

Fingerprint licensing requirements for MJ businesses, could be medical or adult retail.

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COLORADO Medical

CO CRS 12-43.3-310.

- **(4)** A medical marijuana center, optional premises cultivation operation, or medical marijuana-infused products manufacturer shall notify the state licensing authority in writing of the name, address, and date of birth of an owner, officer, or manager before the new owner, officer, or manager begins managing, owning, or associating with the operation. Any owner, officer, manager or employee shall pass a fingerprint-based criminal history record check as required by the state licensing authority and obtain the required identification prior to being associated with, managing, owning, or working at the operation.

2-43.3-311. License renewal

- **(1)** Ninety days prior to the expiration date of an existing license, the state licensing authority shall notify the licensee of the expiration date by first class mail at the licensee's address of record with the state licensing authority. A licensee shall apply for the renewal of an existing license to the local licensing authority not less than forty-five days and to the state licensing authority not less than thirty days prior to the date of expiration. A local licensing authority shall not accept an application for renewal of a license after the date of expiration, except as provided in subsection (2) of this section. The state licensing authority may extend the expiration date of the license and accept a late application for renewal of a license provided that the applicant has filed a timely renewal application with the local licensing authority. All renewals filed with the local licensing authority and subsequently approved by the local licensing authority shall next be processed by the state licensing authority. The state licensing authority may administratively continue the license and accept a later application for renewal of a license at the discretion of the state licensing authority. The local licensing authority may hold a hearing on the application for renewal only if the licensee has had complaints filed against it, has a history of violations, or there are allegations against the licensee that would constitute good cause. The local licensing authority shall not hold a renewal hearing provided for by this subsection (1) for a medical marijuana center until it has posted a notice of hearing on the licensed medical marijuana center premises in the manner described in section 12-43.3-302 (2) for a period of ten days and provided notice to the applicant at least ten days prior to the hearing. The local licensing authority may refuse to renew any license for good cause, subject to judicial review.
- **(1.5)** The state licensing authority may require an additional fingerprint request when there is a demonstrated investigative need.

Adult use

12-43.4-306. Persons prohibited as licensees.

- 2.
 - - (c) AT THE TIME OF FILING AN APPLICATION FOR ISSUANCE OF A STATE RETAIL MARIJUANA ESTABLISHMENT LICENSE, AN APPLICANT SHALL SUBMIT A SET OF HIS OR HER FINGERPRINTS AND FILE PERSONAL HISTORY INFORMATION CONCERNING THE APPLICANT'S QUALIFICATIONS FOR A STATE LICENSE ON FORMS PREPARED BY THE STATE LICENSING AUTHORITY. THE STATE LICENSING AUTHORITY SHALL SUBMIT THE FINGERPRINTS AND THE LOCAL JURISDICTION MAY FORWARD FINGERPRINTS TO THE COLORADO BUREAU OF INVESTIGATION FOR THE PURPOSE OF CONDUCTING FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECKS. THE COLORADO BUREAU OF INVESTIGATION SHALL FORWARD THE FINGERPRINTS TO THE FEDERAL BUREAU OF INVESTIGATION FOR THE PURPOSE OF CONDUCTING FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECKS. THE STATE LICENSING AUTHORITY MAY ACQUIRE A NAME-BASED CRIMINAL HISTORY RECORD CHECK FOR AN APPLICANT OR A LICENSE HOLDER WHO HAS TWICE SUBMITTED TO A FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECK AND WHOSE FINGERPRINTS ARE UNCLASSIFIABLE. AN APPLICANT WHO HAS PREVIOUSLY SUBMITTED FINGERPRINTS FOR STATE LICENSING PURPOSES MAY REQUEST THAT THE FINGERPRINTS ON FILE BE USED. THE STATE LICENSING AUTHORITY SHALL USE THE INFORMATION RESULTING FROM THE FINGERPRINT-BASED CRIMINAL HISTORY RECORD CHECK TO INVESTIGATE AND DETERMINE WHETHER AN APPLICANT IS QUALIFIED TO HOLD A STATE LICENSE PURSUANT TO THIS ARTICLE. THE STATE OR LOCAL LICENSING AUTHORITY MAY VERIFY ANY OF THE INFORMATION AN APPLICANT IS REQUIRED TO SUBMIT.

12-43.4-309. Licensing in general

- (1) Local jurisdictions are authorized to adopt and enforce regulations for retail marijuana establishments that are at least as restrictive as the provisions of this article and any rule promulgated pursuant to this article.
- (2) A retail marijuana establishment may not operate until it is licensed by the state licensing authority pursuant to this article and approved by the local jurisdiction. If an application is denied by the local licensing authority, the state licensing authority shall revoke the state-issued license. In connection with a license, the applicant shall provide a complete and accurate application as required by the state licensing authority.
- (3) A retail marijuana establishment shall notify the state licensing authority in writing of the name, address, and date of birth of an owner, officer, or manager before the new owner, officer, or manager begins managing, owning, or associating with the operation. The owner, officer, manager, or employee must pass a fingerprint-based criminal history record check as required by the state licensing authority and obtain the required identification prior to being associated with, managing, owning, or working at the operation.

12-43.4-310. License renewal

- **(1)** Ninety days prior to the expiration date of an existing license, the state licensing authority shall notify the licensee of the expiration date by first-class mail at the licensee's address of record with the state licensing authority. A licensee may apply for the renewal of an existing license to the state licensing authority not less than thirty days prior to the date of expiration. Upon receipt of an application for renewal of an existing license and any applicable fees, the state licensing authority shall submit, within seven days, a copy of the application to the local jurisdiction to determine whether the application complies with all local restrictions on renewal of licenses. The state licensing authority shall not accept an application for renewal of a license after the date of expiration, except as provided in subsection (2) of this section. The state licensing authority may extend the expiration date of the license and accept a late application for renewal of a license if the applicant has filed a timely renewal application with the local licensing authority. The state or the local licensing authority, in its discretion, subject to the requirements of this subsection (1) and subsection (2) of this section and based upon reasonable grounds, may waive the thirty-day time requirements set forth in this subsection (1).
- **(1.5)** The state licensing authority **may require an additional fingerprint request** when there is a demonstrated investigative need.

12-43.4-202. Powers and duties of state licensing authority - rules

(3) (a) Rules promulgated pursuant to subsection (2)(b) of this section must include, but need not be limited to, the following subjects:

- **(I)** Procedures consistent with this article for the issuance, renewal, suspension, and revocation of licenses to operate retail marijuana establishments;
- **(II)** Subject to the limitations contained in [section 16 \(5\)\(a\)\(II\) of article XVIII of the state constitution](#) and consistent with this article, a schedule of application, licensing, and renewal fees for retail marijuana establishments;
- **(III)** Qualifications for licensure under this article, including but not limited to the requirement for a **fingerprint**-based criminal history record check for all owners, officers, managers, contractors, employees, and other support staff of entities licensed pursuant to this article;

5. Each Direct Beneficial Interest Owner required to have an Associated Key **License must be fingerprinted at least every two years, and may be fingerprinted more often at the Division's discretion.**

6. The Division shall perform a limited background check, **which may include fingerprinting**, regarding Qualified Limited Passive Investors and other Financial Interests that are Indirect Beneficial Interest Owners. If the background check provides reasonable cause for additional investigation, the Division may require additional investigation.

Indirect Beneficial Interest Owners and Qualified Limited Passive Investors. At the time of renewal, a Medical Marijuana Business shall disclose any and all Indirect Beneficial Interest

Owners and Qualified Limited Passive Investors that hold an interest in the Medical Marijuana Business. Additionally, the Medical Marijuana Business must present updated information regarding all Indirect Beneficial Interest Owners and Qualified Limited Passive Investors at the time the Medical Marijuana Business submits its renewal materials: 1. Current Division Indirect Beneficial Interest Owners and Qualified Limited Passive Investors renewal disclosure forms; 2. Current Division form allowing the Division to investigate any Indirect Beneficial Interest Owner(s) and/or Qualified Limited Passive Investor(s) if the Division deems such investigation necessary. The form shall be signed by all Direct Beneficial Interest Owner(s) of the Medical Marijuana Business; 3. Permitted Economic Interest holders, at the discretion of the Division, **may be required to submit new fingerprints;**

Permitted Economic Interest Fingerprints Required. Any individual applying to hold his or her first Permitted Economic Interest **shall be fingerprinted** for a criminal history record check. In the Division's discretion, **an individual may be required to be fingerprinted again** for additional criminal history record checks.

WASHINGTON

RCW 69.50.331

Application for license.

(1) For the purpose of considering any application for a license to produce, process, research, transport, or deliver marijuana, useable marijuana, marijuana concentrates, or marijuana-infused products subject to the regulations established under RCW 69.50.385, or sell marijuana, or for the renewal of a license to produce, process, research, transport, or deliver marijuana, useable marijuana, marijuana concentrates, or marijuana-infused products subject to the regulations established under RCW 69.50.385, or sell marijuana, the state liquor and cannabis board must conduct a comprehensive, fair, and impartial evaluation of the applications timely received.

(a) The state liquor and cannabis board may cause an inspection of the premises to be made, and may inquire into all matters in connection with the construction and operation of the premises. For the purpose of reviewing any application for a license and for considering the denial, suspension, revocation, or renewal or denial thereof, of any license, the state liquor and cannabis board may consider any prior criminal conduct of the applicant including an administrative violation history record with the state liquor and cannabis board and a criminal history record information check. The state liquor and cannabis board may submit the criminal history record information check to the Washington state patrol and to the identification division of the federal bureau of investigation in order that these agencies may search their records for prior arrests and convictions of the individual or individuals who filled out the forms. The state liquor and cannabis board must require fingerprinting of any applicant whose criminal history record information check is submitted to the federal bureau of investigation. The provisions of RCW 9.95.240 and of chapter 9.96A RCW do not apply to these cases. Subject to the provisions of this section, the state liquor and cannabis board may, in its discretion, grant or deny the renewal or license applied for. Denial may be based on, without limitation, the existence of chronic illegal activity documented in objections submitted pursuant to subsections (7)(c) and (10) of this section. Authority to approve an uncontested or unopposed license may be granted by the state liquor and cannabis board to any staff member the board designates in writing. Conditions for granting this authority must be adopted by rule.

WAC 314-55-075

What is a marijuana producer license and what are the requirements and fees related to a marijuana producer license?

(2) The application fee for a marijuana producer license is two hundred fifty dollars. The applicant is also responsible for paying the fees required by the approved vendor for fingerprint evaluation.

(3) The annual fee for issuance and renewal of a marijuana producer license is one thousand dollars. The WSLCB will conduct random criminal history checks at the time of renewal that will require the licensee to **submit fingerprints** for evaluation from the approved vendor. The licensee will be responsible for all fees required for the criminal history checks.

This also applies to MJ Processor and retailer licenses. WAC 314-55-077

<http://app.leg.wa.gov/wac/default.aspx?cite=314-55-077>

And <http://app.leg.wa.gov/wac/default.aspx?cite=314-55-079>

CALIFORNIA

Medical required for criminal background check.

[Section 144 of the Business and Professions Code](#) is amended to read:

- **§ 144.**
 - (a) Notwithstanding any other ~~provision of~~ law, an agency designated in subdivision
 - (b) **shall require an applicant to furnish to the agency a full set of fingerprints** for purposes of conducting criminal history record checks. Any agency designated in subdivision (b) may obtain and receive, at its discretion, criminal history information from the Department of Justice and the United States Federal Bureau of Investigation.

Both MCRSA and AUMA require applicants for state licenses to electronically submit fingerprint images and related information to the Department of Justice for the purpose of obtaining conviction and arrest information and to provide certain information and documentation in or with their applications under penalty of perjury. Although these requirements are generally similar, certain persons who are considered to be applicants subject to these requirements under MCRSA are not considered applicants under AUMA, and certain information or documentation must be provided by applicants for licenses under MCRSA or AUMA, but not both. Until January 1, 2019, AUMA authorizes licensing authorities to issue temporary licenses for a period of less than 12 months. Until December 31, 2019, AUMA prohibits licensing authorities from issuing licenses to persons who are not residents of California, as specified.

Cal Bus & Prof Code § 26051.5

§ 26051.5. State **license** requirements

- **(a)** An applicant for any type of state license issued pursuant to this division shall do all of the following:
 - **(1)** Require that each owner of the applicant electronically submit to the Department of Justice **fingerprint** images and related information required by the Department of Justice for the purpose of obtaining information as to the existence and content of a record of state or federal convictions and arrests, and information as to the existence and content of a record of state or federal convictions and arrests for which the Department of Justice establishes that the person is free on bail or on his or her own recognizance, pending trial or appeal.
 - **(A)** The Department of Justice shall provide a response to the licensing authority pursuant to paragraph (1) of subdivision (p) of [Section 11105 of the Penal Code](#).
 - **(B)** The licensing authority shall request from the Department of Justice subsequent notification service, as provided pursuant to [Section 11105.2 of the Penal Code](#), for applicants.
 - **(C)** The Department of Justice shall charge the applicant a fee sufficient to cover the reasonable cost of processing the requests described in this paragraph.

OREGON

AUTHORITY TO REQUIRE FINGERPRINTS

- ([Next](#) | [Previous](#)) **SECTION 16.**
 - **(1)** Section 17 of this 2016 Act is added to and made a part of [ORS 475B.010](#) to 475B.395.
 - **(2)** Section 18 of this 2016 Act is added to and made a part of [ORS 475B.550](#) to 475B.590.
- ([Next](#) | [Previous](#)) **SECTION 17.**
 - For the purpose of requesting a state or nationwide criminal records check under [ORS 181A.195](#), the Oregon Liquor Control Commission **may require the fingerprints** of any individual listed on an application submitted under ORS 475B.218.
- ([Next](#) | [Previous](#)) **SECTION 18.**
 - For the purpose of requesting a state or nationwide criminal records check under [ORS 181A.195](#), the Oregon Liquor Control Commission **may require the fingerprints** of any individual listed on an application submitted under [ORS 475B.560](#).

NEVADA

(a) The person who wishes to operate the proposed medical marijuana establishment has submitted to the Department all of the following:

- **(1)** The application fee, as set forth in [NRS 453A.344](#);
- **(2)** An application, which must include:

- (I) The legal name of the proposed medical marijuana establishment;
- (II) The physical address where the proposed medical marijuana establishment will be located and the physical address of any co-owned additional or otherwise associated medical marijuana establishments, the locations of which may not be within 1,000 feet of a public or private school that provides formal education traditionally associated with preschool or kindergarten through grade 12 and that existed on the date on which the application for the proposed medical marijuana establishment was submitted to the Department, or within 300 feet of a community facility that existed on the date on which the application for the proposed medical marijuana establishment was submitted to the Department;
- (III) Evidence that the applicant controls not less than \$250,000 in liquid assets to cover the initial expenses of opening the proposed medical marijuana establishment and complying with the provisions of [NRS 453A.320 to 453A.370](#), inclusive;
- (IV) Evidence that the applicant owns the property on which the proposed medical marijuana establishment will be located or has the written permission of the property owner to operate the proposed medical marijuana establishment on that property;
- (V) For the applicant and each person who is proposed to be an owner, officer or board member of the proposed medical marijuana establishment, a complete set of the person's fingerprints and written permission of the person authorizing the Department to forward the fingerprints to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation for its report;
- 4. For each person who submits an application pursuant to this section, and each person who is proposed to be an owner, officer or board member of a proposed medical marijuana establishment, the Department shall submit the fingerprints of the person to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation to determine the criminal history of that person.

453A.332

453A.332. Agents required to register with Division; requirements for registration; establishment required to notify Division if agent ceases to be employed by, volunteer at or provide labor at establishment; expiration and renewal of registration.

- 1. Except as otherwise provided in this section, a person shall not volunteer or work at, contract to provide labor to or be employed by an independent contractor to provide labor to a medical marijuana establishment as a medical marijuana establishment agent unless the person is registered with the Department pursuant to this section.
- 2. A medical marijuana establishment that wishes to retain as a volunteer or employ a medical marijuana establishment agent shall submit to the Department an application on a form prescribed by the Department. The application must be accompanied by:
 - (a) The name, address and date of birth of the prospective medical marijuana establishment agent;
 - (b) A statement signed by the prospective medical marijuana establishment agent pledging not to dispense or otherwise divert marijuana to any person who is not authorized to possess marijuana in accordance with the provisions of this chapter;
 - (c) A statement signed by the prospective medical marijuana establishment agent asserting that he or she has not previously had a medical marijuana establishment agent registration card revoked;

- (d) A complete set of the **fingerprints** and written permission of the prospective medical marijuana establishment agent authorizing the Department to forward the **fingerprints** to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation for its report;
 - (e) The application fee, as set forth in [NRS 453A.344](#); and
 - (f) Such other information as the Department may require by regulation.
- **3.** A medical marijuana establishment that wishes to contract with an independent contractor to provide labor as a medical marijuana establishment agent shall submit to the Department an application on a form prescribed by the Department for the registration of the independent contractor and each employee of the independent contractor who will provide labor as a medical marijuana establishment agent. The application must be accompanied by:
 - (a) The name, address and, if the prospective medical **marijuana** establishment agent has a state **business** registration, the **business** identification number assigned by the Secretary of State upon compliance with the provisions of chapter 76 of NRS;
 - (b) The name, address and date of birth of each employee of the prospective medical marijuana establishment agent who will provide labor as a medical marijuana establishment agent;
 - (c) A statement signed by the prospective medical marijuana establishment agent pledging not to dispense or otherwise divert marijuana to, or allow any of its employees to dispense or otherwise divert marijuana to, any person who is not authorized to possess marijuana in accordance with the provisions of this chapter;
 - (d) A statement signed by the prospective medical marijuana establishment agent asserting that it has not previously had a medical marijuana establishment agent registration card revoked and that none of its employees who will provide labor as a medical marijuana establishment agent have previously had a medical marijuana establishment agent registration card revoked;
 - (e) A complete set of **the fingerprints** of each employee of the prospective medical marijuana establishment agent who will provide labor as a medical marijuana establishment agent and written permission of the prospective medical marijuana establishment agent authorizing the Department to forward the **fingerprints** to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation for its report;
 - (f) The application fee, as set forth in [NRS 453A.344](#); and
 - (g) Such other information as the Department may require by regulation.
- **4.** A medical marijuana establishment shall notify the Department within 10 days after a medical marijuana establishment agent ceases to be employed by, volunteer at or provide labor as a medical marijuana establishment agent to the medical marijuana establishment.
- **5.** A person who:
 - (a) Has been convicted of an excluded felony offense; or

- (b) Is less than 21 years of age,
 - shall not serve as a medical marijuana establishment agent.
- 6. The Department shall submit the **fingerprints** of an applicant for registration as a medical marijuana establishment agent to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation to determine the criminal history of the applicant.

Sec. 56.9.

- 1. When conducting a background check pursuant to subsection 6 of NRS 453D.200, the Department may require each prospective owner, officer and board member of a marijuana establishment license applicant to submit a complete set of fingerprints and written permission authorizing the Department to forward the fingerprints to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation for its report.
- 2. When determining the criminal history of a person pursuant to paragraph (c) of subsection 1 of NRS 453D.300, a marijuana establishment may require the person to submit to the Department a complete set of fingerprints and written permission authorizing the Department to forward the fingerprints to the Central Repository for Nevada Records of Criminal History for submission to the Federal Bureau of Investigation for its report.