

TO BE COMPLETED BY FHWA MONTHLY TRANSACTION NUMBER 05-51 Standard Place Code (FIPS data) N/A County N/A FHWA Area X-3		FEDERAL-AID PROJECT AGREEMENT AND APPROVAL/AUTHORIZATION U.S. Department of Transportation Federal Highway Administration		State Alaska Federal Project No. SHAK-9500(112) State Project No. 73073	
The State, through its Highway Agency, having compiled, or hereby agreeing to comply, with the applicable terms and conditions set forth in (1) Title 23, U.S. Code, Highways, (2) the Regulations issued pursuant thereto and, (3) the policies and procedures promulgated by the Federal Highway Administrator relative to the above designated project, and the Federal Highway Administration having authorized certain work to proceed as evidenced by the date entered opposite the specific item of work, Federal funds are obligated for the project not to exceed the amount shown herein, the balance of the estimated total cost being an obligation of the State. Such obligation of Federal funds extends only to project costs incurred by the State after the Federal Highway Administration authorization to proceed with the project involving such costs.					
PROJECT TERMINI: Purchase new Roll On/Roll Off (RORO) ferry to operate in Southeast Alaska between AMHS terminals.		DUNS #617616495			
INVENTORY ROUTE NUMBER: N/A MILEPOINT:					
Project Description: (Below) (X) Rural/Urban Functional System (P) Federal-Aid System (N)				Length: (Miles) N/A	
Select consultant to assist with preparation of Plans, Specifications, & Estimate (PS&E) for purchase of a new RORO ferry. Vessel will minimize crewing (maximum automation); be designed for high operational efficiency; be designed to expedite loading and unloading; will be compatible with existing facilities (to the extent possible); will consider future travel demand, infrastructure improvements, minimum manning; will be designed to operate in the waters of SE Alaska (defined as lakes, bays, and sounds) with minimal weather restrictions.					
Request funding and authority to proceed with PE through Final PS&E.					
REQUEST AUTHORITY TO PROCEED FOR FUNDING UNDER CERTIFICATION ACCEPTANCE () EXEMPT					EFFECTIVE DATE
PRELIMINARY ENGINEERING		☐ Reconnaissance Study		☒ Final PS&E	
RIGHTS-OF-WAY		☐ Environmental Document Approval		MAY 19 2006	
CONSTRUCTION		☐ Appraisals and Acquisitions			
HIGHWAY PLANNING & RESEARCH (HP&R)		☐ Utility Relocation			
OTHER		☐			
ATTACHMENTS: ☒ COST ESTIMATE ☐ MAP ☒ SUPPORTING DATA ☐ CERTIFICATION CHECKLIST					
REMARKS: (FWHA use only)		Environmental Document Approval STIP () _____ <i>Date</i>			
ESTIMATED TOTAL COST OF PROJECT		FEDERAL FUNDS		PROGRAM CODE	PROJECT TYPE
251,000		250,000		QK2	C
NEED ID: 18359 SECTION: FB		STIP/PAGE NO: 06-08 STIP pg 240		RECOMMENDED BY	
NAME: Ferry: Construct/Lease/Purchase Ferryboats & Terminals.					
The State further stipulates that as a condition to payment of the Federal funds obligated, it accepts and will comply with the applicable provisions set forth in 23 CFR Part 630, which is incorporated therein by reference.					
State of Alaska, Department of Transportation and Public Facilities (Official name of Highway Agency)			U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION		
By DOT&PF/Authorized Agent			By for (Division Administrator)		
			Date Executed 5/19/2006		
Any real property acquired for this project determined to be in excess to the present or future program needs will be disposed of in accordance with OMB's Common Rule and governing FHWA requirements.					

STATE OF ALASKA PROJECT ESTIMATE

Department of Transportation and Public Facilities

April 14, 2006

Federal project no: SHAK-9500(112)

State project no: 73073

Project Name: SEA Region Shuttle Ferry.

ITEM	IMPROVEMENT TYPE	TOTAL EST'D COST	MATCHING RATIO	FEDERAL FUNDS	MILES
Requested Program and Agreement		APPN: QK2			
1) 10	15	251,000	100.00%	250,000	N/A

TOTALS

251,000

250,000

1) Includes \$1,000 non-participating and \$126,360 for Consultant.

TO BE COMPLETED BY FHWA MONTHLY TRANSACTION NUMBER 01-03 Standard Place Code (FIPS data) N/A County FHWA Area N/A X-3		MODIFICATION OF FEDERAL-AID PROJECT AGREEMENT AND APPROVAL/AUTHORIZATION U.S. Department of Transportation Federal Highway Administration		State Alaska Federal Project No. SHAK-9500(112) State Project No. 73073	
PROJECT TERMINI: DUNS #617616495 Purchase new Roll On/Roll Off (RORO) ferry to operate in Southeast Alaska between AMHS terminals.					
INVENTORY ROUTE NUMBER: N/A MILEPOINT:					
A. Project Des. B. Reason for Mod ☒ Rural/Urban Functional System ☐ Federal-Aid System ☐			Length: (Miles) N/A		
A. Select consultant to assist with preparation of Plans, Specifications, & Estimate (PS&E) for purchase of a new RORO ferry. Vessel will minimize crewing (maximum automation); be designed for high operational efficiency; be designed to expedite loading and unloading; will be compatible with existing facilities (to the extent possible); will consider future travel demand, infrastructure improvements, minimum manning; will be designed to operate in the waters of SE Alaska (defined as lakes, bays, and sounds) with minimal weather restrictions.					
B. Decrease Federal design funding to 0 per ineligibility notice and move funding to non-participating. This project will now be entirely state funded. <i>Request withdrawal without Federal participation.</i>					
The Project Agreement for the above-referenced project entered into between the undersigned parties and executed by the Division Administrator on <u>May 19, 2006</u> is hereby modified as follows:					
		Appn Code	Federal Funds	Total Estimated Cost	
		QK2	0.00	2,500,000.00	
Estimated total cost of project			0.00	2,500,000.00	
REQUEST AUTHORITY TO PROCEED FOR FUNDING UNDER CERTIFICATION ACCEPTANCE			☐ EXEMPT	EFFECTIVE DATE	
PRELIMINARY ENGINEERING	☐ Reconnaissance Study ☐ Final PS&E ☐ Environmental Document Approval				
RIGHTS-OF-WAY	☐ Appraisals and Acquisitions ☐ Utility Relocation				
CONSTRUCTION	☐				
HIGHWAY PLANNING & RESEARCH (HP&R)	☐				
OTHER	☐				
ATTACHMENTS: ☒ COST ESTIMATE ☐ MAP ☒ SUPPORTING DATA ☐ CERTIFICATION CHECKLIST					
REMARKS: (FWHA use only)		Environmental Document Approval _____ STIP ☐ Date			
NEED ID: 18359 SECTION: FB STIP/PAGE NO: 06-09 STIP Amd #13 pg 253			RECOMMENDED BY OPERATIONS ENGINEER		
NAME: Ferry: Construct/Lease/Purchase Ferryboats & Terminals.			12/29/10		
ALL OTHER TERMS AND CONDITIONS OF THE PROJECT AGREEMENT WILL REMAIN IN FULL FORCE AND EFFECT.					
State of Alaska, Department of Transportation and Public Facilities (Official name of Highway Agency)			U.S. DEPARTMENT OF TRANSPORTATION FEDERAL HIGHWAY ADMINISTRATION		
By			By for (Division Administrator)		
DOT&PF/Authorized Agent _____			Date Executed <u>12/29/2010</u>		

STATE OF ALASKA PROJECT ESTIMATE

Department of Transportation and Public Facilities

December 17, 2010

Federal project no: SHAK-9500(112)

State project no: 73073

Project Name: Southeast Shuttle Ferry

ITEM	IMPROVEMENT TYPE	TOTAL EST'D COST	MATCHING RATIO	FEDERAL FUNDS	MILES
As Programmed and Agreement <u>APPN: QK2</u>					
1) 10	15	2,501,000.00	100.00%	2,500,000.00	N/A

TOTALS	<u>2,501,000.00</u>	<u>2,500,000.00</u>
--------	---------------------	---------------------

1) Includes \$1,000 non-participating and Consultant for design study report \$198,830 and PS&E \$1,962,500.

Revised Program and Agreement <u>APPN: QK2</u>					
10	15	0.00	100.00%	0.00	N/A
1) 11	15	2,500,000.00	0.00%	0.00	

TOTALS	<u>2,500,000.00</u>	<u>0.00</u>
--------	---------------------	-------------

1) Non-participating