

Dale Anderson



Juneau, Alaska 99801

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JUNEAU, ALASKA 99801

EXPERIENCE

MERRILL LYNCH • BANK OF AMERICA

Senior Financial Advisor, July 2007 to Present

Serves as Associate Resident Director for Juneau office operations. Trades securities, tailoring investment plans to meet the financial goals of individual investors as well as for-profit business entities, non-profit organizations and public sector municipal finance. Determines appropriate blend of securities that best suits investment objectives, risk tolerance and time horizons. Completed certification for Personal Investment Advisor, General Securities Licenses (Principal/Supervisory), Options/Managed Futures, State Securities Agent, Investment Advisor Law and Insurance Licensing. (Series 7, 9, 10, 31, 63 and 65). Licensed/registered to practice in 51 states and territories with 8 SROs.

SMITHBARNEY

Financial Consultant, June 2002 to July, 2007

Trade securities, tailoring investment programs to meet the financial goals of individual investors as well as, for-profit business entities and non-profit organizations. Determine appropriate blend of securities that best suits investment objectives, risk tolerance and time horizons. Successfully completed certification for Guided Portfolio Management, General Securities License, State Securities Agent, Investment Advisor Law and State Insurance Licensing. Designated as Municipal Finance Specialist. Licensed/registered to practice in 11 states.

ALASKA STATE LEGISLATURE

REPRESENTATIVE ELDON MULDER

Legislative Assistant, January 2000 to June 2002

House Finance Committee (HFC) aide assigned the responsibility for Alaska's Education Budget. Assisted in creating Tobacco Securitization/General Obligation Bonding programs for Capital projects. Researched issues for HFC legislation as well as personal legislation for Representative Mulder. Carried legislation through committee process to floor votes on varied issues covering education, fisheries, tourism, legal and environmental issues. Managed constituent relations and coordinated major statewide research on Regional Learning Centers for the Legislative Budget and Audit Committee.

EXPERIENCE (CONTINUED)

CITY AND BOROUGH OF JUNEAU

Assemblyman, October 2000 to October 2003

Served as Chair, Planning and Policy Committee. Member/Industry Liaison, Juneau Port Development Committee. Member, Lands Committee. Human Resources Committee. Represented Assembly on High School Construction Project Team. Acted as Assembly Liaison to Juneau Chamber of Commerce, Bartlett Regional Hospital, Juneau Convention and Visitors Bureau and Juneau International Airport. Worked on and reviewed numerous plans relating to tourism growth and management, Flightseeing noise study, taxation issues and port development.

AUKE LAKE BED & BREAKFAST

Owner, May 1996 to Present

Own and operate full-service lodging facilities with four suites/rooms located on Auke Lake near Juneau, Alaska. Develop and implement hospitality marketing program aimed to attract domestic and international independent travelers. Assist clients in total travel package from origination to destination. Served on Alaska Travel Industry Association Board of Directors/Executive Committee.

ADMIRALTY TOURS

Owner, May 1989 to 2002

Owned and operated full-service naturalist tours throughout Southeast Alaska aboard the *M/V Princeton Hall and Alaska Adventurer*. USCG 100-Ton Masters License with endorsements. Domestic/international marketing aimed to attract clientele interested in eco-tourism, filming/photography industry, and fishing. Write, negotiate and monitor government permits and contracts, prepare amendments, ensure compliance. Liaison between business and state/federal agencies.

POWELL REALTY, INC./ BETTER HOMES & GARDENS

Sales Associate, December 1990 to 2002

Sales of residential and commercial properties throughout Southeast Alaska. Perform property management function. Multi-million dollar producer awards from Better Homes & Gardens franchise.

ALASKA COMMERCIAL FISHERIES ENTRY COMMISSION

Commissioner, July 1993 to November 1997

Commission is a quasi-judicial agency responsible for promoting the conservation and sustained yield management of Alaska's fisheries resources and the economic stability of commercial fisheries. Governor's appointment to serve four-year term. Administered agency function and provided day-to-day leadership and direction to agency staff of 30+. Legislative Liaison defending Commission issues and budget. Administrative Law Judge: conducted more than 60 public hearings regarding diverse fishery limitation programs, adjudicated more than 550 cases settling disputes of individual applicants and drafted Final Commission Decisions. Dealt with complex resource/conservation/economic issues and testified on behalf of the Commission at Legislative and Board of Fish hearings. Negotiated with IRS, attended conferences, expositions and village economic development conferences representing the Commission.

EXPERIENCE (CONTINUED)

ADMIRALTY INN, INC.

President, May 1983 to April 1989

Owned and operated full-service remote sport fishing lodge on Admiralty Island. Responsible for marketing, day-to-day management of operation and staff. Held USCG six-pax vessel operators license, FAA single engine land/sea pilots license. Financial management, plant/equipment management, personnel/payroll, procurement of goods/services. Sold business in May 1989.

DACO ENTERPRISES, INC.

President, July 1975 to December 1989

Closely held corporation d/b/a Anderson Gallery; Don's Photo & Jewelry; and Northlight Publishing. Retail sales of fine art, custom picture framing, art restoration services, fine art publishing, and sale of photographic equipment and supplies. Responsible for directing and accomplishing the goals of the enterprise. Sold business in 1989.

EDUCATION

NATIONAL JUDICIAL COLLEGE, UNIVERSITY OF NEVADA, RENO

Certificate of Judicial Development, Administrative Law, 1994-1997

Course list: Administrative Law, Fair Hearing; Administrative Law, Legal Writing; Administrative Law, Decision Making; Ethics for Judges; Judicial Writing; Civil Mediation; Administrative Law for Regulatory Agencies; Administrative Law, Advanced.

ACADEMY OF PROFESSIONAL ART CONSERVATION AND SCIENCE SONOMA, CALIFORNIA

Fine Art Conservation, 1983-1984

Completed 64 Units of study in fine art conservation and restoration.

ORAL ROBERTS UNIVERSITY TULSA, OKLAHOMA

B.Sc., Business Administration - Graduated 1974

Major: *Business Administration*

Course list: Accounting I, II; Business Principles; Business Law I, II; Statistics; Math of Finance; Money and Banking; Personnel Management; Financial Management; Marketing Management; Office Management; Business Policies; Senior Seminar/Paper.

Minor: *Studio Art*

Course list: Drawing I, II; Painting I, II, III; Advanced Painting I; Art History I, II; Fundamentals of Design II; Sculpture I; Commercial Art I

Activities: 1969-1972 extensive domestic and international travel, 49 states, 48 foreign countries, lived in South Africa for one year.

JUNEAU-DOUGLAS HIGH SCHOOL JUNEAU, ALASKA

Graduated 1967

LICENSES HELD

- ◇ FAA licensed pilot, single engine land/sea, instrument rating
- ◇ USCG licensed skipper, Master of Motor Vessels to 100 tons, Inland/International Waterways with endorsements
- ◇ State of Alaska, Class A Assistant Guide (inactive)
- ◇ NAUI Certified Open Water Diver
- ◇ State of Alaska, Real Estate Salesman (inactive)
- ◇ SEC/State of Alaska Securities Licenses (Series 7, 9, 10, 31, 63, 65, Insurance)

MEMBERSHIPS AND AFFILIATIONS

- ◇ Aircraft Owners and Pilot Association
- ◇ Alaska B & B Association
- ◇ Alaska Travel Industry Association, past Board of Directors/Exec Committee
- ◇ Gastineau Channel Little League, past Board of Directors
- ◇ Glacier Valley Rotary Club, past Board of Directors
- ◇ Juneau Baseball Softball Association, past Board of Directors
- ◇ Juneau Convention and Visitors Bureau
- ◇ Juneau Chamber of Commerce
- ◇ Professional Association of InnKeepers
- ◇ Southeast Board of Realtors

REFERENCES

references available upon request.