26-LS0274 M Wayne 4.14/09

CS FOR HOUSE BILL NO. 50()

IN THE LEGISLATURE OF THE STATE OF ALASKA TWENTY-SIXTH LEGISLATURE - FIRST SESSION

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Offered: Referred:

Sponsor(s): REPRESENTATIVES WILSON, GARA, TUCK, PETERSEN, LYNN, SEATON, GATTO, CISSNA, MUÑOZ, AND GARDNER, Ramras, Foster, Kerttula

A BILL

FOR AN ACT ENTITLED

"An Act relating to limitations on mandatory overtime for registered nurses and licensed practical nurses in health care facilities; and providing for an effective date."

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:

* Section 1. The uncodified law of the State of Alaska is amended by adding a new section to read:

LEGISLATIVE FINDINGS AND INTENT. The legislature finds that

- (1) it is essential that registered nurses and licensed practical nurses providing direct patient care be available to meet the needs of patients;
- (2) quality patient care is jeopardized by registered nurses and licensed practical nurses who work unnecessarily long hours in health care facilities;
- (3) registered nurses and licensed practical nurses are leaving their profession because of workplace stresses, long work hours, and depreciation of their essential role in the delivery of quality and direct patient care:
 - (4) it is necessary to safeguard the efficiency, health, and general well-being

of registered nurses and licensed practical nurses, and the health and general well-being of the

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persons receiving care from registered nurses and licensed practical nurses in health care facilities: (5) it is necessary that registered nurses and licensed practical nurses be made aware of their rights, duties, and remedies concerning hours worked and patient safety; and (6) health care facilities should provide adequate and safe nurse staffing

without the need for or use of mandatory overtime. * Sec. 2. AS 18.20 is amended by adding new sections to read:

Article 4. Overtime Limitations for Nurses.

Sec. 18.20.400. Limitations on nursing overtime. (a) Except as provided in (c) of this section, a nurse in a health care facility may not be required or coerced, directly or indirectly,

- (1) to work beyond a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility; or
- (2) to accept an assignment of overtime if, in the judgment of the nurse, the overtime would jeopardize patient or employee safety.
- (b) Except as provided by (c) of this section, after working a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility as authorized by (a)(1) of this section, a nurse in a health care facility shall be allowed not less than 10 consecutive hours of off-duty time immediately following the end of that work.
 - (c) Subsection (a) of this section does not apply to
- (1) a nurse who is employed by a health care facility providing services for a school, school district, or other educational institution, when the nurse is on duty for more than 14 consecutive hours during an occasional special event, such as a field trip, that is sponsored by the employer;
- (2) a nurse voluntarily working overtime on an aircraft in use for medical transport, so long as the shift worked is allowable under regulations adopted by the Board of Nursing based on accreditation standards adopted by the Commission on Accreditation of Medical Transport Systems:
 - (3) a nurse on duty in overtime status

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(A) who is participating in the performance of a medical procedure or surgery that has begun but has not been completed;

(B) because of an unforeseen emergency situation that could jeopardize patient safety; in this subparagraph, "unforeseen emergency situation" means an unusual, unpredictable, or unforeseen situation caused by an act of terrorism, disease outbreak, natural disaster, major disaster as defined in 42 U.S.C. 5122, or disaster emergency under AS 26.23.020 or 26.23.140, but does not include a situation in which a health care facility has reasonable knowledge of increased patient volume or inadequate staffing because of some other cause, if that cause is foreseeable;

(C) because the health care facility has a scheduling problem caused by unforeseen weather conditions that prevent a second nurse from arriving at the facility to relieve the nurse on duty; in this subparagraph, "unforeseen weather conditions" means unusual, unpredictable, or unforeseen weather so extreme as to impair travel to the health care facility, but does not include a situation in which the health care facility has knowledge of the weather conditions far enough in advance to act so that a scheduling problem under this subparagraph can reasonably be avoided; or

(D) at a health care facility located in a rural community that declares a temporary nurse staffing emergency under AS 18.20.410;

(4) a nurse fulfilling on-call time that is agreed on by the nurse and a health care facility before it is scheduled unless fulfilling the on-call time would, in the nurse's judgment, create an unacceptable risk to the physical safety of the nurse, a patient, or an employee of the facility;

(5) a nurse voluntarily working overtime so long as the work is consistent with professional standards and safe patient care and does not exceed 14 consecutive hours:

(6) a nurse voluntarily working beyond 80 hours in a 14-day period so long as the nurse does not work more than 14 consecutive hours without a 10-hour break and the work is consistent with professional standards and safe patient care;

(7) a nurse who

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(A) is employed

- (i) at a psychiatric treatment hospital that treats only adolescents and children;
- (ii) at a residential psychiatric treatment center under AS 18.07.111 or AS 47.12.990; or
- (iii) at a secure residential psychiatric treatment center under AS 47.32.900:
- (B) voluntarily agrees to work a 16-hour shift for the period between 5:00 p.m. on a Friday and 8:00 a.m. on the Monday that immediately follows and receives pay and benefits for that work that are equal to or greater than the pay and benefits the nurse would receive for working 20 regular hours in the same position; and
- (C) during the period described in (B) of this paragraph does not work a 16-hour shift consecutive with another shift of eight hours or more without an intervening break of at least eight hours;
- (8) the first two hours on overtime status when the health care facility is obtaining another nurse to work in place of the nurse in overtime status, so long as the nurse in overtime status is not on duty for more than 14 consecutive hours.
- Sec. 18.20.410. Temporary nurse staffing emergency. (a) If, after making a substantial and reasonable effort to increase the number of available nurses on staff and failing in that effort, a health care facility in a rural community determines it is not able to meet the overtime limitations in AS 18.20.400 without putting the safety of its patients at risk of serious harm, the health care facility may declare a temporary nurse staffing emergency. A declaration of a temporary nurse staffing emergency under this section
- (1) must be made in a writing, signed by the administrator of the health care facility or the administrator's designee, that describes the facility's reasonable effort to avoid the temporary nurse staffing emergency; and
 - (2) may not exceed 30 days.
- (b) Immediately after declaring a temporary nurse staffing emergency under (a) of this section, a health care facility shall file with the division of labor standards

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and safety, Department of Labor and Workforce Development, a report that includes a copy of the signed writing required under (a) of this section. A report under this subsection is a public document.

(c) In addition to the requirements of (a) and (b) of this section, a health care facility shall notify the legislature immediately by delivery of a written report to the Alaska Legislative Council each time the facility declares a temporary nurse staffing emergency under AS 18.20.400(c) that exceeds two occurrences in a six-month period that begins on January 1 or July 1 of the year in which the declaration occurs, or three occurrences in the one-year period that begins on January 1 of that year. A report under this subsection must include a copy of each report that is required of the health care facility under (b) of this section for the one-year period that begins on January 1 of the year the excessive declaration under this subsection occurs.

Sec. 18.20.420. Health care facility complaint process for overtime work by nurses. A health care facility shall provide for an anonymous process by which a patient or a nurse may make a complaint about staffing levels and patient safety that relate to overtime work by nurses and to limitations on overtime work by nurses under AS 18.20.400.

Sec. 18.20.430. Enforcement, offenses, and penalties. (a) The commissioner shall administer AS 18.20.400 - 18.20.499 and adopt regulations for implementing and enforcing AS 18.20.400 - 18.20.499.

- (b) A complaint alleging a violation of AS 18.20.400 18.20.499 must be filed with the commissioner within 30 days after the date of the alleged violation. The commissioner shall provide a copy of the complaint to the health care facility named in the filing within three business days after receiving the complaint.
- (c) If the commissioner finds that a health care facility has knowingly violated an overtime provision of AS 18.20.400 - 18.20.499, the following civil penalties shall apply:
- (1) for a first violation of AS 18.20.400 18.20.499, the commissioner shall reprimand the health care facility;
- (2) for a second violation of AS 18.20.400 18.20.499 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty

(11)

of \$500;

- (3) for a third violation of AS 18.20.400 18.20.499 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$2,500 but not more than \$5,000;
- (4) for each violation of AS 18.20.400 18.20.499 after a third violation of AS 18.20.400 18.20.499 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$5,000 but not more than \$25,000.
- (d) As an employer, a health care facility violates an overtime provision of AS 18.20.400 18.20.499 "knowingly" when the facility is either aware that its conduct is of a nature prohibited by the overtime provision or aware that the circumstances described in the overtime prohibition exist; however, when knowledge of the existence of a particular fact is required to establish that the violation was knowing, that knowledge exists when the facility is aware of a substantial probability of its existence, unless the facility reasonably believes it does not exist.
- **Sec. 18.20.440. Prohibition of retaliation.** A health care facility may not discharge, discipline, threaten, discriminate against, penalize, or file a report with the Board of Nursing against a nurse for exercising rights under AS 18.20.400 18.20.499 or for the good faith reporting of an alleged violation of AS 18.20.400 18.20.499.
- Sec. 18.20.450. Enforcement of prohibition against retaliation. The commissioner shall investigate every complaint alleging a violation of AS 18.20.440, and, within 90 days after the date of filing of the complaint, provide to the complainant, the Department of Law, and the health care facility named in the complaint a written determination as to whether the health care facility violated AS 18.20.440. If the commissioner finds a violation of AS 18.20.440, the commissioner shall request that the Department of Law represent the department and the complainant and obtain from the health care facility all appropriate relief, including rehiring or reinstatement of the complainant to the complainant's former position with back pay.
- Sec. 18.20.460. Report requirements. (a) A health care facility shall file with the division of labor standards and safety. Department of Labor and Workforce

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Development, a semiannual report on a form provided by the department. The report for the six-month period ending June 30 must be filed before the following August 1, and the report for the six-month period ending December 31 must be filed before the following February 1. The report must include, for each nurse employed by the health care facility or under contract with the health care facility, the number of overtime hours worked and the number of hours the nurse was on call. A health care facility that does not employ a nurse who worked overtime hours or who was on call during the reporting period is not required to describe hours worked as overtime and on-call hours for individual nurses but may instead complete the report by stating on the form that there are no reportable hours.

A primary care outpatient facility is not subject to the reporting (b) requirements of (a) of this section.

Sec. 18.20.470. Provisions not applicable to nurses employed in federal or tribal facilities. The provisions of AS 18.20.400 - 18.20.499 do not apply to a nurse employed in a health care facility that is operated by

- (1) the federal government; or
- (2) a tribal organization as defined in 25 U.S.C. 450b.

Sec. 18.20.480. Notice to employees. A health care facility shall post and maintain, in places readily accessible to individuals in the service of the health care facility, printed statements that describe employee rights and employer obligations under AS 18.20.400 - 18.20.499 and regulations adopted under AS 18.20.430. The commissioner shall supply the printed statements to a health care facility without cost to the facility.

Sec. 18.20.499. Definitions. In AS 18.20.400 - 18.20.499,

- (1) "commissioner" means the commissioner of labor and workforce development;
- (2) "health care facility" means a private, municipal, or state hospital; independent diagnostic testing facility; primary care outpatient facility; skilled nursing facility; kidney disease treatment center, including freestanding hemodialysis units; intermediate care facility; ambulatory surgical facility; Alaska Pioneers' Home or Alaska Veterans' Home administered by the Department of Health and Social Services

under AS 47.55; correctional facility owned or administered by the state; private, municipal, or state facility employing one or more public health nurses; long-term care facility; psychiatric hospital; residential psychiatric treatment center, as defined in AS 18.07.111 or AS 47.32.900; secure residential psychiatric treatment center under AS 47.32.990; juvenile detention facility; juvenile detention home, juvenile work camp, or treatment facility as defined in AS 47.12.990;

(3) "nurse" means an individual licensed to practice registered nursing

- (3) "nurse" means an individual licensed to practice registered nursing or practical nursing under AS 08.68 who provides nursing services through direct patient care or clinical services and includes a nurse manager when delivering inhospital patient care;
- (4) "on-call" means a status in which a nurse must be ready to report to the health care facility and may be called to work by the health care facility;
- (5) "overtime" means the hours worked in excess of a predetermined and regularly scheduled shift that is agreed to by a nurse and a health care facility;
- (6) "rural community" means a village or city that has a population of less than 10,000, as determined by the Department of Labor and Workforce Development, and is in
 - (A) the unorganized borough; or
 - (B) an organized borough that has a population of less than 25,000, as determined by the Department of Labor and Workforce Development.
- * Sec. 3. The uncodified law of the State of Alaska is amended by adding a new section to read:

APPLICABILITY. A health care facility that is required to file reports under AS 18.20.460, enacted in sec. 2 of this Act, shall file its first report before February 1, 2010, for the period July 1, 2009, through December 31, 2009.

- * Sec. 4. AS 18.20.460, enacted in sec. 2 of this Act, and sec. 3 of this Act take effect July 1, 2009.
 - * Sec. 5. Except as provided in sec. 4 of this Act, this Act takes effect January 1, 2010.



Representative Peggy Wilson House District 2

MEMORANDUM

HB 50 Version M Changes:

1. **Concern:** Rural hospitals may face more difficulty than urban facilities in complying with the HB 50 requirement

Mitigation 1: Added new exemption, "Temporary nurse staffing emergency"

- 30 day duration.
- Report describing efforts to staff the facility required.
- Report must be filed with DOL
 - i. Limit to 2 reports in 6 months or 3 in 1 year or the reports must be sent to the Legislature as well. (pg 4, line 19-31, pg 5, line1-3)

Mitigation 2: Unforeseen weather condition added. (pg 3, line 11-18)

Mitigation 3: Rural community is defined. (pg 8 line15-21)

2. **Concern:** Nurses would leave surgical units or other units while procedures or surgeries are ongoing.

Mitigation 1: An exemption for a nurse who is participating in an on-going surgery or medical procedure has been added. (pg 3, line 2-3)

3. **Concern**: Specialized work schedules for weekends used at North Star Behavioral Health would be a problem

Mitigation: This specific practice has been exempted. (pg 4, line 1-15)

4. **Concern:** On call hours could require a nurse to work beyond the maximum 14 consecutive hour limit.

Mitigation: An exemption allowing nurses the ability to refuse mandatory on-call if they believe they are too tired to perform their duties safely. (pg 3, line 21-24)

Representative Peggy Wilson House District 2

5. Concern: The 80 limitation for 14 days was not flexible.

Mitigation: that limitation has been deleted

6. **Concern:** There are potential legal problems with jurisdiction.

Mitigation: The federal and Native facilities have been exempted. (pg. 7, line 13-17)

7. **Concern:** Reporting Requirements for facilities that do not use mandatory overtime are too arduous.

Mitigation: Allow a facility to submit a statement that there are no hours to report. (pg 7, line 9-10)

Two other changes were made to the bill: Facilities will be required to post a sign describing these statutes. (pg 7, line18-23) The one hour exemption to find a replacement nurse has been extended to be more flexible (pg 4, line 16-18)

26-LS0274 W Wayne 4.13.09

CS FOR HOUSE BILL NO. 50()

IN THE LEGISLATURE OF THE STATE OF ALASKA TWENTY-SIXTH LEGISLATURE - FIRST SESSION

BY

Offered: Referred:

Sponsor(s): REPRESENTATIVES WILSON, GARA, TUCK, PETERSEN, LYNN, SEATON, GATTO, CISSNA, MUÑOZ, AND GARDNER, Ramras, Foster, Kerttula

A BILL

FOR AN ACT ENTITLED

"An Act relating to limitations on mandatory overtime for registered nurses and licensed practical nurses in health care facilities; and providing for an effective date."

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:

* Section 1. The uncodified law of the State of Alaska is amended by adding a new section to read:

LEGISLATIVE FINDINGS AND INTENT. The legislature finds that

- (1) it is essential that registered nurses and licensed practical nurses providing direct patient care be available to meet the needs of patients;
- (2) quality patient care is jeopardized by registered nurses and licensed practical nurses who work unnecessarily long hours in health care facilities;
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 - (4) it is necessary to safeguard the efficiency, health, and general well-being

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of registered nurses and licensed practical nurses, and the health and general well-being of the persons receiving care from registered nurses and licensed practical nurses in health care facilities:

- (5) it is necessary that registered nurses and licensed practical nurses be made aware of their rights, duties, and remedies concerning hours worked and patient safety; and
- (6) health care facilities should provide adequate and safe nurse staffing without the need for or use of mandatory overtime.
 - * Sec. 2. AS 18.20 is amended by adding new sections to read:

Article 4. Overtime Limitations for Nurses.

- Sec. 18.20.400. Limitations on nursing overtime. (a) Except as provided in (c) of this section, a nurse in a health care facility may not be required or coerced, directly or indirectly,
- (1) to work beyond a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility; or
- (2) to accept an assignment of overtime if, in the judgment of the nurse, the overtime would jeopardize patient or employee safety.
- (b) Except as provided by (c) of this section, after working a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility as authorized by (a)(1) of this section, a nurse in a health care facility shall be allowed not less than 10 consecutive hours of off-duty time immediately following the end of that work.
 - (c) Subsection (a) of this section does not apply to
- (1) a nurse who is employed by a health care facility providing services for a school, school district, or other educational institution, when the nurse is on duty for more than 14 consecutive hours during an occasional special event, such as a field trip, that is sponsored by the employer;
- (2) a nurse voluntarily working overtime on an aircraft in use for medical transport, so long as the shift worked is allowable under regulations adopted by the Board of Nursing based on accreditation standards adopted by the Commission on Accreditation of Medical Transport Systems;
 - (3) a nurse on duty in overtime status

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(A)	who	is	participating	in	the	performance	of	a	medical
procedure or surgery that has begun but has not been completed;									

- (B) because of an unforeseen emergency situation that could jeopardize patient safety; in this subparagraph, "unforeseen emergency situation" means an unusual, unpredictable, or unforeseen situation caused by an act of terrorism, disease outbreak, natural disaster, major disaster as defined in 42 U.S.C. 5122, or disaster emergency under AS 26.23.020 or 26.23.140, but does not include a situation in which a health care facility has reasonable knowledge of increased patient volume or inadequate staffing because of some other cause, if that cause is foreseeable;
- (C) because the health care facility has a scheduling problem caused by unforeseen weather conditions that prevent a second nurse from arriving at the facility to relieve the nurse on duty; in this subparagraph, "unforeseen weather conditions" means unusual, unpredictable, or unforeseen weather so extreme as to impair travel to the health care facility, but does not include a situation in which the health care facility has knowledge of the weather conditions far enough in advance to act so that a scheduling problem under this subparagraph can reasonably be avoided; or
- (D) at a health care facility located in a rural community that declares a temporary nurse staffing emergency under AS 18.20.410;
- (4) a nurse fulfilling on-call time that is agreed on by the nurse and a health care facility before it is scheduled unless fulfilling the on-call time would, in the nurse's judgment, create an unacceptable risk to the physical safety of the nurse, a patient, or an employee of the facility;
- (5) a nurse voluntarily working overtime so long as the work is consistent with professional standards and safe patient care and does not exceed 14 consecutive hours;
- (6) a nurse voluntarily working beyond 80 hours in a 14-day period so long as the nurse does not work more than 14 consecutive hours without a 10-hour break and the work is consistent with professional standards and safe patient care;
 - (7) a nurse who

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(A) is employed

- (i) at a psychiatric treatment hospital that treats only adolescents and children;
- (ii) at a residential psychiatric treatment center under AS 18.07.111 or AS 47.12.990; or
- (iii) at a secure residential psychiatric treatment center under AS 47.32.900;
- (B) voluntarily agrees to work a 16-hour shift for the period between 5:00 p.m. on a Friday and 8:00 a.m. on the Monday that immediately follows and receives pay and benefits for that work that are equal to or greater than the pay and benefits the nurse would receive for working 20 regular hours in the same position; and
- (C) during the period described in (B) of this paragraph does not work a 16-hour shift consecutive with another shift of eight hours or more without an intervening break of at least eight hours;
- (8) the first two hours on overtime status when the health care facility is obtaining another nurse to work in place of the nurse in overtime status, so long as the nurse in overtime status is not on duty for more than 14 consecutive hours.
- Sec. 18.20.410. Temporary nurse staffing emergency. (a) If, after making a substantial and reasonable effort to increase the number of available nurses on staff and failing in that effort, a health care facility in a rural community determines it is not able to meet the overtime limitations in AS 18.20.400 without putting the safety of its patients at risk of serious harm, the health care facility may declare a temporary nurse staffing emergency. A declaration of a temporary nurse staffing emergency under this section
- (1) must be made in a writing, singed by the administrator of the health care facility or the administrator's designee, that describes the facility's reasonable effort to avoid the temporary nurse staffing emergency; and
 - (2) may not exceed 30 days.
- (b) Immediately after declaring a temporary nurse staffing emergency under (a) of this section, a health care facility shall file with the division of labor standards

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and safety, Department of Labor and Workforce Development, a report that includes a copy of the signed writing required under (a) of this section. A report under this subsection is a public document.

(c) In addition to the requirements of (a) and (b) of this section, a health care facility shall notify the legislature immediately by delivery of a written report to the Alaska Legislative Council each time the facility declares a temporary nurse staffing emergency under AS 18.20.400(c) that exceeds two occurrences in a six-month period that begins on January 1 or July 1 of the year in which the declaration occurs, or three occurrences in the one-year period that begins on January 1 of that year. A report under this subsection must include a copy of each report that is required of the health care facility under (b) of this section for the one-year period that begins on January 1 of the year the excessive declaration under this subsection occurs.

Sec. 18.20.420. Health care facility complaint process for overtime work by nurses. A health care facility shall provide for an anonymous process by which a patient or a nurse may make a complaint about staffing levels and patient safety that relate to overtime work by nurses and to limitations on overtime work by nurses under AS 18.20.400.

Sec. 18.20.430. Enforcement, offenses, and penalties. (a) The commissioner shall administer AS 18.20.400 - 18.20.469 and adopt regulations for implementing and enforcing AS 18.20.400 - 18.20.469.

- (b) A complaint alleging a violation of AS 18.20.400 18.20.469 must be filed with the commissioner within 30 days after the date of the alleged violation. The commissioner shall provide a copy of the complaint to the health care facility named in the filing within three business days after receiving the complaint.
- (c) If the commissioner finds that a health care facility has knowingly violated an overtime provision of AS 18.20.400 - 18.20.469, the following civil penalties shall apply:
- (1) for a first violation of AS 18.20.400 18.20.469, the commissioner shall reprimand the health care facility:
- (2) for a second violation of AS 18 20.400 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty

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of \$500;

- (3) for a third violation of AS 18.20.400 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$2,500 but not more than \$5,000;
- (4) for each violation of AS 18.20.400 18.20.469 after a third violation of AS 18.20.400 - 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$5,000 but not more than \$25,000.
- (d) As an employer, a health care facility violates an overtime provision of AS 18.20.400 - 18.20.469 "knowingly" when the facility is either aware that its conduct is of a nature prohibited by the overtime provision or aware that the circumstances described in the overtime prohibition exist; however, when knowledge of the existence of a particular fact is required to establish that the violation was knowing, that knowledge exists when the facility is aware of a substantial probability of its existence, unless the facility reasonably believes it does not exist.

Sec. 18.20.440. Prohibition of retaliation. A health care facility may not discharge, discipline, threaten, discriminate against, penalize, or file a report with the Board of Nursing against a nurse for exercising rights under AS 18.20.400 - 18.20.469 or for the good faith reporting of an alleged violation of AS 18.20.400 - 18.20.469.

Sec. 18.20.450. Enforcement of prohibition against retaliation. The commissioner shall investigate every complaint alleging a violation of AS 18.20.440, and, within 90 days after the date of filing of the complaint, provide to the complainant, the Department of Law, and the health care facility named in the complaint a written determination as to whether the health care facility violated AS 18.20.440. If the commissioner finds a violation of AS 18.20.440, the commissioner shall request that the Department of Law represent the department and the complainant and obtain from the health care facility all appropriate relief, including rehiring or reinstatement of the complainant to the complainant's former position with back pay.

Sec. 18.20.460. Report requirements. (a) A health care facility shall file with the division of labor standards and safety. Department of Labor and Workforce

Development, a semiannual report on a form provided by the department. The report for the six-month period ending June 30 must be filed before the following August 1, and the report for the six-month period ending December 31 must be filed before the following February 1. The report must include, for each nurse employed by the health care facility or under contract with the health care facility, the number of overtime hours worked and the number of hours the nurse was on call. A health care facility that does not employ a nurse who worked overtime hours or who was on call during the reporting period is not required to provide overtime hours worked or hours on call for individual nurses but may instead complete the report by stating on the form that there are no reportable hours.

(b) A primary care outpatient facility is not subject to the reporting requirements of (a) of this section.

Sec. 18.20.465. Provisions not applicable to nurses employed in federal or tribal facilities. The provisions of AS 18.20.400 - 18.20.469 do not apply to a nurse employed in a health care facility that is operated by

- (1) the federal government; or
- (2) a tribal organization as defined in 25 U.S.C. 450b.

Sec. 18.20.467. Notice to employees. A health care facility shall post and maintain, in places readily accessible to individuals in the service of the health care facility, printed statements that describe employee rights and employer obligations under AS 18.20.400 - 18.20.469 and regulations adopted under AS 18.20.430. The commissioner shall supply the printed statements to a health care facility without cost to the facility.

Sec. 18.20.469. Definitions. In AS 18.20.400 - 18.20.469,

- (1) "commissioner" means the commissioner of labor and workforce development;
- (2) "health care facility" means a private, municipal, state, or state hospital; independent diagnostic testing facility; primary care outpatient facility; skilled nursing facility; kidney disease treatment center, including freestanding hemodialysis units; intermediate care facility; ambulatory surgical facility; Alaska Pioneers' Home or Alaska Veterans' Home administered by the Department of Health

 and Social Services under AS 47.55; correctional facility owned or administered by the state; private, municipal, or state facility employing one or more public health nurses; long-term care facility; psychiatric hospital; residential psychiatric treatment center, as defined in AS 18.07.111 or AS 47.32.900; secure residential psychiatric treatment center under AS 47.32.990; juvenile detention facility; juvenile detention home, juvenile work camp, or treatment facility as defined in AS 47.12.990;

- (3) "nurse" means an individual licensed to practice registered nursing or practical nursing under AS 08.68 who provides nursing services through direct patient care or clinical services and includes a nurse manager when delivering inhospital patient care;
- (4) "on-call" means a status in which a nurse must be ready to report to the health care facility and may be called to work by the health care facility;
- (5) "overtime" means the hours worked in excess of a predetermined and regularly scheduled shift that is agreed to by a nurse and a health care facility;
 - (6) "rural community" means a village or city that
 - (A) has a population of less than 10,000, as determined by the Department of Labor and Workforce Development;
 - (B) is in the unorganized borough; or
 - (C) is in an organized borough that has a population of less than 25,000, as determined by the Department of Labor and Workforce Development.
- * Sec. 3. The uncodified law of the State of Alaska is amended by adding a new section to read:

APPLICABILITY. A health care facility that is required to file reports under AS 18.20.460, enacted in sec. 2 of this Act, shall file its first report before February 1, 2010, for the period July 1, 2009, through December 31, 2009.

- * Sec. 4. AS 18.20.460, enacted in sec. 2 of this Act, and sec. 3 of this Act take effect July 1, 2009.
 - * Sec. 5. Except as provided in sec. 4 of this Act, this Act takes effect January 1, 2010.



Representative Peggy Wilson House District 2

<u>MEMORANDUM</u>

Date: March 30, 2009

Representative Bob Herron and Representative Wes Keller, Co-Chairs Health, To:

and Social Services Committee

From: Representative Peggy Wilson

HB 50 – Limit Overtime for Registered Nurses Re:

I would like to request the House HSS Committee schedule another hearing on HB 50.

During the first hearing of this bill in the House Health and Social Services there was testimony that brought up several issues. The attached revision of the bill addresses those issues. This memo will outline the changes made to the bill and the issue it is designed to mitigate.

1. Concern: Rural hospitals may face more difficulty than urban facilities in complying with the requirement to not exceed the shift length maximum of 14 hours or to allow 10 hours of rest following such a shift. Witnesses in opposition testified that generally hospitals don't use mandatory overtime, but the concern was raised that in certain circumstances in remote rural facilities, mandatory overtime was occasionally necessary.

Mitigation 1: A new exemption has been added, called "Temporary nurse staffing emergency" for rural communities. Under this provision, facilities may declare a "temporary nurse staffing emergency" of up to 30 days after making all reasonable efforts to avoid this circumstance. These facilities shall file a report signed by the facility administrator with the Department of Labor & Workforce Development that describes the facilities' efforts to avoid the staffing emergency. The reports must also be filed with the Legislature if there are more than two such emergencies declared within 6 months or 3 emergencies within 1 vear. (pg 4, Sec. 18.20,410)



Alaska State Legislature

Representative Peggy Wilson House District 2

Mitigation 2: An exemption for "unforeseen weather condition" and a definition of that condition were added. (pg 3, line 12-19)

Mitigation 3: The temporary exemption described above is available to any village or city with a population of less than 10,000. This exemption also applies to communities in organized boroughs with a population of more than 25,000. (pg 7, line 30-31, pg 8 line 1-5)

2. **Concern:** Because of the 14 hour maximum for a shift there seemed to be a concern, from multiple witnesses testifying in opposition to the bill, that nurses would be forced to leave surgical units with ongoing surgeries or leaving neonatal units without the specially trained nurses in attendance. This was never the intent of the proponents of this bill.

Mitigation 1: An exemption for a nurse who is participating in an on-going surgery or medical procedure has been added. (pg 3, line 2-3)

Mitigation 2: The exemption for unforeseen emergency situation has been expanded to include "a life-threatening circumstance". (pg 3, line 7)

3. Concern: The committee heard testimony describing a situation where a modified Baylor program of staffing is used by North Star Behavioral Health. This staffing situation is used only at psychiatric hospitals and residential treatment centers that treat adolescents and children and only on the weekends.

Mitigation: This specific practice has been exempted. (pg 3, line 23-25)

4. **Concern:** During testimony by both those opposed and those in favor it was brought out that mandatory on-call was used more frequently as a staffing tool than mandatory overtime. This practice often requires nurses to work beyond the number of hours that are safe for both the patient and the nurse.

Mitigation: Language has been added to the general on-call exemption allowing nurses the ability to refuse mandatory on-call if they believe they are too tired to perform their duties safely (pg 3, line 23-25)



Representative Peggy Wilson House District 2

I have also made other changes described below.

Facilities will be required to post signs that describe the statutes created by this bill. The signs will be distributed by the Department of Labor and must be displayed in a conspicuous work area in all facilities. (pg 7, line 4-9)

The 1 hour exemption while the health care facility is obtaining another nurse to work in place of the nurse in overtime status has been extended to 2 hours so long as the nurse has not exceeded the 14 hour limit. In some cases nurses live some distance from the facility and I believe this extension of the provision gives the facility more flexibility. (pg 4, line 11)

Thank you for your consideration of my request.

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CS FOR HOUSE BILL NO. 50()

IN THE LEGISLATURE OF THE STATE OF ALASKA TWENTY-SIXTH LEGISLATURE - SECOND SESSION

BY

Offered:

Referred:

Sponsor(s): REPRESENTATIVES WILSON, GARA, TUCK, PETERSEN, LYNN, SEATON, GATTO, CISSNA, MUÑOZ, AND GARDNER, Ramras, Foster, Kerttula

A BILL

FOR AN ACT ENTITLED

"An Act relating to limitations on mandatory overtime for registered nurses and licensed practical nurses in health care facilities; and providing for an effective date."

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA:

* Section 1. The uncodified law of the State of Alaska is amended by adding a new section to read:

LEGISLATIVE FINDINGS AND INTENT. The legislature finds that

- (1) it is essential that registered nurses and licensed practical nurses providing direct patient care be available to meet the needs of patients;
- (2) quality patient care is jeopardized by registered nurses and licensed practical nurses who work unnecessarily long hours in health care facilities;
- (3) registered nurses and licensed practical nurses are leaving their profession because of workplace stresses, long work hours, and depreciation of their essential role in the delivery of quality and direct patient care;
 - (4) it is necessary to safeguard the efficiency, health, and general well-being

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of registered nurses and licensed practical nurses, and the health and general well-being of the persons receiving care from registered nurses and licensed practical nurses in health care facilities;

- (5) it is necessary that registered nurses and licensed practical nurses be made aware of their rights, duties, and remedies concerning hours worked and patient safety; and
- (6) health care facilities should provide adequate and safe nurse staffing without the need for or use of mandatory overtime.
 - * Sec. 2. AS 18.20 is amended by adding new sections to read:

Article 4. Overtime Limitations for Nurses.

- Sec. 18.20.400. Limitations on nursing overtime. (a) Except as provided in (c) of this section, a nurse in a health care facility may not be required or coerced, directly or indirectly,
- (1) to work beyond a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility;
 - (2) to work beyond 80 hours in a 14-day period; or
- (3) to accept an assignment of overtime if, in the judgment of the nurse, the overtime would jeopardize patient or employee safety.
- (b) Except as provided by (c) of this section, after working a predetermined and regularly scheduled shift that is agreed to by the nurse and the health care facility as authorized by (a)(1) of this section, a nurse in a health care facility shall be allowed not less than 10 consecutive hours of off-duty time immediately following the end of that work.
 - (c) Subsection (a) of this section does not apply to
- (1) a nurse who is employed by a health care facility providing services for a school, school district, or other educational institution, when the nurse is on duty for more than 14 consecutive hours during an occasional special event, such as a field trip, that is sponsored by the employer;
- (2) a nurse voluntarily working overtime on an aircraft in use for medical transport, so long as the shift worked is allowable under regulations adopted by the Board of Nursing based on accreditation standards adopted by the Commission on Accreditation of Medical Transport Systems;

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and the second

- (A) who is participating in the performance of a medical procedure or surgery that has begun but has not been completed;
- (B) because of an unforeseen emergency situation that could jeopardize patient safety; in this subparagraph, "unforeseen emergency situation" means an unusual, unpredictable, or unforeseen situation caused by an act of terrorism, disease outbreak, life-threatening circumstance, natural disaster, major disaster as defined in 42 U.S.C. 5122, or disaster emergency under AS 26.23.020 or 26.23.140, but does not include a situation in which a health care facility has reasonable knowledge of increased patient volume or inadequate staffing because of some other cause, if that cause is foreseeable;
- (C) because the health care facility has a scheduling problem caused by unforeseen weather conditions that prevent a second nurse from arriving at the facility to relieve the nurse on duty; in this subparagraph, "unforeseen weather conditions" means unusual, unpredictable, or unforeseen weather so extreme as to impair travel to the health care facility, but does not include a situation in which the health care facility has knowledge of the weather conditions far enough in advance to act so that a scheduling problem under this subparagraph can reasonably be avoided; or
- (D) at a health care facility located in a rural community that declares a temporary nurse staffing emergency under AS 18.20.410;
- (4) a nurse fulfilling on-call time that is agreed on by the nurse and a health care facility before it is scheduled unless fulfilling the on-call time would, in the nurse's judgment, create an unacceptable risk to the physical safety of the nurse, a patient, or an employee of the facility;
- (5) a nurse voluntarily working overtime so long as the work is consistent with professional standards and safe patient care and does not exceed 14 consecutive hours;
- (6) a nurse voluntarily working beyond 80 hours in a 14-day period so long as the nurse does not work more than 14 consecutive hours without a 10-hour break and the work is consistent with professional standards and safe patient care:

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(7) a nurse at a psychiatric treatment hospital that treats only
adolescents and children or a residential psychiatric treatment center under
AS 18.07.111 or AS 47.12.990, or a secure residential psychiatric treatment center
under AS 47.32,900 who voluntarily agrees to work a 16-hour shift on a weekend and
receives pay and benefits for that work that are equal to or greater than the pay and
benefits the nurse would receive for working 20 regular hours in the same position; a
nurse under this paragraph may not work a 16-hour shift consecutive with another
shift of eight hours or more without an intervening break of at least eight hours; in this
paragraph, "weekend" means the period between 5:00 p.m. on a Friday and 8:00 a.m.
on the Monday that immediately follows;

(8) the first two hours on overtime status when the health care facility is obtaining another nurse to work in place of the nurse in overtime status, so long as the nurse in overtime status is not on duty for more than 14 consecutive hours.

Sec. 18.20.410. Temporary nurse staffing emergency. (a) If, after making a substantial and reasonable effort to increase the number of available nurses on staff and failing in that effort, a health care facility in a rural community determines it is not able to meet the overtime limitations in AS 18.20.400 without putting the safety of its patients at risk of serious harm, the health care facility may declare a temporary nurse staffing emergency. A declaration of a temporary nurse staffing emergency under this section

- (1) must be made in a writing, singed by the administrator of the health care facility or the administrator's designee, that describes the facility's reasonable effort to avoid the temporary nurse staffing emergency; and
 - (2) may not exceed 30 days.
- (b) Immediately after declaring a temporary nurse staffing emergency under (a) of this section, a health care facility shall file with the division of labor standards and safety, Department of Labor and Workforce Development, a report that includes a copy of the signed writing required under (a) of this section. A report under this subsection is a public document.
- (c) In addition to the requirements of (a) and (b) of this section, a health care facility shall notify the legislature immediately by delivery of a written report to the

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Alaska Legislative Council each time the facility declares a temporary nurse staffing emergency under AS 18.20.400(c) that exceeds two occurrences in a six-month period
that begins on January 1 or July 1 of the year in which the declaration occurs, or three
occurrences in the one-year period that begins on January 1 of that year. A report
under this subsection must include a copy of each report that is required of the health
care facility under (b) of this section for the one-year period that begins on January 1 of the year the excessive declaration under this subsection occurs.
Sec. 18.20.420. Health care facility complaint process for overtime work
by nurses. A health care facility shall provide for an anonymous process by which a patient or a nurse may make a complaint about at 65
patient of a number make a complaint of and a complaint

Sec. 18.20.420. Health care facility complaint process for overtime work by nurses. A health care facility shall provide for an anonymous process by which a patient or a nurse may make a complaint about staffing levels and patient safety that relate to overtime work by nurses and to limitations on overtime work by nurses under AS 18.20.400.

Sec. 18.20.430. Enforcement, offenses, and penalties. (a) The commissioner shall administer AS 18.20.400 - 18.20.469 and adopt regulations for implementing and enforcing AS 18.20.400 - 18.20.469.

- (b) A complaint alleging a violation of AS 18.20.400 18.20.469 must be filed with the commissioner within 30 days after the date of the alleged violation. The commissioner shall provide a copy of the complaint to the health care facility named in the filing within three business days after receiving the complaint.
- (c) If the commissioner finds that a health care facility has knowingly violated an overtime provision of AS 18.20.400 18.20.469, the following civil penalties shall apply:
- (1) for a first violation of AS 18.20.400 18.20.469, the commissioner shall reprimand the health care facility;
- (2) for a second violation of AS 18.20.400 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of \$500;
- (3) for a third violation of AS 18.20.400 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$2,500 but not more than \$5,000;
 - (4) for each violation of AS IN 20 400 18 20 469 after a third

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violation of AS 18.20.400 - 18.20.469 within 12 months, the commissioner shall reprimand the health care facility and assess a penalty of not less than \$5,000 but not more than \$25,000.

(d) As an employer, a health care facility violates an overtime provision of AS 18.20.400 - 18.20.469 "knowingly" when the facility is either aware that its conduct is of a nature prohibited by the overtime provision or aware that the circumstances described in the overtime prohibition exist; however, when knowledge of the existence of a particular fact is required to establish that the violation was knowing, that knowledge exists when the facility is aware of a substantial probability of its existence, unless the facility reasonably believes it does not exist.

Sec. 18.20.440. Prohibition of retaliation. A health care facility may not discharge, discipline, threaten, discriminate against, penalize, or file a report with the Board of Nursing against a nurse for exercising rights under AS 18.20.400 - 18.20.469 or for the good faith reporting of an alleged violation of AS 18.20.400 - 18.20.469.

Sec. 18.20.450. Enforcement of prohibition against retaliation. The commissioner shall investigate every complaint alleging a violation of AS 18.20.440, and, within 90 days after the date of filing of the complaint, provide to the complainant, the Department of Law, and the health care facility named in the complaint a written determination as to whether the health care facility violated AS 18.20.440. If the commissioner finds a violation of AS 18.20.440, the commissioner shall request that the Department of Law represent the department and the complainant and obtain from the health care facility all appropriate relief, including rehiring or reinstatement of the complainant to the complainant's former position with back pay.

Sec. 18.20.460. Report requirements. A health care facility shall file with the division of labor standards and safety. Department of Labor and Workforce Development, a semiannual report. The report for the six-month period ending June 30 must be filed before the following August 1, and the report for the six-month period ending December 31 must be filed before the following February 1. The report must include, for each nurse employed by the health care facility or under contract with the health care facility, the number of overtime hours worked, the number of overtime

hours that were mandatory, the number of overtime hours that were voluntary, the number of on-call hours, the number of on-call hours that were mandatory, and the number of on-call hours that were voluntary.

Sec. 18.20.465. Notice to employees. A health care facility shall post and maintain, in places readily accessible to individuals in the service of the health care facility, printed statements that describe employee rights and employer obligations under AS 18.20.400 - 18.20.469 and regulations adopted under AS 18.20.430. The commissioner shall supply the printed statements to a health care facility without cost to the facility.

Sec. 18.20.469. Definitions. In AS 18.20.400 - 18.20.469,

- (1) "commissioner" means the commissioner of labor and workforce development;
- (2) "health care facility" means a private, municipal, state, or federal hospital; psychiatric hospital; independent diagnostic testing facility; skilled nursing facility; kidney disease treatment center, including freestanding hemodialysis units; intermediate care facility; ambulatory surgical facility; Alaska Pioneers' Home or Alaska Veterans' Home administered by the Department of Health and Social Services under AS 47.55; correctional facility owned or administered by the state; private, municipal, state, or federal facility employing one or more public health nurses; long-term care facility; juvenile detention facility; juvenile detention home, juvenile work camp, or treatment facility, as defined in AS 47.12.990;
- (3) "nurse" means an individual licensed to practice registered nursing or practical nursing under AS 08.68 who provides nursing services through direct patient care or clinical services and includes a nurse manager when delivering inhospital patient care;
- (4) "on-call" means a status in which a nurse must be ready to report to the health care facility and may be called to work by the health care facility;
- (5) "overtime" means the hours worked in excess of a predetermined and regularly scheduled shift that is agreed to by a nurse and a health care facility;
 - (6) "rural community" means a village or city that

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(A) has a population of less than 10,000, is determined by the

(B) is in the unorganized borough; or

Department of Labor and Workforce Development;

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Development. * Sec. 3. The uncodified law of the State of Alaska is amended by adding a new section to

than 25,000, as determined by the Department of Labor and Workforce

(C) is in an organized borough that has a population of less

APPLICABILITY. A health care facility that is required to file reports under AS 18.20.460, enacted in sec. 2 of this Act, shall file its first report before February 1, 2010, for the period July 1, 2009, through December 31, 2009.

* Sec. 4. AS 18.20.460, enacted in sec. 2 of this Act, and sec. 3 of this Act take effect July 1, 2009.

* Sec. 5. Except as provided in sec. 4 of this Act, this Act takes effect January 1, 2010.



Alaska State Legislature

Representative Peggy Wilson House District 2

SPONSOR STATEMENT HB 50

"An Act relating to limitations on overtime for registered nurses and licensed practical nurses in health care facilities and providing for an effective date."

Nurses are working excessive amounts of hours without adequate rest. This creates an unsafe work environment for patients and nurses. Mandatory overtime is one of the main reasons nurses leave nursing. Recent studies indicate that one in five nurses is considering leaving the profession. When polled on their reasons for leaving, mandatory overtime is always listed in the top ten. The purpose of HB 50 is to promote better patient and nurse safety and to create an environment that will keep nurses at the bedside.

HB 50 would prohibit mandatory overtime. Mandatory overtime hours are those hours above an agreed upon, predetermined, regularly scheduled shift, which the employer makes compulsory (as opposed to voluntary). The threat of reprisals includes but is not limited to discharge, discipline, demotion or assignment to unattractive tasks or work shifts or in some cases licensure removal, retaliatory reporting, and charges of "patient abandonment".

The bill does not ban overtime. The bill allows nurses to work up to 14 consecutive hours, but then requires a rest period of at least 10 hours. Another provision provides a nurse cannot be forced to work more than 80 hours in a 14-day period. Nurses can voluntarily agree to exceed the 80-hour limit, so long as they don't work more than 14 consecutive hours without the 10-hour rest period.

HB 50 allows for a number of exceptions to these requirements, including school nurses on field trips, nurses working on medivac flights, and if needed, for an unforeseen emergency that could jeopardize patient safety such as natural disasters or disease outbreaks.

There are 15 other states that have adopted similar requirements, including Washington, Oregon and California. Most of these versions restrict nurses to only 12 consecutive hours, with a mandated rest period of 12 hours. HB 50 extended those requirements to a 14-hour limit with a 10-hour rest period to provide additional flexibility to our hospitals, particularly those operating in smaller communities.

HB 50 addresses these problems in a reasonable and balanced manner, while making a work environment safer. It will also help to retain nurses instead of giving them reason leave the state or retire early.

ALASKA STATE LEGISLATURE

Interim:
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Wrangell, AK 99929
Phone: (907) 874-3088
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Session: State Capitol, Room 406 Juneau, AK 99801-1182 Phone: (907) 465-3824 1-800-686-3824 Fax: (907) 465-3175

REPRESENTATIVE PEGGY WILSON HOUSE DISTRICT 2

Sectional Analysis

House Bill 50

"An act relating to limitations on mandatory overtime for registered nurses and licensed practical nurses in health care facilities; and providing for an effective date."

Section 1. Describes the intent and reasons for the Act.

Section 2. Defines the Act:

- the limitations on overtime for nurses,
- the process for complaints,
- the enforcement, offenses and penalties as they apply to mandatory overtime for nurses,
- prohibition of retaliation, and enforcement of the retaliation,
- the reporting requirements.
- the definitions of terms.
- **Section 3**. Sets the reporting periods.
- **Section 4**. Sets the effective date for sections 2 and 3 at July 1, 2009
- Section 5. Sets effective date at Jan. 1, 2010.

HOUSE BILL NO. 50

IN THE LEGISLATURE OF THE STATE OF ALASKA

TWENTY-SIXTH LEGISLATURE - FIRST SESSION

BY REPRESENTATIVES WILSON, GARA, TUCK, PETERSEN, LYNN, SEATON, GATTO, CISSNA, MUÑOZ, AND GARDNER, Ramras, Foster, Kerttula

Introduced: 1/20/09

Referred: Health and Social Services, Finance

A BILL

FOR AN ACT ENTITLED

"An Act relating to limitations on mandatory overtime for registered nurses and 1 licensed practical nurses in health care facilities; and providing for an effective date." 2 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF ALASKA: 3 * Section 1. The uncodified law of the State of Alaska is amended by adding a new section 4 5 to read: LEGISLATIVE FINDINGS AND INTENT. The legislature finds that 6 7 (1) it is essential that registered nurses and licensed practical nurses providing direct patient care be available to meet the needs of patients; 8 9 (2) quality patient care is jeopardized by registered nurses and licensed practical nurses who work unnecessarily long hours in health care facilities; 10 7 (3) registered nurses and licensed practical nurses are leaving their profession because of workplace stresses, long work hours, and depreciation of their essential role in the 12 delivery of quality and direct patient care; 13 14 (4) it is necessary to safeguard the efficiency, health, and general well-being

1	of registered nurses and licensed practical nurses, and the health and general well-being of the
2	persons receiving care from registered nurses and licensed practical nurses in health care
3	facilities;
4	(5) it is necessary that registered nurses and licensed practical nurses be made
5	aware of their rights, duties, and remedies concerning hours worked and patient safety; and
6	(6) health care facilities should provide adequate and safe nurse staffing
7	without the need for or use of mandatory overtime.
8	* Sec. 2. AS 18.20 is amended by adding new sections to read:
9	Article 4. Overtime Limitations for Nurses.
10	Sec. 18.20.400. Limitations on nursing overtime. (a) Except as provided in
11	(c) of this section, a nurse in a health care facility may not be required or coerced,
12	directly or indirectly,
13	(1) to work beyond a predetermined and regularly scheduled shift that
14	is agreed to by the nurse and the health care facility;
15	(2) to work beyond 80 hours in a 14-day period; or
16	(3) to accept an assignment of overtime if, in the judgment of the
17	nurse, the overtime would jeopardize patient or employee safety.
18	(b) Except as provided by (c) of this section, after working a predetermined
19	and regularly scheduled shift that is agreed to by the nurse and the health care facility
20	as authorized by (a)(1) of this section, a nurse in a health care facility shall be allowed
21	not less than 10 consecutive hours of off-duty time immediately following the end of
22	that work.
23	(c) Subsection (a) of this section does not apply to
24	(1) a nurse who is employed by a health care facility providing
25	services for a school, school district, or other educational institution, when the nurse is
26	on duty for more than 14 consecutive hours during an occasional special event, such as
27	a field trip, that is sponsored by the employer;
28	(2) a nurse voluntarily working overtime on an aircraft in use for
29	medical transport, so long as the shift worked is allowable under regulations adopted
30	by the Board of Nursing based on accreditation standards adopted by the Commission
31	on Accreditation of Medical Transport Systems;

1	(3) a nurse on duty in overtime status because of an unforeseen
2	emergency situation that could jeopardize patient safety; in this paragraph,
3	"unforeseen emergency situation" means an unusual, unpredictable, or unforeseen
4	situation caused by an act of terrorism, disease outbreak, natural disaster, major
5	disaster as defined in 42 U.S.C. 5122, or disaster emergency under AS 26.23.020 or
6	26.23.140, but does not include a situation in which a health care facility has
7	reasonable knowledge of increased patient volume or inadequate staffing because of
8	some other cause, if that cause is foreseeable;
9	(4) a nurse fulfilling on-call time that is agreed on by the nurse and a
10	health care facility before it is scheduled;
11	(5) a nurse voluntarily working overtime so long as the work is
12	consistent with professional standards and safe patient care and does not exceed 14
13	consecutive hours;
14	(6) a nurse voluntarily working beyond 80 hours in a 14-day period so
15	long as the nurse does not work more than 14 consecutive hours without a 10-hour
16	break and the work is consistent with professional standards and safe patient care;
17	(7) the first hour on overtime status when the health care facility is
18	obtaining another nurse to work in place of the nurse in overtime status.
19	Sec. 18.20.410. Health care facility complaint process for overtime work
20	by nurses. A health care facility shall provide for an anonymous process by which a
21	patient or a nurse may make a complaint about staffing levels and patient safety that
22	relate to overtime work by nurses and to limitations on overtime work by nurses under
23	AS 18.20.400.
24	Sec. 18.20.420. Enforcement, offenses, and penalties. (a) The commissioner
25	shall administer AS 18.20.400 - 18.20.449 and adopt regulations for implementing and
26	enforcing AS 18.20.400 - 18.20.449.
27	(b) A complaint alleging a violation of AS 18.20.400 - 18.20.449 must be filed
28	with the commissioner within 30 days after the date of the alleged violation. The
29	commissioner shall provide a copy of the complaint to the health care facility named
30	in the filing within three business days after receiving the complaint.
3 1	(c) If the commissioner finds that a health care facility has knowingly violated

1	an overtime provision of AS 18.20.400 - 18.20.449, the following civil penalties shall
2	apply:
3	(1) for a first violation of AS 18.20.400 - 18.20.449, the commissioner
4	shall reprimand the health care facility;
5	(2) for a second violation of AS 18.20.400 - 18.20.449 within 12
6	months, the commissioner shall reprimand the health care facility and assess a penalty
7	of \$500;
8	(3) for a third violation of AS 18.20.400 - 18.20.449 within 12 months,
9	the commissioner shall reprimand the health care facility and assess a penalty of not
10	less than \$2,500 but not more than \$5,000;
11	(4) for each violation of AS 18.20.400 - 18.20.449 after a third
12	violation of AS 18.20.400 - 18.20.449 within 12 months, the commissioner shall
13	reprimand the health care facility and assess a penalty of not less than \$5,000 but not
14	more than \$25,000.
15	(d) As an employer, a health care facility violates an overtime provision of
16	AS 18.20.400 - 18.20.449 "knowingly" when the facility is either aware that its
17	conduct is of a nature prohibited by the overtime provision or aware that the
18	circumstances described in the overtime prohibition exist; however, when knowledge
19	of the existence of a particular fact is required to establish that the violation was
20	knowing, that knowledge exists when the facility is aware of a substantial probability
21	of its existence, unless the facility reasonably believes it does not exist.
22	Sec. 18.20.430. Prohibition of retaliation. A health care facility may not
23	discharge, discipline, threaten, discriminate against, penalize, or file a report with the
24	Board of Nursing against a nurse for exercising rights under AS 18.20.400 - 18.20.449
25	or for the good faith reporting of an alleged violation of AS 18.20.400 - 18.20.449.
26	Sec. 18.20.440. Enforcement of prohibition against retaliation. The
27	commissioner shall investigate every complaint alleging a violation of AS 18.20.430,
28	and, within 90 days after the date of filing of the complaint, provide to the
29	complainant, the Department of Law, and the health care facility named in the
30	complaint a written determination as to whether the health care facility violated
31	AS 18.20.430. If the commissioner finds a violation of AS 18.20.430, the

commissioner shall request that the Department of Law represent the department and the complainant and obtain from the health care facility all appropriate relief, including rehiring or reinstatement of the complainant to the complainant's former position with back pay.

Sec. 18.20.445. Report requirements. A health care facility shall file with the division of labor standards and safety, Department of Labor and Workforce Development, a semiannual report. The report for the six-month period ending June 30 must be filed before the following August 1, and the report for the six-month period ending December 31 must be filed before the following February 1. The report must include, for each nurse employed by the health care facility or under contract with the health care facility, the number of overtime hours worked, the number of overtime hours that were mandatory, the number of on-call hours, the number of on-call hours that were mandatory, and the number of on-call hours that were voluntary.

Sec. 18.20.449. Definitions. In AS 18.20.400 - 18.20.449,

- (1) "commissioner" means the commissioner of labor and workforce development;
- (2) "health care facility" means a private, municipal, state, or federal hospital; psychiatric hospital; independent diagnostic testing facility; residential psychiatric treatment center, as defined in AS 18.07.111; skilled nursing facility; kidney disease treatment center, including freestanding hemodialysis units; intermediate care facility; ambulatory surgical facility; Alaska Pioneers' Home or Alaska Veterans' Home administered by the Department of Health and Social Services under AS 47.55; correctional facility owned or administered by the state; juvenile detention facility, juvenile detention home, juvenile work camp, or treatment facility, as defined in AS 47.12.990; private, municipal, state, or federal facility employing one or more public health nurses; long-term care facility; or primary care outpatient facility;
- (3) "nurse" means an individual licensed to practice registered nursing or practical nursing under AS 08.68 who provides nursing services through direct patient care or clinical services and includes a nurse manager when delivering in-

1	hospital patient care;
2	(4) "on-call" means a status in which a nurse must be ready to report to
3	the health care facility and may be called to work by the health care facility;
4	(5) "overtime" means the hours worked in excess of a predetermined
5	and regularly scheduled shift that is agreed to by a nurse and a health care facility.
6	* Sec. 3. The uncodified law of the State of Alaska is amended by adding a new section to
7	read:
8	APPLICABILITY. The first report required to be filed under AS 18.20.445, enacted in
9	sec. 2 of this Act, shall be filed before February 1, 2010, for the period July 1, 2009, through
10	December 31, 2009.
11	* Sec. 4. AS 18.20.445, enacted in sec. 2 of this Act, and sec. 3 of this Act take effect
12	July 1, 2009.
13	* Sec. 5. Except as provided in sec. 4 of this Act, this Act takes effect January 1, 2010.

FISCAL NOTE

STATE OF ALASKA Fiscal Note Number: 2009 LEGISLATIVE SESSION Bill Version: HB 50 () Publish Date: Identifier (file name): HB050-DOLWD-WH-03-06-09 Dept. Affected: Labor and Workforce Development Title Limit Overtime for Registered Nurses RDU Labor Standard & Safety Component Wage and Hour Sponsor Representatives Wilson, Gara, Tuck, Petersen, Lynn, et al. Requester House Health and Social Services Component Number 345 Expenditures/Revenues (Thousands of Dollars) Note: Amounts do not include inflation unless otherwise noted below. Appropriation Required Information OPERATING EXPENDITURES FY 2010 FY 2010 FY 2011 FY 2012 FY 2013 FY 2014 FY 2015 Personal Services 73.6 73.6 73.6 73.6 73.6 73.6 Travel 3.0 3.0 3.0 3.0 3.0 3.0 Contractual 18.9 18.9 18.9 18.9 18.9 18.9 Supplies 3.8 0.5 0.5 1.8 0.5 0.5 Equipment Land & Structures Grants & Claims Miscellaneous **TOTAL OPERATING** 99.3 0.0 96.0 96.0 97.3 96.0 96.0 CAPITAL EXPENDITURES CHANGE IN REVENUES (**FUND SOURCE** (Thousands of Dollars) 1002 Federal Receipts 1003 GF Match 1004 GF 99.3 96.0 96.0 97.3 96.0 96.0 1005 GF/Program Receipts 1037 GF/Mental Health 1157 Worker Safety Account TOTAL 99.3 0.0 96.0 96.0 97.3 96.0 96.0 Estimate of any current year (FY2009) cost: None **POSITIONS** 1.0 Full-time Part-time Temporary (Attach a separate page if necessary)

ANALYSIS:

The bill requires the Department of Labor and Workforce Development to investigate and take enforcement action to resolve complaints of unlawful mandatory overtime use and alleged retaliation. Due to the significant number of registered nurses and licensed practical nurses currently licensed in Alaska (approximately 10,000 RN's and 1,000 LPN's), the department anticipates the workload will require at least one full-time Wage and Hour Investigator I position funded with General Funds. Costs include \$73.6 for salary and benefits, \$3.0 for investigation travel, \$18.9 for contractual which includes normal per position costs such as office space. phone, data processing and administrative support and \$5.0 for Department of Law advice and representation, \$0.5 for office supplies and an additional \$3.3 for office furniture and equipment in the first year and an additional \$1.3 for computer equipment replacement in the fourth year.

Prepared by:	Grey Mitchell, Director	Phone	465-4855
Division	Labor Standard & Safety	Date/Time	3/6/09 1:06 PM
Approved by:	Click Bishop, Commissioner	Date	3/6/09
Agency	Department of Labor and Workforce Development	•	

Page 1 of 1 Revised 9/2006 CM81

FISCAL NOTE

STA	LE OF ALASKA	\
2009	LEGISLATIVI	E SESSION

STATE OF ALASKA 2009 LEGISLATIVE SE	SSION		Fiscal Note Number: Bill Version: () Publish Date:		HB050 Health & Social Services		
Identifier (file name):	(file name): HB050-DHSS-PH-03-09-09						ted:
Title Lin	nit Overtime for Reg	Overtime for Registered Nurses				aska Pioneer F	
Cassas					t Pioneers F	omes	
Sponsor Requester	Wilson					******************************	
requester	House HSS				t Number	****	
Expenditures/Revenues Note: Amounts do not include i		rwise noted I	(Tho	usands of D	ollars)		
	Appropriation Required			1			
OPERATING EXPENDITURES		FY 2010	FY 2011	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	rmation	T =1/.65::-	T
Personal Services	7 7 2010	F1 2010	FT 2011	FY 2012	FY 2013	FY 2014	FY 201
Travel				 		 	
Contractual			 		+	-	
Supplies			 			 	<u> </u>
Equipment				 	 		
Land & Structures			 	 	 	+	
Grants & Claims					 	 	ļ
Miscellaneous					 	+	<u> </u>
TOTAL OPERATING	0.0	0.0	0.0	0.0	0.0	0.0	0
						1	
CAPITAL EXPENDITURES							
CHANGE IN REVENUES (T		
UND SOURCE			(Thou	sands of Dol	lars)	4	<u> </u>
002 Federal Receipts		T			T T	Г	
003 GF Match					†		
004 GF							
005 GF/Program Receipts						<u> </u>	
037 GF/Mental Health							
ther Interagency Receipts							
TOTAL	0.0	0.0	0.0	0.0	0.0	0.0	0.
stimate of any current year (F OSITIONS ull-time	Y2009) cost:						
art-time							
emporary							
NALYSIS: (Attach a separate per HB 50 establishes limitations penalties for violations, and mandatory by the RN. The inductor a grave and unforese limitations will result in a reparate per adequately addressed by	s on overtime for requires reporting tent of HB 50 is the nevent. Under port to the Depart	g of any oven o eliminate the bill, use timent of Lai	ertime, with mandatory of mandato bor. nave a zero f	the overtime for a contract of the contract of	ne designate r RNs unless in excess o	ed as voluntar s the overtime f the bill's	eis
pared by: Dave Cote, Directo Alaska Ploneer Ho					Phone 46 Date/Time 3/	35-5737 9/09 8:00 AM	
oroved by: Alison Eigee, Assis DHSS Finance & M			FOMONY CHEMICAN CONTRACTOR CONTRA	Prikara-delenikoksitöl-dökladassassya-apolasyi-apo	Date 3/		

FISCAL NOTE

	FATE OF ALASKA 109 LEGISLATIVE SESSION				Fiscal Note Number: Bill Version: () Publish Date:		HB050		
Identifier (file name)):	HB050	-DHSS-N-03-	09-09	Dept. Affecte	ed:	Health & Soc	ial Services	
Title	Limit C	vertime for Reg	istered Nurse	s	RDU		Public Health		
Caraca					Component Nursing				
Sponsor		Wilson	<u> </u>						
Requester	***************************************	House HSS			Component Number				
Expenditures/Re	evenues			(Thou	sands of Do	lars)			
Note: Amounts do r		tion unless othe	rwise noted b						
		Appropriation							
OPERATING EXPE	NULLIBES	Required FY 2010	FY 2010	FY 2011	Inform FY 2012	nation FY 2013	EV 2044	FV 2045	
Personal Services	LADITORES	F1 2010	F1 2010	FIZUII	F1 2012	FT 2013	FY 2014	FY 2015	
Travel		\$-00-000000000000000000000000000000000		***************************************					
Contractual									
Supplies									
Equipment									
Land & Structures Grants & Claims								· · · · · · · · · · · · · · · · · · ·	
Miscellaneous									
TOTAL OPE	RATING	0.0	0.0	0.0	0.0	0.0	0.0	0.0	
CAPITAL EXPEND	ITURES								
CHANGE IN REVEN	NUES (I						
FUND SOURCE				(Thou	sands of Dolla	ars)			
1002 Federal Receip	ots							***************************************	
1003 GF Match									
1004 GF	a a a inta								
1005 GF/Program Ri 1037 GF/Mental Hea									
Other Interagency Re									
TOTAL		0.0	0.0	0.0	0.0	0.0	0.0	0.0	
Estimate of any cur	rent year (FY2	009) cost:							
POSITIONS									
ull-time	L								
Part-time	F								
emporary			L						
NALYSIS: (Attack This bill sets limitate the bill makes it ap Section of Public He	plicable to pub	working overting working worki	, it would ha	ve a very limi	ted effect on	the Division (of Public Healt	uage in h	
The mandated sem effort because publ upon by the nurse a hour day, are alway emergencies requir	lic health nurse and a health ca vs predetermine	s rarely work "in re facility." Nor ed and agreed u	n excess of a malitinerant pon. In addit	predetermine schedules, ev ion, the bill e	ed and regular ven though th exempts repor	rly scheduled ey often invo ting requirer	shift that is applied that the shift that is applied to the shift that is applied to the shift that it	greed a 7.5-	
- contract C	uter, Chief Med	ical Officer				Phone 26			
ivision <u>Public</u>	Health					Date/Time 3/	9/09 8:00 AM		
pproved by: Alison	Elgee. Assistar	nt Commissioner	*			Date 3/s	9/2009		
**************************************		agement Servic			**************************************	F1810 9/			