

FY2014 GOVERNORS BUDGET REQUEST for DEPARTMENT OF CORRECTIONS



**Finance Sub-Committee
Budget Overview**

Core Services

Provide Secure Confinement –

- **13 In-State Correctional Facilities with maximum bed capacity of 5,449 beds**
 - Maximum bed capacity reflects the Goose Creek Correctional Center located in Point MacKenzie at full operational capacity with 1,536 maximum bed capacity.
- **1 Out-of-State contract facility located in Hudson, Colorado housing 415 offenders**
 - Remaining offenders to be transitioned back to Alaska during 1st quarter of FY2014 with the completed opening of the Goose Creek Correctional Center located in Point MacKenzie.
- **15 contracts with local Regional and Community Jails – 157 beds**

Core Services

(Continued)

Provide Supervised Release –

- **8 Community Residential Centers – 839 beds**
- **13 Regional Adult Probation Offices**
- **Electronic Monitoring operated in 7 communities with capacity to support up to 385 offenders**

Core Services

(Continued)

Provide Reformative Programs –

- **Substance Abuse Treatment Programs**
- **Sex Offender Management Programs**
- **Vocational Education Programs**
- **Educational Programs**
- **Domestic Violence Programs**
- **Faith-based Re-entry Programs**
- **Mental Health Services**

FY2014 Operating Changes

- Salary and Health Insurance Increases \$ 189.6

- Maintain Current Level of Services \$ 3,713.6
 - Regional and Community Jails - GF \$2,000.0
 - CRC annual CPI rate increase - GF \$843.0
 - Core Services Increment - GF \$1,145.6
 - Education - I/A \$25.0
 - Eliminate GCCC – SDPR (\$300.0)
 - GCCC 152 new PCNs
 - (new positions funded utilizing existing authorization from the Out-of-State Contractual component)

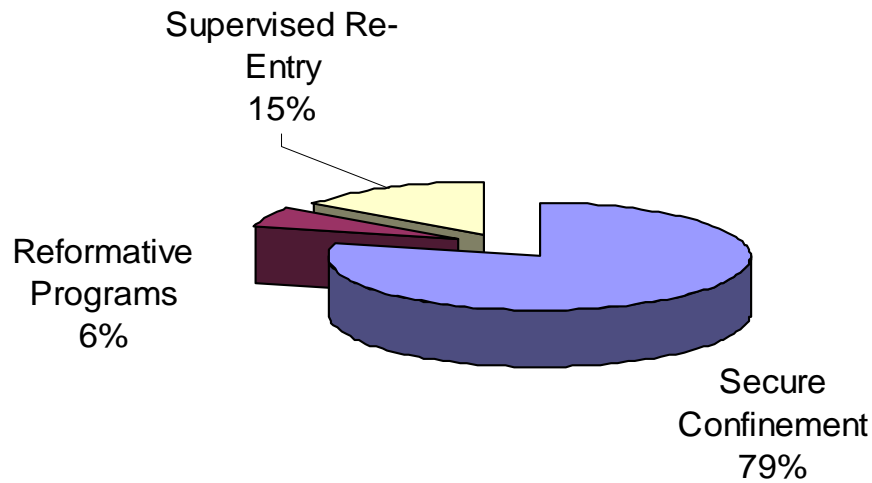
- MH Trust: One Time Item Reversal (\$ 511.5)

- MH Trust: Recommendations \$ 506.2
 - APIC – MHTAAR \$260.0
 - Research Analyst – MHTAAR \$67.2
 - Staff Training – MHTAAR \$15.0
 - Mental Health Services – GFMH \$164.0

Department of Corrections

Fiscal Year 2014 Operating Budget Request

Priority Program Overview



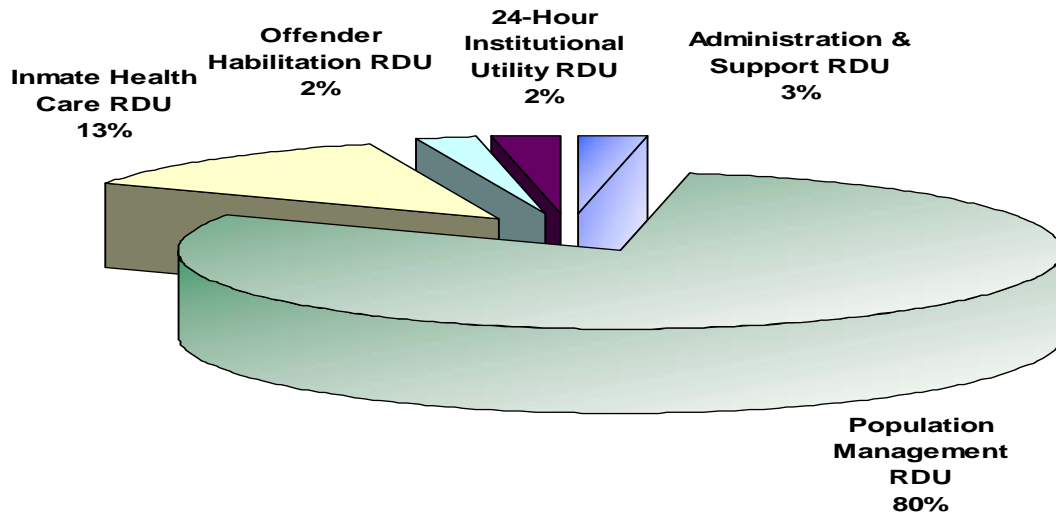
Department of Corrections Priority Programs

<i>Secure Confinement</i>	<i>\$258,678.3</i>
<i>Reformative Programs</i>	<i>\$19,642.7</i>
<i>Supervised Release</i>	<i>\$48,768.6</i>
<i>Department of Corrections Total</i>	<i><u>\$327,089.6</u></i>

Department of Corrections

Fiscal Year 2014 Operating Budget Request

RDU Overview



Department of Corrections RDUs

<i>Administration & Support RDU</i>	<i>\$8,176.3</i>
<i>Population Management RDU</i>	<i>\$262,053.8</i>
<i>Inmate Health Care RDU</i>	<i>\$40,965.9</i>
<i>Offender Habilitation RDU</i>	<i>\$8,169.4</i>
<i>24-Hour Institutional Utility RDU</i>	<i>\$7,724.2</i>

<i>Department of Corrections RDUs Total</i>	<u><i>\$327,089.6</i></u>
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FY2014 Summary of Changes

The FY2014 Governor's Operating Budget Request for Corrections is a 1.21% increase from FY2013 Management Plan. Changes to Priority Program are as follows:

Security Confinement Changes :

- Regional & Community Jails: Phase II of Equitable Revenue Sharing Project \$2,000.0 GF
- Goose Creek Correctional Center: Final position allocation for the Goose Creek Correctional Center (GCCC) 152 new PCNs; funded using existing Out-of-State authority.

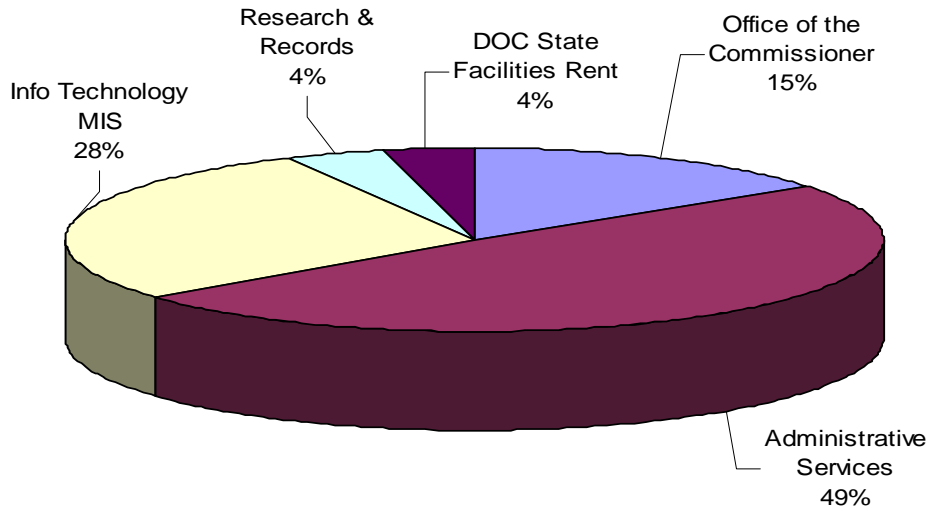
Supervised Release Changes :

- Community Residential Center: Increase Community Residential Centers contract costs \$843.0 GF

Reformative Program Changes :

- Behavioral Health Care: Mental Hlth Trust Recommendation: Dis Justice – Training for Mental Health staff \$15.0 MHTAAR
- Behavioral Health Care: Mental Hlth Trust Recommendation: Dis Justice – Criminal Justice Technician Position \$67.2 MHTAAR
- Behavioral Health Care: Mental Hlth Trust Recommendation: Dis Justice – Implement APIC Discharge Planning Model - \$260.0 MHTAAR
- Behavioral Health Care: Mental Hlth Trust Recommendation: Dis Justice – Mental Health Positions \$164.0 GF/MH

Department of Corrections Fiscal Year 2014 Operating Budget Request Administration and Support RDU



Administration & Support RDU:

Office of the Commissioner \$1,227.2

Administrative Services \$4,029.6

Info Technology MIS \$2,295.9

Research & Records \$333.7

DOC State Facilities Rent \$289.9

Administration & Support RDU Total **\$8,176.3**

**Department of Corrections
FY 2014 Governor's Request Changes
(Increases / Decreases)
Administration and Support RDU**

Administrative Services: Priority Programs: Secure Confinement, Supervised Release and Reformative Programs

Department of Administration Core Services \$882.0 GF

The department is seeking an overall department-wide increment of \$1,145.6 to assist with the increased core services Reimbursable Service Agreements with the Department of Administration. Rates for core services provided by the Department of Administration, including Risk Management, Personnel, Information Technology Services, and Public Building Fund, are estimated to be \$7.2 million higher in FY2014.

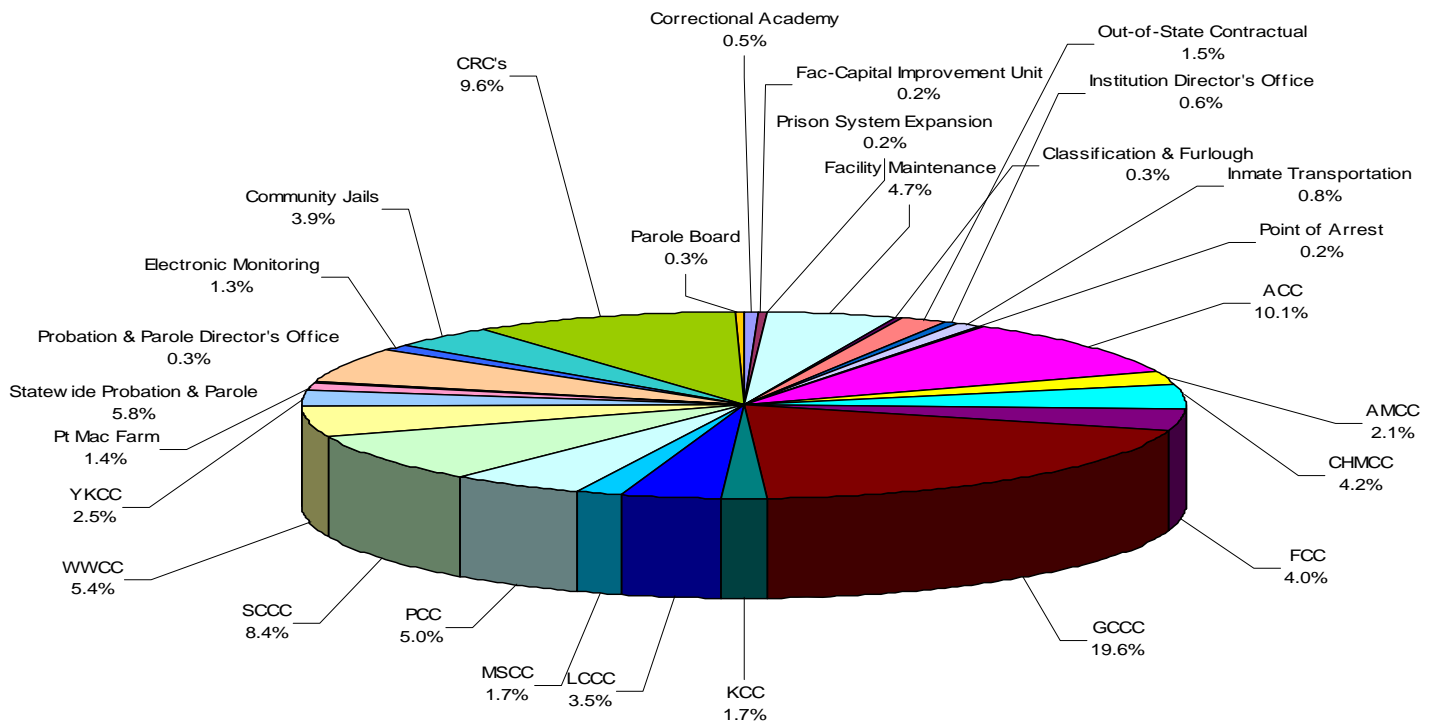
This increment is being allocated as follows:

\$882.0 Administration and Support RDU

\$241.2 Population Management RDU

\$ 22.4 Inmate Health Care RDU

Department of Corrections Fiscal Year 2014 Operating Budget Request Population Management RDU



Population Management RDU:

Correctional Academy	\$1,370.5
Fac-Capital Improvement Unit	\$629.3
Prison System Expansion	\$442.9
Facility Maintenance	\$12,280.5
Classification & Furlough	\$802.5
Out-of-State Contractual	\$3,989.3
Institution Director's Office	\$1,642.2
Inmate Transportation	\$2,201.8
Point of Arrest	\$628.7
ACC	\$26,397.0
AMCC	\$5,580.2
CHMCC	\$11,067.9
FCC	\$10,474.5
GCCC	\$51,454.2
KCC	\$4,363.0
LCCC	\$9,211.7
MSCC	\$4,452.3
PCC	\$13,073.9
SCCC	\$21,932.4
WWCC	\$14,176.8
YKCC	\$6,622.4
Pt Mac Farm	\$3,661.5
Probation & Parole Director's Office	\$723.0
Statewide Probation & Parole	\$15,271.7
Electronic Monitoring	\$3,396.6
Community Jails	\$10,203.4
CRC's	\$25,164.5
Parole Board	\$839.1
Population Management RDU Total	<u>\$262,053.8</u>

Department of Corrections FY 2014 Governor's Request Changes (Increases / Decreases) Population Management RDU

Institution Director's Office: *Priority Program: Secure Confinement*

Department of Administration Core Services Rates \$241.2 GF

The department is seeking an overall department-wide increment of \$1,145.6 to assist with the increased core services Reimbursable Service Agreements with the Department of Administration. Rates for core services provided by the Department of Administration, including Risk Management, Personnel, Information Technology Services, and Public Building Fund, are estimated to be \$7.2 million higher in FY2014.

This increment is being allocated as follows:

\$882.0 Administration and Support RDU

\$241.2 Population Management RDU

\$ 22.4 Inmate Health Care RDU

Goose Creek Correctional Center: *Priority Program: Secure Confinement*

152 new PFTs and Authorization Transfer from Out-of-State Contractual for Operating Costs of the GCCC Facility \$19,469.9 GF

Establish the remaining 152 new positions needed at the Goose Creek Correctional Center and transfer general fund authorization from the Out-of-State Contractual component to bring the Goose Creek Correctional Center (GCCC) to full operations in FY2014. This represents the remaining operational needs for the final 34% of the total hard beds (including the Special Management Unit and all segregation beds); 38% of staffing requirements; and, the remaining 50% contractual services to support the needs of this incarcerated population.

Full operations will increase the general offender capacity at GCCC to 1,480 allowing all remaining offenders held in the out of state contract facility to be brought back to Alaska. This final phase will complete the recruitment and training of the remaining staff and allow for the transition.

Regional and Community Jails Program: *Priority Program: Secure Confinement*

Increase Regional and Community Jails Program costs \$2,000.0 GF

The Regional and Community Jails Program provides funding to 15 communities for the short-term confinement of persons detained under state law. In April 2007, the Division of Legislative Audit (DLA) completed their audit on the program. The purpose of the audit was to review and assess the equity of funding allocated through the Regional and Community Jails Program. DLA recommended that the Department of Corrections (DOC) restructure the program by identifying and funding core operating functions.

DOC began a full-scale analysis of the Regional and Community Jails Program to develop an equitable funding methodology for participating communities in FY2010. At that time, DOC identified the various and allowable types of activities and costs associated with operating the community jails using standards adopted by the Governor's Task Force on October, 1994. These standards are still valid and in use today, however, are being updated in 2013.

The analysis was completed and the DOC standards for community jail operations and recommendations from the 2007 Legislative Audit were applied to the analysis. The DOC recommended full funding of core operating functions in two phases. Phase I addressed expenditures (minus personal services) required to support the core operating functions of the jail. Funds for Phase I was provided in FY2012 and FY2013. **(continued)**

(Continued)

Regional and Community Jails Program: *Priority Program: Secure Confinement*

Increase Regional and Community Jails Program costs \$2,000.0 GF

Phase II addresses the personal services expenditures of the regional and community jail analysis thereby provides each jail with full funding for costs to operate. Each jail was required to provide costs necessary to operate in FY2014. To determine allowable costs of operation, each jail provided budget reports which were then reviewed by the department's Internal Auditor and Community Jail Administrator for reasonableness, comparison to prior year financial data, work schedules to support number of staff, salary schedules, analysis of employer-provided employee benefits, and, if needed, inquiries of community jail supervisors and community financial staff.

The analysis determined the salaries and benefits of certain positions charged directly to the Regional and Community Jail Program. For any budgets that directly charge the positions of Chief of Police and administrative staff; these positions were backed out of the community jail budget. Additionally, any administrative or indirect charges for other community staff that were traditionally charged to community jail budgets from other departments within the community's total budget were backed out. In its place, the department is recommending a 15% administrative overhead or indirect cost added to the base budget provided by the community and accepted by the department. The 15% indirect rate is identified in the Community Jails Standards. The following categories of cost factors are included: (1) City Manager; (2) Chief of Police; and, (3) administrative positions (personnel, accounting/payroll, legal assistance, and clerical support). The department will continue to work with the communities in budget preparation and analysis of their financial and budget reports. The department will also work with the communities to determine cost containment measures that would mitigate future cost increases.

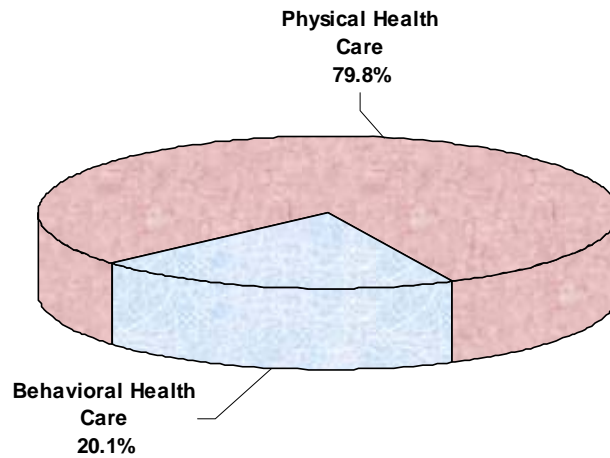
Community Residential Center: *Priority Program: Supervised Release*

Increase Community Residential Centers contract costs \$843.0 GF

Additional funding is requested to pay the department's Community Residential Center (CRC) contractual obligations 100% in FY2014. The Department of Corrections (DOC) has professional services contracts with six CRC providers to help meet the security and residential needs of adult offenders in the State of Alaska. These negotiated contracts allow for an annual Consumer Price Index (CPI) rate increase for each contract. With this additional funding, the department will be able to pay CRC contracts in full with the CPI increase.

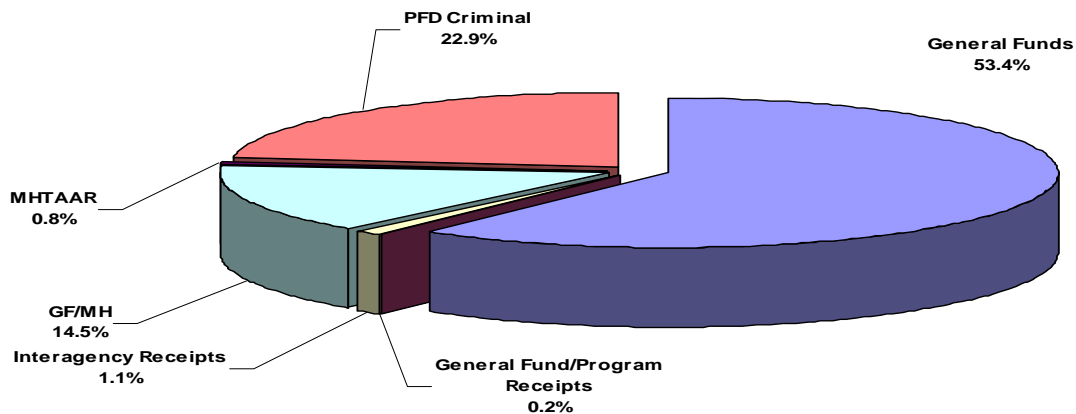
The DOC uses CRC beds as a cost-effective means of providing correctional services under supervision to offenders who are preparing to re-enter back into the community freeing up more expensive hard beds in the correctional facilities for serious, violent offenders. These contract provide two types of options, regular beds that are guaranteed and per-diem beds that are used as needed and available.

Department of Corrections
Fiscal Year 2014 Operating Budget Request
Inmate Health Care RDU



Behavioral Health Care	\$8,250.8
Physical Health Care	<u>\$32,715.1</u>

Inmate Health Care RDU Total \$40,965.9



Inmate Health Care RDU Funding:

General Funds	\$24,757.5
General Fund/Program Receipts	\$85.0
Interagency Receipts	\$464.1
GF/MH	\$5,944.1
MHTAAR	\$342.2
PFD Criminal	<u>\$9,373.0</u>

Inmate Health Care RDU Total \$40,965.9

**Department of Corrections
FY 2014 Governor's Request Changes
(Increases / Decreases)
Inmate Health Care RDU**

Physical Health Care: *Priority Program / Secure Confinement*

Department of Administration Core Services Rates \$22.4 GF

The department is seeking an overall department-wide increment of \$1,145.6 to assist with the increased core services Reimbursable Service Agreements with the Department of Administration. Rates for core services provided by the Department of Administration, including Risk Management, Personnel, Information Technology Services, and Public Building Fund, are estimated to be \$7.2 million higher in FY2014.

This increment is being allocated as follows:

\$882.0 Administration and Support RDU

\$241.2 Population Management RDU

\$ 22.4 Inmate Health Care RDU

Behavioral Health Care: *Priority Program / Reformative Programs*

MH Trust: Dis Justice – Training for Mental Health staff \$15.0 MHTAAR

This project maintains a critical component of the Disability Justice Focus Area by providing foundational knowledge on mental health disorders and cognitive impairments, best-practice and available treatment, and our state's community behavioral health system to mental health clinical staff from the Department of Corrections (DOC). The DOC has 48 positions which includes: clinicians, psychiatric nurses, counselors, nurse practitioners and psychiatrists system-wide. These staff are located in facilities from Bethel to Seward to Juneau and serve some of Alaska's most severely ill beneficiaries. This funding would enable the DOC to bring all clinical staff to one location for two days of training from in-state and out-of-state experts in the field to present on a variety of topics such as Fetal Alcohol Syndrome Disorder, Traumatic Brain Injury, and developmental disabilities. This training will make it possible for the DOC clinical staff to provide service and support to inmates with a variety of mental health disorders and cognitive impairments.

MH Trust: Dis Justice – Criminal Justice Technician Position \$67.2 MHTAAR

This project maintains a critical component of the Disability Justice Focus Area by enabling the Department of Corrections to track and evaluate outcome measures and other data. A Research Analyst would be able to track and provide reports on program outcome measures, clinical contacts, unit census changes, mental health T47s, access to programming, treatment failures, suicide data, assault and injury data, release data and a variety of other patient and programming needs. This is critical to providing recidivism, relapse and re-entry data on current re-entry and criminal recidivism reduction efforts.

MH Trust: Dis Justice - Implement APIC Discharge Planning Model \$260.0 MHTAAR

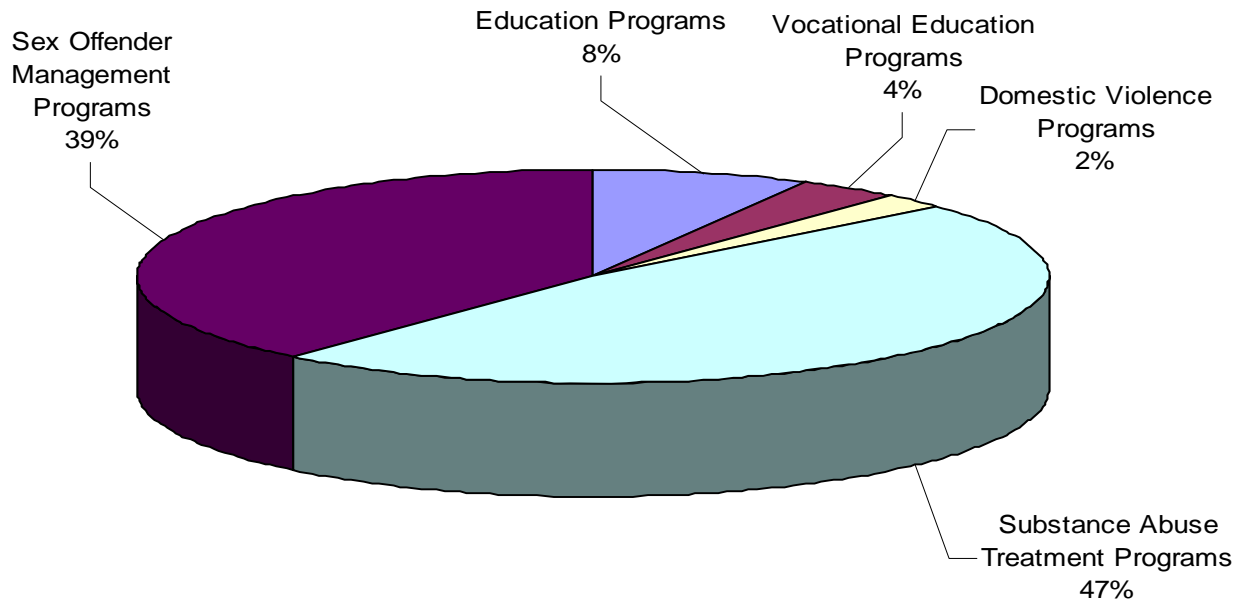
The MH Trust: Dis Justice - Implement Assess, Plan, Identify, & Coordinate (APIC) is based on a national best-practice model that connects Trust beneficiary offenders re-entering the community to appropriate community behavioral health services & when appropriate, prior to release applies and receives prior authorization for Supplemental Security Income (SSI)/Medicaid benefits for the beneficiary offender.

MH Trust: Dis Justice - Mental Health Clinical Positions \$164.0 GF/MH

The DOC mental health staff currently serves a population of 420+ female inmates, of which 120+ is currently active on the mental health caseload, an increase of approximately 20% since last year.

The demand for mental health services has also outgrown the current staffing ratios at DOC facilities in the Mat-Su Valley (Palmer Correctional Center (PCC), MatSu Pre-Trial (MSPT) and Pt. Mackenzie (PMCF)). Currently two Mental Health Clinicians cover three facilities serving 734 inmates. The number of remands at MSPT has gone from 162 per month in 2002 to 342 a month in 2011, as a result almost doubling the number of inmates coming in contact with Mental Health. The Sub-Acute treatment unit at PCC has grown from 20 Severely Mentally Ill (SMI) beds to 38 SMI beds. This increase in numbers has resulted in staff working additional hours to meet the need of the population, which over the long term cannot be sustained. DOC requires another position for a clinician to manage the high needs of these offenders.

Department of Corrections Fiscal Year 2014 Operating Budget Request Offender Habilitation Programs RDU



Offender Habilitation RDU:

<i>Education Programs</i>	<i>\$628.4</i>
<i>Vocational Education Programs</i>	<i>\$306.0</i>
<i>Domestic Violence Programs</i>	<i>\$175.0</i>
<i>Substance Abuse Treatment Programs</i>	<i>\$3,913.8</i>
<i>Sex Offender Management Programs</i>	<i>\$3,146.2</i>
<i>Offender Habilitation RDU Total</i>	<i><u>\$8,169.4</u></i>

Department of Corrections FY 2014 Governor's Request Changes (Increases / Decreases) Offender Habilitation Programs RDU

Education Programs: *Priority Program / Reformative Programs*

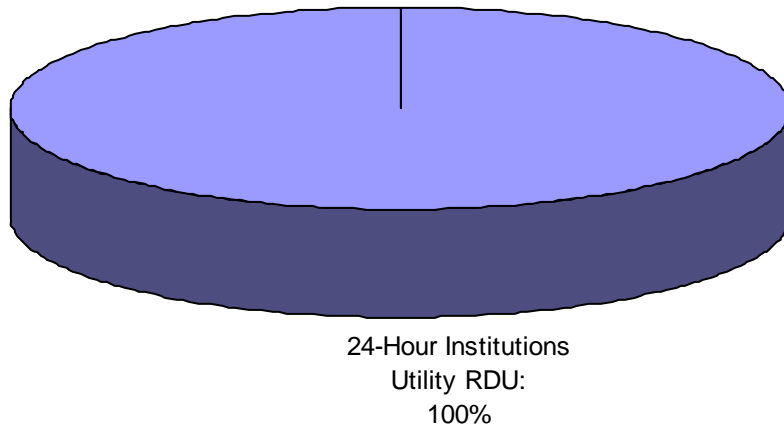
Interagency Receipts for Reimbursable Service Agreements \$25.0 I/A

- Increase interagency receipt authority to budget for reimbursable services agreement (RSA) with Department of Education, Early Education Development. These RSAs provide education and vocational education training to incarcerated offenders. The available funds have increased over the last few years and increasing this authority allows these agreements to be fully budgeted.

Transfer to Anchorage Correctional Complex to Support Manday Collections (\$75.0) Fed Rcpts

- Transfer uncollectible Federal authority from the Education Program component to the Anchorage Correctional Complex component to support increased manday collections. These revenues are no longer available within the Education component due to the elimination of the Work and Community Transition Training Grant.

Department of Corrections
Fiscal Year 2014 Operating Budget Request
24-Hour Institutions Utility RDU



24-Hour Institutions Utility RDU:

24-Hour Institutions Utility RDU:	\$7,724.2
24-Hour Institutions Utility RDU Total	<u><u>\$7,724.2</u></u>