

TO BE COMPLETED BY FHWA MONTHLY TRANSACTION NUMBER <div style="text-align: center; font-size: 1.2em;">05-51</div> Standard Place Code (FIPS data) <div style="text-align: center;">N/A</div> County FHWA Area <div style="display: flex; justify-content: space-between;"> N/A X-3 </div>		FEDERAL-AID PROJECT AGREEMENT AND APPROVAL/AUTHORIZATION U.S. Department of Transportation Federal Highway Administration		State <div style="text-align: center; font-size: 1.2em;">Alaska</div> Federal Project No. <div style="text-align: center;">SHAK-9500(112)</div> State Project No. <div style="text-align: center;">73073</div>	
<p>The State, through its Highway Agency, having compiled, or hereby agreeing to comply, with the applicable terms and conditions set forth in (1) Title 23, U.S. Code, Highways, (2) the Regulations issued pursuant thereto and, (3) the policies and procedures promulgated by the Federal Highway Administrator relative to the above designated project, and the Federal Highway Administration having authorized certain work to proceed as evidenced by the date entered opposite the specific item of work, Federal funds are obligated for the project not to exceed the amount shown herein, the balance of the estimated total cost being an obligation of the State. Such obligation of Federal funds extends only to project costs incurred by the State after the Federal Highway Administration authorization to proceed with the project involving such costs.</p>					
PROJECT TERMINI: Purchase new Roll On/Roll Off (RORO) ferry to operate in Southeast Alaska between AMHS terminals.		DUNS #617616495			
INVENTORY ROUTE NUMBER: N/A MILEPOINT:					
Project Description: (Below) (X) Rural/Urban Functional System (P) Federal-Aid System (N)			Length: (Miles) N/A		
Select consultant to assist with preparation of Plans, Specifications, & Estimate (PS&E) for purchase of a new RORO ferry. Vessel will minimize crewing (maximum automation); be designed for high operational efficiency; be designed to expedite loading and unloading; will be compatible with existing facilities (to the extent possible); will consider future travel demand, infrastructure improvements, minimum manning; will be designed to operate in the waters of SE Alaska (defined as lakes, bays, and sounds) with minimal weather restrictions.					
Request funding and authority to proceed with PE through Final PS&E.					
REQUEST AUTHORITY TO PROCEED FOR FUNDING UNDER CERTIFICATION ACCEPTANCE () EXEMPT				EFFECTIVE DATE	
PRELIMINARY ENGINEERING		<input type="checkbox"/> Reconnaissance Study <input checked="" type="checkbox"/> Final PS&E <input type="checkbox"/> Environmental Document Approval		MAY 19 2006	
RIGHTS-OF-WAY		<input type="checkbox"/> Appraisals and Acquisitions <input type="checkbox"/> Utility Relocation			
CONSTRUCTION		<input type="checkbox"/>			
HIGHWAY PLANNING & RESEARCH (HP&R)		<input type="checkbox"/>			
OTHER		<input type="checkbox"/>			
ATTACHMENTS: <input checked="" type="checkbox"/> COST ESTIMATE <input type="checkbox"/> MAP <input checked="" type="checkbox"/> SUPPORTING DATA <input type="checkbox"/> CERTIFICATION CHECKLIST					
REMARKS: (FWHA use only)		Environmental Document Approval <u> </u> STIP () <div style="text-align: right; margin-right: 50px;"><i>Date</i></div>			
ESTIMATED TOTAL COST OF PROJECT		FEDERAL FUNDS		PROGRAM CODE PROJECT TYPE	
251,000		250,000		QK2 C	
NEED ID: 18359 SECTION: FB		STIP/PAGE NO: 06-08 STIP pg 240		RECOMMENDED BY OPERATIONS ENGINEER <div style="text-align: center; font-size: 1.2em;"> </div>	
NAME: Ferry: Construct/Lease/Purchase Ferryboats & Terminals. The State further stipulates that as a condition to payment of the Federal funds obligated, it accepts and will comply with the applicable provisions set forth in 23 CFR Part 630, which is incorporated therein by reference.					
State of Alaska, Department of Transportation and Public Facilities <div style="text-align: center; font-size: 0.8em;">(Official name of Highway Agency)</div> By DOT&PF/Authorized Agent			U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION By for (Division Administrator) Date Executed <u>5/19/2006</u>		
Any real property acquired for this project determined to be in excess to the present or future program needs will be disposed of in accordance with OMB's Common Rule and governing FHWA requirements.					

STATE OF ALASKA PROJECT ESTIMATE

Department of Transportation and Public Facilities

April 14, 2006

Federal project no: SHAK-9500(112)

State project no: 73073

Project Name: SEA Region Shuttle Ferry.

ITEM	IMPROVEMENT TYPE	TOTAL EST'D COST	MATCHING RATIO	FEDERAL FUNDS	MILES
Requested Program and Agreement		APPN: QK2			
1) 10	15	251,000	100.00%	250,000	N/A

TOTALS

251,000

250,000

1) Includes \$1,000 non-participating and \$126,360 for Consultant.

TO BE COMPLETED BY FHWA MONTHLY TRANSACTION NUMBER <div style="font-size: 1.5em; color: blue; margin-left: 40px;">01-03</div> Standard Place Code (FIPS data) <div style="text-align: center;">N/A</div> County FHWA Area <div style="display: flex; justify-content: space-around;"> N/A X-3 </div>		MODIFICATION OF FEDERAL-AID PROJECT AGREEMENT AND APPROVAL/AUTHORIZATION U.S. Department of Transportation Federal Highway Administration		State <div style="text-align: center;">Alaska</div> Federal Project No. SHAK-9500(112) State Project No. <div style="text-align: center;">73073</div>	
PROJECT TERMINI: DUNS #617616495 Purchase new Roll On/Roll Off (RORO) ferry to operate in Southeast Alaska between AMHS terminals.					
INVENTORY ROUTE NUMBER: N/A MILEPOINT:					
A. Project Des. B. Reason for Mod <input checked="" type="checkbox"/> Rural/Urban Functional System <input type="checkbox"/> Federal-Aid System <input type="checkbox"/> N			Length: (Miles) N/A		
A. Select consultant to assist with preparation of Plans, Specifications, & Estimate (PS&E) for purchase of a new RORO ferry. Vessel will minimize crewing (maximum automation); be designed for high operational efficiency; be designed to expedite loading and unloading; will be compatible with existing facilities (to the extent possible); will consider future travel demand, infrastructure improvements, minimum manning; will be designed to operate in the waters of SE Alaska (defined as lakes, bays, and sounds) with minimal weather restrictions.					
B. Decrease Federal design funding to 0 per ineligibility notice and move funding to non-participating. This project will now be entirely state funded. <i>Request withdrawal without Federal participation.</i>					
The Project Agreement for the above-referenced project entered into between the undersigned parties and executed by the Division Administrator on <u>May 19, 2006</u> is hereby modified as follows:					
		Appn Code	Federal Funds	Total Estimated Cost	
		QK2	0.00	2,500,000.00	
Estimated total cost of project			0.00	2,500,000.00	
REQUEST AUTHORITY TO PROCEED FOR FUNDING UNDER CERTIFICATION ACCEPTANCE			<input type="checkbox"/> EXEMPT	EFFECTIVE DATE	
PRELIMINARY ENGINEERING	<input type="checkbox"/> Reconnaissance Study <input type="checkbox"/> Final PS&E <input type="checkbox"/> Environmental Document Approval				
RIGHTS-OF-WAY	<input type="checkbox"/> Appraisals and Acquisitions <input type="checkbox"/> Utility Relocation				
CONSTRUCTION	<input type="checkbox"/>				
HIGHWAY PLANNING & RESEARCH (HP&R)	<input type="checkbox"/>				
OTHER	<input type="checkbox"/>				
ATTACHMENTS: <input checked="" type="checkbox"/> COST ESTIMATE <input type="checkbox"/> MAP <input checked="" type="checkbox"/> SUPPORTING DATA <input type="checkbox"/> CERTIFICATION CHECKLIST					
REMARKS: (FWHA use only)		Environmental Document Approval _____ STIP <input type="checkbox"/> <div style="text-align: center;">Date</div>			
NEED ID: 18359 SECTION: FB STIP/PAGE NO: 06-09 STIP Amd #13 pg 253			RECOMMENDED BY OPERATIONS ENGINEER <div style="text-align: right; font-size: 1.2em;">12/29/10</div>		
NAME: Ferry: Construct/Lease/Purchase Ferryboats & Terminals.					
ALL OTHER TERMS AND CONDITIONS OF THE PROJECT AGREEMENT WILL REMAIN IN FULL FORCE AND EFFECT.					
State of Alaska, Department of Transportation and Public Facilities (Official name of Highway Agency)			U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION		
By			By for (Division Administrator)		
DOT&PF/Authorized Agent			Date Executed <u>12/29/2010</u>		

STATE OF ALASKA PROJECT ESTIMATE

Department of Transportation and Public Facilities

December 17, 2010

Federal project no: SHAK-9500(112)

State project no: 73073

Project Name: Southeast Shuttle Ferry

ITEM	IMPROVEMENT TYPE	TOTAL EST'D COST	MATCHING RATIO	FEDERAL FUNDS	MILES
As Programmed and Agreement <u>APPN: QK2</u>					
1) 10	15	2,501,000.00	100.00%	2,500,000.00	N/A

TOTALS

2,501,000.00

2,500,000.00

1) Includes \$1,000 non-participating and Consultant for design study report \$198,830 and PS&E \$1,962,500.

Revised Program and Agreement

APPN: QK2

10	15	0.00	100.00%	0.00	N/A
1) 11	15	2,500,000.00	0.00%	0.00	

TOTALS

2,500,000.00

0.00

1) Non-participating