

**DEPARTMENT OF ENVIRONMENTAL CONSERVATION  
RESPONSE FUND**

*PREVENTION ACCOUNT  
10 Year Actuals FY 2003 - FY 2012*

	FY03	FY04	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12
<b>REVENUE to PREVENTION ACCOUNT</b>										
4c/3c Surcharge (Surcharge changed from 3¢ per barrel to 4¢ in FY 2007)	9,597.0	9,232.9	9,052.5	8,330.5	7,758.7	9,510.6	9,476.1	8,922.5	8,255.2	7,736.1
Cost Recovery/Fines/Penalties	6,422.4	2,741.4	1,310.8	1,233.0	2,143.8	1,526.4	8,053.8	1,911.9	3,425.0	4,625.4
Investment Income	4,554.6	656.1	2,382.1	2,699.0	4,499.1	4,710.9	3,425.5	2,690.2	1,199.7	1,079.8
<b>Total Annual Revenue</b>	<b>20,574.0</b>	<b>12,630.4</b>	<b>12,745.4</b>	<b>12,262.5</b>	<b>14,401.6</b>	<b>15,747.9</b>	<b>20,955.4</b>	<b>13,524.6</b>	<b>12,879.9</b>	<b>13,441.3</b>
<b>EXPENSES from PREVENTION ACCOUNT</b>										
Operating	13,658.2	12,939.7	13,790.6	13,960.2	14,519.4	13,592.7	13,900.7	13,334.3	14,447.3	15,030.7
Capital	4,160.4	2,798.4	2,267.7	1,763.1	2,048.3	2,530.8	6,571.9	3,710.3	2,250.4	2,210.5
<b>Total Annual Expenses</b>	<b>17,818.6</b>	<b>15,738.1</b>	<b>16,058.3</b>	<b>15,723.3</b>	<b>16,567.7</b>	<b>16,123.5</b>	<b>20,472.6</b>	<b>17,044.6</b>	<b>16,697.7</b>	<b>17,241.2</b>
<b>Annual Surplus (Deficit) BEFORE Other Appropriations</b>	<b>2,755.4</b>	<b>(3,107.7)</b>	<b>(3,312.9)</b>	<b>(3,460.8)</b>	<b>(2,166.1)</b>	<b>(375.6)</b>	<b>482.8</b>	<b>(3,520.0)</b>	<b>(3,817.8)</b>	<b>(3,799.9)</b>
<b>Other Appropriations:</b>										
<b>Appropriations from Other Funds</b>										
Storage Tank Assistance Fund				655.5						
Commercial Passenger Vessel Fund					1,800.0					
General Fund Other						2,000.0				
<b>Total Transfers FROM Other Funds</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>655.5</b>	<b>1,800.0</b>	<b>2,000.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriations to Other Funds</b>										
Storage Tank Assistance Fund	784.0	671.5	875.0							
Net Other Appropriations	<b>(784.0)</b>	<b>(671.5)</b>	<b>(875.0)</b>	<b>655.5</b>	<b>1,800.0</b>	<b>2,000.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Annual Surplus (Deficit) AFTER Other Appropriations</b>	<b>1,971.4</b>	<b>(3,779.2)</b>	<b>(4,187.9)</b>	<b>(2,805.3)</b>	<b>(366.1)</b>	<b>1,624.4</b>	<b>482.8</b>	<b>(3,520.0)</b>	<b>(3,817.8)</b>	<b>(3,799.9)</b>
<b>Prevention Account Balance*</b>	<b>15,488.6</b>	<b>10,707.5</b>	<b>7,782.7</b>	<b>7,166.6</b>	<b>8,982.2</b>	<b>13,537.6</b>	<b>20,891.9</b>	<b>14,870.3</b>	<b>11,323.0</b>	<b>9,599.2</b>

Note: Excludes CBRF Sweep from Prevention Account in FY 2003, which was reversed in FY 2004 (SB 283, Sec. 61)

\*The Prevention Account Balance is the unreserved balance available in the Prevention Account, not its cash balance. The unreserved balance available figures account for the fact that valid appropriations that are not yet fully spent reduce the balance of the Prevention Account that is available for future uses.

