

DEPARTMENT OF CORRECTIONS

Overview



House Finance SubCommittee
February 9, 2015

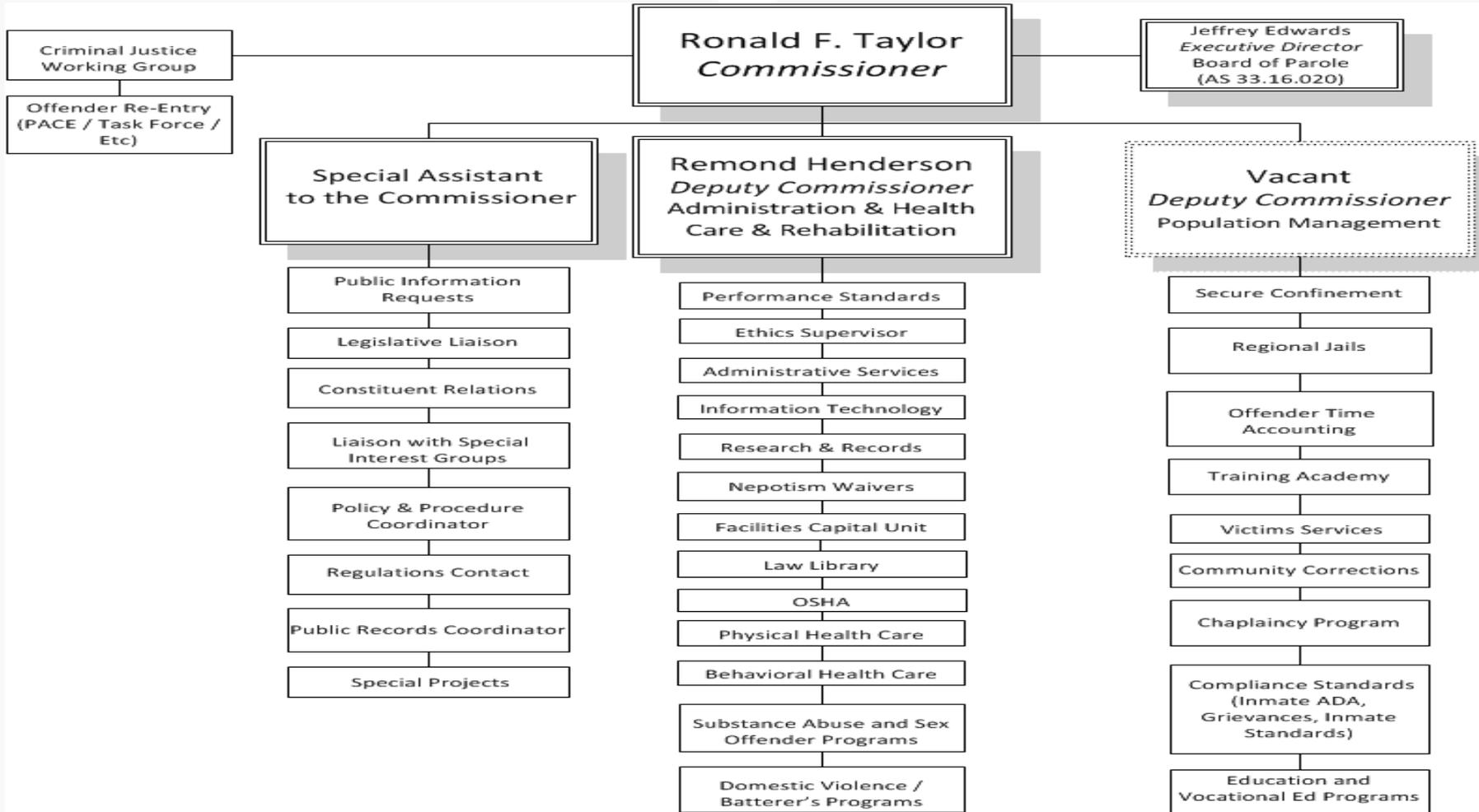
Mission

1

The Alaska Department of Corrections enhances the safety of our communities. We provide secure confinement, reformatory programs, and a process of supervised community reintegration.

Organizational Structure

2



Corrections Comparison

FY2015 Management Plan to FY2016 Adjusted Base

3

| | FY2015 Management Plan | | | | | FY2016 Adjusted Base | | | | | Change from FY2016 Adj | |
|----------------------|------------------------|-----------------|-----------------|----------------|------------------|----------------------|-----------------|-----------------|----------------|------------------|---------------------------|-----------------|
| | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> | <u>Base</u> | <u>% Change</u> |
| Secure Confinement | 231,121.6 | 11,158.7 | 13,428.9 | 5,318.8 | 261,028.0 | 231,350.8 | 11,158.7 | 13,437.5 | 5,366.8 | 261,313.8 | 285.8 | 0.1% |
| Supervised Release | 46,618.0 | 4,068.1 | 201.4 | 50.0 | 50,937.5 | 46,984.1 | 4,084.6 | 226.9 | 50.0 | 51,345.6 | 408.1 | 0.8% |
| Reformative Programs | 19,914.8 | 0.0 | 1,095.2 | 65.0 | 21,075.0 | 21,356.0 | 0.0 | 1,106.8 | 65.0 | 22,527.8 | 1,452.8 | 6.9% |
| | <u>297,654.4</u> | <u>15,226.8</u> | <u>14,725.5</u> | <u>5,433.8</u> | <u>333,040.5</u> | <u>299,690.9</u> | <u>15,243.3</u> | <u>14,771.2</u> | <u>5,481.8</u> | <u>335,187.2</u> | <u>2,146.7</u> | <u>0.6%</u> |

% change from FY2015 Management Plan to FY2016 Adjusted Base UGF Only is .7%

Changes FY2015 Management Plan to FY2016 Adjusted Base

| | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> |
|---|----------------|-------------|--------------|----------------|----------------|
| FY2016 Salary Adjustments | 2,450.1 | 16.8 | 45.9 | 58.7 | 2,571.5 |
| FY2016 Health Insurance and Working Rate Reductions | (413.9) | 0.0 | (0.2) | (10.7) | (424.8) |
| Total Department Changes : | <u>2,036.2</u> | <u>16.8</u> | <u>45.7</u> | <u>48.0</u> | <u>2,146.7</u> |

Corrections Comparison

FY2016 Adjusted Base to FY2016 Governor's Request

4

| | FY2016 Adjusted Base | | | | | FY2016 Governor's Request | | | | | Change from FY2016 Adj | |
|----------------------|----------------------|------------|--------------|----------------|--------------|---------------------------|------------|--------------|----------------|--------------|---------------------------|-----------------|
| | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> | <u>Base</u> | <u>% Change</u> |
| Secure Confinement | 231,350.8 | 11,158.7 | 13,437.5 | 5,366.8 | 261,313.8 | 203,663.1 | 20,543.2 | 13,409.1 | 5,366.8 | 242,982.2 | (18,331.6) | -7.0% |
| Supervised Release | 46,984.1 | 4,084.6 | 226.9 | 50.0 | 51,345.6 | 47,897.7 | 3,894.7 | 1.9 | 50.0 | 51,844.3 | 498.7 | 1.0% |
| Reformative Programs | 21,356.0 | 0.0 | 1,106.8 | 65.0 | 22,527.8 | 21,359.0 | 0.0 | 1,041.3 | 65.0 | 22,465.3 | (62.5) | -0.3% |
| | 299,690.9 | 15,243.3 | 14,771.2 | 5,481.8 | 335,187.2 | 272,919.8 | 24,437.9 | 14,452.3 | 5,481.8 | 317,291.8 | (17,895.4) | -5.3% |

% change from FY2016 Adjusted Base to FY2016 Governor's Request UGF Only is 8.9%

| | Changes from FY2016 Adjusted to FY2016 Governor | | | | |
|--|---|----------------|----------------|----------------|-------------------|
| | <u>UGF</u> | <u>DGF</u> | <u>Other</u> | <u>Federal</u> | <u>Total</u> |
| Fund Change of PFD Criminal Funds from General Funds | (9,534.5) | 9,534.5 | | | 0.0 |
| Unallocated Reduction | (3,925.0) | | | | (3,925.0) |
| Reduction of GF in anticipation of Medicaid Expansion | (4,108.2) | | | | (4,108.2) |
| Eliminate Regional & Community Jail contracts | (9,203.4) | | | | (9,203.4) |
| Phase out of minimum housing at PCC / transition to Supervised Release | (1,514.8) | | | | (1,514.8) |
| Phase out of minimum housing at PCC / transition to Supervised Release | 1,514.8 | | | | 1,514.8 |
| Eliminated Inter-Agency authority | | | (316.9) | | (316.9) |
| Reduce Electronic Monitoring GFPR Authority | | (189.9) | | | (189.9) |
| Mental Health Trust expansion of Research Analyst | | | 26.4 | | 26.4 |
| Reduce CIP Authority | | | (28.4) | | (28.4) |
| Reduce ACC GFPR Authority | | (150.0) | | | (150.0) |
| Total Department Changes : | (26,771.1) | 9,194.6 | (318.9) | 0.0 | (17,895.4) |

FY2016 Summary of Changes (continued)

5

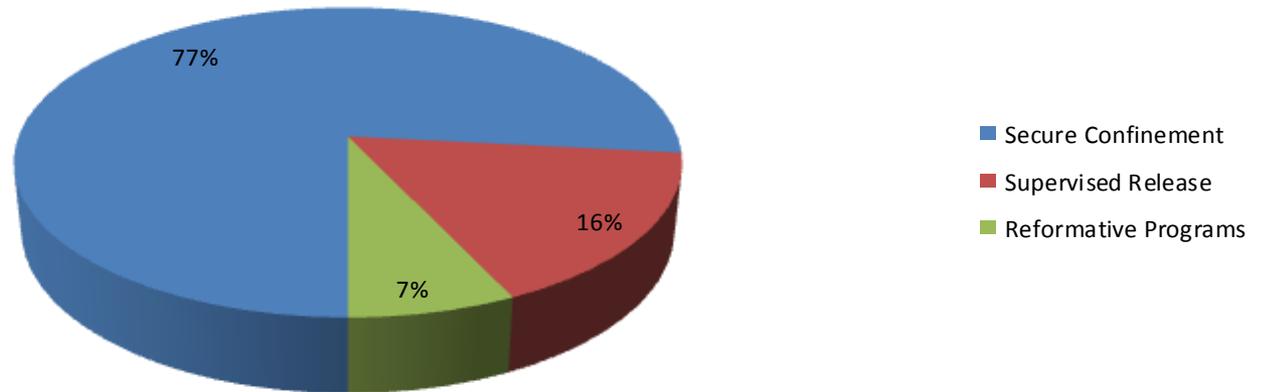
| | |
|---|-----------|
| <ul style="list-style-type: none"> • Salary and Health Insurance adjustments | \$2,146.7 |
| <ul style="list-style-type: none"> – Salary Adjustments | \$2,571.5 |
| COLA for ASEA/APEA/KK/LTC/ACOA/Non-Covered | |
| <ul style="list-style-type: none"> – Health Insurance & Working Reserve | (\$424.8) |
| Reductions in rates for Leave Cash-in, Terminal Leave, unemployment insurance, and Alaska Care | |
| <ul style="list-style-type: none"> • MH Trust: Reverse One Time Items | (\$475.8) |
| <ul style="list-style-type: none"> • Mental Health Trust Recommendations | \$506.9 |
| <ul style="list-style-type: none"> – Mental Health Staff Training | \$25.0 |
| <ul style="list-style-type: none"> – Assess, Plan, Identify, & Coordinate re-entry project | \$260.0 |
| <ul style="list-style-type: none"> – Rural Re-entry & fetal alcohol syndrome education pilot program | \$120.0 |
| <ul style="list-style-type: none"> – Research Analyst | \$101.9 |

FY2016 Summary of Changes (continued)

6

- Reduce Current Level of Services (\$17,236.6)
 - Fund Change of PFD Criminal Funds from General Funds (\$9,534.5) UGF
 - Fund Change of PFD Criminal Funds from General Funds \$9,534.5 DGF
 - Unallocated Reduction (\$3,925.0) UGF
 - Reduction of GF in anticipation of Medicaid Expansion (\$4,108.2) UGF
 - Eliminate Regional & Community Jail contracts (\$9,203.4) UGF
 - Phase out of minimum housing at PCC / transition to Supervised Release (\$1,514.8) UGF
 - Phase out of minimum housing at PCC / transition to Supervised Release \$1,514.8 UGF

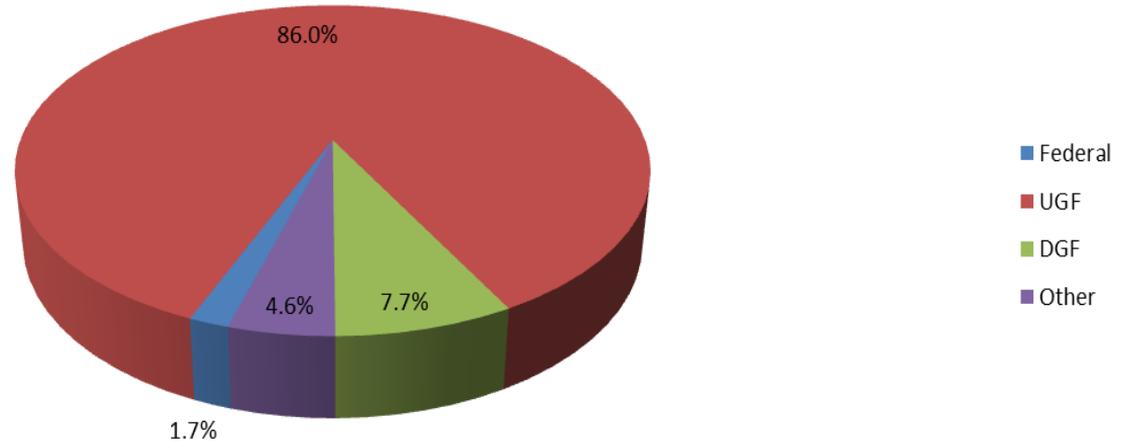
Fiscal Year 2016 Operating Budget Request by Core Service



Department of Corrections Core Services Allocations

| | Amount | PFT |
|-----------------------------|--------------------|--------------|
| <i>Secure Confinement</i> | \$242,982.2 | 1,578 |
| <i>Reformative Programs</i> | \$22,465.3 | 89 |
| <i>Supervised Release</i> | \$51,844.3 | 204 |
| Total | \$317,291.8 | 1,871 |

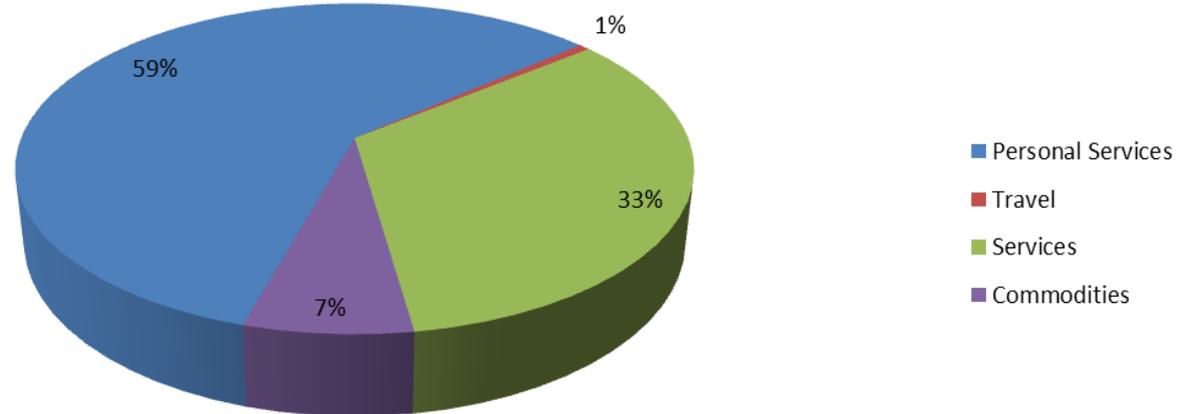
Fiscal Year 2016 Operating Budget Request by Fund Source



Department of Corrections Funding

| | |
|-----------------------------------|--------------------|
| <i>Federal Funds</i> | <i>\$5,481.8</i> |
| <i>Unrestricted General Funds</i> | <i>\$272,919.8</i> |
| <i>Designated General Funds</i> | <i>\$24,437.9</i> |
| <i>Other Funds</i> | <i>\$14,452.3</i> |
| Total | \$317,291.8 |

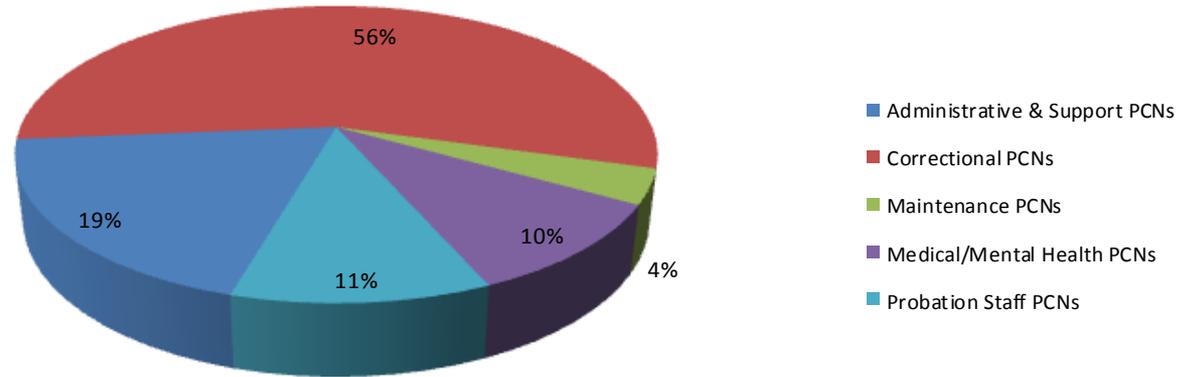
Fiscal Year 2016 Operating Budget Request by Line Item



Department of Corrections Allocations

| | |
|--------------------------|--------------------|
| <i>Personal Services</i> | <i>\$195,843.1</i> |
| <i>Travel</i> | <i>\$2,091.2</i> |
| <i>Services</i> | <i>\$97,001.7</i> |
| <i>Commodities</i> | <i>\$22,355.8</i> |
| Total | \$317,291.8 |

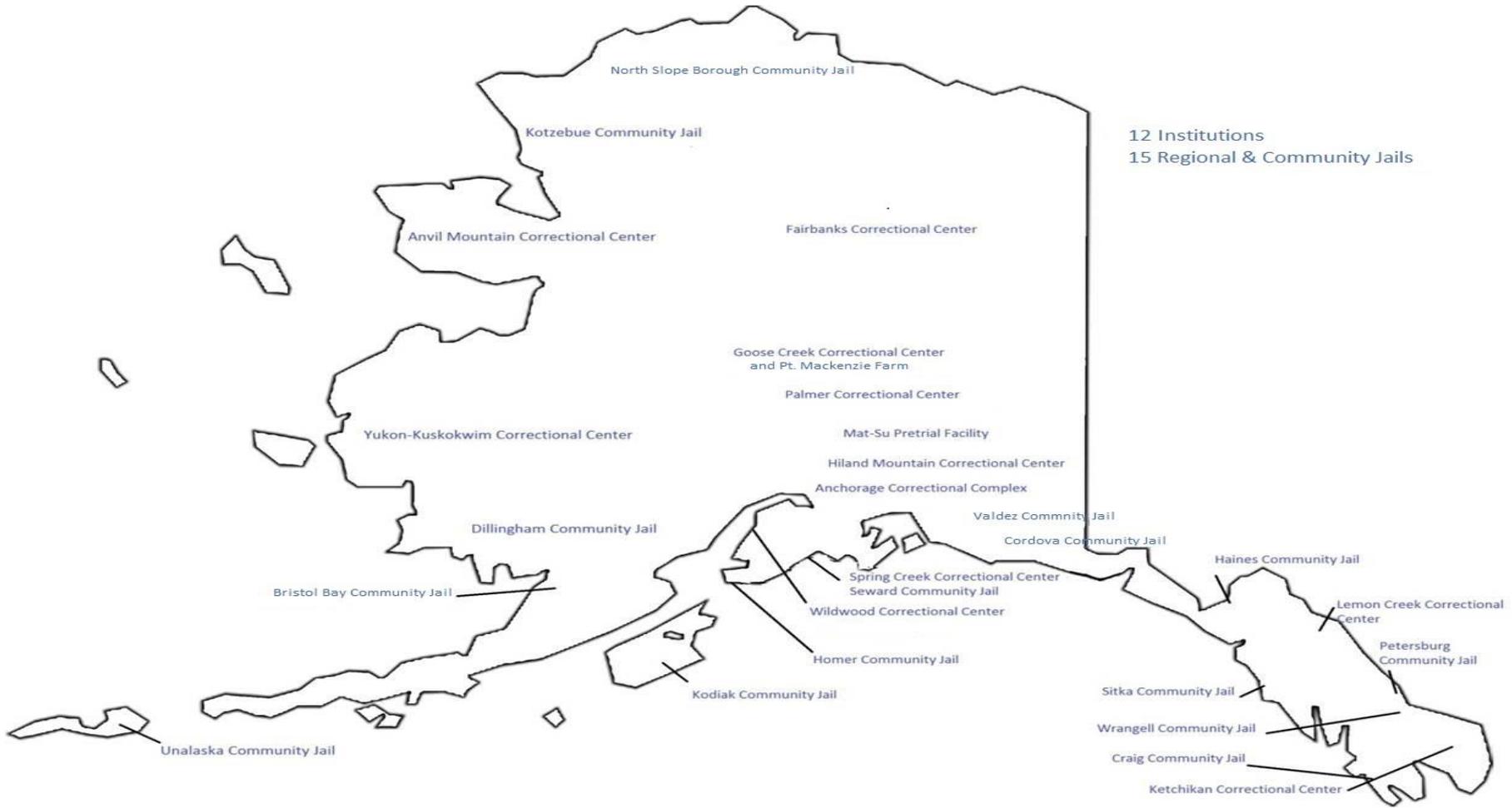
Fiscal Year 2016 Operating Position Allocations



Department of Corrections Position Allocations

| | |
|-------------------------------------|--------------|
| <i>Administrative & Support</i> | <i>360</i> |
| <i>Correctional Officers</i> | <i>1043</i> |
| <i>Maintenance</i> | <i>71</i> |
| <i>Medical / Mental Health</i> | <i>191</i> |
| <i>Probation Officers</i> | <i>206</i> |
| Total | 1,871 |

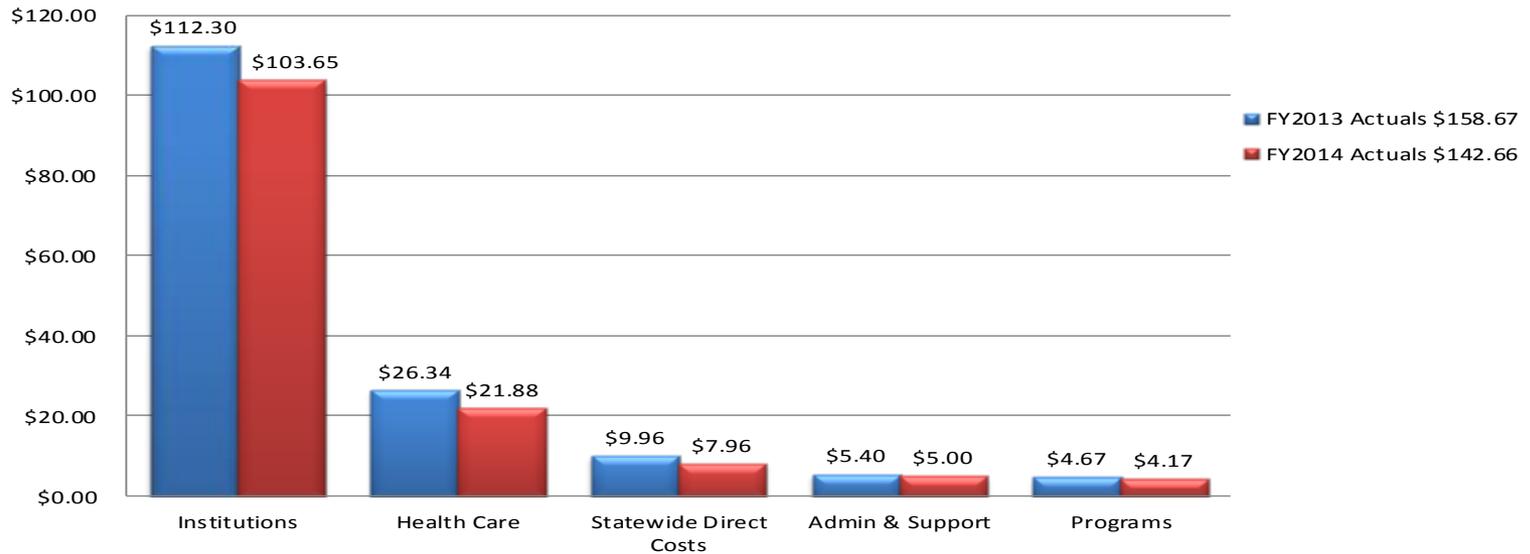
Correctional Facilities & Regional & Community Jails Locations



Cost of Care

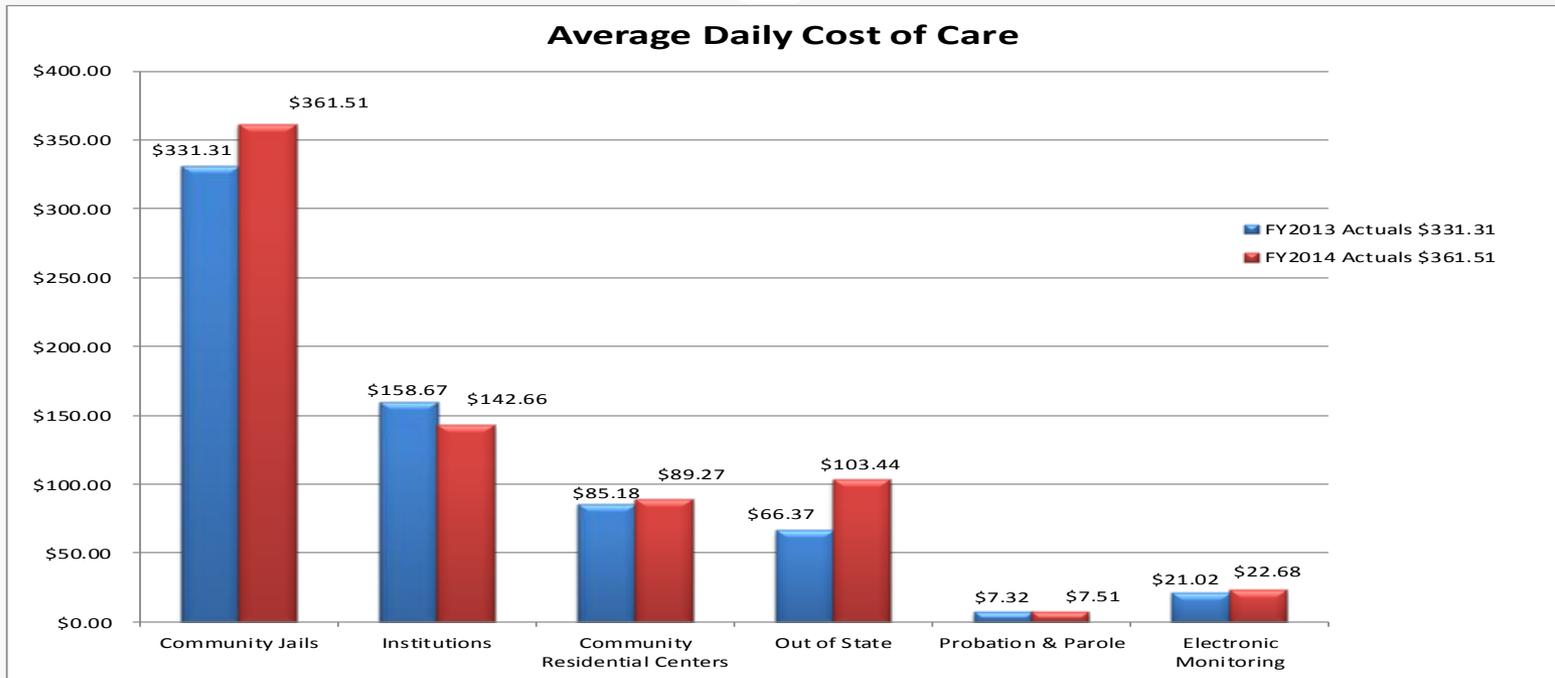
12

Average Daily Cost per Inmate



| | FY2013 Actuals | FY2014 Actuals |
|----------------------------|-----------------|-----------------|
| <i>Average Daily Cost:</i> | | |
| Total: | \$158.67 | \$142.66 |
| Institutions | \$112.30 | \$103.65 |
| Health Care | \$26.34 | \$21.88 |
| Statewide Direct Costs | \$9.96 | \$7.96 |
| Admin & Support | \$5.40 | \$5.00 |
| Programs | \$4.67 | \$4.17 |

Cost of Care (continued)



| | FY2013 Actuals | FY2014 Actuals |
|-------------------------------|-----------------------|-----------------------|
| Community Jails | \$331.31 | \$361.51 |
| Institutions | \$158.67 | \$142.66 |
| Community Residential Centers | \$85.18 | \$89.27 |
| Out of State | \$66.37 | \$103.44 |
| Probation & Parole | \$7.32 | \$7.51 |
| Electronic Monitoring | \$21.02 | \$22.68 |

Core Service

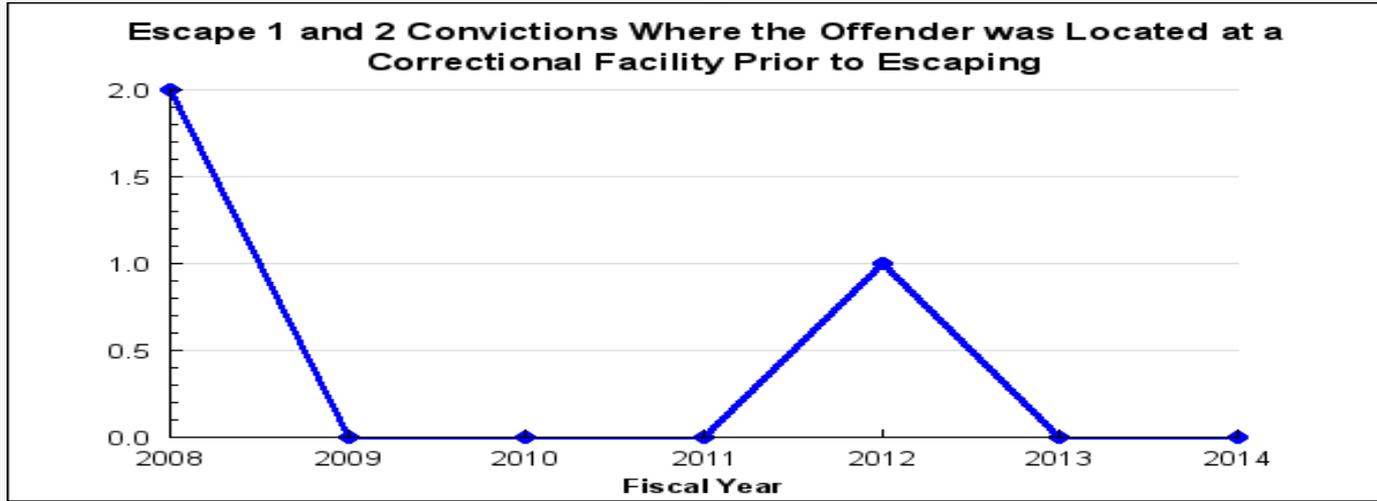
Secure Confinement

14

- **12 In-State Correctional Facilities with maximum bed capacity of 5,224 beds**
 - The average daily inmate population held within these facilities for FY2014 was 5,038
- **15 contracts with local Regional and Community Jails – 157 beds**
 - The average daily inmate population held within these local jails for FY2014 was 80

Secure Confinement Performance Measure Results

15



| Fiscal Year | Convictions | Average Daily Population | Percentage of Population |
|----------------|-------------|--------------------------|--------------------------|
| FY 2014 | 0 | 5,038 | 0.00% |
| FY 2013 | 0 | 4,065 | 0.00% |
| FY 2012 | 1 | 3,853 | 0.03% |
| FY 2011 | 0 | 3,835 | 0.00% |
| FY 2010 | 0 | 3,753 | 0.00% |
| FY 2009 | 0 | 3,534 | 0.00% |
| FY 2008 | 2 | 3,707 | 0.05% |

Secure Confinement Performance Review Findings

16

- From a system-wide standpoint, the DOC is moderately effective in meeting its objective of providing effective secure confinement.
- Secure confinement operations are provided in an efficient manner.
- The relatively high costs incurred are largely a function of the unique characteristics of the correctional system and the cost of providing services in Alaska.
- Efficiencies have been maximized in institutional staffing to the point that additional reductions could jeopardize achievement of the program's mission.

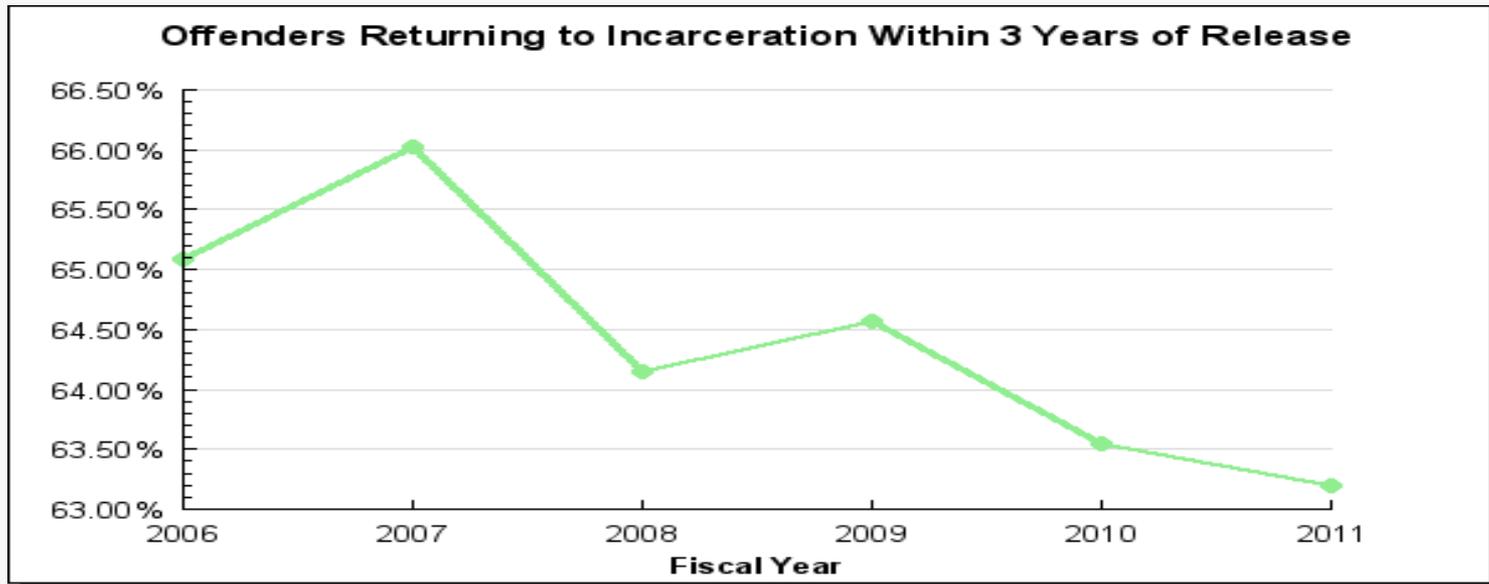
Core Service Supervised Release

17

- **13 Regional Adult Probation Offices**
 - The average daily probationer/parolee population during FY2014 was 6,171
- **8 Community Residential Centers – 711 beds, plus (108 per diem beds)**
 - The average daily population for these beds during FY2014 was 761
- **Electronic Monitoring operated in 6 communities with capacity to support up to 386 offenders, increasing to 475 in FY2015**
 - The average daily population for electronic monitoring during FY2014 was 386

Supervised Release Performance Measure Results

18

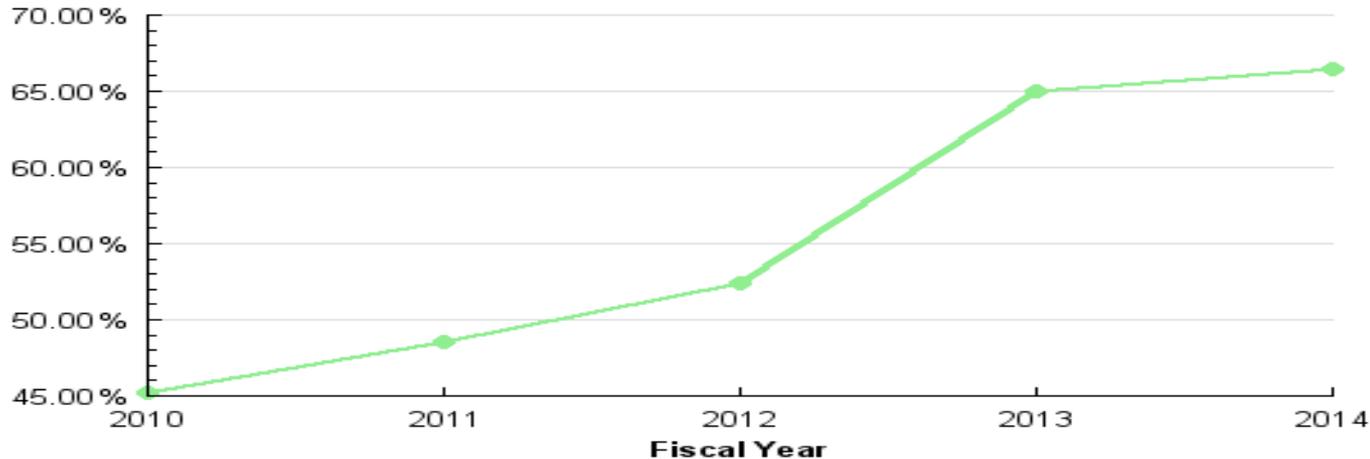


| Fiscal Year | Offenders Discharged | Offenders Returned | Percentage Returning |
|--------------------|-----------------------------|---------------------------|-----------------------------|
| FY 2011 | 3,315 | 2,095 | 63.19% |
| FY 2010 | 3,700 | 2,351 | 63.54% |
| FY 2009 | 3,944 | 2,485 | 64.57% |
| FY 2008 | 3,964 | 2,543 | 64.15% |
| FY 2007 | 3,741 | 2,470 | 66.03% |
| FY 2006 | 3,519 | 2,290 | 65.08% |

Supervised Release Performance Measure Results

19

Percentage of Probationers and Parolees Who Successfully Satisfy Court Ordered Conditions of Release



| Fiscal Year | Offenders Discharged | Successful Discharges | Percentage Successful |
|--------------------|-----------------------------|------------------------------|------------------------------|
| FY 2014 | 1,575 | 1,046 | 66.41% |
| FY 2013 | 1,658 | 1,078 | 65.01% |
| FY 2012 | 2,007 | 1,052 | 52.41% |
| FY 2011 | 1,820 | 884 | 48.57% |
| FY 2010 | 2,525 | 1,142 | 45.23% |

Supervised Release Performance Review Findings

20

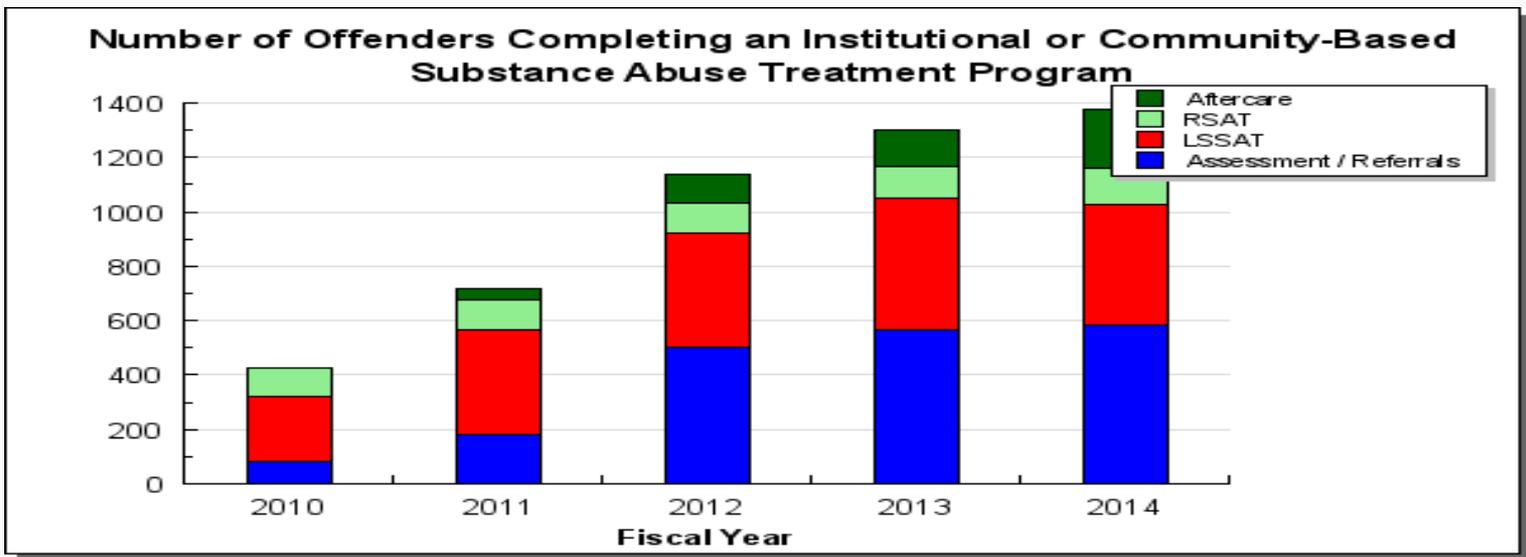
- From a system-wide standpoint the DOC is moderately effective in providing supervised release.
- The supervised release program operates with a high level of efficiency.

Core Service Reformative Programs

21

- Substance Abuse Treatment Programs
- Sex Offender Management Programs
- Vocational Education Programs
- Educational Programs
- Domestic Violence Programs
- Faith-based Re-entry Programs
- Mental Health Services

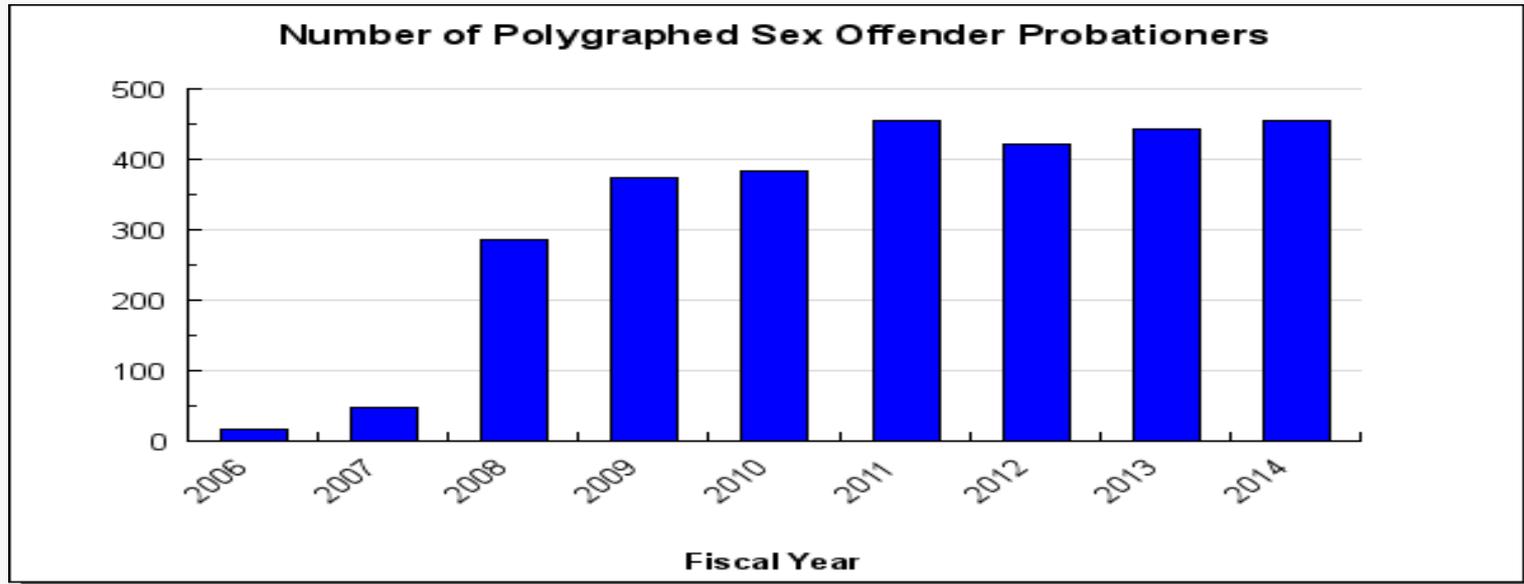
Reformative Program Performance Measure Results Substance Abuse Treatment Programs



| Fiscal Year | Assessment / Referrals | LSSAT | RSAT | Aftercare | YTD Total |
|--------------------|-------------------------------|--------------|-------------|------------------|------------------|
| FY 2014 | 583 | 444 | 135 | 214 | 1,376 |
| FY 2013 | 567 | 482 | 119 | 133 | 1,301 |
| FY 2012 | 501 | 420 | 110 | 106 | 1,137 |
| FY 2011 | 180 | 386 | 111 | 42 | 719 |
| FY 2010 | 81 | 238 | 105 | 0 | 424 |

Reformative Program Performance Measure Results Sex Offender Management Programs

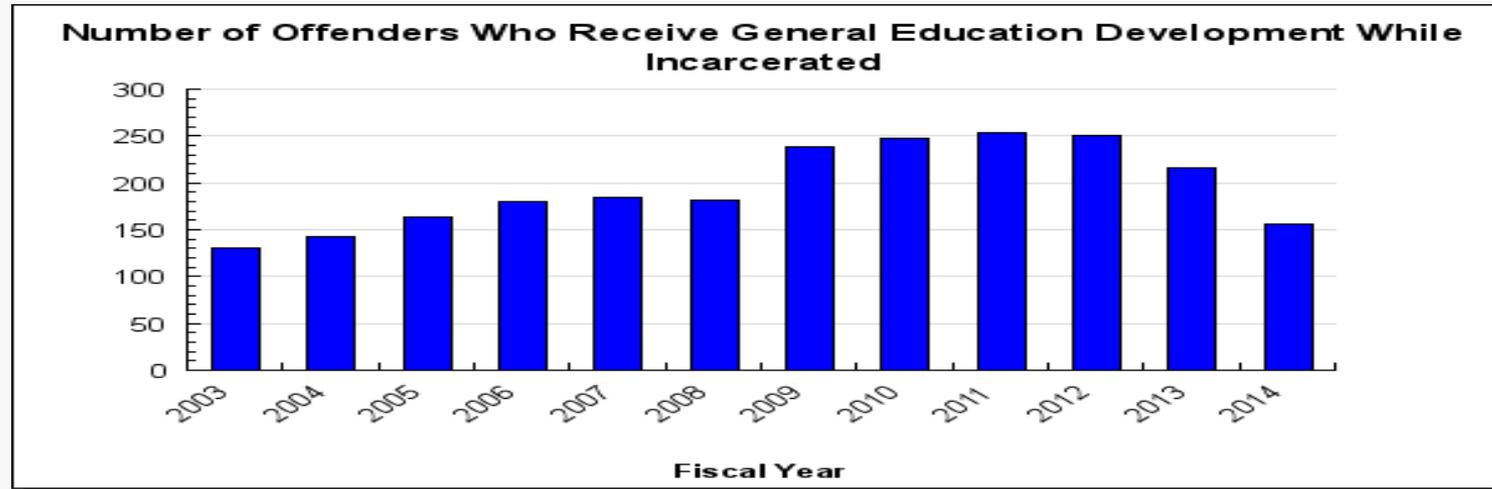
23



| Fiscal Year | YTD Total |
|--------------------|------------------|
| FY 2014 | 454 |
| FY 2013 | 442 |
| FY 2012 | 421 |
| FY 2011 | 454 |
| FY 2010 | 383 |
| FY 2009 | 373 |
| FY 2008 | 286 |
| FY 2007 | 48 |
| FY 2006 | 17 |

Reformative Program Performance Measure Results Educational Programs

24



| Fiscal Year | Total | % Change |
|----------------|-------|----------|
| FY 2014 | 156 | -27% |
| FY 2013 | 216 | -14% |
| FY 2012 | 251 | -1.20% |
| FY 2011 | 254 | 2.80% |
| FY 2010 | 247 | 3.40% |
| FY 2009 | 239 | 31.30% |
| FY 2008 | 182 | -1.60% |
| FY 2007 | 185 | 2.80% |
| FY 2006 | 180 | 9.80% |
| FY 2005 | 164 | 14.70% |
| FY 2004 | 143 | 9.10% |
| FY 2003 | 131 | 0 |

Reformative Programs Performance Review Findings

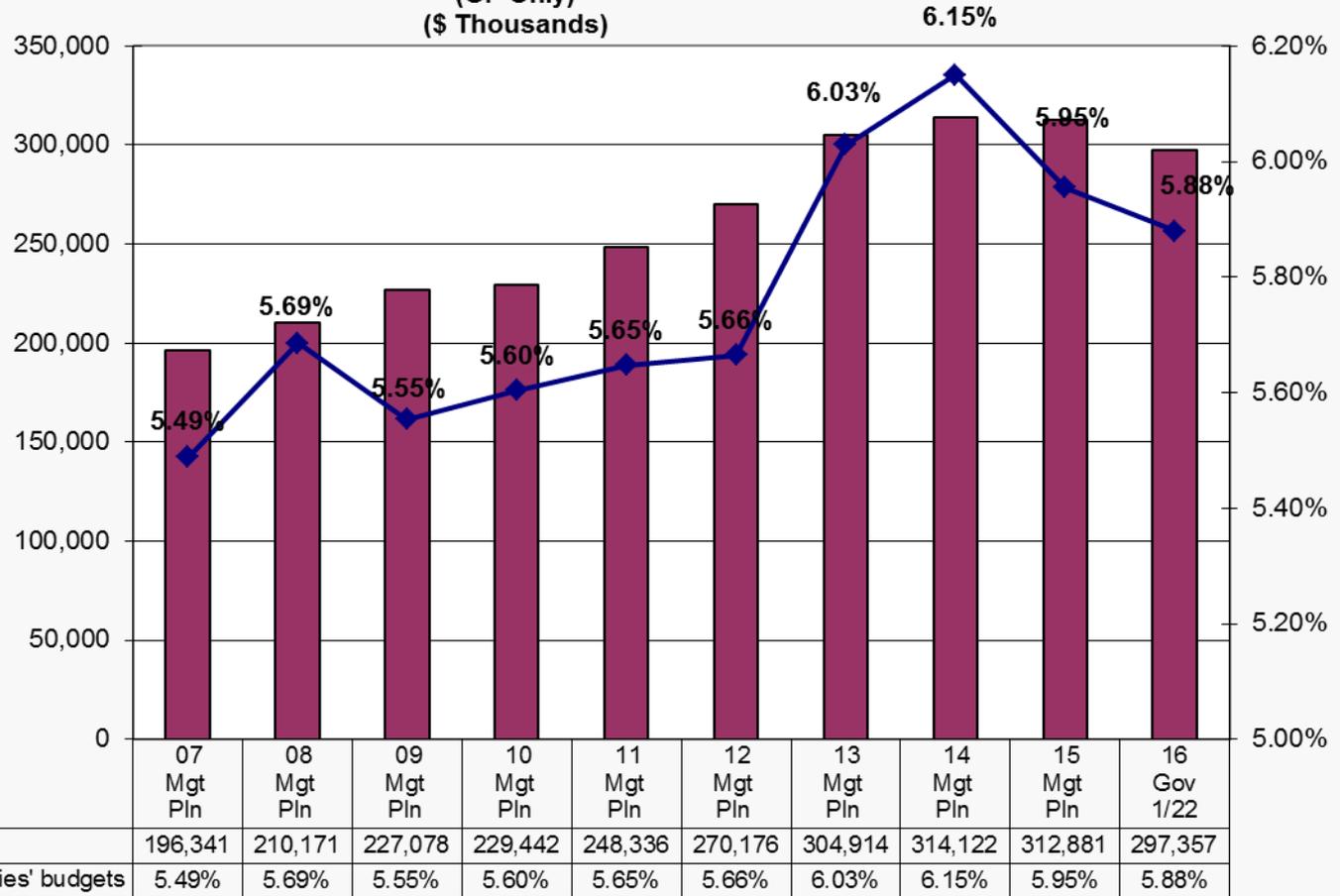
25

- DOC's approach to developing reformative programming is aggressive, its scope is comprehensive, and its delivery is effective.
- The delivery of reformative programs is highly efficient.

Department of Corrections FY2016 GF % of all Agencies

26

Department of Corrections' Share of Total Agency Operations
(GF Only)
(\$ Thousands)



The agency's GF budget grew by \$101 million between FY07 Mgmt. Plan and FY16 Governor's Request - an average annual growth rate of 4.7%.

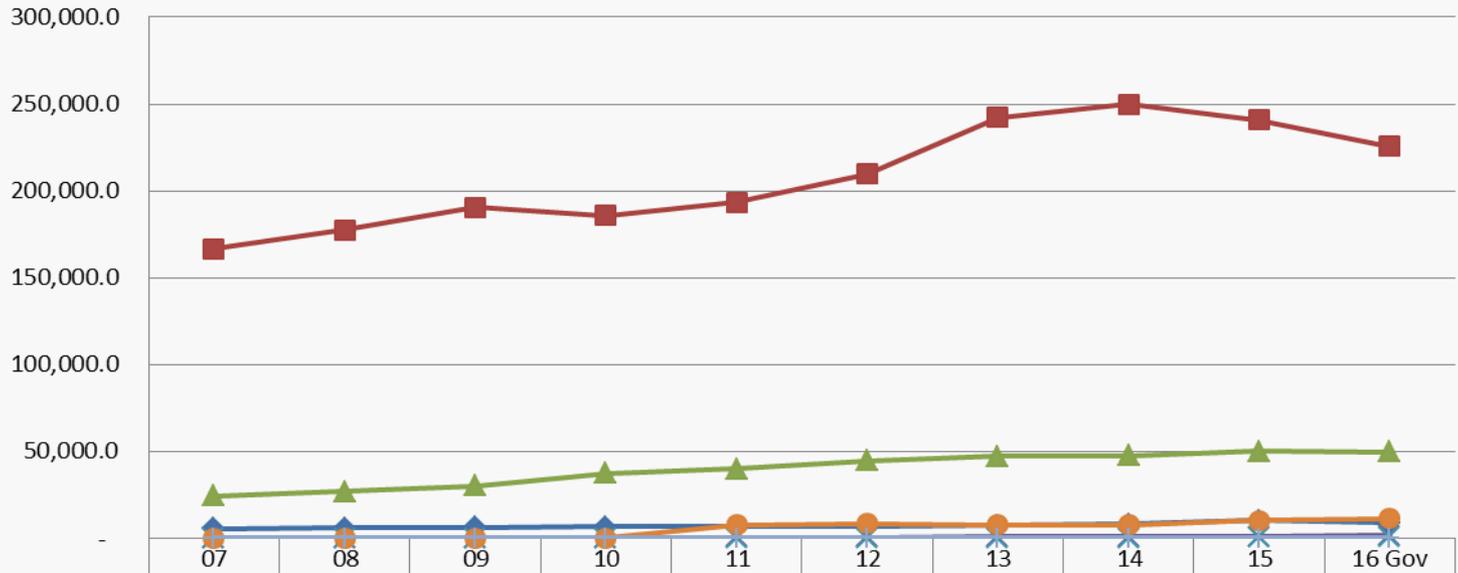
The department's total FY16 Governor's Request GF budget equals \$892.00 per resident worker.*

* According to the Department of Labor, there were 333,283 resident workers in Alaska in 2012.

Department of Corrections FY2016 GF Appropriations

27

Appropriations within the Department of Corrections (GF Only)
(\$ Thousands)

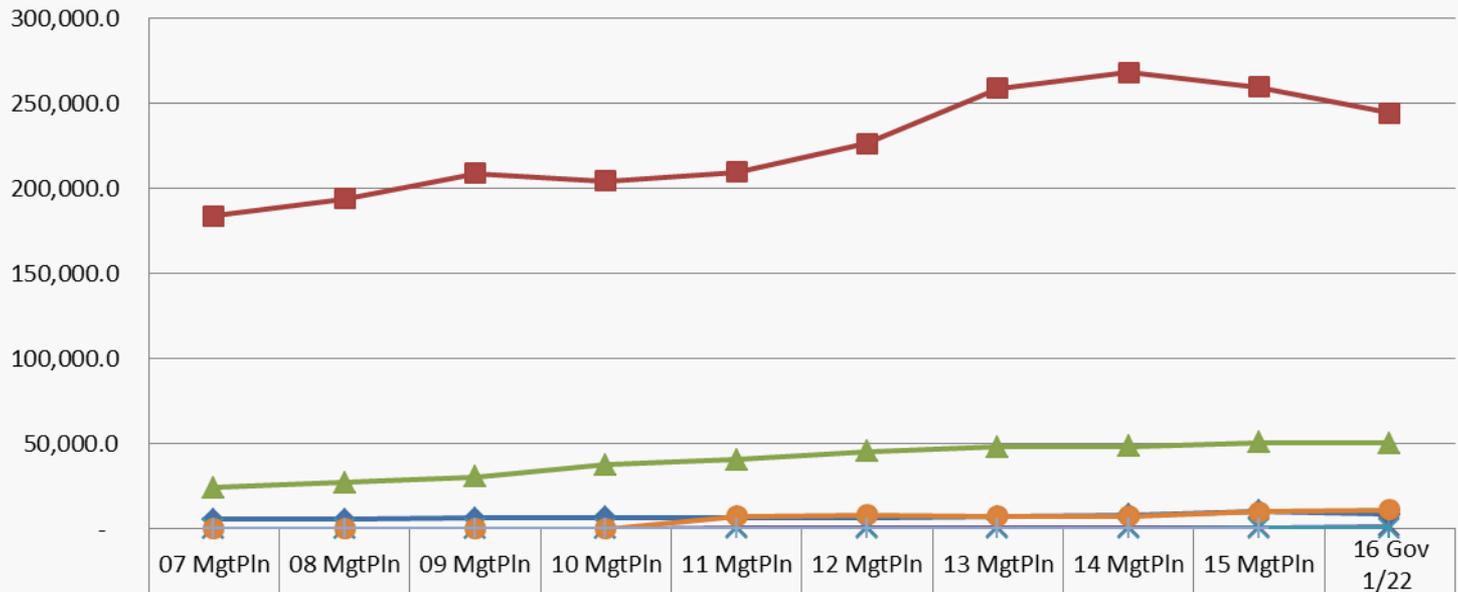


| | 07 | 08 | 09 | 10 | 11 | 12 | 13 | 14 | 15 | 16 Gov |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| | MgtPln | 1/22 |
| Administration and Support | 5,663.2 | 5,743.3 | 6,278.1 | 6,451.4 | 6,649.9 | 6,892.8 | 7,178.4 | 8,201.8 | 10,321.4 | 8,733.6 |
| Population Management | 166,435.8 | 177,427.2 | 190,487.0 | 185,749.8 | 193,420.3 | 209,673.8 | 242,061.7 | 249,741.3 | 240,932.5 | 225,740.4 |
| Health and Rehabilitation Services | 24,242.1 | 27,001.3 | 30,313.6 | 37,241.5 | 39,894.7 | 44,511.2 | 47,133.9 | 47,634.5 | 50,083.3 | 49,760.0 |
| Offender Habilitation | - | 0.0 | 0.0 | 0.0 | 647.3 | 654.9 | 816.4 | 820.9 | 819.8 | 1,399.4 |
| Recidivism Reduction Grants | - | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 500.0 | 500.0 |
| 24 Hour Institutional Utilities | - | 0.0 | 0.0 | 0.0 | 7,724.2 | 8,444.2 | 7,724.2 | 7,724.2 | 10,224.2 | 11,224.2 |
| Agency Unallocated Appropriation | - | - | - | - | - | - | - | - | - | - |

Department of Corrections FY2016 ALL Funds Appropriated

28

Appropriations within the Department of Corrections (All Funds)
(\$ Thousands)



| | 07 MgtPln | 08 MgtPln | 09 MgtPln | 10 MgtPln | 11 MgtPln | 12 MgtPln | 13 MgtPln | 14 MgtPln | 15 MgtPln | 16 Gov 1/22 |
|------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-------------|
| Administration and Support | 5,774.6 | 5,854.7 | 6,414.5 | 6,746.7 | 6,761.3 | 7,004.2 | 7,289.8 | 8,313.2 | 10,469.5 | 8,882.4 |
| Population Management | 184,145.2 | 194,222.2 | 209,055.0 | 204,519.5 | 209,755.6 | 226,583.4 | 259,013.0 | 268,570.4 | 259,908.5 | 244,545.4 |
| Health and Rehabilitation Services | 24,529.5 | 27,263.7 | 30,868.0 | 37,912.3 | 40,789.6 | 45,467.8 | 48,180.3 | 48,520.1 | 50,962.2 | 50,584.1 |
| Offender Habilitation | - | - | - | - | 815.3 | 822.9 | 984.4 | 938.9 | 976.1 | 1,555.7 |
| Recidivism Reduction Grants | - | - | - | - | - | - | - | - | 500.0 | 500.0 |
| 24 Hour Institutional Utilities | - | - | - | - | 7,724.2 | 8,444.2 | 7,724.2 | 7,724.2 | 10,224.2 | 11,224.2 |
| Agency Unallocated Appropriation | - | - | - | - | - | - | - | - | - | - |

DEPARTMENT OF CORRECTIONS

Overview

29



QUESTIONS?