

# DALE ANDERSON

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## EXPERIENCE

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### **BANK OF AMERICA/MERRILL LYNCH** <http://fa.ml.com/ahkgroup>

#### **Financial Advisor/Resident Director/Senior Consultant, July 2007 to Present**

Person in charge of branch operations 2007-2019. Trade securities, while tailoring investment programs to meet the financial goals of individual investors as well as for-profit business entities, non-profit organizations and public sector municipal finance. Determine appropriate blend of securities that best suits investment objectives, risk tolerance and time horizons. Completed certification for Personal Investment Advisor, General Securities License, State Securities Agent, Investment Advisor Law and State Insurance Licensing. Currently holds FINRA Series 7,9,10,31,63,65 and insurance licensing and is registered with 18 SROs to practice in 40 states.

### **SMITHBARNEY**

[www.fc.smithbarney.com/dale\\_anderson](http://www.fc.smithbarney.com/dale_anderson)

#### **Financial Consultant, June 2002 to July, 2007**

Trade securities, while tailoring investment programs to meet the financial goals of individual investors as well as, for-profit business entities and non-profit organizations. Determine appropriate blend of securities that best suits investment objectives, risk tolerance and time horizons. Successfully completed certification for Guided Portfolio Management, General Securities License, State Securities Agent, Investment Advisor Law and State Insurance Licensing. Designated as Municipal Finance Specialist. Licensed/registered to practice in 11 states.

### **ALASKA STATE LEGISLATURE**

#### **REPRESENTATIVE ELDON MULDER**

##### **Legislative Assistant, January 2000 to June 2002**

House Finance Committee (HFC) aide assigned the responsibility for Alaska's Education Budget. Assisted in creating Tobacco Securitization/General Obligation Bonding programs for Capital projects. Researched issues for HFC legislation as well as personal legislation for Representative Mulder. Carried legislation through committee process to floor votes on varied issues covering education, fisheries, tourism, legal and environmental issues. Completed constituent relations and coordinated major statewide research on Regional Learning Centers for the Legislative Budget and Audit Committee.

## **EXPERIENCE** (CONTINUED)

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### **CITY AND BOROUGH OF JUNEAU**

#### ***Assemblyman, October 2000 to October 2003***

Served as Chair, Planning and Policy Committee. Member/Industry Liaison, Juneau Port Development Committee. Member, Lands Committee. Human Resources Committee. Represented Assembly on High School Construction Project Team. Acted as Assembly Liaison to Juneau Chamber of Commerce, Bartlett Regional Hospital, Juneau Convention and Visitors Bureau and Juneau International Airport. Worked on and reviewed numerous plans relating to tourism growth and management, Flightseeing noise study, taxation issues and port development.

### **AUKE LAKE BED & BREAKFAST**      [www.AukeLakeBB.com](http://www.AukeLakeBB.com)

#### ***Owner, May 1996 to Present***

Own and operate full-service lodging facilities with four suites/rooms located on Auke Lake near Juneau, Alaska. Develop and implement hospitality marketing program aimed to attract domestic and international independent travelers. Assist clients in total travel package from origination to destination. .

### **ADMIRALTY TOURS**

#### ***Owner, May 1989 to 2002***

Own and operate full-service naturalist tours throughout Southeast Alaska aboard the *M/V Princeton Hall and Alaska Adventurer*. USCG 100-Ton Masters License with endorsements. Domestic/international marketing aimed to attract clientele interested in eco-tourism, filming/photography industry, and fishing. Write, negotiate and monitor government permits and contracts, prepare amendments, ensure compliance. Liaison between business and state/federal agencies.

### **POWELL REALTY, INC./ BETTER HOMES & GARDENS**

#### ***Sales Associate, December 1990 to 2002***

Sales of residential and commercial properties throughout Southeast Alaska. Perform property management function. Multi-million dollar producer awards from Better Homes & Gardens franchise.

### **ALASKA COMMERCIAL FISHERIES ENTRY COMMISSION**

#### ***Commissioner, July 1993 to November 1997***

Commission is a quasi-judicial agency responsible for promoting the conservation and sustained yield management of Alaska's fisheries resources and the economic stability of commercial fisheries. Governor's appointment to serve four-year term. Administered agency function and provided day-to-day leadership and direction to agency staff of 30+. Legislative Liaison defending Commission issues and budget. Administrative Law Judge: conducted more than 60 public hearings regarding diverse fishery limitation programs, adjudicated more than 550 cases settling disputes of individual applicants and drafted Final Commission Decisions. Dealt with complex resource/conservation/economic issues and testified on behalf of the Commission at Legislative and Board of Fish hearings. Negotiated with IRS, attended conferences, expositions and village economic development conferences representing the Commission.

**ADMIRALTY INN, INC.**

# DACO ENTERPRISES, INC.

## EDUCATION

**ACADEMY OF PROFESSIONAL ART CONSERVATION AND SCIENCE  
SONOMA, CALIFORNIA**

**ORAL ROBERTS UNIVERSITY      TULSA, OKLAHOMA**

**JUNEAU-DOUGLAS HIGH SCHOOL      JUNEAU, ALASKA**  
*Graduated 1967*

## **LICENSES HELD**

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- ◇ FAA licensed pilot, single engine land/sea, instrument rating
- ◇ USCG licensed skipper, Master of Motor Vessels to 100 tons, Inland/International Waterways with endorsements
- ◇ State of Alaska, Class A Assistant Guide (inactive)
- ◇ NAUI Certified Open Water Diver
- ◇ State of Alaska, Real Estate Salesman (inactive)
- ◇ FINRA/State of Alaska Securities Licenses (Series 7, 9, 10, 31, 63, 65, Insurance)

## **MEMBERSHIPS AND AFFILIATIONS**

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- ◇ Aircraft Owners and Pilot Association
- ◇ Alaska B & B Association
- ◇ Alaska Commission of Post Secondary Education, Commissioner
- ◇ Alaska State Board of Education/DEED/UA Board of Regents, Joint Committee
- ◇ Alaska Travel Industry Association, past Board of Directors/Exec Committee
- ◇ Gastineau Channel Little League, past Board of Directors
- ◇ Glacier Valley Rotary Club, past Board of Directors
- ◇ Juneau Baseball Softball Association, past Board of Directors
- ◇ Juneau Convention and Visitors Bureau, past Board of Directors
- ◇ Juneau Chamber of Commerce
- ◇ Professional Association of InnKeepers
- ◇ Southeast Board of Realtors
- ◇ University of Alaska, Board of Regents (2012-2021)

## **REFERENCES**

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references available upon request.