

March 30, 2013

The Honorable Kurt Olson Chair House Labor and Commerce Committee House of Representatives Alaska State Capitol Juneau, Alaska 99801-1182

Dear Representative Olson:

The Alaska Section of the American Society of Civil Engineers (ASCE) is a membership organization representing civil engineers in Alaska. ASCE is America's oldest national engineering society, and we provide value to our members by promoting the advancement of civil engineering to serve the public good.

ASCE supports House Bill 167, which proposes changes to the licensing statutes clarifying design document sealing procedures; clarifying some of the exemptions to licensed practice; and adding clarifying definitions.

These simple changes will enhance the public safety by providing clarity to licensees regarding allowed practice for design professionals.

I would like to thank the House Labor and Commerce Committee for introducing House Bill 167.

Sincerely, Vijajina Ajoeschel

Virginia Groeschel, E.I.T. President, Alaska Section American Society of Civil Engineers

Oien Associates, Inc.

Structural Engineering & Inspection – Construction Management Services

694-0507

March 28, 2013

Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99501-1182

RE: House Bill 167 An Act relating to the regulation of architects, engineers, land surveyors and landscape architects

Dear Representative Olson:

I am an Alaska registered design professional and I offer my support for HB 167 dealing with proposed amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited Practice, AS 08.48.331 Exemptions, and AS 08.48.341 Definitions.

This support is offered based on observing abuse in the use of seals and the misinterpretation of the intent regarding exemptions over 17 years of practice as a licensed engineer. I have reviewed the proposed changes included in HB 167, and believe these proposed changes will ensure that there is no confusion in the interpretation of the intent of the statutes.

Thank you for taking your time to pursue this important issue to help protect properly practicing design professionals as well as the people of the State of Alaska.

If you have any questions regarding this, I can be reached @ 907-694-0507, or email @ boien@gci.net.

Sincerely, Oien Associates, Inc.

Benjamin C. Oien, P.E. AK License no CE-9141



Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

Re: House Bill 167 An Act relating to the regulation of architects, engineers land surveyors, and landscape architects.

Representative Olson:

As an Architect and a Design/Build Contractor, I offer my support for HB 167 dealing with proposed amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited Practice, AS 08.48.331 Exemptions, and AS 08.48.341 Definitions.

This support is offered based on observing abuse in the use of Seals and the misinterpretation of the intent regarding Exemptions. These observations have occurred during my forty years of practice in the field of architecture in Alaska and the concerns shared with me by my fellow professionals serving on the Board of Registration of Architects, Engineers, and Land Surveyors (AELS Board). The review of cases of suspected offenses of impropriety in the use of seals and application of exemptions revealed significant violations of life safety codes in construction documents prepared by unqualified individuals.

The current statues are not clear relative to the intent and impede enforcement of the guidelines required to protect the health, safety, and welfare of the public. The suggested amendments relative to the referenced statues will mitigate confusion in the interpretation of the intent of the statues.

The attached position paper by Mr. Hightower outlines the rational for the proposed amendments and examples of critical violations resulting from individuals working outside their realm of expertise in the process of designing and constructing facilities.

If additional information is required, please contact N. Claiborne Porter, Jr. at 907-561-1039 or ncp@alaska.com.

Thank you for the opportunity to submit this letter of support and to testify on this important issue.

Sincere N. Claiborne Porte

Attachment

CC. H.H.H.

STATE OF ALASKA **BOARD OF REGISTRATION FOR** ARCHITECTS, ENGINEERS, AND LAND SURVEYORS (AELS) POSITION PAPER

Relative to Proposed Amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited practice, AS 08.48.331 Exemptions, AS 08.48.341 Definitions

March 20, 2013

DISCUSSION

Chapter 48 outlines the Statutes and Regulations governing Architects, Engineers, and Land Surveyors in the State of Alaska. Section 08.48.011 created the State Board of Registration for Architects, Engineers, and Land Surveyors (AELS) to administer the provisions of Chapter 48 for the furtherance and enforcement of health, safety, and welfare.

AS 08.48.221 Seals

Current regulations and statutes have been interpreted suggesting that a registrant is allowed to seal design documents that are not in the realm of the registrants discipline of licensure if the "registrant certifies on the face of the documents to the extent of the registrants responsibility". The interpretations conflicts with the large majority of the registrants that understand and work within their areas of expertise and qualifications based on their experience, education, and examination. Crossover of disciplines should occur only on "design of minor importance".

Based on involvement serving on the AELS Board Investigation Advisory Committee this writer has reviewed numerous small buildings (warehouses, shops, and the like) whereby all documents (architectural and civil, mechanical, and electrical engineering) have been sealed and signed by a registrant of a distinct discipline. The majority of these small and seemingly simple and uncomplicated buildings have had numerous and major health and safety code violations.

Common deficient health and safety issues that are significant examples that were discovered while reviewing documents based on misuse of seals include violations of the following life safety codes:

- Mixed occupancy buildings are required to be separated with fire separations to isolate hazardous areas from non-hazardous areas (International Building Code, Section 508).
- Equipment and appliances having an ignition source and located in hazardous areas shall be elevated such that the source of ignition is not less than 18" above the floor surfaces on which equipment or appliance rests (International Mechanical Code Sec. 304.3). This issue is similarly outlined and required by the National Electrical Code.

 Offices and other inhabited areas adjacent and/or having openings into Repair Garages require a positive atmospheric pressure (International Mechanical Code, Section 403).

The above referenced codes are significant issues and have found to be violated as a result of misuse of seals. Numerous other code issues have been discovered. In many cases documents are not prepared to a stage of completion to allow a thorough code analysis or provide adequate information for construction to proceed in accordance with code requirements.

AS 08.48.281 Prohibited practice

This issue is related and recommended amendments are necessary for coordination with and adding clarity for the support of the amendments and requirements of AS 08.48.221 Seals.

AS 08.48.331 Exemptions

Under Sec 08.48.331 Exemptions.(a) states, "(a) This Chapter does not apply to" and then goes on to list 14 subjects of exemptions. The AELS Board has observed that a broad interpretation of this exemption section has been interpreted to suggest that all and/or any one of the 14 subjects are exempt from all provisions of Chapter 48.

A larger issue concerns subject (7) Specialty Contractors. The investigative staff and code enforcement officials have reported to the AELS Board significant abuse under this exemption (e.g. mechanical and electrical subcontractors in the course of construction activities are either constructing without drawings and specifications and/or constructing with documents not prepared by licensed professionals). This subject could further be interpreted where a multi-story or sophisticated hazardous building could be constructed by a group of specialty contractors (mechanical, electrical, controls, steel erector, roofing, carpet, curtain wall, etc., etc.) without a design professional being involved. This would be counter to all logic relative to health, safety, and welfare and could not possibly be the intent of the AELS Board who reviewed or authored this section prior to its adoption. This subject was discussed with a representative of the Attorney Generals office at a scheduled AELS Board meeting where analysis of past documentation of legislative action was suggested that may reveal the thought process and legislative intent of the exemptions in question.

AS 08.48.341 Definitions

This issue is related and recommended amendments are necessary for coordination with and adding clarity for the support of the amendments and requirements of AS 08.48.221 Seals

ANALYSIS

The Attorney Generals office through the investigative staff provided documentation for review and analysis. This effort produced no knowledge of the legislative intent or thought process of the provisions under Sec.08.48.331 Exemptions. Analysis of other documentation was necessary to reach conclusions of the issues of concern relative to Exemptions. The documentation reviewed included:

- Statutes and Regulations Construction Contractors, Chapters 18 and 21
- Statutes and Regulations Electrical Administrators, Chapter 32 and 40
- Statutes and Regulations Mechanical Administrators, Chapters 39 and 40
- Statutes and Regulations Architects, Engineers, and Land Surveyors, Chapter 48

A thorough review of the Statutes and Regulations pertaining to Construction Contractors (including Specialty Contractors), Electrical Administrators, and Mechanical Administrators indicates there are no provisions for contractors to design, engineer, or otherwise establish design criteria. The one exception where design is mentioned is Chapter 40 Mechanical Administrators. Sec. 08.40.390 Exclusion (b) states "(b) AS 08.40.210 - AS 08.40.490 do not apply to persons engaged in the design, installation, and maintenance of fire extinguishing systems." are exempt from the requirements of Chapter 40. The design, installation, and maintenance of fire extinguishing and ma

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The date and intent of the addition or revision of the statute dealing with Specialty Contractors is not apparent from the data provided and reviewed. It may be assumed that it occurred when the subject of responsibilities for design, installation, and maintenance of fire suppression systems was under consideration. Regardless of timing and intent it is being inaccurately applied based on any sense of logic.

Relative to Sec. 08.48.331 Exemptions, (7) this statute should be revised to delete "or designing systems". AS 08.18 Construction Contracts presently specifically excludes the mention of design contained under regulations pertaining to specialty contractors. The provision for a "contractor preparing shop or field drawings" is acceptable as long as the industry standard definitions are provided. Shop Drawings are prepared by contractors, subcontractors or vendors that show how a particular aspect of the work is to be fabricated and installed. Shop Drawings are not contract documents; they do however, demonstrate how an aspect of the work will satisfy the construction documents. They complete the information cycle between drawings prepared by licensed professionals and the actual construction. Field drawings are similar to shop drawings however generally prepared in the field to provide more precise data to the trades in the field. An example could be the contractor providing plans and details to the carpenter for constructing form

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CONCLUSIONS

A review of statutes and regulations governing contractors, mechanical contractors, electrical contractors, and specialty contractors with the results indicating that no provision authorizing these contractors to provide design and engineering of facilities. The AELS Board is a regulatory board for the purpose of ensuring that licensed professionals meet a minimum standard of practice in the various professions to protect the pubic health, safety, and welfare. To assume that the Board would relegate the responsibility of design and engineering to individuals not in conformance with the minimum education, experience, and examination requirements is beyond logic and violation of the obligations required of the AELS Board.

Statutes and Regulations governing the AELS Board specifically Sec. 08.48.11 charges the Board to "administer the provisions of Chapter 48". Present interpretation of Sec. 08.48.331 prevents the Board from achieving this charge.

AS 08.48.341 Definitions

This issue is related and recommended amendments are necessary for coordination with and adding clarity for the support of the amendments and requirements of AS 08.48.331.

RECOMMEDATIONS

Revise Sec. 08.48.221 Seals in accordance with suggested statute amendments.

Revise Sec. 08.48.281 Prohibitive Practice in accordance with suggested statute amendments

Revise Sec.08.48.331 Exemptions as described and in accordance with suggested statute amendments.

- Exemptions 1 through 5 remain unchanged.
- The recommended amendments to Exemption (6), (C), (i) is a revision for conformance of Chapter 48 with State of Alaska, Division of Fire Prevention and local authorities having jurisdiction which require that appropriate design professionals prepare the construction documents and seal the drawings for multifamily residents containing more than three single family units in lieu of four.
- The recommended amendment for Exemption 7 would delete the words "or designing systems". The preparation of shop drawings and field drawings would remain the responsibility of the specialty contractor.
- Exemptions 8, and 9 remain unchanged.

- The recommended amendments for Exemption 10 adds the disciplines of architecture, land surveying, and landscape architecture to the list of those exempt from the requirement of Chapter 48.
- Exemptions 11, 12, 13, and 14 clean up language for consistency with terminologies used in Chapter 48.

Revise Section 08.48.341 Definitions in accordance with suggested statute amendments.

Harley H. Hightower, FAIA

Harley H. Hightower - Architect



Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

Re: House Bill 167

An Act relating to the regulation of architects, engineers, land surveyors, and landscape architects

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The attached position paper outlines the rational for the proposed amendments and examples of critical violations resulting from individuals working outside there realm of expertise in the process of designing and constructing facilities.

7441 Trenton Lane Anchorage, Alaska 99502 Telephone 907.644.0798 Facsimile 907.644.0799 Mobile 907.240.4551 E-mail hhh@gci.net If additional information is required please contact Harley Hightower at 907.644.0798 or hhh@gci.net.

Thank you for the opportunity to submit this letter of support and to testify on this important issue.

Sincerely,

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STATE OF ALASKA BOARD OF REGISTRATION FOR ARCHITECTS, ENGINEERS, AND LAND SURVEYORS (AELS) POSITION PAPER

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AS 08.48.341 Definitions

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RECOMMEDATIONS

Revise Sec. 08.48.221 Seals in accordance with suggested statute amendments.

Revise Sec. 08.48.281 Prohibitive Practice in accordance with suggested statute amendments

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The date and intent of the addition or revision of the statute dealing with Specialty Contractors is not apparent from the data provided and reviewed. It may be assumed that it occurred when the subject of responsibilities for design, installation, and maintenance of fire suppression systems was under consideration. Regardless of timing and intent it is being inaccurately applied based on any sense of logic.

Relative to Sec. 08.48.331 Exemptions, (7) this statute should be revised to delete "or designing systems". AS 08.18 Construction Contracts presently specifically excludes the mention of design contained under regulations pertaining to specialty contractors. The provision for a "*contractor preparing shop or field drawings*" is acceptable as long as the industry standard definitions are provided. Shop Drawings are prepared by contractors, subcontractors or vendors that show how a particular aspect of the work is to be fabricated and installed. Shop Drawings are not contract documents; they do however, demonstrate how an aspect of the work will satisfy the construction documents. They complete the information cycle between drawings prepared by licensed professionals and the actual construction. Field drawings are similar to shop drawings however generally prepared in the field to provide more precise data to the trades in the field. An example could be the contractor providing plans and details to the carpenter for constructing form

work with exact dimension for conformance with the drawings prepared by a licensed professional. The primary difference between a shop drawing and a field drawing is that the shop drawings are generally a requirement of the contract documents and should be reviewed and approved by the licensed professional and this effort is not required for field drawings. These definitions are required to avoid the interpretations that contractors can design buildings.

CONCLUSIONS

A review of statutes and regulations governing contractors, mechanical contractors, electrical contractors, and specialty contractors with the results indicating that no provision authorizing these contractors to provide design and engineering of facilities. The AELS Board is a regulatory board for the purpose of ensuring that licensed professionals meet a minimum standard of practice in the various professions to protect the pubic health, safety, and welfare. To assume that the Board would relegate the responsibility of design and engineering to individuals not in conformance with the minimum education, experience, and examination requirements is beyond logic and violation of the obligations required of the AELS Board.

Statutes and Regulations governing the AELS Board specifically Sec. 08.48.11 charges the Board to "administer the provisions of Chapter 48". Present interpretation of Sec. 08.48.331 prevents the Board from achieving this charge.

AS 08.48.341 Definitions

This issue is related and recommended amendments are necessary for coordination with and adding clarity for the support of the amendments and requirements of AS 08.48.331.

RECOMMEDATIONS

Revise Sec. 08.48.221 Seals in accordance with suggested statute amendments.

Revise Sec. 08.48.281 Prohibitive Practice in accordance with suggested statute amendments

Revise Sec.08.48.331 Exemptions as described and in accordance with suggested statute amendments.

- Exemptions 1 through 5 remain unchanged.
- The recommended amendments to Exemption (6), (C), (i) is a revision for conformance of Chapter 48 with State of Alaska, Division of Fire Prevention and local authorities having jurisdiction which require that appropriate design professionals prepare the construction documents and seal the drawings for multi-family residents containing more than three single family units in lieu of four.
- The recommended amendment for Exemption 7 would delete the words "or designing systems". The preparation of shop drawings and field drawings would remain the responsibility of the specialty contractor.
- Exemptions 8, and 9 remain unchanged.

- The recommended amendments for Exemption 10 adds the disciplines of architecture, land surveying, and landscape architecture to the list of those exempt from the requirement of Chapter 48.
- Exemptions 11, 12, 13, and 14 clean up language for consistency with terminologies used in Chapter 48.

Revise Section 08.48.341 Definitions in accordance with suggested statute amendments.

Harley H. Hightower, FAIA

Jared F. Keyser, P.E. 3030 Seawind Drive Anchorage, Alaska 99516

Representative Kurt Olson, Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801

Dear Sir:

I am writing this letter to offer my support for HB 167 dealing with the proposed use of seals and prohibited practice by registered design professionals. As a registrant in three disciplines regulated by the State of Alaska AELS board, I am very aware of the issues that arise in overlapping or incidental practice situations between disciplines. I agree with the proposed regulation revisions and feel that they are necessary to specifically clarify the limits of practice. Implementation of these revisions will lead to consistent interpretation and practice throughout the State, which will in turn increase the protection of health, safety, and welfare of the public.

Thank you for considering this testimony of support for HB 167.

Sincerely,

Jared F. Keyser, P.E. CE 9965, AR 13282, & SE 13425



Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

RE: House Bill 167 An Act relating to regulation of architects, engineers, land surveyors and landscape architects

Dear Representative Olson,

Please accept this letter in support of House Bill 167 dealing with the proposed amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited Practice, AS 08.48.331 Exceptions and AS 08.48.341 Definitions.

If additional information is required please contact me at (907) 274 7443 or by email <u>ikoonce@kpbarchitects.com</u>.

Sincerely kpb architects





Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

Subject: House Bill 167

Dear Representative Olson:

Having followed Harley Hightower, FAIA's work for many years, particularly his work in legislative affairs on behalf of practicing architects in Alaska, I am writing to support his petition for approval of House Bill 167.

When the revisions to the Architect and Engineers Licensing Law that introduced the exemptions referenced in this bill I was concerned about the potential for misuse of the regulation as a means to skirt the intent of the licensing law as it regulates the practice of architecture specifically, and of other professions. The revisions that this bill addresses were intended to cover minor structures and engineering related to larger projects that were already designed and engineered by licensed professionals, work that falls within the ordinary daily work of these subcontractors. That the law has been used to go beyond scope of exclusion intended, and as observed and documented by the AELS Enforcement and Advisory Board and experience of professionals in practice is indication of a need to modify and clarify the language.

The bill makes moderate and appropriate changes to the wording and language that make the intent more clear for the design and construction community and for the enforcement officials charged with its interpretation and implementation.

I urge the passage of this bill at this time.

Sincerely,

John N. hitterste

John Crittenden AIA, Vice President Architects Alaska Former Director of AIA Alaska

Cc: Harley H. Hightower, FAIA

Designing in Alaska for Over 20 Years

Mechanical & Electrical Engineers



Engineering, Inc.

March 28, 2013

Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, AK 99801

Dear Representative Olson:

REFERENCE: House Bill 167

As a professional engineer practicing in the State of Alaska, I offer my support for HB 167 dealing with proposed amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited Practice, AS 08.48.331 Exemptions, and AS 08.48.341 Definitions.

Buildings have gotten a good deal more sophisticated since the 1970's in Alaska. Professionalism in the design industry and the construction industry has generally increased greatly in support of the new ways to construct buildings. As the building design and construction industry gets more sophisticated, the regulations governing it must also reflect the level of responsibility required by all the players to construct safe and energy efficient buildings for the citizens of Alaska to use.

During our many years in Alaska, we at RSA Engineering, Inc. have come across many creative construction techniques from the past. Many of these things we find cost building owners many dollars in terms of energy use and some are dangerous to the building occupants. Many of these issues are a result of unpermitted construction or renovations. It's time for Alaska to close these loopholes and require safe construction by all contractors.

Thank you for the opportunity to submit this letter of support on this important issue.

Sincerely,

Mark R. Frischkorn, P.E. Vice President

mrf/hhm 13-234/1.00

ANCHORAGE WASILLA 2522 Arctic Boulevard, Suite 200 • Anchorage, AK 99503-2516 • p907.276.0521 • f907.276.1751 191 E. Swanson Avenue, Suite 101 • Wasilla, AK 99654 • p907.357.1521 • f907.357.1751 www.rsa-ak.com



Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

Re: House Bill 167 An Act relating to the regulation of architects, engineers, land surveyors, and landscape architects

Dear Representative Olson:

I am writing in support of House Bill 167 regarding amendments to AS08.48.221 Seals, AS 048.281 Prohibited Practice, AS 08.48.331 Exemptions, and AS 08.48.341 Definitions.

These statute changes will offer clarity in the use of seals and requirements and responsibility for work under the registrant's seal. Additionally, the proposed statute changes will also serve to reduce life safety code issues in construction documents prepared by unqualified individuals.

I request your positive consideration in support of House Bill 167.

Sincerely,

Richard Rearick, AIA Architect

RRR:rr



Representative Kurt Olson Chairman House Labor & Commerce Committee Alaska State Capitol, Room 24 Juneau, Alaska 99801-1182

RE: House Bill 167 An Act relating to regulation of architects, engineers, land surveyors and landscape architects

Dear Representative Olson,

Please accept this letter in support of House Bill 167 dealing with the proposed amendments to AS 08.48.221 Seals, AS 08.48.281 Prohibited Practice, AS 08.48.331 Exceptions and AS 08.48.341 Definitions.

If additional information is required please contact me at (907) 274 7443 or by email <u>rreed@kpbarchitects.com</u>.

Sincerely kpb architects

Richard M. Reed AIA