

**CS FOR HOUSE BILL NO. 214(HSS)**

**IN THE LEGISLATURE OF THE STATE OF ALASKA**

**TWENTY-EIGHTH LEGISLATURE - SECOND SESSION**

**BY THE HOUSE HEALTH AND SOCIAL SERVICES COMMITTEE**

**Offered: 3/18/14**

**Referred: Judiciary, Finance**

**Sponsor(s): REPRESENTATIVES HIGGINS, TARR, AND GATTIS, Muñoz**

**A BILL**

**FOR AN ACT ENTITLED**

1   **"An Act relating to mental health patient rights, notifications, and grievance**  
2   **procedures; and relating to delegations of duties and powers relating to mental health**  
3   **by the Department of Health and Social Services."**

4   **BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:**

5    \* **Section 1.** AS 44.64.030(a) is amended by adding a new paragraph to read:

6                               (51) AS 47.30.847(a)(3) (mental health patient grievance appeals).

7    \* **Sec. 2.** AS 44.64.090 is amended by adding a new subsection to read:

8                               (c) The office shall maintain the confidentiality of records pertaining to a  
9                               mental health grievance appeal filed under AS 47.30.847 except as necessary to  
10                              conduct the appeal among the parties to the appeal, unless the office has received the  
11                              prior written consent of the person who filed the grievance.

12   \* **Sec. 3.** AS 47.30.840(a) is amended to read:

13                              (a) A person undergoing evaluation or treatment under AS 47.30.660 -  
14                              47.30.915

(1) may not be photographed without the person's consent and that of the person's guardian if a minor, except that the person may be photographed upon admission to a facility for identification and for administrative purposes of the facility; all photographs shall be confidential and may only be released by the facility to the patient or the patient's designee unless a court orders otherwise;

(2) at the time of admission to an evaluation or treatment facility, shall have reasonable precautions taken by the staff to inventory and safeguard the patient's personal property; a copy of the inventory signed by the staff member making it shall be given to the patient and made available to the patient's attorney and any other person authorized by the patient to inspect the document;

(3) shall have access to an individual storage space for the patient's private use while undergoing evaluation or treatment;

(4) shall be permitted to wear personal clothing, to keep and use personal possessions including toilet articles if they are not considered unsafe for the patient or other patients who might have access to them, and to keep and be allowed to spend a reasonable sum of the patient's own money for the patient's needs and comfort;

(5) **except as provided in (15) of this subsection,** shall be allowed to have visitors at reasonable times;

(6) shall have ready access to letter writing materials, including stamps, and have the right to send and receive unopened mail;

(7) shall have reasonable access to a telephone, both to make and receive confidential calls;

(8) has the right to be free of corporal punishment;

(9) has the right to reasonable opportunity for indoor and outdoor exercise and recreation;

(10) has the right, at any time, to have a telephone conversation with or be visited by an attorney;

(11) may not be retaliated against or subjected to any adverse change of conditions or treatment solely because of assertion of rights under this section;

**(12) has the right to file a grievance under AS 47.30.847;**

(13) has the right to a designated representative employed and clearly identified by an evaluation facility or unit or a designated treatment facility or unit to act as a patient advocate and to assist in the filing of a grievance under AS 47.30.847;

(14) has the right to select an individual to act as a patient advocate and to assist in the filing of a grievance under AS 47.30.847;

(15) who has been evaluated or treated in a locked evaluation facility or unit or a designated treatment facility or unit for more than three days has the right to a reasonable opportunity to maintain natural support systems, including family, friends, and help networks;

(16) has the right to confidentiality of the person's records unless the person has consented in writing to the release of the records.

\* Sec. 4. AS 47.30.847 is repealed and reenacted to read:

**Sec. 47.30.847. Patient grievance procedure.** (a) The department shall establish a standardized statewide mental health patient grievance procedure for the benefit of any person who is undergoing evaluation or treatment at an evaluation facility or unit or designated treatment facility or unit under AS 47.30.660 - 47.30.915. The grievance procedure must include

(1) a telephone call center operated by the department for filing and reviewing a grievance;

(2) a standardized form for filing a grievance;

(3) an appeal procedure that includes an administrative appeal to the office of administrative hearings under AS 44.64;

(4) a standardized notice of the grievance and appeal procedure;

(5) regular monitoring of compliance with the procedure;

(6) timely records review and maintenance by the department;

(7) maintenance of confidentiality of the grievance records, including appeal documents and decisions, unless the grievant provides prior written consent to release some or all of the records; and

(8) a process for designating a grievance by category as follows:

(A) category 1 for a grievance related to the physical or social

1 environment under the control of the facility or unit;

2 (B) category 2 for a grievance related to patients' rights as  
3 provided in AS 47.30.825 and 47.30.830 and regulations of the department;

4 (C) category 3 for a grievance that alleges a criminal act.

5 (b) An evaluation facility or unit and a designated treatment facility or unit  
6 shall comply with the grievance procedure established in (a) of this section, regardless  
7 of the availability of a less formal procedure for comments and suggestions. Once  
8 filed, all grievances shall be processed on a single form and completed to resolution  
9 unless the grievance is withdrawn by the grievant. The facility or unit shall

10 (1) provide a form approved by the department for submission of a  
11 grievance and a secure box for deposit of grievances; the contents of the box must be  
12 reviewed each day a patient is being treated or evaluated; the form must be readily  
13 accessible to the patient and easily understood by the patient or easily explained by a  
14 staff member in a language and method understandable to the patient; the original and  
15 a copy of a completed form submitted to the facility or unit must be kept in the  
16 patient's record of admission or an administrative file for the patient;

17 (2) maintain a complete record of all documents, including the  
18 grievance and appeals and responses to the grievance and appeals; and

19 (3) for a category 2 or 3 grievance, deliver to the department within 24  
20 hours an electronic copy of the initial grievance and all documents received under (2)  
21 of this subsection.

22 (c) Unless an extension of time of not more than five business days is agreed  
23 to by a grievant or the grievant's representative, an evaluation facility or unit or a  
24 designated treatment facility or unit shall mail or hand deliver a written response to the  
25 patient and an electronic copy of the response to the department within five calendar  
26 days after receipt by the department of a grievance or request for additional review.  
27 The response must include the reasons for the decision and a description of the appeal  
28 process. The grievant may request review by the commissioner within 30 calendar  
29 days.

30 (d) A grievant may not file a grievance or an appeal later than one year after  
31 being discharged from an evaluation facility or unit or a designated treatment facility

1 or unit. The facility or unit shall make a good faith effort to mail a response to a  
 2 grievant who has been discharged from the facility.

3 (e) The department shall review all grievances and responses to grievances for  
 4 compliance with this section and intervene when necessary to protect rights under  
 5 AS 47.30.840.

6 (f) An evaluation facility or unit and a designated treatment facility or unit  
 7 shall prepare and file an annual report with the department that describes the

8 (1) number of category 1, 2, and 3 grievances submitted;

9 (2) general issue raised in each grievance; and

10 (3) resolution, including litigation, of all grievances submitted.

11 (g) The department shall provide to the governor and to the legislature an  
 12 annual report of the number, locations, and category of grievances filed under this  
 13 section and recommendations of the department to improve mental health evaluation,  
 14 treatment, and procedures in the state. The report must preserve the confidentiality of a  
 15 person who is the subject of a grievance. The department shall make the report  
 16 available to the public.

17 (h) Nothing in this section applies to a facility or unit or designated treatment  
 18 facility that only provides outpatient services.

19 (i) In this section,

20 (1) "grievance" means a complaint or concern filed by telephone or in  
 21 writing by a grievant using a form provided by an evaluation facility or unit or a  
 22 designated treatment facility or unit;

23 (2) "grievant" means a patient of an evaluation facility or unit or a  
 24 designated treatment facility or unit, or the patient's representative;

25 (3) "unit" means a portion of a health care facility dedicated to the  
 26 evaluation or treatment of mental health patients.

27 \* **Sec. 5.** AS 47.30.855 is amended by adding new subsections to read:

28 (b) The department shall provide to an evaluation facility or unit or designated  
 29 treatment facility or unit for posting and distribution a standardized notice that is  
 30 designed to be easily understood and that separately describes patient rights, available  
 31 assistance, and the grievance procedure described in AS 47.30.847.

1           (c) A person in charge of an evaluation facility or unit or designated treatment  
2           facility or unit shall ensure that each patient or patient's representative receives a  
3           written copy of the standardized notice provided by the department under (b) of this  
4           section and of the grievance procedure described in AS 47.30.847.

5           (d) In this section, "unit" has the meaning given in AS 47.30.847.

6       \* **Sec. 6.** AS 47.30.660(b)(13) is repealed.