003 – 2006: Support Services of Alaska, LLC, President

1992 – 2003: RSA Engineering, Inc., Principal Mechanical Engineer

1989 – 1992: RSA Engineering, Inc., Senior Mechanical Engineer

1988 – 1989: RSA Engineering, Inc., Senior Mechanical Designer

1983 – 1988: Raj Bhargava Associates, Mechanical Designer

1980 – 1983: Patterson Weber & Associates, Casper, Wyoming, Staff Engineer

Education:

Washington University, St. Louis, Missouri

University of Wyoming, Laramie, Wyoming

University of Alaska, Anchorage

Professional Licenses:

Registered Professional Mechanical Engineer, ME-7744, Alaska

Professional Certifications:

Certified Construction Specifier (CCS) – CSI

Certified Commissioning Authority (CxA) – ACG

Commissioning Process Management Professional (CPMP) – ASHRAE

Certified Energy Auditor, Commercial Buildings (CEA) – AEE

Energy Management Professional (EMP) – IEM

Existing Building Commissioning Professional (EBCP) – AEE

Certified Energy Manager (CEM) – AEE

Distributed Generation Certified Professional (DGCP) – AEE

Professional Affiliations:

AABC Commissioning Group (ACG) – Member

American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE) – Member

American Society of Plumbing Engineers (ASPE) – Member

Building Commissioning Association (BCxA) – Member

Construction Specification Institute (CSI) – Professional Member

Construction Specification Institute (CSI) – Volunteer Course Instructor Univ. of Alaska

National Fire Protection Association (NFPA) – Member

Association of Energy Engineers (AEE) – Member

Professional Affiliations, Past - Positions Held:

Alaska Professional Design Council – Secretary, President Elect, President, State Building Code

Consolidation Committee Co-Chair, State Statute of Repose Committee Chair and Co-Chair

Alaska Society of Professional Engineers State and Local Chapters – State Coordinator

MathCounts Competition; Local Coordinator MathCounts Competition; State Chapter Vice-

President; Local Chapter Secretary/Treasurer; Local Chapter Vice President; Local Chapter

President

ITEM 4: APPROVAL OF AGENDA

ITEM 5: APPROVAL OF MINUTES

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**ALASKA STATE LEGISLATURE
SELECT COMMITTEE ON LEGISLATIVE ETHICS**

AUGUST 18, 2016

8:30 AM

8:36:24 AM

Committee Members Present:

Senator Gary Stevens
Senator Dennis Egan (Teleconference)
Representative Chris Tuck
Representative Liz Vazquez
Conner Thomas
Skip Cook
Gary Turner

Others Present:

Representative Geran Tarr (Teleconference)
Jerry Anderson, Administrator
Janice Stewart, Staff
Dan Wayne, LAA Legal (Teleconference)

1. CALL THE MEETING TO ORDER:

The meeting was called to order by Chair Conner Thomas at 8:36 a.m.

2. WELCOME NEW PUBLIC MEMBERS

Jerry Anderson noted that the Chief Justice makes public member appointments to the Committee. No appointments have been made as of today. The ethics office continues to be in contact with the Chief Justice's administrative assistant.

3. APPROVAL OF AGENDA:

A motion to approve the agenda was made by Senator Stevens. No objection. Agenda approved.

4. APPROVAL OF MINUTES:

a. January 25, 2016 Full Committee

Motion to approve by Senator Stevens. No objection. Minutes approved.

b. January 26, 2016 House Subcommittee

Motion to approve by Member Turner. No objections. Minutes approved.

5. PUBLIC COMMENT: No public comment.

6. ELECTION OF NEW OFFICERS

Chair Thomas noted that this item was in response to a procedural issue that needed to be corrected. The election of officers held at the January meeting was confused by the resignation of several committee members in late 2015. The correct procedure consists of electing officers for the house and senate subcommittees first. In even years, the chair for the house subcommittee also serves as the full committee chair. The proposed officers are as follows:

House Subcommittee Chair: Conner Thomas
House Vice-Chair: Dennis "Skip" Cook
Senate Subcommittee Chair: Dennis "Skip" Cook
Senate Vice-Chair: Gary Turner
Full Committee Chair: Conner Thomas (for 2016)

Member Turner asked what would happen in January of 2017.

Administrator Jerry Anderson confirmed that a new election of officers would take place. At that time the Senate subcommittee chair would become the new full committee chair.

Chair Thomas asked for comments. Hearing none he asked for any objections to adopting the proposed plan as described above. Seeing no objections the officers were successfully elected.

7. CHAIR/STAFF REPORT

a. Informal Advice Staff Report

Administrator Jerry Anderson explained that the Informal advice report consisted of 14 pages dated January - March of 2016.

Senator Stevens commented on the following question and ensuing response on page 4: ***Q: You have asked as a legislative employee whether you are able to attend a political event during your personal time but in the greater time period when you have previously accepted a gift of travel and/or hospitality from a person under AS 24.60.080(c)(4). R: There is no restriction under the Legislative Ethics Act AS 24.60 concerning this political***

activity. This is different from travel using state funds when political activity is restricted.

Senator Stevens noted a conflict between the legislative and executive branches. When executive branch employees are off duty they are allowed to attend political activities, but legislators are precluded from doing so. It seemed unfair, particularly for those that live outside of urban areas. If legislators travel on state business they may not attend fundraiser to held in the evening. If legislators have completed their state business they should be allowed to attend such events.

Jerry Anderson asked if Senator Stevens wished this issue to be brought as a future item for discussion, as a potential change in statute. Senator Stevens confirmed that was his intent.

Member Gary Turner noted that this issue has come up a number of times. He suggested that the legislature take it up since it is in statute and the committee cannot make changes to the statute.

There were no more questions or comments regarding the informal advice report.

a. Update of public members: Addressed in Item 2.

b. Ethics training

To date, ninety-six employees have completed 2016 ethics training. The Ethics office continues to utilize the online training video produced by Joyce Anderson, although there are plans for updating it in the future.

c. 2017 Ethics training

All legislators and legislative staff are required to receive ethics training in 2017. Ethics office staff are working to update current information and make the training more engaging and interactive. Some segments will be shortened for those who have had the training in previous years.

Chair Conner Thomas commended the staff for attempting to make the training more interesting and interactive.

d. Disclosures

i. Representative Tarr Letter

Representative Tarr addressed the committee by teleconference and thanked them for allowing her to speak. She stated her intent to file disclosures in a timely matter. However, she was confused by the language regarding the filing deadline. The confusion occurred due to combining several trips. One of the organizations paid for her return trip, so it did not occur to her that the beginning date of trip was the date from which the 60-day filing deadline commenced. She requested that the late disclosures be noted on the record as inadvertent and suggested it might be helpful for the committee to add language on how to file when combining trips.

Chair Thomas confirmed that her comments were on the record. He then asked if there were any questions from the committee for Representative Tarr. There were no questions from the committee members. Chair Thomas then asked Administrator Jerry Anderson whether clarifying language was a possibility.

Jerry Anderson responded that the handbook language clearly states that the 60-day filing deadline commences on the beginning date in which the travel occurs. He then noted that two of the late disclosures received from Representative Tarr had been recorded as only one late disclosure since the trips had been combined. The ethics office had sent two late disclosure letters to her, one for an earlier trip and the additional letter regarding the combined trips.

(Representative Vazquez joined the meeting at 9:11 a.m.)

Chair Thomas said he understood her request to be that the disclosure instructions be made clearer as to the start date when there are two or more trips combined.

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Representative Tarr again noted that since one organization was paying for the return travel, and in order to be honest about the gift, she wanted to reflect when it was being paid and didn't want to make it look like the trip was for a personal benefit. Representative Tarr noted she preferred to be overly-cautious when reporting.

Chair Thomas stated that Jerry Anderson would look at her comments and make a determination about clarifying the language.

Senator Stevens noted that no real harm was done. It was not easy being a citizen legislator, completing all the required reports in a timely fashion.

Representative Vazquez commented that this was a de Minimis violation and agreed with Senator Stevens that it is difficult to keep on top of all the deadlines.

Representative Tarr requested that someone follow up with her on the issue at hand.

Jerry Anderson asked for clarification from Representative Tarr. Was she asking the committee to waive the fines?

Representative Tarr clarified that yes, she was requesting that the fines be waived.

Chair Thomas state that he didn't understand that was her goal, and the letter she wrote to the committee did not clarify that point.

Representative Tarr noted that this issue was very important to her and that she wanted to do things in a timely fashion.

Senator Stevens made a motion to waive the two fines.

Chair Thomas asked for objections and noted that a vote to waive fees was not on the agenda.

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Representative Tuck commented that Representative Tarr's intention was to file on time, but there was confusion regarding when to file.

A roll call vote was taken.

Senator Gary Stevens:	Y
Senator Dennis Egan:	Y
Representative Chris Tuck	Y
Representative Liz Vazquez	Y
Member Gary Turner	N
Member Skip Cook	N
Member Conner Thomas	N

The motion to waive the fines passed 4 to 3.

ii. Current Disclosure Status

Jerry Anderson pointed out that there have been fewer travel/hospitality and close economic association (CEA) disclosures, most likely due to this being a campaign year, and clarifying the \$250 requirement for filing a CEA. Membership on a board of an Organization (BOD) disclosures continue to increase based on clarification of the definition of a board.

Ethics office staff are sending disclosures to the Chief Clerk and Senate Secretary on a monthly basis. Publishing dates are chosen by their offices.

j. Fine payments

Outstanding fine payments continue to be received on a regular basis.

k. Campaign Year Oversight Activities

The ethics office staff have issued five newsletters with campaign information about the use of websites, fundraising, timelines and deadlines, and legislative communications. Several Action Alerts have also been sent out to clarify information and provide deadline reminders.

l. Ethics Website

Ethics office staff are making an effort to streamline and update materials, including the addition of a link

for filing disclosures on the intranet homepage. Any suggestions that would make it simpler and more efficient for legislators and staff are appreciated. Staff are also developing new disclosure reports and easier access to what has been filed.

Senator Stevens noted his appreciation for efforts to make the disclosure process easier and more streamlined.

8. BUDGET UPDATE

a. FY16 Year-End Report

Expenditures were below budget and the Ethics office returned \$40,000.

b. FY17 Budget

\$248,900. Do not foresee any problems that would cause the office to go over budget.

Member Turner commented that he was glad to see only a 3.5% cut in the budget for FY17.

9. 2015/2016 LEGISLATION UPDATE

SB24 was signed into legislation. It will make disclosure and ethics training requirements less arduous for legislative contractors. An amendment unrelated to the Ethics Act was added to the legislation along with a severability clause applicable to that section.

Other ethics-related bills submitted to the 29th Legislature did not pass.

10. Motion to go into EXECUTIVE SESSION to discuss matters which by law must remain confidential.

So moved by Member Cook. No objection. Steps were taken to enter EXECUTIVE SESSION at 9:33 a.m.

11. PUBLIC SESSION

The committee returned to public session at 10:28 a.m.

(Representative Kito joined the meeting at 10:28 a.m.)

Chair Thomas announced that the committee completed discussion on AO 15-01 Statewide Database and Statewide Communications.

A motion to approve the advisory opinion was made by Member Turner and a roll call vote was taken. The draft

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advisory opinion AO 15-01 was approved by a unanimous vote.

Chair Thomas asked for a motion to return to executive session. An "at ease" was called by Chair Thomas.

Upon returning, Chair Thomas stated it was possible to continue without an executive session and invited Member Turner to speak.

Member Turner commented that in light of the vote on AO 15-01, the committee would not have to go back into executive session. He referred to an additional advisory opinion under discussion by the committee that could be sent to Dan Wayne at LAA Legal for redrafting, and moved to refer it to him for that redraft.

Chair Thomas asked for objections to proceeding. Hearing none, he stated that the committee would not move back into executive session.

11. OTHER BUSINESS

Jerry Anderson announced the time and location for the 2016 COGEL conference. Information was distributed to the committee members.

Jerry Anderson proposed that the confidential post office box used by the committee that is currently physically located on Ingra Street be moved to the Spenard post office located on Northern Lights Blvd. near Minnesota Ave. With the anticipated LIO location change the Spenard post office would be closer and access would be more convenient.

Representative Tuck asked how much mail was received and if it was generally understood that confidential materials could be sent to that PO Box. A note on the website would help inform the public. Did the committee receive unsolicited materials at the PO Box?

Anderson replied that although there is not a large volume of materials received at that location, it was important for confidential materials, since the LIO boxes are not secured. The ethics office does receive unsolicited material from time to time.

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Senator Stevens asked for clarification on the confidentiality of complaints. When are legislators notified that a complaint has been filed and does it remain confidential?

Jerry Anderson replied that the ethics office has a Heads-Up policy that goes into effect if someone informally communicates a perceived violation of the Ethics Act. The legislator will be notified, but the person filing a complaint or asking questions about a legislator's behavior remains confidential. A formal complaint may or may not be filed. The process remains confidential unless a confidentiality waiver is signed.

Dan Wayne of LAA Legal referred the committee to AS 24.60.165 information voluntarily given, or informal advice given in good faith.

12 . ADJOURN

Representative Tuck moved to adjourn. No objection.
Meeting was adjourned at 10:53 a.m.

[10:52:58 AM](#)

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**ALASKA STATE LEGISLATURE
SELECT COMMITTEE ON LEGISLATIVE ETHICS**

AUGUST 18, 2016

12:30 PM

House Subcommittee

12:31:50 PM

Committee Members Present:

Representative Chris Tuck
Representative Liz Vazquez
Conner Thomas
Skip Cook
Gary Turner

Others Present:

Jerry Anderson, Administrator
Janice Stewart, Staff

1. CALL THE MEETING TO ORDER:

House Subcommittee chair Conner Thomas called the meeting to order at 12:31 pm.

2. PUBLIC COMMENT

No public comment.

3. Motion to go into EXECUTIVE SESSION to discuss matters which by law must remain confidential.

So moved by Member Turner. No objection. Steps were taken to enter executive session at 12:33 pm.

4. EXECUTIVE SESSION

5. PUBLIC SESSION

The committee returned to public session at 1:50 p.m.

6. ADJOURN

Member Turner moved to adjourn the meeting. No objection. Meeting was adjourned at 1:52 pm.

1:52:54 PM

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**ALASKA STATE LEGISLATURE
SELECT COMMITTEE ON LEGISLATIVE ETHICS**

AUGUST 18, 2016

12:00 Noon

Senate Subcommittee

12:03:27 PM

Committee Members Present:

Senator Gary Stevens
Senator Dennis Egan (Teleconference)
Representative Liz Vazquez
Conner Thomas
Skip Cook
Gary Turner

Others Present:

Jerry Anderson, Administrator
Janice Stewart, Staff

1. CALL THE MEETING TO ORDER:

Senate Subcommittee chair Skip Cook called the meeting to order at 12:05 pm.

2. PUBLIC COMMENT

No public comment.

3. Motion to go into EXECUTIVE SESSION to discuss matters which by law must remain confidential.

So moved by Member Turner. No objection. Steps were taken to enter executive session at 12:04 pm.

4. EXECUTIVE SESSION

5. PUBLIC SESSION

The committee returned to public session at 12:14 p.m.

6. ADJOURN

Member Thomas moved to adjourn the meeting. No objection. Meeting was adjourned at 12:14 pm.

12:14:11 PM

ITEM 6: PUBLIC COMMENT

ITEM 7: ELECTION OF COMMITTEE CHAIRS FOR 2017-2018

AS 24.60.200, that was subject to disclosure under this chapter while the person was serving, unless the person previously disclosed the matter or interest and, for that reason, the matter or interest is no longer subject to disclosure. Nothing in this section excuses the filing of a disclosure or report as may be required by another section of this chapter. (Sec. 34 ch 47 SLA 2007)

Sec. 24.60.120. State property and funds. [Repealed, Sec. 42 ch 127 SLA 1992].

Article 3. Legislative Ethics Committee; Opinions; Complaints.

Section

130. Select Committee on Legislative Ethics

134. Prohibited conduct by public members and committee employees and contractors

140. Authority of the committee and its subcommittees

150. Duties of the committee

155. Legislative ethics course

158. Advice by staff

160. Advisory Opinions

Section

165. Use of information submitted with request for advice

170. Proceedings before the committee; limitations

174. Recommendations to the legislature where violator is a legislator

176. Recommendations where violator is a legislative employee

178. Sanctions recommended by committee

Sec. 24.60.130. Select committee on legislative ethics.

(a) There is established as a permanent interim committee within the legislative branch of state government the Select Committee on Legislative Ethics.

(b) The committee consists of nine members, in two subcommittees, as follows:

- (1) the senate subcommittee, which consists of two members of the senate, one of whom shall be a member of the minority organizational caucus, if any, appointed by the president of the senate with the concurrence by roll call vote of two-thirds of the full membership of the senate, and includes the five public members appointed under (3) of this subsection;
- (2) the house subcommittee, which consists of two members of the house, one of whom shall be a member of the minority organizational caucus, if any, appointed by the speaker of the house with the concurrence by roll call vote of two-thirds of the full membership of the house, and includes the five public members appointed under (3) of this subsection; and
- (3) five public members who are selected by the Chief Justice of the Alaska Supreme Court and who are ratified by two-thirds of the full membership of the senate and two-thirds of the full membership of the house.

(c) No more than one public member may be a former legislator and no more than two public members of the committee may be members of the same political party.

(d) The members of each subcommittee shall elect a chair and a vice-chair, who serve a term of two years. Neither a chair nor a vice-chair may be a member of the legislature. An officer may not hold the

same office for more than two consecutive terms. The vice-chair shall act as chair in the absence of the chair. The chair selected by the senate subcommittee shall chair the full committee beginning the first day of the regular session in odd-numbered years and the chair selected by the house subcommittee shall chair the full committee beginning the first day of the regular session in even-numbered years.

(e) Except as provided in this subsection, a vacancy on the committee shall be filled under (b) of this section. An individual who is appointed to fill a vacancy that occurs during the last 10 days of the first regular session of a legislature or during the interim between regular sessions of that legislature serves without concurrence or ratification through the 10th day of the second regular session of the legislature. An individual who is appointed to fill a vacancy that occurs during the last 10 days of the second regular session of a legislature or during the interim after the second regular session serves without concurrence or ratification through the convening of the first regular session of the next legislature.

(f) The committee may contract for professional services and may employ staff as it considers necessary. A committee employee, including a person who provides personal services under a contract with the committee, may not be a legislator, an elected or appointed official of a state or local governmental entity, an officer of a political party, a candidate for public office, or a registered lobbyist. The legislative council shall provide office space, equipment, and additional staff support for the committee. The committee shall submit a budget for each fiscal year to the finance committees of the legislature and shall annually submit an estimated budget to the governor for information purposes in preparation of the state operating budget. Public members of the committee serve without compensation for their services, but are entitled to per diem and travel expenses authorized for boards and commissions under AS 39.20.180.

(g) Each legislative member serves for the duration of the legislature during which the member is appointed. Each public member serves for a term that commences on the date the member is ratified and ends on the first day of the third regular session that follows the ratification. A public member whose term has expired continues in office until a successor has been appointed and ratified or until the 30th calendar day of the first legislative session that follows the successor's appointment, whichever is earlier. A member of the committee may be removed from membership on the committee for failure to carry out the person's duties as a member of the committee. A legislator may be removed with the concurrence by roll call vote of two-thirds of the full membership of the house of the legislature to which the member belongs. A public member may be removed with the concurrence by roll call vote of two-thirds of the full membership of each house of the legislature.

(h) A member is disqualified from participating as a member in any proceeding before the committee involving a complaint against the member or an employee whose work is supervised by the member or an advisory opinion requested by the member. If a regular legislative member of the committee is disqualified under this subsection from participating in a proceeding involving a complaint, the member's alternate shall be designated under AS 24.60.131.

(i) A quorum of the committee consists of a majority of the members and must include at least two legislative members and three public members. A quorum of a subcommittee established under this section consists of a majority of the members of the subcommittee and must include at least one legislative member and three public members. A vote of a majority of the members appointed to the committee or a subcommittee is required for official action.

(j) Except to the extent that a provision would prevent the committee from complying with the confidentiality provisions of this chapter, the committee is subject to AS 44.62.310 - 44.62.319 (Open

ITEM 8a: COMMENTS FROM ADMINISTRATOR

ITEM 8b: INFORMAL ADVICE STAFF REPORT

STAFF REPORT
SELECT COMMITTEE ON LEGISLATIVE ETHICS

May 1, 2016 through Dec 31, 2016

Staff provides informal advice, under AS 24.60.158. Those requesting advice are told the advice, while given in good faith, is not binding on the committee unless the advice has been issued through the formal advisory opinion process. Requesters are told they may seek formal, binding advice by submitting a written request. The committee is asked to review the advice given and notify staff if any member has questions or disagrees with the advice. This report includes advice the committee may not have reviewed in the past; it does not represent all inquiries.

CAMPAIGN RELATED - AS 24.60.030 & AS 24.60.031

May a legislator fundraise for their campaign in Anchorage if the special session is moved to Anchorage?

No. AS 24.60.031(b)(1)(A) specifically would restrict fundraising by a legislator in Anchorage under the scenario you have raised during the special session even if within 90 days of the primary in 2016.

Is a legislator who is not a candidate in a primary election restricted from sending a constituent newsletter during the "campaign period" defined in AS 24.60.030(c)?

No. The restriction on the use of state funds and resources under AS 24.60.030(c) for a political mass mailing would not apply at any time during the year to a legislator who is not a candidate this election year, including the periods before the primary election and the general election.

May a legislator use an office account under AS 24.10.110 to print or distribute a newsletter within 60 days of the election?

Yes. AS 24.60.030(c) specifically exempts this fund account from the restriction on the use of state funds to print or distribute a political mass mailing within 60 days of an election by a legislator who is a candidate in that election. There is no firm cutoff date for the actual mailing of a legislative newsletter. The closer the mailing is to the election the more scrutiny from the committee would occur in the event of a complaint being filed. In any case it should not be less than 30 days before the election. There is a timing and production issue challenge with the extended special session in this election year.

How should political campaign or fundraising messages sent to the Legislator's email account be handled by legislative staff?

A response back to the sender of such political campaign or fundraising messages should be clear that such messages are inappropriate for the legislative email account. The response may optionally offer an appropriate personal email account to send such messages to in the future.

May an office account under AS 24.10.110 be used to print and distribute a newsletter within 60 days of an election in which a legislator is a candidate?

Yes. AS 24.60.030(c) specifically exempts the account under AS 24.10.110 for print and distribution of a political mass mailing within 60 days of an election in which the legislator is a candidate.

The use of state funds to produce or distribute newsletters during the 60 day period before an election where the legislator appears on the ballot is prohibited by the Legislative Ethics Act, specifically AS 24.60.030(c) except for office funds under AS 24.10.110. Is there a cut-off day for the actual mailing of a newsletter using permitted resources?

The Print Shop or other state resources other than the office account under AS 24.10.110 are restricted under AS 24.60.030(c) within 60 days of the election. The legislative office account under AS 24.10.110 has a very general purpose of expenses related to service in office. There is no firm cutoff date for the actual mailing or distribution of a legislative newsletter but the closer that date is to the election date the more scrutiny it would draw from the committee in the event a complaint was filed. In any case not less than 30 days before the election. The timing/production issue is a challenge because of the extended special session in this election year.

Are mass mailings by Legislators ever restricted?

Yes. AS 24.60.030(c) has restrictions during "campaign periods" defined as 60 days before an election in which a legislator is a candidate.

May a legislator use state resources to print or distribute a newsletter within 60 days of the election?

Under AS 24.60.030(c) a legislator or legislative employee may not use state resources other than the funds under AS 24.10.110 to print or distribute a political mass mailing during a campaign period which is 60 days before the primary election day when that legislator is a candidate in the election. A mass mailing is considered political if it is from or about a legislator who is a candidate for election. This restriction would include the Print Shop and/or a legislative office printer to produce a newsletter.

You have asked as a legislator whether you may use your personal cell phone for campaign calls and other campaign purposes? This same cell phone is also used to access legislative email. You believe that a small stipend is regularly paid to you for having access to your legislative account but the cell phone is your personal property and not a state resource.

It is advised that in order to avoid even an appearance of impropriety and to avoid confusion with respect to any response to an email, for example, that a separate cell phone for campaign purposes would be both appropriate and prudent. That would keep your campaign related phone calls, emails and messages on a separate phone and eliminate any legislative business contacts from being confused with political campaign activities.

May a legislator have and attend a fundraiser as part of a state funded trip?

No. The use of state funds for campaign activity is prohibited. No campaign fundraising should be part of a state funded trip.

May a legislator copy email addresses and other information from a legislative database of constituents for campaign purposes?

Yes. A legislator may use constituent information gathered by a legislator using legislative resources and copy and use that information for personal or campaign use under advice given in AO 04-01. This would include email addresses.

May a legislator use the legislative title in a campaign document? Is legislative contact information allowed in a campaign document?

Yes, the use of a legislative title is allowed. The use of legislative contact information is not.

I, as a legislative staffer, took leave and filled out a leave slip for time I spent campaigning, is any further documentation necessary?

No. You have followed the procedure outlined by the ethics office when performing campaign activities during normal government time.

Does the Legislative Ethics Act AS 24.60 regulate the placement of campaign signs in a state highway right of way by a sitting legislator?

No. The Department of Transportation monitors, administers, and enforces the placement of campaign signs in a state highway right of way.

My legislator's social media page very briefly and inadvertantly linked to an online article which contained another legislator's campaign contact information. We immediately removed upon discovery. Are there other corrective steps our office needs to take regarding this matter?

No. It appears that you have self-reported and corrected this error in a timely matter.

Is there a restriction on a legislative staffer working on a federal level campaign on non-government time?

No. The restrictions on fundraising under AS 24.60.031 do not extend to federal campaigns. As long as campaign activity is restricted to non-government time and it does not involve the use of state resources or confidential information there are no specific restrictions under the Legislative Ethics Act. As a matter of courtesy a staffer should inform their legislator of any such intended activity before beginning the campaign activity.

Do I, as a legislative staffer, have a disclosure requirement if I host a fundraiser for a federal candidate for office in my home?

No. You do not have a disclosure requirement under the Legislative Ethics Act. You should consult federal election laws for disclosure requirements, if any, for such activity.

You have asked as a sitting legislator and a legislative candidate whether certain photographs taken by other people can be used in an election campaign. These photos were taken at an event attended by the legislator who traveled to the event using state funds. You have also asked whether your campaign can use photographs taken with a smart phone owned by the legislator.

These uses of photographs do not violate any provisions of the Legislative Ethics Act.

You have asked as a legislative staffer on behalf of your legislator whether a disclosure is necessary when a legislator is staying with another legislator?

Maybe, depending on the length of stay. Advisory Opinion AO 08-04 discusses the issue in the context of close economic association. The text reads:

ADVISORY OPINION 2008-04

SUBJECT: Close Economic Association - Lodging

RE: If a legislator or legislative employee provides a few days of free lodging at the legislator's or employee's residence to another legislator or legislative employee, does the arrangement constitute a gift, or a close economic association, that is subject to disclosure under the Legislative Ethics Act?

You are a legislator and therefore covered by the Legislative Ethics Act. You have requested an advisory opinion concerning facts and circumstances that you have related. The committee relies on facts that you have described in answering your questions. You have waived your right to confidentiality under AS 24.60.160(b).

Statement of Facts

Hypothetically, a person who is a legislator or legislative employee contemplates providing a few days of free lodging, at the person's residence, to another person who is a legislator or legislative employee. No house-sitting arrangement, verbal contract, written contract, or any kind of compensation would be involved.¹ The lodging would be provided at a time when the legislature is, or is not, in session.

Discussion

Disclosure of a Close Economic Association

AS 24.60.070 requires that a legislator or legislative employee report the formation or maintenance of a close economic association involving a substantial financial matter if the association is with a legislator or legislative employee (or with certain other types of persons, including public officials and registered lobbyists). According to subsection (d) of that section, "close economic association" means a financial relationship Acceptance of the free lodging you have described would not create a financial relationship between two parties, it would neither form nor maintain a close economic association, and there is nothing in the facts you have provided that suggests the transaction described would involve a substantial financial matter.

Disclosure of a Gift

When a person provides free lodging in the person's residence to another person, the person is providing hospitality. AS 24.60.080 (a)(1), says:

(a) Except as otherwise provided in this section, a legislator or legislative employee may not (1) solicit, accept, or receive, directly or indirectly, a gift worth \$250 or more, whether in the form of money, services, a loan, travel, entertainment, hospitality, promise, or other form, or gifts from the same person worth less than \$250 that in a calendar year aggregate to \$250 or more in value; This would prohibit a legislator or legislative employee from receiving a gift of hospitality (free lodging) worth \$250.00 or more from any person, during a legislative session or between legislative sessions, but for a specific exception; AS 24.60.080(c)(1) says it is not a violation of AS 24.60.080(a)(1) for a legislator or legislative employee to accept hospitality at the residence of a person.²

In 1993 a similar law was in effect, and in AO 93-05 we discussed the exception for hospitality at the residence of a person saying:

The committee believes that the exception permitting the acceptance of hospitality at the residence of a person includes accepting a gift of overnight accommodations.

The Legislative Ethics Act does not require disclosure of gifts of hospitality under AS 24.60.080(c)(1), and the duration of the hospitality allowed under that subsection is not expressly limited by the Act.³

Conclusion

For the reasons stated above, the committee finds that if a legislator or legislative employee provides a few days of free lodging at the legislator's or employee's residence to another legislator or legislative employee, the arrangement (1) does not constitute a close economic association and

therefore is not required to be disclosed under AS 24.60.070; and (2) the lodging is a gift of hospitality that may be accepted at any time without violating AS 24.60.080 and is not subject to disclosure under that section.

Adopted by the Select Committee on Legislative Ethics on August 19, 2008. [NOTE: end of AO]
As you may note the committee used "a few days" as part of this opinion without specifying that length of time.

May I review the close economic association filed by another legislative staffer to make sure that the close economic association between my legislator and that other legislative staffer are consistent?

Yes, a copy can be forwarded. Uniformity in the description and date is always recommended to avoid potential confusion by the public or others who may review the close economic association disclosure.

Does a transaction of less than \$250 between a staffer and a legislator for campaign work outside governmental time need to be disclosed to the ethics office?

No. Advisory Opinion AO 14-01 from the Ethics Committee stated that a transaction which is less than \$250 does not require disclosure. This is not a "substantial financial matter." Note, however, that this amount is based upon transactions occurring over the course of a calendar year and two or more such transactions totaling \$250 or more between the same people would trigger the requirement for disclosure.

Do I, as a legislative staffer, have to disclose campaign work I have done for a legislator outside government time?

Yes, if the work is \$250 or more a close economic association disclosure should be filed by both you and the legislator.

Does the renting of a housing unit by a registered lobbyist to a legislative employee or legislator trigger a disclosure requirement?

Yes. A close economic association under AS 24.60.070 is formed by this rental. It is required to be disclosed under AS 24.60.105 within 30 days of the formation of that close economic association.

CONFLICT OF INTEREST - AS 24.60.030

What are the conflict of interests defined under the Legislative Ethics Act?

AS 24.60.030 is entitled "Conflicts of Interest." This statute contains prohibitions related to conflicts of interest and unethical conduct. This is a long statute section and it contains many provisions on this topic. Other sections of the Legislative Ethics Act AS 24.60 also contain restrictions on actions by legislators and legislative employees.

Is there a legislative conflict of interest issue with a sale of items as part of a nonpolitical charitable fundraiser occurring as an incidental and unaffiliated event at the same time and location of a town hall meeting with legislative constituents?

Not under these circumstances. The location of the town hall meeting is not on state property and the legislative flyers announcing the town hall meeting event do not promote or mention the charitable fundraiser occurring at the same time as the town hall meeting.

May a legislative staffer respond to a mass political email by requesting to be removed from the list and respond with an alternative private email address?

Yes. This limited contact correcting the sending of an inappropriate email to the state email address is permissible.

You have asked as a legislative staffer whether a legislator may use office allowance account funds for a professional development seminar, which is not campaign related, for a legislator or legislative staffer?

Yes. This is not a direct concern under the Legislative Ethics Act AS 24.60. The office allowance account has broad expenditure discretion with the standard of being reasonably related to service in office and having a legislative business purpose. You may wish to check with Accounting and consult the Accountable Allowance Account Guidelines for further review of this issue.
http://intranet.akleg.gov/docs/accounting/allowance_guidelines.pdf

As a legislator I am contemplating performing work on setting up meetings for a concept project in which a family relative is involved. This project may have many possibilities for use in the State of Alaska. That family member may be entitled to a form of compensation if adopted by a company in Alaska. Does this raise a concern under the Legislative Ethics Act section conflicts of interest in AS 24.60.030 and if so how might it be addressed?

Yes. One obvious action is to disclose the role of your family member during any presentation in which that family member is involved. We have not talked about a specific event but discussed that some form of disclosure is appropriate if you as a legislator are assisting in making a presentation happen with government officials present or using state resources such as your office staff as a matter of legislative concern. If you have a specific potential event planned I would suggest that you contact the Ethics Office again to discuss how any conflict of interest might be handled to avoid even the appearance of impropriety.

What is the definition of advocacy as it relates to constituent services?

AO 08-03 discusses constituent services and defines advocacy in the form of three examples which were determined to be providing a personal benefit and therefore inappropriate. The link for this AO 08-03 is <http://www.legis.state.ak.us/search/ethics/>. In Example 1 of this opinion, the legislative aide served as the "personal representative" for a constituent before the Worker's Compensation Board; in Example 2, the legislative aide negotiated a settlement with the Alaska Child Support Enforcement Division and an outside state agency; and in Example 3, the legislative aide pursued an appeal for a constituent and convinced a state division to repeal the denial. All these examples fall within the realm of constituent advocacy and provide a private benefit to the constituent. The private benefit referred to does not mean the outcome is favorable to the constituent such as the award of back child support or the successful resolution of a law suit resulting in a monetary award. The amount of time spent on a constituent issue also does not factor into private benefit. The amount of time may depend on the area of the state where the constituent lives and the level of services available. This factor alone could add to the number of hours working on a constituent issue. In Example 1, legislative letterhead was used to pursue an informal appeal for a constituent before the Worker's Compensation Board. Advocating a constituent's position with the use of legislative letterhead, a state resource, is also not a permitted use of state resources. In this instance, there is an appearance of impropriety in that the legislator is attempting to influence the outcome of an issue with a government agency to be in favor of the constituent. AS 24.60.010 states, ". . . a fair and open government requires that legislators and legislative employees conduct the public's business in a manner that preserves the integrity of the legislative process and avoids conflicts of interest or even appearances of conflicts of interest; . . . " Secondly, state resources should not be used for activities such as obtaining records from a medical doctor, picking up records from a facility, creating and typing reports or listings of items requested by a state agency, to name a few. This type of preparation work is the responsibility of the constituent. State resources used for these activities would constitute a private benefit. Further, allowing these activities to be performed with the use of state resources would open the door to equal access of state resources for all individuals. As stated earlier, the role of legislative staff is to be the conduit between the constituent and the government agency. Keep in mind, a legislative aide is certainly not prohibited from providing assistance to a constituent if a roadblock occurs, for example, obtaining requested documents.

What are the limitations on constituent assistance from a legislative office?

You have asked a very general question. Advisory Opinion AO 08-03 discusses some of the guidelines to use in performing constituent assistance. The legislative office should not become an advocate for the constituent or perform preparation work to present the issue to the government agency. Care should be taken to not contact a final decision maker if the situation has reached the administrative hearing stage in the procedure as further explained in AS 24.60.030(i).

DISCLOSURES - GENERAL QUESTIONS - AS 24.60.105, AS 24.60.115, AS 24.60.260

Do I as a former legislative staffer have to file additional final disclosures regarding matters such as membership on a board of directors which have previously been disclosed this year?

No. Final disclosures under AS 24.60.115 are specifically limited to a matter or interest not previously disclosed in the calendar year.

On behalf of my legislator, does lodging have to be disclosed on a sanctioned charitable gift disclosure for a spouse for lodging when no additional charge would be the normal rate and the legislator disclosed the value of the lodging on a related disclosure?

No. The disclosure by the legislator showed the full value of the lodging for both the legislator and spouse. No additional charge was incurred as a result of two people in the shared room. Therefore no additional disclosure is required.

What course of action should I take if I do not have actual amounts for a travel and hospitality gift disclosure to be filed with the Ethics Office at the deadline for such a filing?

If you do not have the information on the costs involved when you are close to the filing deadline for this disclosure you should file the disclosure with estimated amounts and follow up with the ethics office to amend the filing when you obtain the actual amounts. The filing deadline for this disclosure is 60 days from the start of the travel.

If I change positions within the legislative branch do I have to refile the disclosures I filed already this year?

No. You will have, however, annual disclosure requirements for continuing situations and associations after January 1, 2017 as usual.

Do I as a legislator have to disclose outside employment?

No. Outside employment is generally disclosed by a legislative financial disclosure administered by APOC. A disclosure related to employment may be required to be filed with the Ethics Office when it involves service on a board of an organization under AS 24.60.030(f), involves a close economic association under AS 24.60.070, or involves a contract or lease under AS 24.60.040 among other situations. A verbal disclosure may be necessary under a situation involving voting on a question under specific provisions of AS 24.60.030(g) and the Uniform Rules of the Alaska State Legislature.

When are disclosures due for a retiring legislator?

The term of a legislator ends in January 2017. Annual disclosures are required for those continuing situations as of January 1, 2017, that were disclosed in 2016. Any new disclosures not previously disclosed by the annual disclosure date deadline in February 2017 are due not later than 90 days after final day of service under AS 24.60.115.

Are legislator disclosures due 90 days after the election if a legislator is unsuccessful as a candidate in the General Election ?

No. The term of a legislator ends in January 2017. Annual disclosures are due in February 2017 for those continuing situations as of January 1, 2017. Any new disclosures not disclosed as an annual disclosure and not previously disclosed should be filed no later than 90 days after the final day of service in accordance with AS 24.60.115.

Do I as a legislative staffer have a requirement to disclose with the ethics office employment with a nonprofit outside of my government time?

There is no requirement for disclosure of employment you intend to pursue outside of government time under the Legislative Ethics Act AS 24.60. This work as you have described does not involve a close economic association with a lobbyist, legislator or another legislative employee.

What are the nepotism restrictions in the Legislative Ethics Act?

These restrictions are found at AS 24.60.090. These apply to a individual who is related to a member of the legislature.

GIFTS - AS 24.60.075, AS 24.60.080

Does the Legislative Ethics Act regulate the giving of a gift of incidental value to a member of the executive branch and their spouse in recognition of efforts on a specific piece of legislation?

No. The Legislative Ethics Act does not cover your act of the giving of a gift of incidental value as you have described to a member of the executive branch. AS 24.60.080 on gifts is concerned with the receipt of gifts by a legislator or legislative employee and is therefore not relevant to your situation.

Is there a limit on a gift which is not a campaign contribution a legislator may receive from a non-lobbyist?

Yes. Under AS 24.60.080(a)(1) a legislator may not solicit, accept or receive in the aggregate a gift or gifts totaling \$250 or more in a calendar year unless it falls under one of the many exceptions found in AS 24.60.080(c).

You are a sitting legislator. You received a remote lodge trip that became available to you through the invitation of your son. This trip benefit was available to your son as an employee of a private company. You have asked whether or not a gift disclosure is required as a result of your acceptance and participation in this trip. This gift was not related to your legislative status. This trip was not offered to you as a result of you being a legislator subject to provisions of the Legislative Ethics Act, AS 24.60.

If the gift was given by your son then no gift disclosure if necessary under statutory provisions in AS 24.60.080(c)(5). We also discussed the possibility of you, in an abundance of caution, filing a disclosure as a gift not related to your legislative status under AS 24.60.080(c)(6). This would be a confidential filing in our office and which is not published in accordance with section (d) of that statute. This filing could be reasoned as necessary in the event that the private company was determined to be the person providing the "gift". Your status as a candidate in the primary or general election is not relevant to any disclosure requirement under the Ethics Act.

This question is on behalf of my legislator. Does a legislator have a disclosure requirement for a meal consumed by the legislator and paid for by a corporation? This meal was not paid for by a lobbyist.

Hospitality including a social event or meal does not require a disclosure. It is addressed at AS 24.60.080(c)(1)(B) and is an exception to the general rule of gifts under AS 24.60.080(a)(1).

GOVERNMENT RESOURCES - AS 24.60.030

Your office staff has asked on your behalf whether there is a Legislative Ethics Act AS 24.60 issue with the purchase by your office of 2 tickets for a non-profit fundraiser. My understanding is that the invitation came to your legislative office.

The legislative office account has a very general standard for expenditures of "reasonably related to service in office." A legislator therefore has a wide range of discretion in expenditure of these funds. The organization in this specific case, it should be noted, serves the entire municipality including the district from which you were elected and the issues of the non-profit fall under matters of legislative concern. There is no Legislative Ethics Act provision specifically addressing the expenditure for nonpolitical social events in the state as requested by your staff and I can only give this limited advice.

May a legislator use state funds to produce and send a flyer promoting a district picnic designed to meet with constituents involving both a representative and a senator during a campaign period?

Yes, with the understanding that no partisan or campaign activity or material of any sort should be associated with the picnic to avoid the restrictions under AS 24.60.030(a)(2) and (5).

May a legislator use government resources to produce a flyer which advertises and promotes a community picnic that is being hosted by multiple legislators and which will be distributed by each of the legislators? The picnic is sponsored by a number of community organizations. The flyer will not contain partisan or campaigning information. The flyers will be distributed very shortly after the primary election in August 2016 and the picnic itself will be more than two months before the general election.

Yes. The use of government resources for a legislative purpose is permissible under the constraints and timetables you have proposed. The appearance of any impropriety or campaigning is significantly lessened by the timetable you have adopted for this event. The use of a single flyer for the event containing the names of all legislators participating is a practical and efficient use of state resources for this legislative purpose event.

You have asked as a legislative staffer if there is a concern under the Legislative Ethics Act with use of a personal computer which also has political campaign material to access your state email in outlook.

There is no direct Legislative Ethics Act issue with this use of your personal computer but you will wish to make sure that you do not violate any Information Services restrictions or security including information that may be confidential under law which is addressed by AS 24.60.060. Policies which restrict computer and mobile device use and that have been approved by the Legislative Council are on the Intranet.

As a legislative staffer is there is a concern under the Legislative Ethics Act with the use of a personal computer which also has political campaign material to access your state email in outlook?

No. There is no direct Legislative Ethics Act issue with this use of your personal computer but you will wish to make sure that you do not violate any Information Services restrictions or security including information that may be confidential under law which is addressed by AS 24.60.060.

May a legislator use legislative letterhead for a letter of reference?

Yes. This is specifically mentioned as a common practice in AO 07-02 and may also be considered as a personal purpose when the cost or value related to the use is nominal under AS 24.60.030(a)(2)(A).

May I use outside computer resources for legislative business?

This question is not addressed by the Legislative Ethics Act AS 24.60. The legislative intranet contains a Mobile Device Policy , Computer Acceptable Use Policy, and IT Resources Policy adopted by the Legislative Council that may assist with this question.

May a legislator post documents to a campaign Facebook page that were originally produced in the legislative office?

Yes, with some restrictions. All legislative contact information and links should be removed from those documents. Advisory Opinion 12-04 specifically advised a legislator or legislative employee regarding this matter. Quoting from that opinion, "[i]n the August 2010 edition of our newsletter The Advisor, we advised that, notwithstanding the July 12, 2010 APOC opinion, providing a link to a legislative webpage or e-newsletter on a campaign website is prohibited under AS 24.60.030(a)(5), AS 24.60.030(b), and AS 24.60.030(h). We also advised that nothing in the Legislative Ethics Act prohibits a legislator from pasting certain information from a legislative website or e-newsletter to a campaign website as long as certain prohibitions are followed; i.e., no legislative contact information is displayed on the material that is pasted. We re-published this advice recently, in the July 2012 edition of The Advisor, and we adopt it, for the purposes of this advisory opinion, as an accurate summary of relevant portions of the Legislative Ethics Act." Care should be used to make sure that any confidential documents or information is not posted which would violate AS 24.60.060 Confidential Information.

GOVERNMENT/ETHICS AGENCIES

Who administers the POET account and when can I setup POET account?

Alaska Public Offices Commission administers the POET account, referred caller to APOC for further information on POET account.

May a legislator use office allowance account funds to produce and mail a legislative newsletter within 60 days of the election?

Yes. AS 24.60.030(c) exempts out the office allowance account from the restrictions on printing or distributing a mass mailing within 60 days of an election. The closer a newsletter is to the election the more scrutiny it will receive as a campaigning effort or message. A legislator should be mindful of avoiding even the appearance of impropriety as stated in AS 24.60.010.

May a legislator raise public awareness of a future initiative as an alternative to pending legislation at a press conference?

Yes. The committee has issued several advisory opinions on the subject of initiative involvement by legislators and legislative employees. They are AO 97-02, AO 01-01 and AO 02-01. AO 97-02 states in part that the initiative is a process undertaken by private citizens rather than institutions of government but is an adjunct to the legislative process. The ethics code permits a legislator or a legislative employee to participate in the initiative process while acting in a private capacity. In other words, on their own time and without the use of public resources. Action in support of or in opposition to an initiative petition is governmental action for purposes of the legislative ethics code, and with certain exceptions, may be taken using governmental resources –space, supplies, and paid staff time of the legislature. Legislators are frequently called on to comment on public issues, whether or not legislation has been proposed relating to that issue, and to take stands on those issues. You have stated you have no intention to start a group or take the steps to get signatures for the initiative process. This is regulated by The Alaska Public Offices Commission and questions concerning those steps should be directed to that agency. The existing advisory opinions from the committee are focused on then existing initiatives or actions that a legislator may take in the initiative process. This differs from your question which is largely one of free speech advocacy of alternatives concerning the political process and does not specifically involve any of the prohibitions mentioned in AO 97-02 referenced above in the initiative process. Advisory Opinion 01-01 states that legislators are expected to participate in discussion of public issues and therefore should be able to use public resources to do so. Your mention of an initiative as an alternative appears to be a discussion of these very public issues.

What are the deadline restrictions on a legislative newsletter?

AS 24.60.030(c) restricts a political mass mailing as follows:

Unless approved by the committee, during a campaign period for an election in which the legislator or legislative employee is a candidate, a legislator or legislative employee may not use or permit another to use state funds, other than funds to which the legislator is entitled under AS 24.10.110, to print or distribute a political mass mailing to individuals eligible to vote for the candidate. In this subsection, a "campaign period" is the period that begins 60 days before the date of an election to the board of an electric or telephone cooperative organized under AS 10.25, a municipal election, or a primary election, or that begins on the date of the governor's proclamation calling a special election; and ends the day after the cooperative election, municipal election, or general or special election; a mass mailing is considered to be political if it is from or about a legislator, legislative employee, or another person who is a candidate for election or reelection to the legislature or another federal, state, or municipal office or to the board of an electric or telephone cooperative.

This is the only hard deadline restriction on a newsletter as defined under AS 24.60.030(c) as a "political mass mailing." A legislator should avoid even the appearance of impropriety. The closer a newsletter is to an election the more scrutiny it will receive if a complaint is filed alleging the newsletter was a campaign piece sent by a legislator. Content may be part of this review. A newsletter that describes an emergency situation in the district would be viewed differently than one concerning only issues without an emergency situation. Timeliness of the information contained in the newsletter could also be part of a review. If a legislator deliberately held onto a newsletter so it could be distributed closer to an election a determination related to that newsletter work be different than one distributed timely.

May a legislator respond using state email to an incorrect media story involving the legislator and the political campaign of another person?

This is not subject to a universal answer. The expressed intent of using the state email is to ensure that legislative relationships are not impacted by an erroneous media story and to inform all of the other legislators of the correct facts. The tone and language of the message is very important. State resources can't be used for political or campaign purposes under AS 24.60.030 and a message should not focus on such purposes or be a primary purpose of a state email message. The message you have crafted has a legislative purpose in maintaining legislative working relationships.

As a legislative staffer on behalf of my legislator is there an ethics concern of distributing legislative newsletters by a legislator during legislative district walks?

No, unless there is campaign related activity occurring also as part of those legislative district walks. Campaign buttons, material or signs should not be part of a legislative district walk for legislative purposes.

May a legislator send a legislative newsletter out within 60 days of an election?

There is a restriction on the use of state resources to print or distribute a mass mailing, i.e. a newsletter, by a legislator, who is a candidate, under AS 24.60.030(c). That means you can't use office staff, an office printer or the print shop as examples to print or distribute a newsletter. An exception to this is the use of office allowance funds under AS 24.10.110 to print and distribute. Another issue is the closer to an election a newsletter is sent and received by a constituent the closer scrutiny it will have as a "campaign" piece rather than as a normal legislative newsletter.

May I as a legislator walk in my district and contact constituents and provide them with a newsletter produced before the "campaign period" defined in AS 24.60.030(c)? I will not have any campaign literature, campaign buttons, campaign signs or other campaign related material with me. My intent is to meet with my constituents and not have campaign discussions or any campaign fundraising. May a staffer who volunteers on non-government time do the same activity?

Yes. You are a candidate for legislative office in the August 2016 primary. The intended timeframe for your activity is July and August 2016. AS 24.60.030(c) refers to the use of state resources to print or distribute a political mass mailing to individuals eligible to vote for the candidate. Your contemplated activity does not fall under the restriction of AS 24.60.030(c). You are not engaging in a mass mailing of the newsletter. A staffer who volunteers on non-government time to walk in the district and distribute the same newsletter is also not restricted from this activity.

May a newsletter from our legislative office go out right after the primary, in which my legislator is a candidate, using office allowance funds? No other office resources would be used to print or distribute this newsletter.

Yes. The use of the office allowance account is an exception to the restriction under AS 24.60.030(c). The timing of this newsletter after the primary makes this unlikely to be deemed a campaign piece since it would be more than 70 days before the November general election.

May a legislator distribute by mail a newsletter not relating to an emergency situation within 30 days of the primary election in which the legislator is a candidate?

No. Such a newsletter would be deemed to be campaign related and violate AS 24.60.030(c)(5).

You have asked on behalf of your legislator if there are Legislative Ethics Act restrictions or concerns with two different legislative communications. The first is whether it is permissible to send a legislative newsletter out now before the primary election. I note that this is 11 days before the primary election in which the legislator is a candidate. The second communication question concerns whether a legislative communication could be sent to residents of a group home within the district during this same time period.

Such a newsletter communication would likely be considered a campaign message because it is within 30 days of the election and the topics would not be limited to emergency nature type items in the district. The group home communication would also likely be considered a campaign message and not a legislative purpose communication due to the timing of the production and delivery and topics intended to be included in the communication. If these communications were determined to be campaign communications then the communications would be in violation of AS 24.60.030(a)(5) by the use of state resources for campaigning.

Does a legislative e-newsletter containing emergency information about an unresolved shooting in the legislative district and which contains public safety contact resources in such a situation from a legislator who is a candidate in a primary election 7 days in the future have an ethics issue?

No ethics issue. The scenario you have described is of an emergency public safety issue of very recent origin and the newsletter contains resources for the people in the legislator's district to handle such a situation. This is unlikely to be deemed a campaign piece due to the limited topic and contents and the clear legislative purpose in such a communication.

Does a legislative e-newsletter from a legislator, who is a candidate in the primary election, which contains notices of public meetings in the community have any ethics issue if issued 6 or less days before the primary?

The e-newsletter you have described does not have an emergency or time sensitive nature. The public meetings you wish to promote through the newsletter are not of recent origin or development and do not contain emergency topic issues. It has the look of a campaign piece and could have a significant risk of being in violation of AS 24.60.030(a)(5) by the use of state resources for campaigning.

Does an e-newsletter from a legislator sent 6 or less days prior to the primary election discussing community event dates have any ethics issue?

The e-newsletter you have described would not be of an emergency nature or time-sensitive as the events you have described were planned and known months ago or a significant length of time in the past. This e-newsletter therefore has the look of a campaign piece and not a legislative purpose driven newsletter. There is a very significant risk that this could be determined to be the use of state resources for campaigning in violation of AS 24.60.030(a)(5).

May office allowance account funds of a legislative office be used to fund a statewide poll concerning an initiative ballot issue or a citizen initiative issue?

You have asked a very general question. The office allowance account has as a general purpose expenditures reasonably related to service in office. The legislative office should check with the accounting office for further restrictions on use of this account. Restrictions on activities related to ballot initiatives were discussed in Advisory Opinion 97-02 issued by the Committee. More recently AO 15-01 discussed statewide communications by a legislative office. Restrictions in AS 24.60.030 on conflicts of interest related to legislative purpose, campaigning and providing a private benefit should also be considered when planning such an activity.

MISCELLANEOUS

May a complaint be filed under the Legislative Ethics Act against the Ombudsman, a legislator or a legislative employee?

Yes. A complaint under the Legislative Ethics Act AS 24.60 may be filed against any of these people. The complaint form and further information may be found at <http://ethics.akleg.gov/complaint.php>.

Where can I find the Standards of Conduct Handbook for Legislators and Legislative Employees and the link to filing an ethics complaint form?

Both of these items can be found at the Ethics Webpage on the Legislative site. <http://ethics.akleg.gov/documents/HANDBOOK%2015-16.pdf> and <http://ethics.akleg.gov/complaint.php>

OPEN MEETINGS - AS 24.60.037

Is a meeting between a small number of legislators who do not constitute a majority of a legislative committee and representatives of a public corporation subject to the open meetings law of AS 24.60.037?

No. These legislators do not constitute a "legislative body" as defined under that statute and therefore any meeting as described is not subject to the open meetings statute AS 24.60.037.

TRAVEL/HOSPITALITY - AS 24.60.080(c)(4)

May a legislator visit their district using state funds during a "campaign period?"

Nothing in the Legislative Ethics Act AS 24.60 restricts the expenditure of state funds for a trip with solely legislative purposes. Such a trip, however, should not involve any partisan or campaign activity as restricted under and by AS 24.60.030(a)(2) and (a)(5). A legislator should be careful when on a state funded trip to avoid any campaigning or partisan activities. This is especially true in a campaign period when the appearance of impropriety may be greater. AS 24.60.010 has a goal of avoiding even the appearance of impropriety. That being said, a state funded trip to a legislator's district for legislative purposes alone would not run afoul of provisions of the Legislative Ethics Act AS 24.60.

INTERN/VOLUNTEER REQUESTS - AS 24.60.080(h), AS 24.60.112

As a legislative staffer on behalf of my legislator, what are the programs approved for internships and what is the process?

There is a list of approved intern programs found at http://ethics.akleg.gov/documents/INTERNSHIP_REQUEST.pdf . If you have another program then you can use the approval process through the Ethics Committee Administrator and Chair explained in this link.

ITEM 8c: ETHICS TRAINING

- i. 2016 Final Numbers: 103**
- ii. 2017 Training Update: 560 (26 online)**
 - Thirteen training sessions were held: twelve in Juneau, and one in Anchorage. Two of the thirteen were teleconferenced to include remote employees.**

ITEM 8d: ETHICS DISCLOSURES

Disclosures Filed: 2016

TYPE OF DISCLOSURE	SENATE	HOUSE	JOINT	TOTALS
Gifts of Travel and/or Hospitality				
Legislator	14	52	0	66
Legislative Staff	10	6	3	19
Total	24	58	3	85
Gifts of Travel and/or Hospitality - Family Member				
Legislator	1	5	0	6
Legislative Staff	0	0	0	0
Total	1	5	0	6
Membership on a Board of Directors				
Legislator	29	58	0	87
Legislative Staff	37	52	33	122
Total	66	110	33	209
Close Economic Association				
Legislator	10	52	0	62
Legislative Staff	9	28	6	43
Total	19	80	6	105
Close Economic Association - Lobbyist				
Legislator	0	0	0	0
Legislative Staff	2	3	2	7
Total	2	3	2	7
State Contracts, Leases & Grants > \$5,000				
Legislator	3	2	0	5
Legislative Staff	0	0	7	7
Total	3	2	7	12
State Benefit & Loan Programs				
Legislator	0	1	0	1
Legislative Staff	0	5	3	8
Total	0	6	3	9

Representation for Compensation				
Legislator	0	0	0	0
Legislative Staff	0	1	0	1
Total	0	1	0	1
Sanctioned Charitable Event				
Legislator	4	11	0	15
Legislative Staff	0	0	0	0
Total	4	11	0	15
Sanctioned Charitable Event - Family				
Legislator	2	5	0	7
Legislative Staff	0	0	0	0
Total	2	5	0	7
Total number of disclosure filed by legislators	63	186		249
Total number of disclosure filed by legislative staff	58	95	54	207
GRAND TOTALS	121	281	54	456

Comparison of Disclosures Filed: 2013 - 2016

TYPE OF DISCLOSURE	2013	2014	2015	2016
Gifts of Travel and/or Hospitality				
Legislator	215	126	178	66
Legislative Staff	95	39	45	19
Total	310	165	223	85
Gifts of Travel and/or Hospitality - Family Member				
Legislator	13	19	6	6
Legislative Staff	0	0	0	0
Total	13	19	6	6
Membership on a Board of Directors				
Legislator	36	36	75	87
Legislative Staff	92	94	122	122
Total	128	130	197	209
Close Economic Association				
Legislator	62	67	40	62
Legislative Staff	70	69	37	43
Total	132	136	77	105
State Contracts, Leases & Grants > \$5,000				
Legislator	3	7	7	5
Legislative Staff	15	3	3	7
Total	18	10	10	12
State Benefit & Loan Programs				
Legislator	0	2	3	1
Legislative Staff	4	8	7	8
Total	4	10	10	9
Total number of disclosure filed by legislators	329	257	313	227
Total number of disclosure filed by legislative staff	276	213	218	199
GRAND TOTALS	605	470	531	426

Disclosures Filed: January 1, 2017 - February 17, 2017

TYPE OF DISCLOSURE	SENATE	HOUSE	JOINT	TOTALS
Gifts of Travel and/or Hospitality				
Legislator	4	11	0	15
Legislative Staff	2	1	2	5
Total	6	12	2	20
Membership on a Board of Directors				
Legislator	52	30	0	82
Legislative Staff	52	35	39	126
Total	104	65	39	208
Close Economic Association				
Legislator	2	21	0	23
Legislative Staff	6	12	6	24
Total	8	33	6	47
Close Economic Association - Lobbyist				
Legislator	0	0	0	0
Legislative Staff	1	0	2	3
Total	1	0	2	3
State Contracts, Leases & Grants > \$5,000				
Legislator	4	0	0	4
Legislative Staff	0	0	2	2
Total	4	0	2	6
State Benefit & Loan Programs				
Legislator	0	0	0	0
Legislative Staff	0	4	2	6
Total	0	4	2	6
Representation for Compensation				
Legislator	0	0	0	0
Legislative Staff	0	1	0	1
Total	0	1	0	1
Total number of disclosure filed by legislators	62	62	0	124
Total number of disclosure filed by legislative staff	61	53	53	167
GRAND TOTALS	123	115	53	291

Disclosures Filed: January 1, 2017 - June 30, 2017

TYPE OF DISCLOSURE	SENATE	HOUSE	JOINT	TOTALS
Gifts of Travel and/or Hospitality				
Legislator	5	14	0	19
Legislative Staff	3	5	3	11
Total	8	19	3	30
Membership on a Board of Directors				
Legislator	59	31	0	90
Legislative Staff	54	40	44	138
Total	113	71	44	228
Close Economic Association				
Legislator	2	34	0	36
Legislative Staff	8	17	9	34
Total	10	51	9	70
Close Economic Association - Lobbyist				
Legislator	0	0	0	0
Legislative Staff	1	0	3	4
Total	1	0	3	4
State Contracts, Leases & Grants > \$5,000				
Legislator	7	0	0	7
Legislative Staff	0	0	3	3
Total	7	0	3	10
State Benefit & Loan Programs				
Legislator	0	0	0	0
Legislative Staff	0	5	3	8
Total	0	5	3	8
Representation for Compensation				
Legislator	0	0	0	0
Legislative Staff	0	1	0	1
Total	0	1	0	1
Total number of disclosure filed by legislators	73	79		152
Total number of disclosure filed by legislative staff	66	68	65	199
GRAND TOTALS	139	147	65	351

Membership on a Board of Directors CALENDAR YEAR 2017

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Michaela	Anderson	Tanana District 4-H	AK	99775	9	2016	Current
Joyce	Anderson	Anchorage Municipal Election Commission	Fairbanks	AK	99775	9	16-Feb-17
Joyce	Anderson	Anchorage Elections Stakeholder Group	Anchorage	AK	99501	10	17-May-17
Joyce	Anderson	Alaska Election Policy Work Group	Anchorage	AK	99501	1	17-May-17
Joyce	Anderson	League of Women Voters Anchorage	Anchorage	AK	99501	4	18-May-17
Thomas	Atkinson	SafeHaven Co-Operative	Anchorage	AK	99510	4	17-May-17
Senator Tom	Begich	Alaska Juvenile Justice Advisory Committee	Anchorage	AK	99501	1	13-Jan-17
Richard	Benavides	Board of Trustees, IATSE Local 918 (International Alliance of Theatrical Stage Employees, Moving Picture Technicians, Artists and Allied Crafts of the United States, Its Territories and Canada)	Juneau	AK	99811	10	14-Feb-17
Lynette	Bergh	Crime Stoppers of Fairbanks	Anchorage	AK	99510-0421	10	27-Jan-17
Dianne	Blumer	Midnight Sun Republican Womens Club	Fairbanks	AK	99709	1	23-Jan-17
Thatcher	Brouwer	Alaska Trollers Association	Anchorage	AK	99503	12	16-Jan-17
Thatcher	Brouwer	Douglas Island Pink and Churn, Inc	Juneau	AK	99801	9	14-Feb-17
Thatcher	Brouwer	Juneau Douglas Fish and Game Advisory Committee	Juneau	AK	99801	12	14-Feb-17
Thatcher	Brouwer	Highland Terrace Condo Association	Juneau	AK	99811	12	14-Feb-17
Krisztina	Bury	Juneau Cooperative Preschool	Auke Bay	AK	99801	3	14-Feb-17
Heather	Carpenter	Hugh O'Brian Youth Leadership of Alaska	Juneau	AK	99801	5	10-Jan-17
Katherine	Carscallen	Sustaining Bristol Bay Fisheries Project	Anchorage	AK	99516	9	15-Feb-17
Drew	Cason	University of Alaska Alumni Association	Dillingham	AK	99576	10	26-Jan-17
Drew	Cason	Alaska Common Ground	Anchorage	AK	99508	12	26-Jan-17
Representative Mike	Chenault	Boys and Girls Club of the Kenai Peninsula	Anchorage	AK	99524-1672	7	26-Jan-17
		705 Frontage Rd Ste. B	Kenai	AK	99611	1	15-Feb-17

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Representative Mike	Chenault	1645 Falmouth Rd. Bldg. D	MA	02632	1	11 2011	Current 15-Feb-17
Representative Mike	Chenault	1645 Falmouth Rd. Bldg. D	MA	02632	1	18 2011	Current 15-Feb-17
Jennifer	Christensen	3800 Mendenhall Loop Road	AK	99801	8	1 2016	Current 6-Jan-17
Representative Matt	Claman	PO Box 101504	AK	99510-1504	7	1 2013	Current 15-Feb-17
Liz	Clark	7705 Glacier Highway	AK	99801	10	1 2015	Current 4-Jan-17
Senator John	Coghill	510 L Street Suite 450	AK	99501	1	23 2015	Current 16-Feb-17
Jane	Conway	PO Box 15226	AK	99603	1	1 2014	Current 8-Feb-17
Skip	Cook	1400 Gillam Way	AK	99701	1	1980	Current 16-Feb-17
Erick	Cordero Giorgana	City of Palmer	AK	99645	10	1 2016	Current 16-Jan-17
Amber	Corey	PO Box 449	AK	99556	12	2015	Current 16-Feb-17
Shareen	Crosby	1705 W 32nd Ave	AK	99517	10	1 2012	Current 23-Mar-17
Rob	Earl	716 S Alaska St	AK	99645	4	15 2015	Current 17-Jan-17
Representative David	Eastman	2900 Crystal Drive, 6th Floor	VA	22202	12	2016	Current 27-Feb-17
Bryce	Edgmon	PO Box 330	AK	99576	6	2003	Current 13-Feb-17
Robert	Edwardson	3842 Killewich	AK	99801	2	7 2017	Current 16-Feb-17
Senator Dennis	Egan	544 West 8th Street	AK	99801	1	8 1968	Current 16-Feb-17
Senator Dennis	Egan	9301 Glacier Hwy, Suite 110	AK	99801	2	2 1982	Current 16-Feb-17
Senator Dennis	Egan	350 Whittier St, Suite 105	AK	99801	1	1 1976	Current 16-Feb-17
Weston	Eiler	76 Egan Drive	AK	99801	11	10 2015	Current 9-Feb-17
Weston	Eiler	535 Harris St.	AK	99801	1	1 2016	Current 9-Feb-17
Representative Zach	Fansler	General Delivery	AK	99559	5	1 2015	Current 16-Feb-17
Representative Zach	Fansler	PO Box 545	AK	99559	6	1 2014	Current 16-Feb-17

NAME	BOARD/ORGANIZATION	ADDRESS				FROM			TO	DATE FILED
Representative Zach	Fansler	Bethel Actors Guild	General Delivery	Bethel	AK	99559	9	1	2013	Current
Jennifer	Fletcher	Platypus Gaming	4362 Taku Blvd	Juneau	AK	99801	6		2014	Current
Representative Neal	Foster	Bering Straits Native Corp (Arcticom, Bering Straits Aerospace, Global Asset, Global Support Services, Eagle Eye, Inuit)	Box 1008	Nome	AK	99762	1	31	2016	Current
Representative Neal	Foster	Sitnasuak Native Corp (subsidiaries Bonanza Fuel, SNC Financial Services, SNC Technical, SNC Properties)	Box 905	Nome	AK	99762	1	31	2016	Current
Representative Neal	Foster	Siu Alaska Corporation	420 L Street	Anchorage	AK	99501	1	31	2016	6-Feb-17
Wanda	Fulton	S.A.F.E. (Safe And Fearfree Environment)	Box 94	Dillingham	AK	99576	11		2014	Current
Wanda	Fulton	Nushagak Cooperatives	Box 350	Dillingham	AK	99576	8		2015	Current
Wanda	Fulton	Dillingham Trinity Lutheran Church	Box 1730	Dillingham	AK	99576	12		2001	Current
Doug	Gardner	Jordan Meadows Condo Assoc.	PO Box 2035A Unit 3A	Juneau	AK	99801	10	15	2015	Current
Doug	Gardner	City of Juneau Pipe Band	4422 Windfall Ave.	Juneau	AK	99801	2	15	2016	Current
Olivia	Garrett	Alaska Democratic Party	2602 Fairbanks St.	Anchorage	AK	99503	2	11	2017	Current
Daniel	George	Mountain View Community Council	161 Klevin Street, Suite 204	Anchorage	AK	99508	9	10	2012	Current
Doniece	Gott	Theatre in the Rough	315 Fifth Street #3	Juneau	AK	99801	7	1	2001	Current
Anjuli	Grantham	Alaska State Historical Records Advisory Board	395 Whittier Street	Juneau	AK	99801	10	1	2015	Current
Tim	Grussendorf	SEAFSA (Southeast Alaska Fisherman's Alliance)	9369 North Douglas Hwy	Juneau	AK	99801	1	5	2016	Current
Rochelle	Grzyb	Tok Friends of NRA	N/A	Tok	AK	99780	1	24	2017	25-Jan-17
Michelle	Hahn O'Leary	Alaska Satsang Society, Inc	PO Box 111877	Anchorage	AK	99574	10	22	2006	Current
Peter	Hall	Great Northern Brewers Club, Inc	2806 Snug Harbor Cir	Anchorage	AK	99507	1	1	2013	Current
James	Hart	Chilkoot Indian Association	17 Main St #1	Haines	AK	99827	10	15	2015	Current
James	Hart	Delegate; Central Council Tlingit Haida Indian Tribes of Alaska	9097 Glacier Highway	Juneau	AK	99801	4	22	2014	Current
Aurora	Hauke	National Conference of State Legislatures Leadership Staff Section	7700 E First Pl	Denver	Co	80230	9	11	2010	Current
Aurora	Hauke	Auke Bay Towers Condominium Association	PO Box 210546	Auke Bay	AK	99821	11	17	2016	Current
Linda	Hay	Crow Hill Condo Home Owners Association	PO Box 240396	Douglas	AK	99824	1	15	2017	Current
Sorcha	Hazelton	Juneau Assembly #3, International Order of the Rainbow for Girls	9315 Glacier Highway	Juneau	AK	99801	1	14	2017	Current
Kate	Higgins	Gold Creek Child Development Center	709 W 9th St #131	Juneau	AK	99801	2		2015	Current

NAME		BOARD/ORGANIZATION	ADDRESS		FROM			TO	DATE FILED	
Heath	Hilyard	Junior Achievement Alaska	639 W. International Airport Rd., Ste. 38	Anchorage	AK	99518	5 1	2013	Current	14-Feb-17
Senator Lyman	Hoffman	Bethel Native Corp	PO Box 719	Bethel	AK	99559	1 1	1985	Current	16-Feb-17
Senator Lyman	Hoffman	Council of State Governments (CSG) Energy & Environment Public Policy Committee	1776 Avenue of the States	Lexington	KY	40511	4 7	2017	Current	17-May-17
Senator Lyman	Hoffman	BNC International	PO Box 719	Bethel	AK	99559	1 1	1985	Current	16-Feb-17
Senator Lyman	Hoffman	Bethel Solutions	PO Box 189	Bethel	AK	99559	1 1	2013	Current	17-May-17
Senator Lyman	Hoffman	Council of State Governments West - Energy & Environment Committee	1107 9th Street, Suite 730	Sacramento	CA	95814	4 7	2017	Current	17-May-17
Senator Lyman	Hoffman	Bethel Native Corp	PO Box 719	Bethel	AK	99559	1 1	1985	Current	4-May-17
Senator Lyman	Hoffman	BNC International	PO Box 719	Bethel	AK	99559	1 1	1985	Current	4-May-17
Senator Lyman	Hoffman	Bethel Solutions	PO Box 189	Bethel	AK	99559	1 1	2013	Current	17-May-17
Senator Lyman	Hoffman	National Conference of State Legislatures (NCSL)- Communications, Financial Services & Interstate Commerce Committee	444 North Capitol Street, N.W., Suite 515	Washington, D.C.	US	20001	4 7	2017	Current	17-May-17
Senator Lyman	Hoffman	Bethel Solutions	PO Box 189	Bethel	AK	99559	1 1	2013	Current	16-Feb-17
Senator Lyman	Hoffman	Bethel Native Corp	PO Box 719	Bethel	AK	99559	1 1	1985	Current	17-May-17
Senator Lyman	Hoffman	BNC International	PO Box 719	Bethel	AK	99559	1 1	1985	Current	17-May-17
Senator Lyman	Hoffman	Bethel Solutions	PO Box 189	Bethel	AK	99559	1 1	2013	Current	4-May-17
Senator Lyman	Hoffman	Bethel Native Corp	PO Box 719	Bethel	AK	99559	1 1	1985	Current	17-May-17
Senator Lyman	Hoffman	BNC International	PO Box 719	Bethel	AK	99559	1 1	1985	Current	17-May-17
Shawn	Hooton	Juneau Crime Line	P.O. Box 20141	Juneau	AK	99802-0141	9 14	2016	Current	3-Jan-17
Senator Shelley	Hughes	Galena Young Life	3 Pharmigan Road	Galena	AK	99741	6 1	2013	Current	17-Feb-17
Senator Shelley	Hughes	Recover Alaska	301 Northern Lights Blvd.	Anchorage	AK	99501	6 1	2013	Current	17-Feb-17
Representative Shelley	Hughes	Comprehensive Economic Development Strategy Committee	550 W. 7th Ave., Suite 1770	Anchorage	AK	99501	12 16	2016	Current	13-Feb-17
Senator Shelley	Hughes	Comprehensive Economic Development Strategy	550 W. 7th Ave., Ste 1770	Anchorage	AK	99501	12 16	2016	Current	17-Feb-17
Senator Shelley	Hughes	Comprehensive Economic Development Strategy Committee	550 W. 7th Avenue, Suite 1770	Anchorage	AK	99501	12 16	2016	Current	27-Jan-17
Senator Shelley	Hughes	Mat-Su Young Life	14235 East River Bend Circle	Palmer	AK	99645	9 13	2015	Current	17-Feb-17

NAME		BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED		
Senator Shelley	Hughes	Alaska Tourism Marketing Board	550 W. 7th Ave., Ste 1770	Anchorage	AK	99501	1 5	2015	Current	17-Feb-17
Konrad	Jackson	Legislative Skits	535 Harris St	Juneau	AK	99801	2 4	2015	Current	7-Feb-17
Konrad	Jackson	Alaska State Elks Association	231 Knoll Cricle	Soldotna	AK	99669	5 14	2011	Current	23-Jan-17
Jodi	Jacques	Alaska Library Association Anchorage Chapter	3211 Providence Drive	Anchorage	AK	99508	1 1	2017	Current	25-Jan-17
Abbey	Janes	Juneau Community Charter School Academic Policy Committee	430 4th St.	Juneau	AK	99801	5 2	2017	Current	3-May-17
Courtney	Johnson	Mendenhall Condominium Association	PO Box 34501 (James Blvd)	Juneau	AK	99803	4 10	2013	Current	3-Jan-17
Representative Andy	Josephson	Alaska Wildlife Advisory Board	308 G St #308	Anchorage	AK	99501	6	2008	Current	24-Jan-17
Representative Scott	Kawasaki	Fairbanks Community Health Center	1650 23rd Avenue	Fairbanks	AK	99701	11 1	2013	Current	16-Feb-17
Joe	Keikkala	Juneau Rotary Club	PO Box 21165	Juneau	AK	99801	7 1	2015	Current	10-Jan-17
Mariauna	Keil	City of Seward	PO Box 167	Seward	AK	99664	10 dd	2008	Current	14-Feb-17
Jesse	Kiehl	City & Borough of Juneau	155 South Seward St.	Juneau	AK	99801	10 17	2011	Current	15-Feb-17
Jesse	Kiehl	Legislative Skits	535 Harris St.	Juneau	AK	99801	1 1	2005	Current	15-Feb-17
Jesse	Kiehl	Alaska Municipal League	One Sealaska Plaza, Suite 200	Juneau	AK	99801	11 21	2014	Current	15-Feb-17
Representative Sam	Kito	Member of Executive Committee, National Conference of Insurance Legislators	2317 Route 34, Suite 2B	Manasquan	NJ	08736	1	2017	Current	16-Feb-17
Representative Sam	Kito	Member of NCOIL-NAIC Dialogue Committee, National Conference of Insurance Legislators	2317 Route 34, Suite 2B	Manasquan	NJ	08736	1	2017	Current	16-Feb-17
Representative Sam	Kito	Vice-Chair of the Financial Services & Investment Products Committee, National Conference of Insurance Legislators	2317 Route 34, Suite 2B	Manasquan	NJ	08736	1	2017	Current	16-Feb-17
Representative Sam	Kito	Member of Health, Long-Term Care and Health Retirement Committee, National Conference of Insurance Legislators	2317 Route 34, Suite 2B	Manasquan	NJ	08736	1	2017	Current	16-Feb-17
Representative Sam	Kito	Member of State Federal Relations Committee, National Conference of Insurance Legislators	2317 Route 34, Suite 2B	Manasquan	NJ	08736	1	2017	Current	16-Feb-17
Kendra	Kloster	Russian Jack Community Council	420 Mellow Place	Anchorage	AK	99508	12 12	2012	Current	30-Jan-17
Kendra	Kloster	Muldoon Farmers Market	7243 Old Harbor Ave	Anchorage	AK	99504	5 16	2016	Current	30-Jan-17
Kendra	Kloster	Bavarian Village Condo Association	2825 Rose St	Anchorage	AK	99508	1 1	2017	Current	30-Jan-17
Kendra	Kloster	Northeast Community Council Parks Subcommittee	1057 W Fireweed Ln Suite 100	Anchorage	AK	99503	9 17	2015	Current	30-Jan-17

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Kendra	Kloster	632 W 6th Ave	AK	99501	10 1	2015	Current
Melissa	Kookesh	8585 Old Dairy Road, Suite 104	AK	99801	6 4	2016	Current
Representative Chuck	Kopp	P.O. Box 176	AK	99653	6 30	1995	Current
Representative Chuck	Kopp	P.O. Box 91984	AK	99509	5 13	2014	Current
Representative Chuck	Kopp	12407 Pintail	AK	99516	11 1	2014	Current
Representative Jonathan	Kreiss-Tomkins	11-58 49th Ave, Apt. 4A	NY	11101	1 1	2017	Current
Representative Jonathan	Kreiss-Tomkins	PO 2106	AK	99835	1 1	2017	Current
Representative Jonathan	Kreiss-Tomkins	PO Box 2420	AK	99835	1 1	2017	2-Feb-17
Paul	Labolle	1800 Glacier Ave	AK	99801	2 4	2014	Current
Tim	Lamkin	PO Box 10145	AK	99710	10 1	2015	Current
Tim	Lamkin	535 Harris St	AK	99801	1 1	1999	Current
Representative Gabrielle	LeDoux	1016 W 6th Ave Suite 200	AK	99501	3 1	2009	Current
Representative Gabrielle	LeDoux	10449 Luke Ave	AK	99506	6 1	2014	Current
Representative Gabrielle	LeDoux	740 Communications Ave	AK	99503	6 1	2012	Current
Representative Gabrielle	LeDoux	501 L St Suite 603	AK	99501	2 1	2015	Current
Jessica	Leija	PO Box 987	AK	99737	1 1	2017	Current
Jessica	Leija	PO Box 770	AK	99737	5 1	2012	Current
Arnold	Liebelt	PO Box 240811	AK	99824	11 1	2016	Current
Marina	Lindsey	7705 Glacier Hwy.	AK	99801	10 dd	2011	Current
Linda	Lord-Jenkins	200 W. 2nd Avenue	IA	50125	10 12	2015	Current
Juli	Lucky	1219 N Street	AK	99501	8	2015	Current
Senator Anna	MacKinnon	7700 East First Place	CO	80230	8	2015	Current

NAME		BOARD/ORGANIZATION	ADDRESS			FROM			TO	DATE FILED	
Ted	Madsen	Alaska Common Ground	PO Box 241672	Anchorage	AK	99524	5	12	2012	Current	15-Feb-17
Ted	Madsen	Alaska Commons Media, LLC. Note: Discloser maintains no control or input over the editorial content of the news organization.	7020 Potomac Dr.	Anchorage	AK	99504	10	28	2013	Current	15-Feb-17
Reid	Magdanz	Island Institute	PO Box 2420	Sitka	AK	99835	9	15	2015	Current	26-Jan-17
Christine	Marasigan	Filipino American National Historical Society	810 18th Avenue, Room 100	Seattle	WA	98122	6		2012	Current	16-Feb-17
Bethany	Marcum	SCI & SCI-PAC Board of Trustees	4800 West Gates Pass Rd	Tucson	AZ	85745	6	30	2015	Current	9-Feb-17
Bethany	Marcum	Safari Club International (SCI) Alaska Chapter	PO Box 770511	Eagle River	AK	99577	5	1	2007	Current	9-Feb-17
Jenny	Martin	Kachemak Bay Broadcasting Inc.	3913 Kachemak Way	Homer	AK	99603	1	1	2017	Current	11-Jan-17
Jerad	McClure	THE ALASKA RIGHT TO LIFE COMMITTEE	1220 E STREET, STE. 207,	ANCHORAGE	AK	99501	8		2012	Current	9-Feb-17
Jerad	McClure	ALASKA RIGHT TO LIFE, INCORPORATED	1220 E STREET, STE. 207,	ANCHORAGE	AK	99501	8		2012	Current	9-Feb-17
Senator Peter	Micciche	National Fire Protection Association 59A Standards Committee	1 Batterymarch Park	Quincy	MA	02169	1	1	2005	Current	18-Jan-17
Senator Peter	Micciche	Kenai Peninsula Borough Local Emergency Planning Committee	235 Wilson Lane	Soldotna	AK	99669	11	1	2009	Current	18-Jan-17
Senator Peter	Micciche	Alaska Sealife Center	301 Railway Ave.	Seward	AK	99664	11	20	2015	Current	18-Jan-17
Senator Peter	Micciche	Boys and Girls Club of the Kenai Peninsula	705 Frontage Road	Kenai	AK	99611	11	1	1993	Current	18-Jan-17
Senator Peter	Micciche	Cook Inlet Spill Prevention and Response, Inc.	51377 Kenai Spur Highway	Kenai	AK	99669	1	1	2012	Current	18-Jan-17
Senator Peter	Micciche	Cook Inlet Harbor Safety Committee	110 S. Willow St. Ste 102	Kenai	AK	99611	9	21	2015	Current	18-Jan-17
Representative Charisse	Millett	Governor's Council on Education and Disabilities	3601 C Street, Suite 740	Anchorage	AK	99524	1	1	2017	Current	17-Feb-17
Representative Charisse	Millett	Special Olympics of Alaska	3200 Mountain View Dr	Anchorage	AK	99501	1	1	2017	Current	17-Feb-17
Martha	Moore	Alaska Brain Injury Network, Inc	121 W. Fireweed Lane Suite 175	Anchorage	AK	99503	8		2015	Current	10-Jan-17
Martha	Moore	AWARE, Inc.	1547 Glacier Hwy	Juneau	AK	99801	12		2015	Current	10-Jan-17
Rynniva	Moss	Aztec Service Area Road Commission	P.O. Box 71267	Fairbanks	AK	999707	7	1	2015	Current	31-Jan-17
Rynniva	Moss	Moore Street Seniors Inc	1420 Moore Street	Fairbanks	AK	99701	12	20	2014	Current	31-Jan-17
Peter	Naoroz	Alaska Native Brotherhood	4660 Thane Road	Juneau	AK	99801	10	1	2011		15-Feb-17
Peter	Naoroz	Alaska Miners Association - Juneau Branch	121 West Firewood Lane, Suite 120	Anchorage	AK	99503	9	1	2011	Current	15-Feb-17

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Emily	Nauman	Juneau Climbing and Recreation	Juneau	AK	99801	5 21 2017	Current
Emily	Nauman	Juneau Mountain Rescue	Juneau	AK	99801	1 22 2015	Current
Stacy	Nylen	Glacier Valley Baptist Church	Juneau	AK	99801	11 1 2016	Current
Dana	Owen	Discovery Southeast	Juneau	AK	99801	10 2015	Current
Owen	Phillips	Pridefest 2017, Identity, Inc.	Anchorage	AK	99501	8 1 2016	Current
Jake	Quarstad	Juneau Adult Hockey Association	Juneau	AK	99802	8 2 2016	Current
Richard	Radford	Friends of the Juneau Public Libraries	Juneau	AK	99803	1 1 2014	Current
Representative Lora	Reinbold	Council of State Governments - West	Sacramento	CA	95814	2 18 2015	16-Feb-17
Representative Lora	Reinbold	ALEC (American Legislative Exchange Council) Intl. Issues	Arlington	VA	22202	2 18 2015	16-Feb-17
Representative Lora	Reinbold	Council of State Governments Education & Workforce Development	Lexington	KY	40511	2 18 2015	16-Feb-17
Representative Lora	Reinbold	National Conference of State Legislatures Education & Legislative Effectiveness	Denver	CO	80230	2 18 2015	16-Feb-17
Linda	Ritchey	United States Ombudsman Association	Indianola	IA	50125	6 2014	Current
Patty	Rose	Juneau Audubon Society	Juneau	AK	99802	10 17 2006	Current
Mary	Schlosser	AWARE	Juneau	AK	99801	10 14 2017	Current
Mary	Schlosser	Juneau Community Charter School Academic Policy Committee	Juneau	AK	99801	4 15 2016	Current
Representative Paul	Seaton	Haven House Advisory Council (not actually board of director)	Homer	AK	99603	1 1 2017	Current
Jordan	Shilling	UAF Alumni Association	Fairbanks	AK	99701	1 1 2014	Current
Jody	Simpson	Mat-Su Health Foundation	Wasilla	AK	99654	6 10 2011	Current
Jody	Simpson	Center for Narrative and Lyrical Arts	Anchorage	AK	99524	10 26 2015	Current
Greg	Smith	KTOO Community Advisory Board	Juneau	AK	99801	9 2014	16-Feb-17
Greg	Smith	Juneau Commission on Sustainability	Juneau	AK	99801	3 2014	Current
Greg	Smith	Alaska Democratic Party	Anchorage	AK	99503	5 2014	16-Feb-17
Representative/v	Spohnholz	Alaska Children's Trust	Anchorage	AK	99503	10 1 2011	Current
Senator Bert	Stedman	Sitka Investment Committee, City & Borough of Sitka	Sitka	AK	99835	10 30 2003	Current

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Josephine	Nome Arts Council	P.O. Box 233	AK	99762	1	1	2003
Bill	Denali View Senior Housing	PO Box 22424	AK	99567	10		2017
Bill	Chugiak-Eagle River Chinooks Baseball	PO Box 1	AK	99567	11		2011
Ashley	Fairbanks Diversity Council	800 Cushman Street	AK	99701	2	14	2017
Representative Louise	Pioneers of Alaska	236 W. Rezanof Dr.	AK	99615	1	1	2016
Peggy	In His Shadow Ministries	PO Box 343	AK	99743	1		1997
Representative Geran	Women in Government - State Director/Western At-Large Member	1319 F St., NW Suite 710	DC	20004	1	1	2014
Representative Geran	Council of State Governments- Westrends Board	1107 Ninth Street, Suite 730	CA	95814	1	31	2017
Representative Geran	Anchorage Women's Commission-Commissioner	City Hall, 700 W. 6th Ave.	AK	99501	10	1	2008
Representative Geran	UAA Masters in Public Health Advisory Committee	3211 Providence Drive	AK	99508	1	1	2014
Tally	Capital City Rotaract	PO Box 21165	AK	99802	2	18	2016
David	True North Federal Credit Union	2777 Postal Way	AK	99801	1	1	2017
Tally	Juneau Flukes	16491 Ocean View Dr	AK	99801	6	8	2015
Representative Steve	Festival Fairbanks, Inc.	516 2nd Ave. Ste 318	AK	99701	12		2007
Representative Cathy	Chugiak/Eagle River Senior Center	22424 N. Birchwood Loop	AK	99567	10	1	2014
Representative Cathy	Alaska Police and Fire Chaplains Ministries	2221 E Northern Lights Blvd	AK	99503	6	1	2014
Monica	League of Women Voters of Juneau	PO Box 22048	AK	99802	3	25	2017
Monica	Juneau Pro-Choice Coalition	P.O. Box 22860	AK	99802	1	20	2017
Representative Chris	Emeritus Member - AK Process Industries Career Consortium	2600 Denali Street	AK	99517	2	4	2007
Representative Chris	Anchorage Economic Development Corporation	510 L Street # 603	AK	99501	2	15	2017
Representative Chris	Alaska Democratic Party Executive Committee	2602 Fairbanks St.	AK	99503	7	1	2011
Representative Chris	AK Joint Electrical Apprenticeship and Training Trust	5800 B St.	AK	99517	7	9	2001

NAME	BOARD/ORGANIZATION	ADDRESS	FROM			TO	DATE FILED
Representative Chris	Tuck	CSG West					
Pamela	Varni	Juneau Gastineau Rotary Club					
Lisa	Vaught	Alaska Public Broadcasting Commission					
Elijah	Verhagen	Alaska Republican Party Rules Committee					
Senator Natasha	VonImhof	Latash, Inc.					
Senator Natasha	VonImhof	Atwood Foundation (member)					
Senator Natasha	VonImhof	RNV LLC (member)					
Senator Natasha	VonImhof	Mikchalk LLC					
Senator Natasha	VonImhof	Rasmuson Foundation (Secretary)					
Senator Natasha	VonImhof	Snow Ridge Condo Association (thru Ben Marsh & Assoc)					
Senator Natasha	VonImhof	Morningside LLC (member)					
Patricia	Walker	Juneau Tlingit & Haida Community Council					
Megan	Wallace	Juneau Softball Association					
Susan	Wallen	Chugiak-Eagle River Chamber of Commerce					
Elanor	Watts	Capital City Soccer League					
Representative Dean	Westlake	Rural CAP					
Dale	Whitney	Spickett's Palace LLC					
Dale	Whitney	MacKinnon Building LLC					
Seth	Whitten	FNSB Early Childhood Development Commission					
Seth	Whitten	Access Alaska					
Seth	Whitten	Valley Associates for Independent Living					
Senator Bill	Wielechowski	Muldoon Farmers Market					
Erica	Wilson	Harborview PTA					
Shay	Wilson	Platypus Gaming					

NAME		BOARD/ORGANIZATION	ADDRESS			FROM		TO	DATE FILED
Taylor	Winston	Goldenvue Middle School PTSA	15800 Golden View Dr.	Anchorage	AK	9	29 2016	Current	13-Feb-17
Representative Adam	Wool	Fairbanks Summer Arts Festival	PO Box 82510	Fairbanks	AK	9	1 2013	Current	16-Feb-17
Lillian	Worl	True North Federal Credit Union	PO Box 34157	Juneau	AK	5	1 2017	Current	15-May-17
Bree	Wylie	Gastineau Humane Society	7705 Glacier Highway	Juneau	AK	9	1 2014	Current	16-Feb-17
Jill	Yordy	Western Mining Action Network	220 S. 27th Street, Suite B	Billings	MT	5	dd 2014	Current	26-Jan-17

**State contracts, leases, and grants over 5k
CALENDAR YEAR 2017**

NAME	FAMILY MEMBER	RELATIONSHIP	TYPE	AGENCY	START DATE OF CONTRACT	END DATE OF CONTRACT	DESCRIPTION	METHOD	AMOUNT	ADDITIONAL INFO	DATE FILED
Senator Lyman	Hoffman	Not Applicable	Pupil Transportation	Lower Kuskokwim School District	8 1 2013	7 31 2016	sealed bid		1,543,087.68		16-Feb-17
Matt	Kern	Not Applicable	Microscope insect identification	ADFG	9 1 2016	12 31 2016	single source		6,900		6-Jan-17
Senator Anna	MacKinnon	Spouse	Grant is appropriated to the Construction Education Foundation and funds are sub-granted to a variety of training providers throughout the state.	Dept of Labor & Workforce Development	7 1 2016	6 30 2017	other	State Appropriation	1,794,200	Mr. MacKinnon is Executive Director of Associated General Contractors of Alaska and sits on the board of the Construction Education Foundation.	23-Jan-17
Senator Anna	MacKinnon	Spouse	Grant is appropriated to the Construction Education Foundation and funds are sub-granted to a variety of training providers throughout the state.	Dept. of Labor & Workforce Development	7 1 2016	6 30 2017	other	STEP Grant	300,000	Mr. MacKinnon is the Executive Director of Associated General Contractors of Alaska and sits on the board of the Construction Education Foundation	23-Jan-17
Senator Donny	Olson	Not Applicable	Lease Lot for Airport Operations	DOTPF	1 1 1989	3 1 2017	other	Ongoing Lease	9000	This is a lot leased on the Nome Airport	15-Feb-17
Tim	Powers	Not Applicable	Adjunct faculty instruction	University of Alaska Southeast	1 17 2017	5 6 2017	other	Recurring contract	8816.47	Contract for 6 credits of course instruction for the Sprint 2017 semester.	2-Jan-17

NAME	FAMILY MEMBER	RELATIONSHIP	TYPE	AGENCY	START DATE OF CONTRACT	END DATE OF CONTRACT	DESCRIPTION	METHOD	AMOUNT	ADDITIONAL INFO	DATE FILED
Tim Powers		Not Applicable	Adjunct faculty instruction	University of Alaska Southeast	5 14 2017	8 5 2017	other	Recurring contract	8816.40	Contract for 6 credits of course instruction for the Summer 2017 semester.	6-May-17
Senator Natasha VonImhof	Rudi Von Imhof	Spouse	Long Term vehicle rental in Fairbanks	Department of Natural Resources Division of Forestry	4 15 2016	3 31 2017	other	State Procurement Code	0 unless activated	Master Agreement #10-160000309-1	24-Feb-17
Senator Natasha VonImhof	Rudi Von Imhof	Spouse	Long term vehicle rental. Fire support Palmer	Department of Natural Resources Division of Forestry	1 1 2017	12 31 2017	other	State Procurement Code	0 unless activated	Master Agreement 10-1700007316-1	24-Feb-17
Senator Natasha VonImhof	Rudi Von Imhof	Spouse	Long term vehicle rental. Fire support Fairbanks	Department of Natural Resources Division of Forestry	1 1 2017	12 31 2017	other	State Procurement Code	0 unless activated	Master Agreement #10-1700007317-1	24-Feb-17

**State Benefit & Loan Programs
CALENDAR YEAR 2017**

NAME	ORGANIZATION	AMOUNT	DATE OF LOAN		DATE FILED
Thatcher	Brouwer	\$5,977.35	1	2016	14-Feb-17
Thatcher	Brouwer	\$23,714.14	1	2016	14-Feb-17
Thatcher	Brouwer	\$41,822.79	4	2012	14-Feb-17
Karen	Buchkoski	\$130,133.85	4	2015	13-Feb-17
Claire	Gross	\$60,851.03	7	2012	17-Jan-17
Michelle	Hahn O'Leary	0.00	5	2005	25-Jan-17
Marie	Murray	\$64,358.43	3	2005	22-Feb-17

CLOSE ECONOMIC ASSOCIATION CALENDAR YEAR 2017

NAME	RELATIONSHIP TO	STATUS	DEPARTMENT	TYPE	DESCRIPTION	DATE OF ASSOCIATION	DATE FILED
Representative Chris	Birch	Representative		Share house		5 1	3-May-17
Mercedes	Colbert	Lobbyist		Rent from	The Estate of Greg Fisk is the recipient of my rent checks. Ian Fisk manages the Estate's finances and property.	1 8	21-Jan-17
Suzanne	Cunningham	Lobbyist		Rent from	I rent a room in the house for the first special session of the 30th Alaska State Legislature	5 1	30-May-17
Brooke	Daly	Representative		Rent to		1 17	19-Jan-17
Senator Mike	Dunleavy	Representative	Representative, Alaska Legislature	Payment	I purchase hay for my horses from her farm, Gattis Farms	1 1	23-Jan-17
Pete	Ecklund	Lobbyist		Share house		1 10	1-Feb-17
Jeffrey	Eide	Lobbyist		Rent from		4 21	26-Apr-17
Representative Zach	Fansler	Public Official	Superior Court Judge	Joint Business Venture	We are both part owners of a pizza shop in Eastern Pennsylvania. We both are silent partners with minority holdings.	9 1	16-Feb-17
Representative Les	Gara	Legislative Employee		Share house		1 13	17-Jan-17
Representative Les	Gara	Public Official	Anchorage Mayor and Assemblyman	Joint Business Venture	Minority Owners in Snow City Cafe	4 1	15-Feb-17
Sam	Greely	Lobbyist		Rent from		12 12	27-Jan-17
Representative David	Guttenberg	Legislative Employee		Payment	photograph	1 18	27-Jan-17
Noah	Hanson	Representative		Share house		1 12	10-Jan-17
Reid	Harris	Lobbyist		Payment	I sold him a handmade table.	12 21	13-Feb-17
Senator Lyman	Hoffman	Representative	Public Official	Other	Kiseralik Unlimited- property rentals; Bethel Drilling and Welding- welding and rental; New York Creek Assoc- gold claim on state land; Golden Eagle Unlimited LLC- school bus contract	1 1	1-Feb-17
Representative DeLena	Johnson	Representative		Share house		4 28	3-May-17
Representative DeLena	Johnson	Representative		Share house		4 28	3-May-17

NAME	RELATIONSHIP TO	STATUS	DEPARTMENT	TYPE	DESCRIPTION	DATE OF ASSOCIATION	DATE FILED
Representative Scott	Kawasaki	Chris Tuck	Representative	Share house	Split \$1270 for Juneau rental housing during session = \$685	1 12 2017	1-Feb-17
Theodore	Kelly	Charlie Miller	Lobbyist	Rent from		4 21 2017	19-Apr-17
Jesse	Kiehl	Rep. Kreiss-Tomkins	Representative	Payment	Share cellphone plan	4 7 2014	15-Feb-17
Representative Sam	Kito	Sam Kito Jr.	Lobbyist	Rent to	Rent a residential apartment	10 31 2015	21-Jan-17
Representative Chuck	Kopp	Peter Torkelson	Legislative Employee	Share house		1 16 2017	22-Jan-17
Representative Jonathan	Kreiss-Tomkins	Jesse Kiehl	Legislative Employee	Other	We are both members of the same shared AT&T plan and realize a major economy of scale as a result	1 1 2017	2-Feb-17
Representative Jonathan	Kreiss-Tomkins	Noah Star	Legislative Employee	Payment	Campaign services.	10 27 2016	5-Feb-17
Representative Gabrielle	LeDoux	Lisa Vaught	Legislative Employee	Share house	We share an apartment in Juneau	1 9 2017	12-Jan-17
Representative Gabrielle	LeDoux	Lisa Vaught	Legislative Employee	Other	We share a car in Juneau	1 9 2017	12-Jan-17
Representative Gabrielle	LeDoux	Lisa Vaught	Legislative Employee	Other	I paid her for campaign work	12 29 2016	12-Jan-17
Representative Gabrielle	LeDoux	Lisa Vaught	Legislative Employee	Other	I paid her for bookkeeping services	12 29 2016	12-Jan-17
Representative Gabrielle	LeDoux	Lisa Vaught	Legislative Employee	Other	payment for APOC accounting services	2 2 2017	14-Feb-17
Jesse	Logan	Ian Fisk	Lobbyist	Rent from	The Estate of Greg Fisk is the recipient of my rent checks. Ian Fisk manages the Estate's Finances and property.	1 8 2017	21-Jan-17
Reid	Magdanz	John-Henry Heckendorn	Public Official	Share house		1 31 2017	7-Feb-17
Daniel	McDonald	Royce Weller	Lobbyist	Rent from	Payment for room rental	4 20 2017	22-Apr-17
Christa	McDonald	Royce Weller	Lobbyist	Rent from	Payment for room rental	4 20 2017	4-May-17
Jodie	McDonnell	Rep. Cathy Tilton	Representative	Other	Shared storage unit rental	5 1 2016	10-Jan-17
Jodie	McDonnell	Daniel McDonald	Legislative Employee	Rent to	Rent room to Daniel & Christa McDonald	4 20 2017	24-Apr-17
Representative Charisse	Millett	Rep. DeLena Johnson	Representative	Share house		4 28 2017	15-May-17

NAME	RELATIONSHIP TO	STATUS	DEPARTMENT	TYPE	DESCRIPTION	DATE OF ASSOCIATION		DATE FILED
Representative Charisse	Millett	Rep. Colleen Sullivan-Leonard	Representative	Share house		4	28	2017
Jean	Mischel	Ivy Spohnholz		Rent to		1	13	2017
Representative Justin	Parish	Brooke Daly	Legislative Employee	Rent from	I rent from her partner.	1	17	2017
Marjorie	Posey	John Walsh	Lobbyist	Share house	I provide pet sitting and house sitting services in exchange for a room in Lobbyist's home thru March 4, 2017.	10	12	2016
Tim	Powers	Don Kubley	Lobbyist	Other	Website consultation and maintenance	7	10	2010
Representative George	Rauscher	Chris Birch	Representative	Share house		5	1	2017
Representative Lora	Reinbold	Sharon Newsom	Legislative Employee	Share house	550.00	1	2	2017
Representative Dan	Saddler	David Teal	Legislative Employee	Rent from		1	11	2017
Representative Dan	Saddler	Peter Torkleson	Legislative Employee	Payment	web hosting and maintenance; graphics work	1	20	2014
Alliana	Salanguit	Christine Hess	Lobbyist	Rent from	Payment for rent of Unit 1D in Mackinnon Apartment	5	31	2017
Mary	Schlosser	Jill Yordy	Legislative Employee	Rent to	Renting a room from my home	5	18	2017
David	Scott	Andy Baker	Lobbyist	Share house		1	20	2017
Shea	Siegert	John-Henry Heckendorn	Public Official	Share house	We live in different rooms of the same house that we pay rent for.	1	31	2017
Representative Ivy	Spohnholz	Jean Mischel	Legislative Employee	Rent from		1	11	2017
Noah	Star	Jonathan Kreiss-Tomkins	Representative	Payment	Campaign Services	10	27	2016
Representative Colleen	Sullivan-Leonard	DeLena Johnson	Representative	Share house		4	28	2017
Representative Colleen	Sullivan-Leonard	Charisse Millett	Representative	Share house		4	28	2017
David	Teal	Dan Saddler	Representative	Rent to		1	11	2017
Representative Cathy	Tilton	Jodie McDonnell	Legislative Employee	Other	Shared Storage Unit Rental	5	1	2016
Peter	Torkelson	Rep Kopp	Representative	Share house	Share suite at the Driftwood	1	16	2017

NAME	RELATIONSHIP TO	STATUS	DEPARTMENT	TYPE	DESCRIPTION	DATE OF ASSOCIATION	DATE FILED
Representative Chris	Tuck	Representative		Share house		1 12 2017	2-Feb-17
Lisa	Vaught	Representative		Share house	We share an apartment in Juneau	1 9 2017	12-Jan-17
Lisa	Vaught	Representative		Other	We share a car in Juneau	1 9 2017	12-Jan-17
Lisa	Vaught	Representative		Other	She paid me for bookkeeping services	12 29 2016	12-Jan-17
Lisa	Vaught	Representative		Other	She paid me for campaign work	12 29 2016	12-Jan-17
Lisa	Vaught	Representative		Other	payment for APOC accounting services	2 2 2017	14-Feb-17
Dale	Whitney	Lobbyist		Rent to		1 15 2017	22-Feb-17
Kaitlin	Wilson	Representative		Payment	Photograph	1 18 2017	20-Jan-17
Jill	Yordy	Lobbyist		Rent from	I am renting an apartment at fair market value from Christine.	4 15 2016	28-Apr-17
Jill	Yordy	Legislative Employee		Rent from	I am renting a room for the remainder of the legislative session	5 15 2017	18-May-17

**Close Economic Association - Lobbyist
CALENDAR YEAR 2017**

NAME	LOBBYIST	EMPLOYER	ADDRESS		VALUE	DATE
Denise	Liccioli	Joseph Clifford Stone	211 Fourth St	Juneau	AK 99801	17-Jan-17
Jodie	McDonnell	Royce Weller	185 Berry Street, Suite 5000	San Francisco	CA 94107	9-Apr-17
Jodie	McDonnell	Royce Weller	Ashley Reed & Associates	Anchorage	AK 99501	26-Jan-17
Dale	Whitney	Christine Hess	313 D. Street #200	Anchorage	AK 99509	17-Feb-17
		Coalition for Education Equity	PO Box 90791			

**Gift of Travel and/or Hospitality
CALENDAR YEAR 2017**

NAME	DONOR	OCCUPATION	ADDRESS			TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Rep Chris	Birch	Hecla Greens Creek Mining Co.	PO Box 32199	Juneau	AK	99803		Airfare Meals Ground Transportation	Legislative tour of Greens Creek Mine (members of the House Resources Committee)	Admiralty Island/Juneau Alaska	\$127.25/Person 5/4/2017 TO 5/4/2017	16-May-17
Maridon	Boario	National Conference of State Legislatures	7700 East First Place	Denver	CO	80230		Airfare Lodging Meals Ground Transportation Conference Fees	Legislative Education Staff Network (LESN) Conference. Topics included Federal ESSA (Every Student Succeeds Act) Guidelines, staff development, secondary education programs, funding and budget issues, and the impact of a new administration.	Washington DC	\$1,500 12/8/2016 TO 12/12/2016	17-Jan-17
Rob	Carpenter	National Conference of State Legislatures	7700 East First Place	Denver	CO	80230		Airfare Lodging	Fiscal Leaders Summit - topics included retirement system stress testing, taxation structure, budget reserves	Newport Beach, CA	\$756 11/16/2016 TO 11/18/2016	10-Jan-17
Sommers	Cole	Trout Unlimited	1777 N. Kent Street Suite 100	Arlington	VA	22209		Airfare Lodging Meals Ground Transportation	Meetings with offices of representatives and senators regarding public land policy related to Tongass National Forest	Washington, D.C.	\$2,470.00 2/13/2017 TO 2/17/2017	1-Mar-17

NAME	DONOR	OCCUPATION	ADDRESS			TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Senator Shelley	Hughes	non-partisan, non-profit 501c(3)	6181 W State Rd 28	West Lebanon	IN	47991	Airfare Lodging	2017 Legislative Agriculture Chairs Summit. Topics included rural communities, water quality, future technology.	Baton Rouge, LA	\$1,218.56	1/6/2017 TO 1/8/2017	25-Jan-17
Senator Shelley	Hughes	Non-profit, non-partisan	7700 E. First Place	Denver	CO	80230	Airfare Lodging Meals Ground Transportation	P3 conference. Public-Private Partnership conference. 2017 Winter executive Committee Meeting. Topics included task force on state and local taxation and energy supply.	Scottsdale, AZ	\$1,365.75	1/12/2017 TO 1/14/2017	25-Jan-17
Representative DeLena	Johnson	for-profit mining company	PO Box 32199	Juneau	AK	99803	Airfare Meals Ground Transportation	Tour of Greens Creek Mine for House Resources Committee members	Juneau, AK	\$127.25	5/4/2017 TO 5/4/2017	16-May-17
Representative Andy	Josephson	non-partisan, non-profit	1107 9th Street Suite 730	Sacramento	CA	95814	Lodging Meals Ground Transportation Miscellaneous	Training for legislative procedures.	Colorado Springs, CO	\$2,798.73	11/30/2016 TO 12/3/2016	19-Jan-17
Representative Sam	Kito	Nonpartisan policy organization	1107 Ninth Street Suite 730	Sacramento	CA	95814	Lodging Meals Ground Transportation Miscellaneous	Western Legislative Academy. Training for legislators.	Colorado Springs	\$2,639.73	11/30/2016 TO 12/3/2016	12-Jan-17
Representative Sam	Kito	Non-partisan regulatory support organization	Hall of the States, Suite 700, 444 N. Ca	Washington	DC	20001-1512	Airfare Lodging Meals Ground Transportation	The NAIC hosts sessions specifically designed to allow attendees the opportunity to engage with their respective insurance commissioner and fellow legislators/government officials in discussions regarding current insurance policy developments.	Miami Beach, FL	\$3,222.66	12/10/2016 TO 12/13/2016	26-Jan-17

NAME	DONOR	OCCUPATION	ADDRESS			TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Representative Charisse	Millett	Government Agency	7700 East First Place	Denver	CO	Airfare Conference Fees		Capitol Forum, Cybersecurity, Energy, Military & Veterans Affairs, etc.	Washington DC	\$1,000.00	12/5/2016 TO 12/9/2016	13-Jan-17
Representative Charisse	Millett	Government Agency	1776 Avenue of the States	Lexington	KY	Lodging		CSG National Conference. Topics Included Medicaid, Pensions, Fiscal Trends, Toll Fellow Graduation, etc.	Williamsburg, VA	\$316.36	12/9/2016 TO 12/11/2016	13-Jan-17
Representative Lance	Pruitt	A nonprofit 501(c)(3) organization; Non-partisan educational and policy studies organization	One Dupont Circle, NW 7th Floor	Washington	DC	Airfare Lodging Meals Ground Transportation Miscellaneous	Readings, Moderator, and a plaque	Educational: To participate in a Rodel Fellowship Seminar "The Ethics and Responsibilities of Political Leadership"	Aspen, CO	\$4,636.65	12/8/2016 TO 12/12/2016	9-Jan-17
Representative George	Rauscher	Mining Company	PO Box 32199	Juneau	AK	Airfare Meals Ground Transportation		House Resource Committee Tour of Greens Creek Mine	Greens Creek Mine	\$127.25	5/4/2017 TO 5/4/2017	8-May-17
Representative Dan	Saddler	The nation's most respected bipartisan organization providing states support, ideas, connections and a strong voice on Capitol Hill	7700 East First Place	Denver	CO	Airfare		Fall Forum - Military & Veterans Task Force - Veterans Health Care, Treatment Courts, DOD Economic Adjustment Update, Virginia Values Veteran Program	Washington DC	\$500	12/4/2016 TO 12/9/2016	25-Jan-17
Jordan	Shilling	Legislator	Alaska State Capitol, Room 516	Juneau	AK	Airfare Ground Transportation		Participate in a constituent town hall meeting.	Chugiak, AK	\$523	2/25/2017 TO 2/26/2017	10-Mar-17
Kim	Skipper	Nation's most respected bipartisan organization providing states support, ideas, connections and a strong voice on Capitol Hill.	7700 East First Place	Denver	CO	Airfare		Fall Forum - Military & Veterans Task Force - Veterans Health Care, Treatment Courts, DOD Economic Adjustment Update, Virginia Values Veteran Program	Washington DC	\$500	12/4/2016 TO 12/9/2016	25-Jan-17

NAME		DONOR	OCCUPATION	ADDRESS			TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Kim	Skipper	Sen. Anna MacKinnon	State Senator	PO Box 771112	Eagle River	AK 99577	Airfare		Attend and help at the Criminal Justice Town Hall and Legislative Town Hall	Chugiak, AK	\$268.95	2/24/2017 TO 2/27/2017	27-Mar-17
Kim	Skipper	Rep. Dan Saddler	State Representative	PO Box 771811	Eagle River	AK 99577	Airfare		Attend and help at the Criminal Justice Town Hall and Legislative Town Hall	Chugiak, AK	\$268.95	2/24/2017 TO 2/27/2017	27-Mar-17
Senator Gary	Stevens	Education Commission of the States	National Education Policy Organization	700 Broadway #810	Denver	CO 80203	Lodging		Education Commission of the States Winter Commissioners Meeting	Denver, CO	\$350.00	11/28/2016 TO 11/29/2016	12-Jan-17
Senator Gary	Stevens	Council of State Governments	Non-Profit Organization	1776 Avenue of the States	Lexington	KY 40511	Airfare Lodging Meals Ground Transportation Miscellaneous	Tickets/Guides	Meet with key leaders to discuss state and federal issues, such as education policy, technology and workforce, and state economic competitiveness.	New Delhi, India	\$5,000.00	11/11/2016 TO 11/18/2016	24-Jan-17
Senator Gary	Stevens	Council of State Governments	Nonpartisan, Non-profit organization; region-based forum that fosters the exchange of insights and ideas to help state officials shape public policy.	1776 Avenue of the States	Lexington	KY 40511	Airfare Lodging Meals Conference Fees		Updates on the budget and the current status of CSG, CSG's new integrated branding, proposed CSG Ambassadors Program, review guidelines for solicitation and acceptance of external funds.	Lexington, Kentucky	\$2,500.00	4/19/2017 TO 4/24/2017	27-Apr-17
Representative Louise	Stutes	Alaska Aerospace	Gov't	4300 B. St #101	Anchorage	AK 99503	Airfare		Board meeting	Anchorage AK	\$461.00	12/1/2016 TO 12/1/2016	23-Jan-17

NAME	DONOR	OCCUPATION	ADDRESS	TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Representative Geran	Tarr Women In Government	non-profit org	1319 F ST. NW, Ste 402 Washington DC 20004	Airfare Lodging Meals		Women In Government is a national, non-profit, non-partisan organization of women state legislators. Women In Government has provided leadership opportunities, networking, expert forums, and educational resources for over 28 years on policy issues such as healthcare, education, energy, the environment, transportation, and more.	Arlington, VA	\$1,200.00	11/17/2016 TO 11/19/2016	16-Jan-17
Representative Geran	Tarr Council of State Governments	non-partisan governmental organization working to train legislators	1107 9th Street, Suite 730 Sacramento CA 95814	Airfare Lodging Meals Transportation		I attended the 2015 Western Legislative Academy. I was elected the 2015 Class President. As President, you are a member of the Executive Committee and are invited to speak to the next class the following year. My 2016 trip was to fulfill my duties as Class President.	Colorado Springs, CO	\$1,300.00	11/30/2016 TO 12/1/2016	1-Feb-17
Representative Geran	Tarr State Innovation Exchange	non-profit national resource and strategy center for legislators	P.O. Box 260230 Madison WI 53726-0230	Airfare Lodging Meals		Attend annual national Six Conference. Attended sessions on sexual assault prevention and reform of process on rape kits, attended session on fair elections, attended session on economic issues for working families.	Washington, DC	\$1,100.00	12/4/2016 TO 12/6/2016	1-Feb-17

NAME	DONOR	OCCUPATION	ADDRESS				TYPE	MISC.	PURPOSE	LOCATION	VALUE	DATE OF TRAVEL	DATE OF DISCLOSURE
Patricia	Walker	National Conference of State Legislators/Legislative Education Staff Network	7700 East First Place	Denver	Co	80230	Airfare Lodging Meals Ground Transportation		Attended Education Forum. Discussions centered on improving educational outcomes in preschool and postsecondary education, and on ESSA: Every Student Succeeds Act.	Washington, D.C.	\$1,474.75	12/7/2016 TO 12/11/2016	6-Jan-17
Megan	Wallace	National Conference of State Legislatures	7700 East First Place	Denver	CO	80230	Lodging		Improving Health System Performance: Professional Legislative Staff Seminar. Non-partisan legislative staff gathered from all 50 states to discuss health care and insurance policies.	New Orleans, LA	\$336.90	10/25/2016 TO 10/26/2016	10-Jan-17

**Sanctioned Charity Event
CALENDAR YEAR 2017**

NAME	DONOR	OCCUPATION	ADDRESS			EVENT	DATE OF EVENT	DESCRIPTION	VALUE	ITEMS	DATE OF DISCLOSURE
Representative Andy	Josephson	Kenai River Sportfishing Association	224 Kenai Avenue Suite 102	Soldotna AK	99669	2016 Kenai River Classic	8/17/2016	Ticket/admission fee	\$50	Gear bag, Jacket, Shirt, Baseball Cap, Gloves, Water Proof Jacket, Water proof Pants, 1 dinner, 2 breakfasts, 2 lunches, 2 nights of lodging, 2 half days of fishing, waved value of charitable donation - \$3,876.27	25-Jan-17

**REPRESENTATION FOR COMPENSATION
CALENDAR YEAR 2017**

NAME		PERSON REPRESENTED	SUBJECT MATTER	BODY of REPRESENTATION	DATE OF REPRESENTATION			DATE FILED
Megan	Rowe	Daniel G. Jackson	Criminal appeal	Alaska Court of Appeals	6	1	2014	19-Jan-17

ITEM 8e: COGEL Conference Report

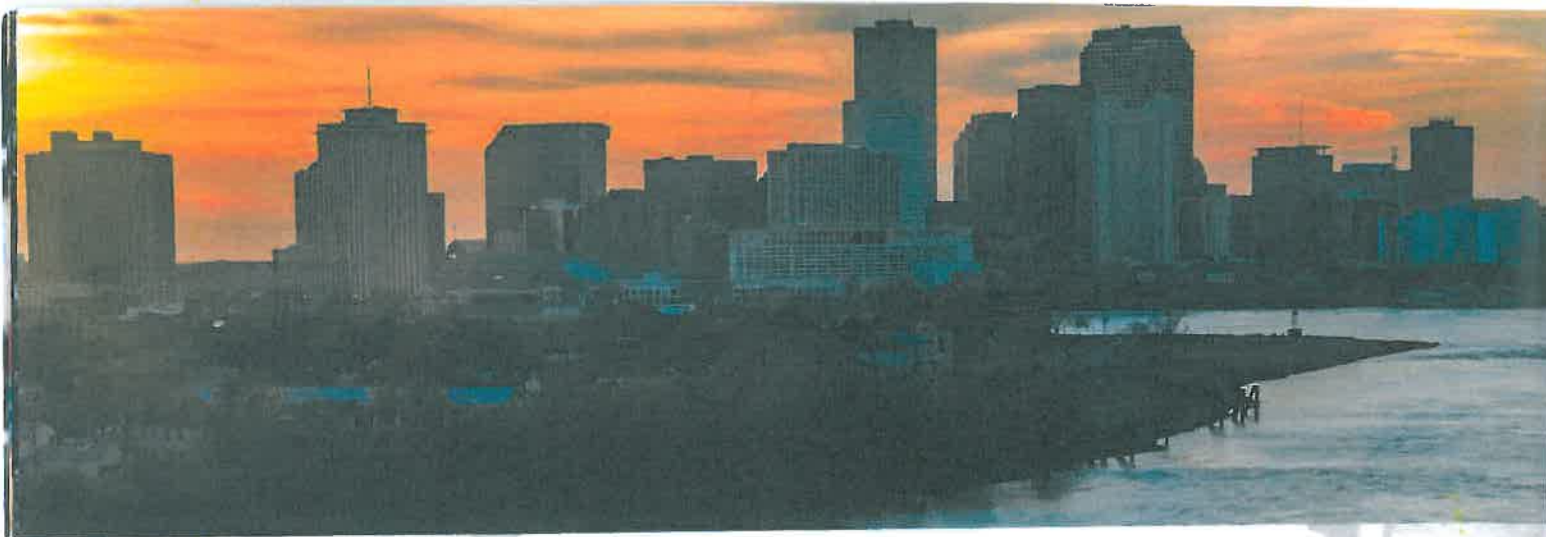
- i. Conner Thomas**
- ii. Skip Cook**
- iii. Jerry D. Anderson**



PROGRAM GUIDE



December 11-14, 2016
38th Annual Conference • New Orleans, Louisiana



Program At-A-Glance

SUNDAY, DECEMBER 11

- 9:00 am – 5:00 pm** **Conference Registration**
- 1:30 pm – 3:00 pm** **Concurrent Sessions:**
 Tech Tips in a Brave New World
 Local Agency Roundtable
 The Campaign Finance Crystal Ball
 Voter Registration in the Digital Age
- 3:15 pm – 3:45 pm** **Kay Williams First Timers Session & Conference Preview**
- 4:00 pm – 5:15 pm** **Concurrent Sessions:**
 The Principal Principles
 Flash Mentoring
 How Do I Bribe Them?
 Campaign Finance Cases by the Clock
 Certifiably COGEL
- 5:30 pm – 7:00 pm** **Welcome Reception**
 Presentation of the COGEL Outstanding Service Award
- 7:00 pm** **COGEL Dine-Arounds**

MONDAY, DECEMBER 12

- 7:30 am – 8:30 am** **Breakfast – Table Topics**
- 8:45 am – 10:15 am** **Conference Kick-Off & Plenary Session:**
Matee Gold, The Washington Post's National Political Reporter
Breaking News: A Reporter's Take on the New Gilded Age of Politics
- 10:25 am – 11:55 am** **Concurrent Sessions:**
 Ethics Update I
 To Tweet or Not to Tweet?
 The Voting Machine Cries
 Two Hats & One Shoestring
 Campaign Finance Update I
- 12:00 pm – 1:30 pm** **Plenary Luncheon:**
Nick Penniman, Executive Director, Issue One & Wendell Potter, Journalist & New York Times Bestselling Author
Nation on the Take: How Big Money Corrupts Our Democracy & What We Can Do About It
- 1:45 pm – 3:15 pm** **Plenary Spotlight: Nation on the Take II: The Corrupting Influence of Dealing for Dollars**
- 1:45 pm – 3:15 pm** **Concurrent Sessions:**
 Data, Data Everywhere
 Looking for Data in All the Wrong Places
 Whistles are Not Just for Refs
 Enforcement Update
- 3:30 pm – 4:45 pm** **Concurrent Sessions:**
 Make 'em Laugh & Leave 'em Wanting More
 Ethics Update II
 Election Update
 Data & Disclosures
 Digging Up the Truth about Grassroots Lobbying
- 5:00 pm** **COGEL Dine-Arounds**

Program At-A-Glance

TUESDAY, DECEMBER 13

- 7:30 am – 8:45 am **Breakfast – Table Topics**
- 9:00 am – 10:00 am **Plenary Session:**
- Presentation of the 2016 COGEL Award**
- Kevin Kennedy, former Executive Director
& General Counsel, Wisconsin Government
Accountability Board
- A View to a Kill: A Behind-the-Scenes Look at
the Demies of the GAB*
- 10:15 am – 11:45 am **Concurrent Sessions:**
- Campaign Finance Update II
 - Digging Deeper: New Issues Surrounding
Technology & FOI
 - Leadership DNA
 - Lobbyist Registration & Reporting Update
- 11:45 am – 1:30 pm **COGEL Dine-Arounds**
- 1:00 pm – 3:15 pm **Shiny New Toys**
- 1:45 pm – 3:15 pm **Concurrent Sessions:**
- America's Next Top Model – of State
& Local Oversight
 - Preparing the Case I
 - Meet the Press (with Confidence!)
 - Freedom of Information Update
 - Promoting Small Donor Democracy
- 3:30 pm – 4:45 pm **Plenary Session:**
- Meet the Donors: Does Money Talk?**
- An EXCLUSIVE Screening of the HBO Original
Documentary
- Directed by Alexandra Pelosi
- 5:00 pm – 6:00 pm **Annual "Mix & Mingle" Reception**

WEDNESDAY, DECEMBER 14

- 7:30 am – 9:00 am **Breakfast & Annual COGEL Business
Meeting**
- 8:15 am – 10:30 am **Concurrent Sessions:**
- The Art of Presentation
 - Preparing the Case II
 - It's NOT Your Money
 - Show Us Your Data!
 - Geaux Vote!
- 10:45 am – 12:00 pm **Concurrent Sessions:**
- Advice Jambalaya
 - Freedom of Information Roundtable
 - Dark Money & the Quest for the Perfect
Coordination Rule
 - Political Activity: Walking a Fine Line
 - What Price for "Convenience Voting?"
- 12:00 pm **COGEL Dine-Arounds**

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December 3-6, 2017
Sheraton Centre Hotel | Toronto, Ontario

COMMUNITY SEARCH**CALENDAR**[MORE](#)

12/3/2017 » 12/6/2017
2017 COGEL Conference

WELCOME TO COGEL!

The **COUNCIL ON GOVERNMENTAL ETHICS LAWS (COGEL)** is the preeminent organization of government ethics administrators. COGEL members work in the fields of governmental ethics, freedom of information, elections, lobbying, and campaign finance.

Members include (1) governmental entities; (2) educational institutions; (3) organizations, such as law firms, and corporations; and (4) honorary members.

If you are not already a member of COGEL, we encourage you to explore our website and consider joining. Among the advantages of membership are its premiere annual conference, access to educational materials, including the annual Campaign Finance, Lobbying, and Ethics Blue Books, an opportunity to network with the top professionals in the fields of governmental ethics, freedom of information, elections, lobbying, and campaign finance.

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COGEL Blue Book 2016 Ethics Update



Prepared for the
38th Annual Conference
December 11th-14th, 2016
New Orleans, Louisiana

Prepared by
Paul M. Nick, Executive Director, Ohio Ethics Commission
Steve Berlin, Executive Director, City of Chicago Board of Ethics
Mary Dawson, Conflict of Interest Commissioner, Parliament of Canada

Alaska

Alaska Select Committee on Legislative Ethics

(Last Updated: 11-14-2016)

P.O. Box 101468

Anchorage, Alaska 99510-1468 USA

Phone: (907) 269-0150

Fax: (907) 269-0152

Agency Head/Contact:	Jerry Anderson, Administrator
E-mail:	ethics_committee@legis.state.ak.us
Secondary Contact:	Janice Stewart
Secondary Contact E-mail:	same
Web Site:	http://ethics.akleg.gov/
# of Employees:	2
# of Members on Board:	9
Current Annual Budget:	252,400
COGEL Membership Type:	Full

Authority:

Appointing Authority/Make up and Qualifications for Commission Members: Chief Justice of the Supreme Court appoints the five public members of the committee. Legislature appoints two senators and two representatives - one from each house from the majority and one from each house from the minority. An alternate member for each legislator is also appointed. New in 2013: One alternate public member will be appointed under the same method for regular public members. The public may submit a resume to the Chief Justice. Chief Justice appoints public members. Confirmation vote by both houses of the legislature is required for public members. However, a joint session is not required for this process. Legislative members are confirmed by their respective houses.

Citation to Significant Definitions: AS 24.60.990

Civil Ethics Statutes: The following statutes are in addition to AS 24.60, the legislative ethics code: AS 09.25.110-140 Public Records, AS 24.40.010 Legislative Immunity, AS 24.45.121 Lobbyists Prohibitions, AS 36.30.020 Legislative Procurement Procedures, AS 44.09.015 Use of the State Seal, and AS 15.13 Campaign Disclosure Law.

Criminal Ethics Statutes: The following statutes are in addition to AS 24.60, the legislative ethics code: AS 11.56.100 Bribery, AS 11.56.100 Receiving a Bribe, 11.56.120 Receiving unlawful gratuities, 11.56.130 Definition of benefit to include receiving a campaign contribution in exchange for official action, 11.56.124 Failure to report bribery or receiving a bribe, 11.56.805 False Accusations to the Ethics Committee, 11.56.815 Tampering with Public Records in the first degree, 11.56.820 Tampering with Public Records in the second degree, 11.56.830 Impersonating a Public Servant, 11.56.850 Official Misconduct, 11.56.860 Misuse of Confidential Information.

Penalties (Administrative/Civil/Criminal): Administrative and Civil: See AS 24.60.174 Recommendations to legislature where violator is a legislator and 24.60.176 Recommendations where violator is a legislative employee, and 24.60.178 Recommended sanctions

Alaska

Nepotism: See AS 24.60.090 An individual who is related to a member of the legislature may not be employed for compensation during the legislative session in the house in which the legislator is a member, by an agency of the legislature, in either house during the interim between sessions, or whether for compensation or not, by the committee. Also an individual who is related to a legislative employee may not be employed in a position over which the employee has supervisory authority.

Political Activity: AS 24.60.030(a)(5), AS 24.60.030(b) and AS 24.60.031 2008 legislation dramatically changed AS 24.60.031, Restrictions on Fund Raising. The major thrust of the legislation expanded restrictions on fund raising during a regular or special legislative session to include a legislator running for a state or municipal office (previously only for a legislative campaign) or for another candidate in an election for municipal, state or federal office, or to influence a ballot proposition or question, or for a political party. The changes became effective on June 21, 2008.

Public Contracts/Public Purchasing: See AS 24.60.040 and AS 24.60.050 Public contracts under \$5000 are allowed. If over \$5000, disclosure is required. Participation in state programs and loans are allowed. Participation in some state programs and loans must be disclosed if certain criteria are not met. A list of reportable state programs and loans is published each year.

Representing Others Before Government: See AS 24.60.100 Representation is allowed as long it is not before the legislative branch. Disclosure is required.

Revolving Door: See AS 24.45.121 A legislator may not lobby for one year after leaving the legislature. Article II, Section 5, of the Alaska Constitution restricts legislators from holding any other office or position of profit under the United States or State. There is no restriction for legislative employees.

Highlights/Updates Since Last COGEL Conference:

Advisory Update: Two advisory opinions issued in 2016. AO 15-01 involved setting up and using a database to communicate with fact specific communications on a statewide basis by a legislator. AO 15-02 involved "Lunch and Learn" sessions in the state capitol during session and specific restrictions on these events.

Enforcement Update: The Committee issued a probable cause determination in a longstanding complaint H 07-06 which found that former Representative Bruce Wehyrauch violated the Legislative Ethics Act and recommended both fines and a letter of apology be imposed.

Information Technology Update: A new Management Activity Log was implemented in 2011. All calls are categorized by the type of call, i.e., gifts, employment, close economic association, campaign related, legislative communications, lobbyist related, open meetings, etc. The activity log has been extremely useful for many reasons; tally of the types of calls received, referencing previous advice, and the ability to attach documents to a record. Note: Alaska has 60 legislators and approximately 500 employees.

Criminal: See AS 24.60.170(l) If the course of an investigation or probable cause determination the committee finds evidence of probable criminal activity, the committee shall transmit a statement and factual findings limited to that activity to the appropriate law enforcement agency.

Jurisdiction Over Officials Not Listed in Charts: Also have jurisdiction over staff at the Office the State Ombudsman and the Office of Victims' Rights.

Requirements, Prohibitions, & Exceptions:

Confidential Information: AS 24.60.170. All complaint related information is confidential per statute. Only public decisions issued by the committee regarding a complaint are public. Also see AS 24.60.060(a). Informal advice is considered confidential between the individual requesting the advice and the ethics office unless waived by the individual or upon receipt of a subpoena.

Conflict Of Interest: AS 24.60.030(g) requires a legislator to declare a conflict of interest on the floor under the definition of conflict in this statute. This statute is quite extensive. Advisory Opinion 04-02 also addresses issues concerning conflict of interest. A searchable database of advisory opinions are available on the committee's web site at <http://ethics.legis.state.ak.us/>

Financial Disclosure: Most financial disclosures are covered by AS 39.50 and are under the oversight of the Alaska Public Offices Commission. The ethics code does require a Close Economic Association if a financial relationship exists between a legislator/legislative employee and certain individuals. See AS 24.60.070

Gifts: See AS 24.60.080 Legislators and legislative employees are not permitted to receive a gift of more than \$250 from the same person/entity in a calendar year that is related to their legislative status. Legislators and legislative employees and their spouse (or domestic partner) and dependent children and parents are prohibited from receiving gifts from lobbyists and lobbyists' spouses or immediate family members at any time of the year unless it is food and beverage for immediate consumption (no dollar limit), tickets to a pre-approved charity event (capped at \$250 per year) or a compassionate gift. The charity must be a 501(c)(3) organization preapproved by the Legislative Council prior to the event. New in 2012: A ticket to a charity event may exceed the \$250 gift limit. Disclosure is required. However, legislators and legislative employees may not receive a gift a ticket to a charity event with a value of \$250 or more from a lobbyist. A compassionate gift must be preapproved by Legislative Council and the Ethics Committee chair. Legislators and legislative employees are allowed to receive certain types of gifts over \$250. For example, a gift to attend a conference or other matter of legislative concern. The gift must be disclosed within 30 days of receipt.

Improper Compensation: See AS 24.60.030(a)(1), AS 24.60.030(e) and AS 24.60.085 A legislator and legislative employee is prohibited from soliciting, agreeing to accept or accepting a benefit other than official compensation for the performance of public duties. The only exception is when giving a speech. An honorarium may be accepted if the gift is under \$250 and personalized. AS 24.10.220, passed in 2005, restricts bonuses for legislative employees after January 1, 2005.

Misuse of Public Office: See AS 24.60.030 Conflict of Interest. This statute is quite extensive.

Additional Advisory, Investigative, and Financial Disclosure and Training Comments:

Financial Disclosure: Financial disclosure statements for legislators are filed with the Alaska Public Offices Commission. Other types of disclosures - gifts of travel, close economic associations, participation in certain state benefit and loan programs and contracts and grants, family member travel because of legislative status, membership on a board of directors, representation before a state agency, gift of legal services related to legislative matters, and gifts not connected to legislative status over \$250 - are filed with the Legislative Ethics Office.

Training: Mandatory ethics training for legislators and legislative staff implemented in 2008. New in 2012: Legislative interns and volunteers are now required to attend training if they serve for at least 30 days within a calendar year. Committee issues a bi-monthly ethics newsletter called THE ADVISOR which is another educational tool. Newsletters are posted on our web site at: <http://ethics.akleg.gov/>

Organizational Development Update: New Administrator in October 2014, Jerry D. Anderson.

COGEL Blue Book 2016 Ethics Update Chart #1 - General Information

Agency Name	Agency Head	Agency Telephone	Web Site	Contact Email	# of Employees	# on Board	Current Annual Budget
Alabama Ethics Commission	Thomas B. Albritton	334-242-2987	http://www.ethics.alabama.gov	tom.albritton@ethics.alabama.gov	17	5	\$1,749,642
Alaska Select Committee on Legislative Ethics	Jerry Anderson	907-269-0150	http://ethics.akleg.gov/	ethics_committee@legis.state.ak.us	2	9	\$252,400.00
Anne Arundel County Ethics Commission	Betsy Dawson	410-222-4412	http://www.aacounty.org/ethics	bdawson@aacounty.org	2	7	\$187,600.00
Broward Office of the Inspector General	Carol Breece	954-357-7873	http://www.browardig.org/programs/ethics	cbreece@broward.org			
California Fair Political Practices Commission	Erin Path	916-322-5660	http://www.fppc.ca.gov	epeth@fppc.ca.gov	82	5	\$11,191,000.00
Chicago Board of Ethics	Steven Berlin	312-744-9860	http://www.cityofchicago.org/Ethics	sberlin@cityofchicago.org	8	7	\$812,000.00
City of Atlanta Board of Ethics	Jabu Sengova	404-330-6286	http://www.atlantaethics.org	jsengova@atlantaga.gov	4	7	\$709,639.00
City of Austin Law Department			http://www.austintexas.gov/departments/ethics-and-compliance				
City of Buffalo Board of Ethics	Gerald Chwalinski	716-851-5432	http://www.city-buffalo.com	gchwalinski@city-buffalo.com		7	
City of Calgary - City Clerk Office	Sue Gray	403-268-5861	http://www.calgary.ca/CA/City-Clerks/Pages/home.aspx?redirect=/cityclerk	Sue.Gray@calgary.ca	15,000		
City of Oakland Public Ethics Commission	Whitney Barazoto	510-238-3593	http://www.oaklandnet.com/pec	wharazoto@oaklandnet.com	6	7	\$850,000.00
City of Portland, Auditor's Office	Mary Hull Caballero	503-823-3546	http://www.portlandoregon.gov/auditor	deborah.scroggin@portlandoregon.gov			

COGEL Blue Book 2016 Ethics Update Chart #2 - Jurisdictional Information

Agency Name	Jurisdiction...										Jurisdiction Over...											
	Campaign Finance	Conflict of Interest	Elections Admn.	Ethics	Financial Disclosure	Freedom of Inform.	Gift Restriction	Lobbying	Public Records	Exec. Branch Empl.	Judges	Judicial Empl.	Legislative Empl.	Legislators	Lobbyists	Local Appt. Officials	Local Elected Officials	Local Employees	Private Sector/Vendors	State/Prov. Elect. Officials	State/Prov. Empl.	State/Prov. Coll. & Univ.
Alabama Ethics Commission	✓	✓		✓	✓		✓	✓			✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Alaska Select Committee on Legislative Ethics		✓	✓	✓		✓	✓					✓	✓	✓	✓	✓	✓	✓	✓		✓	✓
Anne Arundel County Ethics Commission	✓		✓	✓	✓	✓	✓	✓				✓	✓	✓	✓	✓	✓	✓				
Broward Office of the Inspector General	✓	✓	✓	✓	✓	✓	✓	✓	✓			✓	✓	✓	✓	✓	✓	✓	✓			
California Fair Political Practices Commission	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Chicago Board of Ethics	✓	✓	✓	✓	✓	✓	✓	✓				✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
City of Atlanta Board of Ethics	✓		✓	✓	✓	✓			✓						✓	✓	✓	✓	✓			
City of Austin Law Department		✓	✓	✓		✓									✓	✓	✓	✓	✓			
City of Buffalo Board of Ethics	✓	✓	✓	✓	✓	✓	✓	✓							✓	✓	✓	✓	✓	✓	✓	✓
City of Calgary - City Clerk Office	✓	✓		✓	✓	✓	✓	✓	✓	✓					✓	✓	✓	✓	✓		✓	
City of Oakland Public Ethics Commission	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓					✓	✓	✓	✓	✓	✓	✓	✓
City of Portland, Auditor's Office		✓				✓	✓	✓	✓	✓					✓	✓	✓	✓	✓	✓	✓	✓
City of Reading Board of Ethics	✓	✓	✓	✓											✓	✓	✓	✓	✓	✓	✓	✓
City of San Diego Ethics Commission	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓					✓	✓	✓	✓	✓	✓	✓	✓
City of Seattle Ethics & Elections Commission	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓					✓	✓	✓	✓	✓	✓	✓	✓
City of Tallahassee Independent Ethics Board	✓	✓		✓				✓							✓	✓	✓	✓	✓	✓	✓	✓

COGEL Blue Book 2016 Ethics Update Chart #3 - Advisory Opinions, Investigations, and Training Information

Advisory...			Investigation...							Training...			Training Methods Used...						
Agency Name	Authority to Issue	Binding on Requestor	# Per Year	Auth. on Own Initiative			Inv. Anonymous Complaints		Respond to Complaint	# Per Year	Conducts Training		Required	# Per Year	Classroom	Teleconference	Video Tape	CD/DVD	Web-based
				✓	✓	✓	✓	✓			✓	Optional							
Alabama Ethics Commission	✓		40	✓	✓	✓	✓	✓	290	✓	✓	✓	47	✓	✓	✓	✓	✓	
Alaska Select Committee on Legislative Ethics	✓	✓	3-8	✓	✓	✓	✓	✓	2-10	✓		✓	10	✓	✓			✓	
Anne Arundel County Ethics Commission	✓	✓	35+/-	✓	✓	✓	✓	✓	10-20	✓	✓		10-20	✓					
Broward Office of the Inspector General			N/A	✓	✓	✓	✓	✓	4-11	✓		✓	8	✓					
California Fair Political Practices Commission	✓	✓	150-250	✓	✓	✓	✓	✓	650-750	✓	✓	✓	42-52	✓	✓			✓	
Chicago Board of Ethics	✓		20 formal 4,800 informal	✓					N/A	✓		✓	50	✓		✓		✓	
City of Atlanta Board of Ethics	✓	✓	3	✓	✓	✓	✓	✓	10	✓		✓	1000	✓			✓	✓	
City of Austin Law Department										✓		✓	100	✓			✓	✓	
City of Buffalo Board of Ethics	✓		0	✓	✓	✓	✓	✓	42371				0						
City of Calgary - City Clerk Office																			
City of Oakland Public Ethics Commission	✓		1-2	✓	✓	✓	✓	✓	approx. 20	✓		✓				✓	✓	✓	
City of Portland, Auditor's Office				✓	✓	✓	✓	✓	0-1	✓	✓		7	✓					
City of Reading Board of Ethics	✓		5	✓	✓	✓	✓	✓	1				1	✓					
City of San Diego Ethics Commission	✓		0 - 5	✓		✓	✓	✓	40-50	✓	✓	✓	10-15	✓			✓	✓	
City of Seattle Ethics & Elections Commission	✓	✓	2	✓	✓	✓	✓	✓	50	✓	✓		75	✓				✓	

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Top election pros fill COGEL conference plenary spots

Early Registration Ends Oct. 31 for New Orleans Conference

The 38th Annual COGEL Conference in New Orleans features one of the best, most complete agendas in conference history, including top writers and thinkers in the fields of campaign finance, government ethics, elections, lobbying, and freedom of information.

Colleagues and experts from throughout the U.S., Canada, and the world will gather Dec. 11 to 14 in one of America's most exciting cities to assess and discuss policy, politics, ethics, and elections.

In the U.S., this has been one of the most unpredictable election years for both Republicans and Democrats, making this the *perfect* time for the 2016 COGEL Conference to explore the "politics of money".

We kick-off this discussion with an exceptional pre-conference webinar. For the first-time ever, those who register early for the COGEL conference will receive a special invitation for a webinar with one of the leading ex-

perts on election law, Professor Rick Hasen.

Professor Hasen, who is featured in this issue of *The Guardian*, will discuss his newest book *Plutocrats United: Campaign Money, the Supreme Court, and the Distortion of American Elections*. Campaign finance guru Larry Lessig has said this book will mark Professor Hasen "as the dean of this field."



Rick Hasen

See the *Beyond the Headlines* article on page 3 for more details, but register for the conference by Nov. 10 to receive your unique invitation for the webinar!

We continue the conversation about the politics of money once you arrive at the COGEL conference. In addition to many thought-provoking breakout sessions exploring the influence of money on the campaign trail, in legislatures, and within regulatory agencies, we have four excellent plenary sessions that bring this topic to life for all

of us, no matter what your COGEL discipline is.

On Monday, the *Washington Post's* foremost authority on money and politics, journalist Matea Gold provides her insights about the role of Super PACs, the billionaire class, and dark money in the 2016 elections.

We are also pleased to be joined by Nick Penniman and Wendell Potter, the

acclaimed authors of *Nation on the Take: How Big Money Corrupts Our Democracy and What We Can Do About It*, who assert that American democracy has become "coin operated" and provide a blueprint to fix it.

Our exciting plenary sessions continue on Tuesday when we have an eye-opening interview with Kevin Kennedy, the former Executive Director of the Wisconsin Government Accountability

Continued on the Next Page

President's Message

Michael Sullivan, COGEL President & Director of the Mass. Office of Campaign and Political Finance



To paraphrase a somewhat famous football coach from the New England region: "We're on to New Orleans." COGEL holds its 38th Annual Conference in the Big Easy from December 11-14 this year. Registration details are available at www.cogel.org and I do hope that you will be able to join us at the New Orleans Marriott located on Canal Street in the heart of the French Quarter.

Our Program Committee co-chairs, Wes Bizzell of Altria Client Services and Melanie Martin-Griem of Elections Ontario, have been putting in long hours to shape the conference agenda, contact and confirm plenary speakers, ensure that moderators and panelists are in place, and proofread the program.

I am often asked how Plenary Speakers are chosen. Sometimes, attendees are complimentary of the choices, while others can't understand why COGEL would ever invite (insert name here) to speak. First, I should be clear: Plenary speakers never receive a speaker's fee from COGEL.

When the Program Committee meets in the spring to plan the conference, finding interesting plenary speakers is among the biggest challenges. Members literally call out names and a brief discussion ensues. At that point, if the name makes it into the "possible" category, a committee member is assigned the task of contacting the individual

to gauge their interest. (And, yes, every year someone suggests that we contact the President.....).

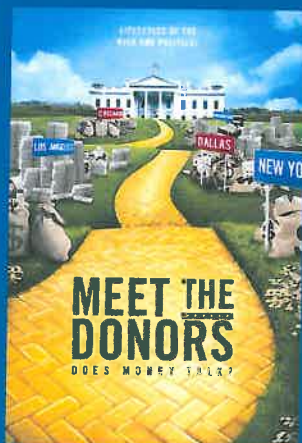
After the initial contact is made and the level of interest is determined, the committee member reports back to the Program Co-Chairs. The Co-Chairs then decide who to seriously pursue to fill the available slots. Generally, we reach out to four or five individuals for each plenary spot we seek to fill, as some have scheduling conflicts, some require speaker's fees, and some simply aren't interested or never respond to our repeated inquiries. And, as with all planning, something usually goes awry with someone (the speaker can't come at the last minute, etc.). Somehow, the Program Co-Chairs magically find a replacement speaker and the Program gets printed as if nothing crazy ever happened.

I hope that you enjoy ALL of our speakers this year and, if you have an idea for a speaker next year, please let the Program Committee know. They're interested in hearing from as many members as possible.

Besides, who wouldn't want to come to Toronto in 2017? That's our next stop and your new President, Paul Nick of the Ohio Ethics Commission, will be writing this column. Before I sign off, though, I just want to thank everyone for the support I've received during my term. It is, and was, very much appreciated.

From Page 1: Early registration ends Oct. 31

The final plenary session in New Orleans will be an exclusive screening of the HBO documentary "Meet the Donors: Does Money Talk?"



ity Board, describing the events that led to the abrupt dismantling of that agency by the Wisconsin legislature and the lessons his experience holds for other agencies.

For our final plenary, we are excited to provide an exclusive screening of *Meet the Donors: Does Money Talk?* In this HBO documentary, Emmy-nominated filmmaker Alexandra Pelosi interviews America's billionaire "mega-donors" and persuades them to speak candidly about why they contribute tens of millions (even hundreds of millions) to support their favored politicians and what they get in return. Following the showing, FEC Commissioners Ellen Weintraub and Ann Ravel will facilitate discussion about this timely issue.

All told, more than 125 experts will speak at the 2016 COGEL Conference. It's a who's who list of experts in the fields of campaign finance, governmental ethics, elections, lobbying, and freedom of information.

Arrive on Saturday to take full advantage of the fulsome programming on Sunday. Our Sunday sessions include the popular Tech Tips, plus Voter Registration in a Digital Age; The Campaign Finance Crystal Ball: Predicting Future Litigation; A (Very) Brief History of Bribery; and a refresher session on the campaign finance court decisions you need to know in *Cases by the Clock*.

The excellent programming continues throughout the conference right until we close on Wednesday. Final day sessions include Dark Money & The Quest for the Perfect Coordination Rule; Geaux Votel; Advice Jambalaya; and What Price for Convenience Voting?

Don't miss this opportunity to immerse yourself in the world of COGEL! Remember, register by Nov. 10 in order to be invited to a not-to-be-missed webinar with Professor Hasen.

ITEM 8f: PUBLICATIONS

- i. Advisory Opinions and Public Decisions**
- ii. 2017 Standards of Conduct Handbook**

ITEM 8g: LEGISLATIVE UPDATE

CS FOR SPONSOR SUBSTITUTE FOR HOUSE BILL NO. 44(STA)

IN THE LEGISLATURE OF THE STATE OF ALASKA

THIRTIETH LEGISLATURE - FIRST SESSION

BY THE HOUSE STATE AFFAIRS COMMITTEE

Offered: 4/3/17

Referred: Rules

Sponsor(s): REPRESENTATIVES GRENN, LeDoux, Kawasaki, Tuck, Stutes, Spohnholz, Parish, Fansler, Tarr, Drummond, Gara, Ortiz, Josephson

A BILL

FOR AN ACT ENTITLED

1 **"An Act requiring a legislator to abstain from taking or withholding official action or**
2 **exerting official influence that could benefit or harm an immediate family member or**
3 **certain employers; and requiring a legislator to request to be excused from voting in an**
4 **instance where the legislator may have a financial conflict of interest."**

5 **BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

6 * **Section 1.** AS 24.60.030(e) is amended to read:

7 (e) A legislator may not directly, or by authorizing another to act on the
8 legislator's behalf,

9 (1) agree to, threaten to, or state or imply that the legislator will take or
10 withhold a legislative, administrative, or political action, including support for or
11 opposition to a bill, employment, nominations, and appointments, as a result of a
12 person's decision to provide or not provide a political contribution, donate or not
13 donate to a cause favored by the legislator, or provide or not provide a thing of value;

14 (2) state or imply that the legislator will perform or refrain from

performing a lawful constituent service as a result of a person's decision to provide or not provide a political contribution, donate or not donate to a cause favored by the legislator, or provide or not provide a thing of value; or

(3) except as provided in (g) of this section or while participating in a public discussion or debate [UNLESS REQUIRED BY THE UNIFORM RULES OF THE ALASKA STATE LEGISLATURE], take or withhold official action or exert official influence that could substantially benefit or harm the financial interest of a [ANOTHER] person

(A) who is a member of the legislator's immediate family;

(B) by [WITH] whom the legislator or a member of the legislator's immediate family is employed;

(C) with whom the legislator is negotiating for employment;

(D) from whom the legislator or a member of the legislator's immediate family has, in the immediately preceding 12-month period, received more than \$10,000 of income.

* Sec. 2. AS 24.60.030(g) is amended to read:

(g) Unless otherwise required by the Uniform Rules of the Alaska State Legislature, a legislator shall declare a conflict of interest before voting [MAY NOT VOTE] on a question before a committee of the legislature, and shall request to be excused from voting on a question before a house of the legislature, if the legislator or a member of the legislator's immediate family has a financial [AN EQUITY OR OWNERSHIP] interest in a business, investment, real property, lease, or other enterprise if the interest is substantial and the effect on that interest of the action to be voted on is greater than the effect on the general public of the state. However, notwithstanding (e)(3) of this section and the limitations of this subsection, a legislator may vote on an appropriation bill that meets the requirements of AS 37.07.020(a) or 37.07.100 (Executive Budget Act) [A SUBSTANTIAL CLASS OF PERSONS TO WHICH THE LEGISLATOR BELONGS AS A MEMBER OF A PROFESSION, OCCUPATION, INDUSTRY, OR REGION].

* Sec. 3. AS 24.60.030(j) is amended to read:

(j) In this section,

1 **(1)** "administrative hearing" means a quasi-judicial hearing before an
2 agency; "administrative hearing" does not include an informal conference or review
3 held by an agency before a final decision is issued or a rate-making proceeding or
4 other nonadjudicative public hearing;

5 **(2)** "**substantially benefit or harm**" means the effect on the
6 **person's financial interest is greater than the effect on the financial interest of the**
7 **general public of the state.**

8 * Sec. 4. AS 24.60.990(a) is amended by adding a new paragraph to read:

9 (17) "financial interest" means ownership of an interest or an
10 involvement in a business, including a property ownership, or a professional or private
11 relationship, that is a source of income, or from which, or as a result of which, a
12 person has received or expects to receive a financial benefit.

CS FOR HOUSE CONCURRENT RESOLUTION NO. 1(STA)

IN THE LEGISLATURE OF THE STATE OF ALASKA

THIRTIETH LEGISLATURE - FIRST SESSION

BY THE HOUSE STATE AFFAIRS COMMITTEE

Offered: 4/3/17

Referred: Rules

Sponsor(s): REPRESENTATIVES GRENN, Tuck, Kreiss-Tomkins, Tarr, Ortiz, LeDoux, Parish, Fansler, Stutes, Gara

A RESOLUTION

1 **Proposing an amendment to the Uniform Rules of the Alaska State Legislature relating**
2 **to voting and abstention from voting.**

3 **BE IT RESOLVED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

4 *** Section 1.** Rule 34(b), Uniform Rules of the Alaska State Legislature, is amended to read:

5 (b) Abstention and Voting. Except as provided in this subsection, every
6 [EVERY] member present in the house shall vote. A member may abstain from
7 voting if [UNLESS] the house, for special reasons, permits a member to abstain. A
8 member shall request to be excused from voting on a question in the house if the
9 member has a conflict of interest that is reasonably likely to influence the
10 member's vote on the question. All motions excusing a member from voting shall be
11 made before the house divides or before the call for the yeas and nays is commenced.
12 A member requesting to be excused from voting may not vote on the question of the
13 member's abstention; however, the member shall make a brief oral statement of the
14 reasons for the request, including, if applicable, specific provisions of AS 24.60 that
15 support the member's request to be excused. The [AND THE] question of the
16 member's abstention [GRANTING PERMISSION TO ABSTAIN] shall be taken

1 without further debate. A member may not explain a vote, may not discuss the
2 question while the yeas and nays are being called, and may not change a vote after the
3 roll call result has been announced. Upon division and count of the house of any
4 question, a member who is not within the chamber may not be counted. A member
5 may not be permitted to abstain except on the question of the member's abstention
6 or upon [THE UNANIMOUS] consent of two-thirds of the membership.

HOUSE BILL NO. 227

IN THE LEGISLATURE OF THE STATE OF ALASKA

THIRTIETH LEGISLATURE - FIRST SESSION

BY REPRESENTATIVE SULLIVAN-LEONARD

Introduced: 4/13/17

Referred: Community and Regional Affairs, State Affairs, Judiciary

A BILL

FOR AN ACT ENTITLED

1 **"An Act prohibiting a legislator from controlling a group that solicits and accepts**
2 **contributions or makes certain contributions and expenditures; and prohibiting some**
3 **lobbyists from making campaign contributions to certain groups."**

4 **BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

5 * **Section 1.** AS 15.13.072 is amended by adding a new subsection to read:

6 (i) A legislator may not control a group that solicits or accepts a contribution
7 for the purpose of influencing the outcome of an election under this chapter.

8 * **Sec. 2.** AS 15.13.074(g) is repealed and reenacted to read:

9 (g) Except as provided in (j) of this section, an individual required to register
10 as a lobbyist under AS 24.45 may not, at any time the individual is subject to the
11 registration requirement under AS 24.45 and for one year after the date of the
12 individual's initial registration or its renewal, make a contribution to a candidate for
13 the legislature or to a group that

14 (1) is controlled by an individual who files for election to the state

1 legislature or campaigns as a write-in candidate for the state legislature; and

2 (2) makes expenditures or receives contributions to influence the
3 outcome of an election under this chapter.

4 * **Sec. 3.** AS 15.13.074 is amended by adding new subsections to read:

5 (j) An individual required to register as a lobbyist under AS 24.45 may make a
6 contribution to a candidate for the legislature in the district in which the individual is
7 eligible to vote or will be eligible to vote on the date of the election. An individual
8 who makes a contribution under this subsection shall report to the commission, on a
9 form provided by the commission, each contribution made while required to register
10 as a lobbyist under AS 24.45 and for one year after the date of the individual's initial
11 registration or renewal. Upon request of the commission, the information required
12 under this subsection shall be submitted electronically. In this subsection, "candidate"
13 means an individual who files for election to the legislature or who campaigns as a
14 write-in candidate for the legislature.

15 (k) The restriction on contributions by an individual required to register as a
16 lobbyist under AS 24.45 in (g) of this section and the reporting requirement of (j) of
17 this section do not apply to a representational lobbyist as defined in regulations of the
18 commission.

19 * **Sec. 4.** AS 15.13.082 is amended by adding a new subsection to read:

20 (d) A legislator may not control a group that makes contributions or
21 expenditures for the purpose of influencing the outcome of an election under this
22 chapter.

23 * **Sec. 5.** AS 15.13.400 is amended to read:

24 **Sec. 15.13.400. Definitions.** In this chapter, unless the context clearly
25 requires otherwise,

26 (1) "candidate"

27 (A) means an individual who files for election to the state
28 legislature, for governor, for lieutenant governor, for municipal office, for
29 retention in judicial office, or for constitutional convention delegate, or who
30 campaigns as a write-in candidate for any of these offices; and

31 (B) when used in a provision of this chapter that limits or

prohibits the donation, solicitation, or acceptance of campaign contributions, or limits or prohibits an expenditure, includes

(i) a candidate's campaign treasurer and a deputy campaign treasurer;

(ii) a member of the candidate's immediate family;

(iii) a person acting as agent for the candidate;

(iv) the candidate's campaign committee; and

(v) a group that makes expenditures or receives contributions with the authorization or consent, express or implied, or under the control, direct or indirect, of the candidate;

(2) "commission" means the Alaska Public Offices Commission;

(3) "communication" means an announcement or advertisement disseminated through print or broadcast media, including radio, television, cable, and satellite, the Internet, or through a mass mailing, excluding those placed by an individual or nongroup entity and costing \$500 or less and those that do not directly or indirectly identify a candidate or proposition, as that term is defined in AS 15.13.065(c);

(4) "contribution"

(A) means a purchase, payment, promise or obligation to pay, loan or loan guarantee, deposit or gift of money, goods, or services for which charge is ordinarily made, and includes the payment by a person other than a candidate or political party, or compensation for the personal services of another person, that is rendered to the candidate or political party, and that is made for the purpose of

(i) influencing the nomination or election of a candidate;

(ii) influencing a ballot proposition or question; or

(iii) supporting or opposing an initiative proposal application filed with the lieutenant governor under AS 15.45.020;

(B) does not include

(i) services provided without compensation by

1 individuals volunteering a portion or all of their time on behalf of a
 2 political party, candidate, or ballot proposition or question;

3 (ii) ordinary hospitality in a home;

4 (iii) two or fewer mass mailings before each election by
 5 each political party describing the party's slate of candidates for
 6 election, which may include photographs, biographies, and information
 7 about the party's candidates;

8 (iv) the results of a poll limited to issues and not
 9 mentioning any candidate, unless the poll was requested by or designed
 10 primarily to benefit the candidate;

11 (v) any communication in the form of a newsletter from
 12 a legislator to the legislator's constituents, except a communication
 13 expressly advocating the election or defeat of a candidate or a
 14 newsletter or material in a newsletter that is clearly only for the private
 15 benefit of a legislator or a legislative employee;

16 (vi) a fundraising list provided without compensation
 17 by one candidate or political party to a candidate or political party; or

18 (vii) an opportunity to participate in a candidate forum
 19 provided to a candidate without compensation to the candidate by
 20 another person and for which a candidate is not ordinarily charged;

21 (5) "electioneering communication" means a communication that

22 (A) directly or indirectly identifies a candidate;

23 (B) addresses an issue of national, state, or local political
 24 importance and attributes a position on that issue to the candidate identified;
 25 and

26 (C) occurs within the 30 days preceding a general or municipal
 27 election;

28 (6) "expenditure"

29 (A) means a purchase or a transfer of money or anything of
 30 value, or promise or agreement to purchase or transfer money or anything of
 31 value, incurred or made for the purpose of

(i) influencing the nomination or election of a candidate or of any individual who files for nomination at a later date and becomes a candidate;

(ii) use by a political party;

(iii) the payment by a person other than a candidate or political party of compensation for the personal services of another person that are rendered to a candidate or political party;

(iv) influencing the outcome of a ballot proposition or question; or

(v) supporting or opposing an initiative proposal application filed with the lieutenant governor under AS 15.45.020;

(B) does not include a candidate's filing fee or the cost of preparing reports and statements required by this chapter;

(C) includes an express communication and an electioneering communication, but does not include an issues communication;

(7) "express communication" means a communication that, when read as a whole and with limited reference to outside events, is susceptible of no other reasonable interpretation but as an exhortation to vote for or against a specific candidate;

(8) "group" means

(A) every state and regional executive committee of a political party;

(B) any combination of two or more individuals acting jointly who organize for the principal purpose of influencing the outcome of one or more elections and who take action the major purpose of which is to influence the outcome of an election; a group that makes expenditures or receives contributions with the authorization or consent, express or implied, or under the control, direct or indirect, of a candidate shall be considered to be controlled by that candidate; a group whose major purpose is to further the nomination, election, or candidacy of only one individual, or intends to expend more than 50 percent of its money on a single candidate, shall be considered to

1 be controlled by that candidate and its actions done with the candidate's
 2 knowledge and consent unless, within 10 days from the date the candidate
 3 learns of the existence of the group the candidate files with the commission, on
 4 a form provided by the commission, an affidavit that the group is operating
 5 without the candidate's control; a group organized for more than one year
 6 preceding an election and endorsing candidates for more than one office or
 7 more than one political party is presumed not to be controlled by a candidate;
 8 however, a group that contributes more than 50 percent of its money to or on
 9 behalf of one candidate shall be considered to support only one candidate for
 10 purposes of AS 15.13.070, whether or not control of the group has been
 11 disclaimed by the candidate; and

12 (C) any combination of two or more individuals acting jointly
 13 who organize for the principal purpose of filing an initiative proposal
 14 application under AS 15.45.020 or who file an initiative proposal application
 15 under AS 15.45.020;

16 (9) "immediate family" means the spouse, parent, child, including a
 17 stepchild and an adopted child, and sibling of an individual;

18 (10) "independent expenditure" means an expenditure that is made
 19 without the direct or indirect consultation or cooperation with, or at the suggestion or
 20 the request of, or with the prior consent of, a candidate, a candidate's campaign
 21 treasurer or deputy campaign treasurer, or another person acting as a principal or agent
 22 of the candidate;

23 (11) "individual" means a natural person;

24 (12) "issues communication" means a communication that

25 (A) directly or indirectly identifies a candidate; and

26 (B) addresses an issue of national, state, or local political
 27 importance and does not support or oppose a candidate for election to public
 28 office;

29 (13) "nongroup entity" means a person, other than an individual, that
 30 takes action the major purpose of which is to influence the outcome of an election, and
 31 that

- 1 (A) cannot participate in business activities;
2 (B) does not have shareholders who have a claim on corporate
3 earnings; and
4 (C) is independent from the influence of business corporations.
5 (14) "person" has the meaning given in AS 01.10.060, and includes a
6 labor union, nongroup entity, and a group;
7 (15) "political party" means any group that is a political party under
8 AS 15.80.010 and any subordinate unit of that group if, consistent with the rules or
9 bylaws of the political party, the unit conducts or supports campaign operations in a
10 municipality, neighborhood, house district, or precinct;
11 (16) "publicly funded entity" means a person, other than an individual,
12 that receives half or more of the money on which it operates during a calendar year
13 from government, including a public corporation.

SENATE CONCURRENT RESOLUTION NO. 1
IN THE LEGISLATURE OF THE STATE OF ALASKA
THIRTIETH LEGISLATURE - FIRST SESSION

BY SENATORS GARDNER, Micciche

Introduced: 2/1/17

Referred: State Affairs, Judiciary

A RESOLUTION

1 **Proposing an amendment to the Uniform Rules of the Alaska State Legislature relating**
2 **to voting procedure and abstention from voting.**

3 **BE IT RESOLVED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

4 * **Section 1.** Rule 34(b), Uniform Rules of the Alaska State Legislature is amended to read:

5 (b) Abstention. Every member present in the house shall vote unless the house
6 for special reasons permits a member to abstain. All motions excusing a member from
7 voting shall be made before the house divides or before the call for the yeas and nays
8 is commenced. A member requesting to be excused from voting may make a brief oral
9 statement of the reasons for the request and the question of granting permission to
10 abstain shall be taken without further debate. A member may not explain a vote, may
11 not discuss the question while the yeas and nays are being called, and may not change
12 a vote after the roll call result has been announced. Upon division and count of the
13 house of any question a member who is not within the chamber may not be counted. A
14 member may not be permitted to abstain except upon the unanimous consent of the
15 membership. Upon a member's request to be excused from voting, the clerk or
16 secretary shall record in the journal the member requesting to be excused, the

1 stated reason for the request, and, if an objection to the request is made, the
2 member or members who objected.

CS FOR SENATE BILL NO. 5(JUD)

IN THE LEGISLATURE OF THE STATE OF ALASKA

THIRTIETH LEGISLATURE - FIRST SESSION

BY THE SENATE JUDICIARY COMMITTEE

Offered: 3/31/17

Referred: Rules

Sponsor(s): SENATOR MEYER

A BILL

FOR AN ACT ENTITLED

1 **"An Act prohibiting certain groups from soliciting and accepting contributions or from**
2 **making certain contributions and expenditures during a regular or special legislative**
3 **session; and prohibiting some lobbyists from making campaign contributions to certain**
4 **groups."**

5 **BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

6 * **Section 1.** AS 15.13.072 is amended by adding a new subsection to read:

7 (i) A group that makes expenditures or receives contributions with the
8 authorization or consent, express or implied, or under the control, direct or indirect, of
9 a person who is a legislator may not solicit or accept a contribution for the purpose of
10 influencing the outcome of an election under this chapter while the legislature is
11 convened in a regular or special legislative session.

12 * **Sec. 2.** AS 15.13.074(g) is repealed and reenacted to read:

13 (g) Except as provided in (j) of this section, an individual required to register
14 as a lobbyist under AS 24.45 may not, at any time the individual is subject to the

1 registration requirement under AS 24.45 and for one year after the date of the
 2 individual's initial registration or its renewal, make a contribution to a candidate for
 3 the legislature or to a group that makes expenditures or receives contributions

4 (1) with the authorization or consent, express or implied, or under the
 5 control, direct or indirect, of

6 (A) a member of the legislature; or

7 (B) an individual who files for election to the state legislature
 8 or campaigns as a write-in candidate for the state legislature; and

9 (2) to influence the outcome of an election under this chapter.

10 * **Sec. 3.** AS 15.13.074 is amended by adding new subsections to read:

11 (j) An individual required to register as a lobbyist under AS 24.45 may make a
 12 contribution to a candidate for the legislature in the district in which the individual is
 13 eligible to vote or will be eligible to vote on the date of the election. An individual
 14 who makes a contribution under this subsection shall report to the commission, on a
 15 form provided by the commission, each contribution made while required to register
 16 as a lobbyist under AS 24.45 and for one year after the date of the individual's initial
 17 registration or renewal. Upon request of the commission, the information required
 18 under this subsection shall be submitted electronically. In this subsection, "candidate"
 19 means an individual who files for election to the legislature or who campaigns as a
 20 write-in candidate for the legislature.

21 (k) The restriction on contributions by an individual required to register as a
 22 lobbyist under AS 24.45 in (g) of this section and the reporting requirement of (j) of
 23 this section do not apply to a representational lobbyist as defined in regulations of the
 24 commission.

25 * **Sec. 4.** AS 15.13.082 is amended by adding a new subsection to read:

26 (d) A group that makes expenditures or receives contributions with the
 27 authorization or consent, express or implied, or under the control, direct or indirect, of
 28 a person who is a legislator may not make a contribution or expenditure for the
 29 purpose of influencing the outcome of an election under this chapter while the
 30 legislature is convened in a regular or special session.

31 * **Sec. 5.** AS 15.13.400 is amended to read:

1 **Sec. 15.13.400. Definitions.** In this chapter, unless the context clearly
 2 requires otherwise,

3 (1) "candidate"

4 (A) means an individual who files for election to the state
 5 legislature, for governor, for lieutenant governor, for municipal office, for
 6 retention in judicial office, or for constitutional convention delegate, or who
 7 campaigns as a write-in candidate for any of these offices; and

8 (B) when used in a provision of this chapter that limits or
 9 prohibits the donation, solicitation, or acceptance of campaign contributions, or
 10 limits or prohibits an expenditure, includes

11 (i) a candidate's campaign treasurer and a deputy
 12 campaign treasurer;

13 (ii) a member of the candidate's immediate family;

14 (iii) a person acting as agent for the candidate;

15 (iv) the candidate's campaign committee; and

16 (v) a group that makes expenditures or receives
 17 contributions with the authorization or consent, express or implied, or
 18 under the control, direct or indirect, of the candidate;

19 (2) "commission" means the Alaska Public Offices Commission;

20 (3) "communication" means an announcement or advertisement
 21 disseminated through print or broadcast media, including radio, television, cable, and
 22 satellite, the Internet, or through a mass mailing, excluding those placed by an
 23 individual or nongroup entity and costing \$500 or less and those that do not directly or
 24 indirectly identify a candidate or proposition, as that term is defined in
 25 AS 15.13.065(c);

26 (4) "contribution"

27 (A) means a purchase, payment, promise or obligation to pay,
 28 loan or loan guarantee, deposit or gift of money, goods, or services for which
 29 charge is ordinarily made, and includes the payment by a person other than a
 30 candidate or political party, or compensation for the personal services of
 31 another person, that is rendered to the candidate or political party, and that is

made for the purpose of

(i) influencing the nomination or election of a candidate;

(ii) influencing a ballot proposition or question; or

(iii) supporting or opposing an initiative proposal application filed with the lieutenant governor under AS 15.45.020;

(B) does not include

(i) services provided without compensation by individuals volunteering a portion or all of their time on behalf of a political party, candidate, or ballot proposition or question;

(ii) ordinary hospitality in a home;

(iii) two or fewer mass mailings before each election by each political party describing the party's slate of candidates for election, which may include photographs, biographies, and information about the party's candidates;

(iv) the results of a poll limited to issues and not mentioning any candidate, unless the poll was requested by or designed primarily to benefit the candidate;

(v) any communication in the form of a newsletter from a legislator to the legislator's constituents, except a communication expressly advocating the election or defeat of a candidate or a newsletter or material in a newsletter that is clearly only for the private benefit of a legislator or a legislative employee;

(vi) a fundraising list provided without compensation by one candidate or political party to a candidate or political party; or

(vii) an opportunity to participate in a candidate forum provided to a candidate without compensation to the candidate by another person and for which a candidate is not ordinarily charged;

(5) "electioneering communication" means a communication that

(A) directly or indirectly identifies a candidate;

(B) addresses an issue of national, state, or local political

1 importance and attributes a position on that issue to the candidate identified;
 2 and

3 (C) occurs within the 30 days preceding a general or municipal
 4 election;

5 (6) "expenditure"

6 (A) means a purchase or a transfer of money or anything of
 7 value, or promise or agreement to purchase or transfer money or anything of
 8 value, incurred or made for the purpose of

9 (i) influencing the nomination or election of a candidate
 10 or of any individual who files for nomination at a later date and
 11 becomes a candidate;

12 (ii) use by a political party;

13 (iii) the payment by a person other than a candidate or
 14 political party of compensation for the personal services of another
 15 person that are rendered to a candidate or political party;

16 (iv) influencing the outcome of a ballot proposition or
 17 question; or

18 (v) supporting or opposing an initiative proposal
 19 application filed with the lieutenant governor under AS 15.45.020;

20 (B) does not include a candidate's filing fee or the cost of
 21 preparing reports and statements required by this chapter;

22 (C) includes an express communication and an electioneering
 23 communication, but does not include an issues communication;

24 (7) "express communication" means a communication that, when read
 25 as a whole and with limited reference to outside events, is susceptible of no other
 26 reasonable interpretation but as an exhortation to vote for or against a specific
 27 candidate;

28 (8) "group" means

29 (A) every state and regional executive committee of a political
 30 party;

31 (B) any combination of two or more individuals acting jointly

1 who organize for the principal purpose of influencing the outcome of one or
 2 more elections and who take action the major purpose of which is to influence
 3 the outcome of an election; a group that makes expenditures or receives
 4 contributions with the authorization or consent, express or implied, or under
 5 the control, direct or indirect, of a candidate shall be considered to be
 6 controlled by that candidate; a group whose major purpose is to further the
 7 nomination, election, or candidacy of only one individual, or intends to expend
 8 more than 50 percent of its money on a single candidate, shall be considered to
 9 be controlled by that candidate and its actions done with the candidate's
 10 knowledge and consent unless, within 10 days from the date the candidate
 11 learns of the existence of the group the candidate files with the commission, on
 12 a form provided by the commission, an affidavit that the group is operating
 13 without the candidate's control; a group organized for more than one year
 14 preceding an election and endorsing candidates for more than one office or
 15 more than one political party is presumed not to be controlled by a candidate;
 16 however, a group that contributes more than 50 percent of its money to or on
 17 behalf of one candidate shall be considered to support only one candidate for
 18 purposes of AS 15.13.070, whether or not control of the group has been
 19 disclaimed by the candidate; and

20 (C) any combination of two or more individuals acting jointly
 21 who organize for the principal purpose of filing an initiative proposal
 22 application under AS 15.45.020 or who file an initiative proposal application
 23 under AS 15.45.020;

24 (9) "immediate family" means the spouse, parent, child, including a
 25 stepchild and an adopted child, and sibling of an individual;

26 (10) "independent expenditure" means an expenditure that is made
 27 without the direct or indirect consultation or cooperation with, or at the suggestion or
 28 the request of, or with the prior consent of, a candidate, a candidate's campaign
 29 treasurer or deputy campaign treasurer, or another person acting as a principal or agent
 30 of the candidate;

31 (11) "individual" means a natural person;

1 (12) "issues communication" means a communication that

2 (A) directly or indirectly identifies a candidate; and

3 (B) addresses an issue of national, state, or local political
4 importance and does not support or oppose a candidate for election to public
5 office;

6 (13) "nongroup entity" means a person, other than an individual, that
7 takes action the major purpose of which is to influence the outcome of an election, and
8 that

9 (A) cannot participate in business activities;

10 (B) does not have shareholders who have a claim on corporate
11 earnings; and

12 (C) is independent from the influence of business corporations.

13 (14) "person" has the meaning given in AS 01.10.060, and includes a
14 labor union, nongroup entity, and a group;

15 (15) "political party" means any group that is a political party under
16 AS 15.80.010 and any subordinate unit of that group if, consistent with the rules or
17 bylaws of the political party, the unit conducts or supports campaign operations in a
18 municipality, neighborhood, house district, or precinct;

19 (16) "publicly funded entity" means a person, other than an individual,
20 that receives half or more of the money on which it operates during a calendar year
21 from government, including a public corporation.

ITEM 8h: CONTRACT RENEWALS

SAMPLE

**PROFESSIONAL SERVICES CONTRACT BETWEEN
SELECT COMMITTEE ON LEGISLATIVE ETHICS
AND**

**LAW OFFICE OF BRENT R. COLE, P.C.
821 N STREET, SUITE 208
ANCHORAGE, ALASKA 99501**

CONTRACT AMOUNT: \$10,000.00

The parties to this contract, made and entered into the date the Legislative Affairs Agency Executive Director or her designee signs the contract, are the Select Committee on Legislative Ethics, whose address is 425 G Street, Suite 711, Anchorage, Alaska 99501, hereinafter referred to as the "Committee", and Law Office of Brent R. Cole, P.C., whose address is 821 N Street, Suite 208, Anchorage, Alaska 99501, hereinafter referred to as the "Attorney".

THE PURPOSE OF THIS CONTRACT IS TO PROVIDE legal services to the Select Committee on Legislative Ethics.

IT IS THEREFORE MUTUALLY AGREED THAT:

CLAUSE I - STATEMENT OF WORK

Attorney shall provide legal services to the Committee as provided in this contract. The Committee anticipates requesting the Attorney to provide the following specific services:

- (A) legal advice, including, but not limited to, advice and opinions related to ethics complaints;
- (B) testimony before the Committee;
- (C) responses to informal requests for interpretation of the Legislative Ethics Act.

The Attorney shall provide the Committee with other legal services as requested by the Committee. The Attorney, including, but not limited to, Attorney's officers, agents, employees, and contractors shall maintain strict confidentiality of all records, files, work product, complaints, actions, advice, and materials related to the Committee or to the work performed under this contract unless and until directed otherwise by the Committee Chair ("Chair").

CLAUSE II - PERIOD AND DATES OF PERFORMANCE

- (A) The work under this contract shall begin July 1, 2015 and terminate June 30, 2016.
- (B) Upon delivery of written notice to the Attorney, this contract may, without liability to the Committee, be terminated by the Chair with or without cause. To terminate, the Chair shall provide notice by e-mail or delivery of a hard copy to the Attorney, whichever method is selected in the sole discretion of the Committee. If this contract is so terminated and the termination is not based on a breach by the Attorney, the Committee shall compensate the Attorney for services provided under the terms of this contract up to the date the termination notice is delivered, provided the Attorney provides the Committee with a statement in writing containing a description of the services provided prior to contract termination, detailed time records for the services provided prior to contract termination that include that items required for time records by Clause XIII (Records; Audit), and a copy of all documents, reports, material, and other items required to be delivered to the Committee by Clause XIV (Ownership and Reuse of Documents) of this contract.

CLAUSE III - COMPENSATION AND METHOD OF PAYMENT

- (A) The Attorney shall perform the work specified by this contract at the following rates:
- | | |
|------------------|------------|
| Brent Cole | \$200/hour |
| Patrick Bergt | \$175/hour |
| Legal Assistants | \$ 75/hour |
- (B) For costs incurred by the Attorney in providing requested services, Attorney shall be reimbursed its actual costs, including long distance phone or facsimile, messenger service, filing, copying, express delivery, and similar costs. Copying shall be reimbursed at 10 cents per page. Any cost greater than \$300 may not be paid unless pre-authorized by the Chair.
- (C) Payment shall be based on billings provided by the Attorney that include the items required for time records by Clause XIII (Records; Audit). The description of services shall be prepared to protect the confidentiality and the identity of the individual and the subject matter.
- (D) The Chair must approve a billing before it may be paid.
- (E) If a payment is not made within 90 days after the Committee has received a billing

that satisfies the requirements for billing under this contract, the Committee shall pay interest on the unpaid balance of the billing at the rate of 1.5 percent per month from, and including, the 91st day through the date payment is made. A payment is considered made on the date it is mailed or personally delivered to the Attorney.

- (F) Total payments under this contract, including reimbursed costs, may not exceed \$10,000, unless this contract is amended in writing to change the amount of the contract prior to Attorney incurring services or costs in excess of such amount.

CLAUSE IV - EXPENSES AND DUPLICATION

- (A) Except as may be otherwise provided by Clause III (Compensation and Method of Payment), the office space, equipment, supplies, clerical support and other expenses that are necessary for the Attorney to carry out the Attorney's obligations under this contract shall be supplied and paid for by the Attorney at no cost to the Committee. Attorney shall make available to the Committee an office or conference room for Committee members to review any documents or files maintained by Attorney.
- (B) Duplicates of any material or other item produced under this contract may be produced by the Committee; the office space, equipment, supplies, clerical support and other expenses required for the duplication shall be supplied by the Committee.

CLAUSE V - ASSIGNMENT OR TRANSFER

Assignment or transfer of the contract is subject to the approval of the Chair.

CLAUSE VI - WORKERS' COMPENSATION

During the life of this contract, the Attorney shall, in accordance with AS 23.30.045(d), provide and maintain workers' compensation insurance. Attorney shall provide the Committee, upon request, with written proof of the coverage required by this clause.

CLAUSE VII - FEDERAL AND STATE LAWS

In addition to the other requirements of this contract, the Attorney must comply with all applicable Federal and State labor, wage/hour, safety and other laws which have a bearing on the contract, and must have all licenses and permits required by the Federal government, State and/or municipality for performance of the contract covered by this contract, including, but not limited to, a valid Alaska business license and any necessary applicable professional

licenses. The Attorney must pay all fees associated with the licenses and permits required for performance of the contract. The Attorney shall pay all Federal, State, and local taxes incurred by the Attorney in the performance of the contract. The Attorney's certification that taxes have been paid may be verified before final payment by the Committee.

CLAUSE VIII – HUMAN TRAFFICKING

Human Trafficking: By the Attorney's signature on this contract, the Attorney certifies that the Attorney is not headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

In addition, if the Attorney conducts business in but is not headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report, a certified copy of the Attorney's policy against human trafficking shall be submitted to the Committee.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: <http://www.state.gov/g/tip/rls/tiprpt>.

If the Attorney fails to comply with this Clause VIII, the Committee may cancel the contract as a breach of the contract under Clause II (B) of this contract.

CLAUSE IX – VENUE

In the event that the parties to the contract find it necessary to litigate the terms of the contract, venue shall be State of Alaska, First Judicial District, at Juneau, and the contract shall be interpreted according to the laws of Alaska.

CLAUSE X – BINDING ON SUCCESSORS

Subject to Clause V (Assignment or Transfer) of this contract, this contract and all the covenants, provisions and conditions contained in the contract shall inure to the benefit of and be binding upon the successors and assigns of the Attorney and the Committee.

CLAUSE XI – INDEMNIFICATION

The Attorney shall indemnify, save harmless, and defend the Committee, and the Committee's officers, agents, and employees from liability for any claim, including, but not limited to, any damages, costs, and attorney fees arising from the claim, arising from Attorney's negligence or intentional misconduct in the performance of Attorney's obligations under this contract.

CLAUSE XII – ETHICAL CONSIDERATIONS

- (A) The Attorney, including, but not limited to, its employees, is subject to the prohibitions set out in AS 24.60.134 of the Legislative Ethics Act unless individuals are excluded under AS 24.60.134 (c). The Attorney is subject to the other provisions of AS 24.60 as a legislative employee.
- (B) Only the lawyers who are personally involved in representing the Committee on behalf of the Attorney and any staff of the Attorney who are assisting such lawyers shall have access to the records and documents related to the subject matter of this contract. The Attorney shall ensure that no one else in the firm has access to the records and documents by retaining the records and documents in a locked file cabinet conspicuously marked as confidential materials relating to this contract.

CLAUSE XIII – RECORDS: AUDIT

In addition to any other records required by this Contract, the Attorney shall accurately maintain detailed time records that state the date of the work, break down the time in tenths of an hour, describe in detail the work done during a tenth of an hour, and identify which individual did the work. The Attorney shall also keep any other records that are required by the Chair. The records required by this Contract are subject to inspection by the Committee or the Chair at all reasonable times.

CLAUSE XIV – OWNERSHIP AND REUSE OF DOCUMENTS

All documents, reports, material, and other items generated as a consequence of work done under this Contract are the property of the Committee. To the extent the Attorney has any interest in the copyright for these items under the copyright laws of the United States, the Attorney transfers by this Contract any and all interest the Attorney has in the copyright for these items to the Committee, and the Committee will be the owner of the copyright for these items. Upon completion of the work or termination of this Contract, the items shall be delivered to the Chair.

CLAUSE XV – CHAIR AND LEAD LAWYER

- (A) The Chair shall serve as the primary contact through which the Committee assigns and directs the work of the Attorney. The Chair has the authority, upon which the Attorney may rely without further inquiry, to act on behalf of the Committee in assigning and directing the work of the Attorney.
- (B) Brent Cole is the lead lawyer on this matter and shall have the primary relationship

with the Committee. Unless otherwise agreed to or directed by the Committee, Brent Cole shall be the lawyer who communicates directly with the Committee.

CLAUSE XVI – AUTHORIZATION; CERTIFICATION

Execution of this contract was authorized by a majority of the members of the Select Committee on Legislative Ethics at a meeting on September 29, 2015.

Execution of this contract by the Legislative Affairs Agency Executive Director or her designee hereby constitutes a certification that funds are available in an appropriation to pay for work performed under this contract through June 30, 2016.

CLAUSE XVII - MODIFICATION AND PREVIOUS AGREEMENTS

This contract contains all terms and conditions agreed upon by the parties. No other understandings, oral or otherwise, regarding the subject matter of this contract shall be deemed to exist or to bind either of the parties to this contract. This contract may not be modified unless in writing and signed by the parties to this contract.

IN WITNESS WHEREOF, the parties have executed this contract on the dates indicated below:

ATTORNEY

COMMITTEE

LAW OFFICE OF BRENT R. COLE, P.C.

SELECT COMMITTEE ON
LEGISLATIVE ETHICS

Brent R. Cole Date
Title: President
Tax ID #: 92-0152597

Dennis "Skip" Cook, Chair Date
Procurement Officer
Project Director

CERTIFYING AUTHORITY:

APPROVED AS TO FORM:

Pamela A. Varni Date
Executive Director
Legislative Affairs Agency

Legal Counsel Date

SAMPLE

*** SAMPLE ***

PROFESSIONAL SERVICES CONTRACT BETWEEN

SELECT COMMITTEE ON LEGISLATIVE ETHICS

AND

**MONIQUE RAPUZZI, AN INDIVIDUAL DBA
MONIQUE RAPUZZI CASE PREPARATION & TRIAL ASSISTANCE
7241 BULEN DRIVE
ANCHORAGE, AK 99507**

**CONTRACT AMOUNT: \$5,000.00
(excluding reimbursement for expenses)**

The parties to this contract, made and entered into the date the Legislative Affairs Agency Executive Director or her designee signs the contract, are the Select Committee on Legislative Ethics, whose address is 425 G Street, Suite 711, Anchorage, Alaska 99501, hereinafter referred to as the "Committee", and Monique Rapuzzi, an individual dba Monique Rapuzzi Case Preparation & Trial Assistance, whose address is 7241 Bulen Drive, Anchorage, AK 99507, hereinafter referred to as the "Consultant".

THE PURPOSE OF THIS CONTRACT IS TO PROVIDE the Select Committee on Legislative Ethics with professional services.

IT IS THEREFORE MUTUALLY AGREED THAT:

CLAUSE I - STATEMENT OF SCOPE OF WORK

The Consultant shall provide investigative services to the committee in accordance with a confidential letter of agreement outlining the specific course of the investigation and shall be available for consultation at one committee meeting to be held in Anchorage or via teleconference. The Consultant shall document in writing any additional work it deems necessary to perform this contract that is outside the letter of agreement. The Consultant may not perform additional work under this contract unless performance of the work is approved by the Project Director. The Consultant who performs work covered by this contract will keep all work performed under this contract confidential.

Monique Rapuzzi shall be the only person of the Consultant who performs work on this contract. Unless otherwise agreed in writing or directed in writing by the Committee, Monique Rapuzzi shall be the sole individual of the Consultant who communicates directly with the Committee on issues related to this contract.

CLAUSE II - PERIOD AND DATES OF PERFORMANCE

- (A) The work under this contract shall begin July 1, 2015 and terminate June 30, 2016.
- (B) Upon delivery of written notice to the Consultant, this contract may, without liability to the Committee, be terminated by the Project Director with or without cause. To terminate, the Project Director shall provide notice by e-mail or delivery of a hard copy to the Consultant, whichever method is selected in the sole discretion of the Committee. If this contract is so terminated and the termination is not based on a breach by the Consultant, the Committee shall compensate the Consultant for services provided under the terms of this contract up to the date the termination notice is delivered, provided the Consultant provides the Committee with a statement in writing containing a description of the services provided prior to contract termination, detailed time records for the services provided prior to contract termination, and a copy of all documents, reports, material, and other items required to be delivered to the Committee by Clause XIV (Ownership and Reuse of Documents) of this contract.

CLAUSE III - COMPENSATION

- (A) The Consultant shall perform the work specified by this contract at the rate of \$75.00 per hour and shall bill in increments of 1/10th of an hour.
- (B) Payment shall be based on billings provided by the Consultant that include the items required for time records by Clause XIII (Records; Audit).
- (C) The Project Director must approve a billing before it may be paid.
- (D) If a payment is not made within 90 days after the Committee has received a billing, the Committee shall pay interest on the unpaid balance of the billing at the rate of 1.5 percent per month from, and including, the 91st day through the date payment is made. A payment is considered made on the date it is mailed or personally delivered to the Consultant.
- (E) The Committee shall reimburse the Consultant for reasonable expenses that are incurred by the Consultant in the performance of this contract and that are approved for reimbursement by the Project Director. With regard to travel expenses, if the Project Director requires the Consultant to travel outside of the Consultant's home base of Anchorage, Alaska, the Consultant will be reimbursed for reasonable travel expenses that are supported by receipts and that are approved by the Project Director. Transportation expenses approved by the Project Director will be paid at the following rates: airfare at the coach rate and car mileage at the federal rate. Expenses exceeding \$300 must be approved in advance by the Project Director.

- (E) Total payments under this contract, excluding reimbursement for expenses, may not exceed Five Thousand and No/100 Dollars (\$5,000.00).

CLAUSE IV - EXPENSES AND DUPLICATION

- (A) Except as may be otherwise provided by Clause III, the office space, equipment, supplies, clerical support and other expenses that are necessary for the Consultant to carry out the Consultant's obligations under this contract shall be supplied and paid for by the Consultant at no cost to the Committee.
- (B) Duplicates of any material or other item produced under this contract may be produced by the Committee; the office space, equipment, supplies, clerical support and other expenses required for the duplication shall be supplied by the Committee.

CLAUSE V - ASSIGNMENT OR TRANSFER

Assignment or transfer of the contract is subject to the approval of the Project Director.

CLAUSE VI - WORKERS' COMPENSATION

At the time this contract is executed, the Consultant is a sole proprietor and does not employ any employees. If the Consultant hires one or more employees, the Consultant shall, in accordance with AS 23.30.045(d), provide and maintain workers' compensation insurance. Upon request, the Consultant shall provide the Committee with written proof of the coverage required by this clause.

CLAUSE VII - FEDERAL AND STATE LAWS

In addition to the other requirements of this contract, the Consultant must comply with all applicable Federal and State labor, wage/hour, safety and other laws which have a bearing on the contract, and must have all licenses and permits required by the Federal, State and/or municipality for performance of the contract covered by this contract, including, but not limited to, a valid Alaska business license and any necessary applicable professional licenses. The Consultant must pay all fees associated with the licenses and permits required for performance of the contract. The Consultant shall pay all Federal, State, and local taxes incurred by the Consultant, in the performance of the contract. The Consultant's certification that taxes have been paid may be verified before final payment by the Committee.

CLAUSE VIII - HUMAN TRAFFICKING

Human Trafficking: By the Consultant's signature on this contract, the Consultant certifies that the Consultant is not headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

In addition, if the Consultant conducts business in, but is not headquartered in, a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in the Persons Report, a certified copy of the Consultant's policy against human trafficking must be submitted to the Committee.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: <http://www.state.gov/g/tip/rls/tiprpt>.

If the Consultant is or becomes headquartered in a Tier 3 country, or fails to comply with this clause ("Human Trafficking"), the Committee may terminate the contract under Clause II (B) as a breach of the contract.

CLAUSE IX – VENUE

In the event that the parties to the contract find it necessary to litigate the terms of the contract, venue shall be State of Alaska, First Judicial District, at Juneau, and the contract shall be interpreted according to the laws of Alaska.

CLAUSE X – BINDING ON SUCCESSORS

Subject to Clause V (Assignment or Transfer) of this contract, this contract and all the covenants, provisions and conditions contained in the contract shall inure to the benefit of and be binding upon the successors and assigns of the Consultant and the Committee.

CLAUSE XI – INDEMNIFICATION

The Consultant shall indemnify, save harmless, and defend the Committee, and the Committee's officers, agents, and employees from liability for any claim, including, but not limited to, any damages, costs, and fees arising from the claim, arising from Consultant's negligence or intentional misconduct in the performance of Consultant's obligations under this contract.

CLAUSE XII – COVERAGE UNDER THE ETHICS CODE

The Consultant may be subject to the provisions of AS 24.60 (Legislative Ethics) as a legislative employee unless excluded from the definition of "legislative employee" under AS 24.60.990(a)(11). Select Committee on Legislative Ethics Advisory Opinion 99-01 concludes that "any contractors who are paid through the state payroll system, contractors (or those designated within a contracting firm or company) with the Ethics Committee and those services or professional services contractors with legislative contracts over \$5,000, who will incur more than incidental use of state resources or who either contract for legislative policy related services or who are designated to represent the Legislature in a policy-related capacity, fall within the legislative employee definition and are therefore subject to the legislative ethics code."

CLAUSE XIII – RECORDS; AUDIT

In addition to any other records required by this Contract, the Consultant shall accurately maintain detailed time records that state the date of the work, break down the time in tenths of an hour, describe in detail the work done during a tenth of an hour, and identify which individual did the work. The Consultant shall also keep any other records that are required by the Project Director. The records required by this Contract are subject to inspection by the Committee or the Project Director at all reasonable times.

CLAUSE XIV – OWNERSHIP AND REUSE OF DOCUMENTS

All documents, reports, material, and other items generated as a consequence of work done under this contract are the property of the Committee. To the extent the Consultant has any interest in the copyright for these items under the copyright laws of the United States, the Consultant transfers by this contract any and all interest the Consultant has in the copyright for these items to the Committee, and the Committee will be the owner of the copyright for these items. Upon completion of the work or termination of this contract, the items shall be delivered to the Project Director.

CLAUSE XV - PROJECT DIRECTOR

The Project Director is Dennis "Skip" Cook, Chair of the Select Committee on Legislative Ethics. The Project Director is authorized to oversee and direct the activities of the Consultant under this contract.

CLAUSE XVI – AUTHORIZATION; CERTIFICATION

Execution of this contract was authorized by a majority of the members of the Select Committee on Legislative Ethics at a meeting on September 29, 2015.

Execution of this contract by the Legislative Affairs Agency Executive Director or her designee hereby constitutes a certification that funds are available in an appropriation to pay for work performed under this contract through June 30, 2016.

CLAUSE XVII - MODIFICATION AND PREVIOUS AGREEMENTS

This contract contains all terms and conditions agreed upon by the parties. No other understandings, oral or otherwise, regarding the subject matter of this contract shall be deemed to exist or to bind either of the parties to this contract. This contract may not be modified unless in writing and signed by the parties to this contract.

IN WITNESS WHEREOF, the parties have executed this contract on the dates

indicated below:

CONSULTANT:

**MONIQUE RAPUZZI, AN INDIVIDUAL DBA
MONIQUE RAPUZZI CASE PREPARATION
& TRIAL ASSISTANCE**

Monique Rapuzzi **Date**
Alaska Business License: 740440

CERTIFYING AUTHORITY:

Pamela A. Varni **Date**
Executive Director
Legislative Affairs Agency

COMMITTEE:

**SELECT COMMITTEE ON
LEGISLATIVE ETHICS**

Dennis "Skip" Cook, Chair **Date**
Procurement Officer
Project Director

APPROVED AS TO FORM:

Legal Counsel **Date**

ITEM 8i: BUDGET REPORT - FY17

AR 304510000 - SelectComEthcGF

Object Type Code	Object Type Name	Expend Original	Expend Amendments	Expend Current Budget	Encumbrances	Expenditures	Available Expenditure Budget
1000	Personal Services	191,300.00	2,100.00	193,400.00	0.00	182,521.61	10,878.39
2000	Travel	25,000.00	0.00	25,000.00	0.00	18,849.89	6,150.11
3000	Services	30,800.00	0.00	30,800.00	0.00	5,026.08	25,773.92
4000	Commodities	1,800.00	0.00	1,800.00	0.00	100.97	1,699.03
		248,900.00	2,100.00	251,000.00	0.00	206,498.55	44,501.45

Alaska State Legislature

Select Committee on Legislative Ethics

716 W 4th Street, Ste. 217
Anchorage, AK 99501
PH (907) 269-0150
FAX (907) 269-0152
Email: ethics.committee@akleg.gov

Mailing Address:
P. O. Box 101468
Anchorage, AK 99510-1468

September 26, 2016

«AddressBlock»

RE: STATE BENEFIT AND LOAN PROGRAMS under AS 24.60.050

«GreetingLine»

The Select Committee on Legislative Ethics is required to review the State of Alaska Benefit Programs and Loan programs, under AS 24.60.050, for disclosure of participation by legislators, legislative employees, and others affected by the Legislative Ethics Act. Participation in state programs which do not meet certain standards and have a certain degree of discretion in the awarding process must be disclosed.

Attached is a list of benefit and loan programs which were previously determined as "not meeting the following standards":

1. generally available to members of the public;
2. subject to fixed, objective eligibility standards; and
3. minimal in discretion in determining qualification

Please review the list. If you have a *new* program to add or have *changes* to make to an existing program, please fill out the enclosed 2017 BENEFIT OR LOAN REVIEW FORM and return to us.

If you *do not* have a new program to add or do not have changes to make, please send us an email stating *No Changes*. Our email address is ethics.committee@akleg.gov.

If you wish to remove a program from the list, please fill out the enclosed 2017 BENEFIT OR LOAN REVIEW FORM and return to us in the enclosed envelope. Please note that if you are removing a program you must provide **documentation** showing us one of the following:

1. The standards have changed; or
2. The program no longer exists; or
3. The program has been transferred to another department

Remember, this is to protect your employees from any potential undue legislative influence.

Regardless of the type of action you are taking, please respond to us by

October 28, 2016.

This will allow us ample time to update the list for distribution to legislators and legislative staff prior to January 17, 2017.

If you have any questions, please contact the Ethics Committee office.

Thank you for your cooperation in this matter.

Sincerely,

Jerry Anderson
Administrator

Encl: 2016 State Benefit and Loan Programs
2017 Benefit and Loan Review Form

Alaska State Legislature
Select Committee on Legislative Ethics
P. O. Box 101468, Anchorage, AK 99510-1468
(907) 269-0150***FAX (907) 269-0152
ethics.committee@akleg.gov

2017 BENEFIT OR LOAN REVIEW FORM
AS 24.60.050

DEPARTMENT: _____ DIVISION: _____
AGENCY: _____
Contact person: _____ Phone : _____

NAME OF (*NEW* or *EXISTING*) STATE BENEFIT OR LOAN PROGRAM (*ADDING* or *CHANGING*):

PURPOSE: _____

METHOD FOR APPLICATION TO RECEIVE BENEFIT OR LOAN: _____

ELIGIBILITY REQUIREMENTS: _____

TERMS: (minimum/maximum amounts, interest rates, assumable loan, collateral required, maximum term, fees, etc.) _____

The (New or Existing) Program **does not meet** one or more of the following standards: (Check all that apply)

- ☐ The benefit program or loan is generally available to members of the public; OR
- ☐ Is subject to fixed, objective eligibility standard; OR
- ☐ Requires minimal discretion in determining qualification

NAME OF STATE BENEFIT OR LOAN PROGRAM YOU ARE ***REMOVING*** (*if applicable*):

If removing a program, please check applicable reason:

- ☐ The standards have changed. EXPLAIN & PROVIDE DOCUMENTATION: _____
_____ ; OR
- ☐ The program no longer exists; OR
- ☐ The program has been transferred to another department. PLEASE PROVIDE
NAME OF DEPT: _____

NAME	TITLE	DATE
------	-------	------

APPENDIX C

2016 Alaska State Benefit and Loan Programs under AS 24.60.050(c)

Participation in the following State Benefit and Loan Programs during the *preceding* year and for the *current* year must be reported. Disclosure forms are available in Appendix B.

Department of Administration Programs

Violent Crimes Compensation Board:

Violent Crimes Compensation (please reference Advisory Opinion 94-07 for an explanation of disclosure requirements)

Department of Commerce, Community and Economic Development Loans

(NOTE: A new loan under this department is under consideration by the Ethics Committee; results will be determined at the committee meeting in January, 2015. Please contact the Ethics office for clarification.)

Division of Economic Development:

- Alaska Capstone Avionics Revolving Loan Fund
- Commercial Fishing Revolving Loan Fund
- Fisheries Enhancement Revolving Loan Fund
- Rural Development Initiative Fund
- Small Business Economic Development Revolving Loan Fund
- Mariculture Loan Fund
- Community Quota Entity Loan Fund
- Microloan Loan Fund
- Alternative Energy Conservation Loan Fund
- Commercial Charter Fisheries Loan Fund

Alaska Industrial Development and Export Authority and Alaska Energy Authority:

- AIDEA Loans
- ASSETS (Alaska Sustainable Strategy for Energy Transmission & Supply) Loan Program
- Development Finance Program

Department of Commerce, Community & Economic Development Programs

(NOTE: Removal of existing loans under this department is under consideration by the Ethics Committee; results will be determined at the committee meeting in January, 2015. Please contact the Ethics office for clarification.)

Alcoholic Beverage Control Board:

- Liquor License

Alaska Railroad Corporation:

- Real Estate Lease - Negotiated

Sale of Surplus Property - Negotiated
Railroad Permit
Rail Transportation Contract – Negotiated
Division of Banking and Securities:
Deferred Deposit Advances
Approval of Articles of Incorporation, Bank Charters, and Certificates of Authority
for: State Chartered Banks, Mutual Savings Banks,
Savings Associations, and Credit Unions
License to Engage in the Business of Making Loans
Premium Finance Company License
Business Industrial Development Corporation License

Department of Environmental Conservation

Alaska Clean Water Revolving Loan Fund
Alaska Drinking Water Revolving Loan Fund

Department of Health and Social Services Programs

Various Divisions:
Licensing of Health Care Facilities

Department of Military and Veterans Affairs

Alaska Aerospace Development Corporation:
Scholarship Program

Department of Natural Resources Programs

Division of Forestry:
Timber Sales – Negotiated
Personal Use Permits
Division of Mining, Land and Water:
Preference Right Land Sales AS 38.05
Agricultural Land Lottery Sale Program
Additional Non-competitive Land Leases - Negotiated
Approving Easement Vacations in the Unorganized Borough and Certain
Other Areas
Exchange of State Land
Homesite Entry Program
Homestead Entry Program
Land Use Permit
Material Sale - Negotiated
Upland, Tideland, or Grazing Lease - Negotiated
Right-of-Way or Easement
Water Authorizations
Trapping Cabin Permit
Offshore Prospecting Permit
Coal Prospecting Permit
Mining Reclamation Plan Approval
Coal Surface Mining Reclamation Program

- Mineral Discovery Bonus
- Exploration Incentive Credits
- Substantial Compliance Determination (re: Mining Locations)
- State Pipeline Coordinator's Office:
 - Pipeline Right-of-Way Lease
- Division of Oil and Gas:
 - In-Kind Royalty Gas or Oil Sale
 - Exploration Incentive Credits
- Division of Parks and Outdoor Recreation:
 - Free Disabled Veterans State Park Camping Permit

Department of Natural Resources Loans

- Division of Agriculture:
 - Agricultural Revolving Loan Fund

Department of Transportation and Public Facilities Programs

- Division of Design and Engineering:
 - Disposal of Excess Right-of-Way Land
 - Right-of-Way Acquisition
 - Right-of-Way Permit
 - Encroachment, Driveway or Airspace Permit
 - Utility Permit on State Right-of-Way
 - Right-of-Way Rental

University of Alaska

- Land Management Division
 - Real Property Transactions – Negotiated Non-Competitive

Alaska State Legislature
Select Committee on Legislative Ethics
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2017 BENEFIT OR LOAN REVIEW FORM
AS 24.60.050

DEPARTMENT: Military and Veterans' Affairs DIVISION: Alaska Aerospace Corporation
AGENCY: Alaska Aerospace Corporation
Contact person: Mark J Greby Phone : (907)561-3338

NAME OF (NEW or EXISTING) STATE BENEFIT OR LOAN PROGRAM (ADDING or CHANGING):

PURPOSE: _____

METHOD FOR APPLICATION TO RECEIVE BENEFIT OR LOAN: _____

ELIGIBILITY REQUIREMENTS: _____

TERMS: (minimum/maximum amounts, interest rates, assumable loan, collateral required, maximum term, fees, etc.) _____

The (New or Existing) Program **does not meet** one or more of the following standards: (Check all that apply)

- ☐ The benefit program or loan is generally available to members of the public; OR
- ☐ Is subject to fixed, objective eligibility standard; OR
- ☐ Requires minimal discretion in determining qualification

NAME OF STATE BENEFIT OR LOAN PROGRAM YOU ARE **REMOVING** (if applicable):

Alaska Aerospace Corporation's Scholarship Program

If removing a program, please check applicable reason:

- ☐ The standards have changed. EXPLAIN & PROVIDE DOCUMENTATION: _____; OR
- ☒ The program no longer exists; OR
- ☐ The program has been transferred to another department. PLEASE PROVIDE NAME OF DEPT: _____


NAME

Sr. Vice President and Chief Operating Officer
TITLE

10/31/16
DATE

ITEM 13: OTHER BUSINESS