



Division of Behavioral Health

Performance Budgeting - A Presentation to House Finance HSS Budget Subcommittee

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October 21-22, 2013



Division of Behavioral Health

-DBH Introduction

Vision:

Improved quality of life through the right service to the right person at the right time

Mission:

To manage an integrated and comprehensive behavioral health system based on sound policy, effective practices and open partnerships

Core Services

Mental health and substance use disorder services ranging from prevention, screening, outpatient, residential and acute psychiatric care.

Who We Serve:

- Severely Emotionally Disturbed Children & Youth (SED)
- Severely Mentally Ill Adults (SMI)
- Substance Use Disordered Youth & Adults (SUD)
- Adults and Youth in the Community to Prevent Behavioral Health Risk Factors

Standard:

- Quantity: How Much Did We Do?
- Quality: How Well Did We Do It? (Effectiveness and Efficiency)
- Outcome: Is Anyone Better Off?



Division of Behavioral Health

Behavioral Health System of Care

(source ABS FY2014 Authorized)

MEDICAID SRVCS RDU
Behavioral Health Medicaid Services \$202,559.0
Other Funds: \$717.5 1%
Federal Funds: \$119,076.8 59%
General Funds: \$82,765.0 40%

BEHAVIORAL HEALTH RDU - \$146,513.4 (the Division of Behavioral Health)		
Grants & Services for Non-Medicaid Eligible \$99,781.5	Alaska Psychiatric Institute \$33,188.9	Management and Oversight \$13,543.0
Other Funds: \$6,711.8 7%	Other Funds: \$25,736.2 71%	Other Funds: \$1,173.3 9%
Federal Funds: \$9,014.0 9%	Federal Funds: \$0	Federal Funds: \$3,017.7 22%
General Funds: \$84,055.7 84%	General Funds: \$7,452.7 29%	General Funds: \$9,352.0 69%



Division of Behavioral Health

Behavioral Health System of Care

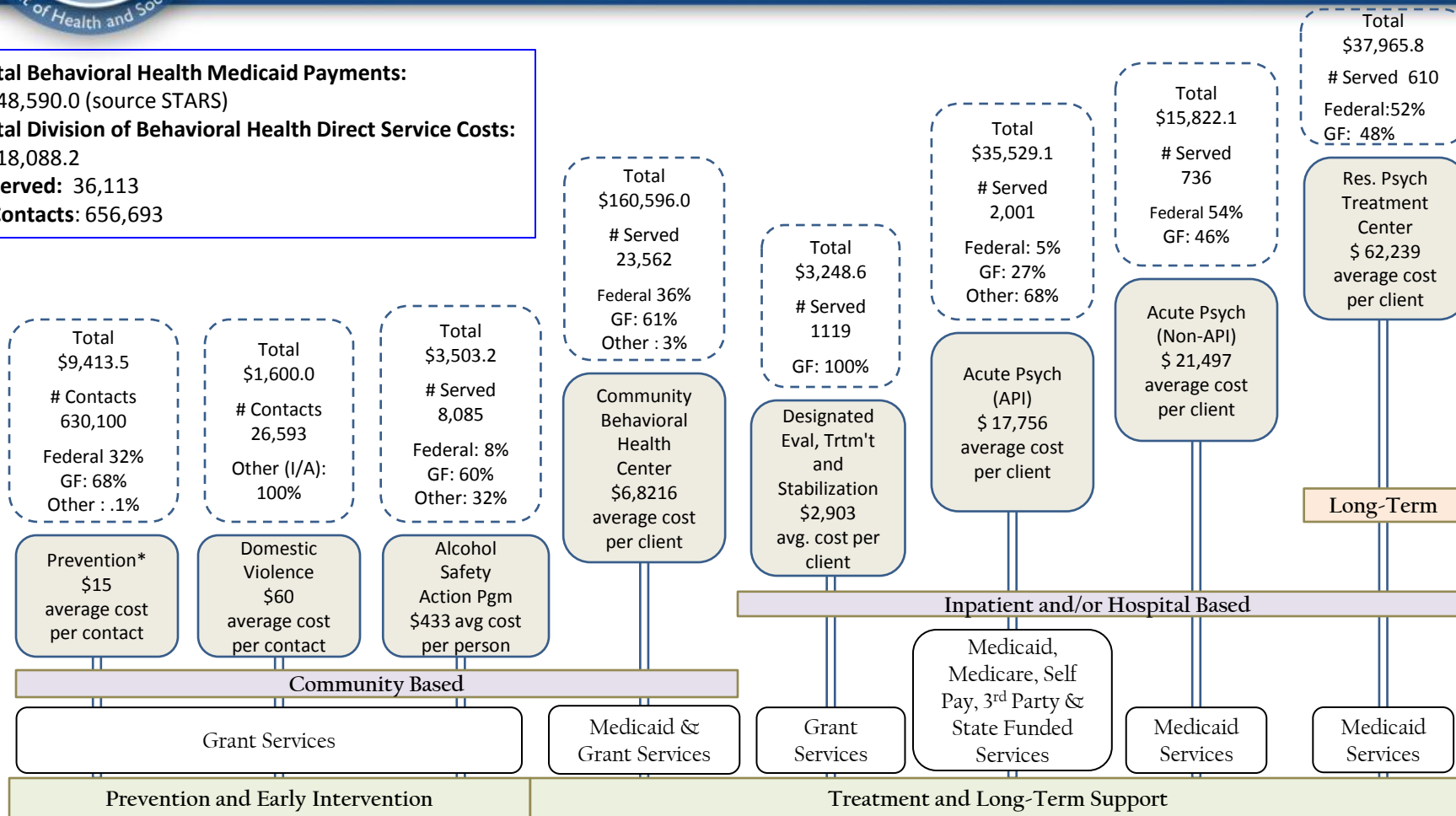
Cost Per Service Per Year in FY 2012**

Total Behavioral Health Medicaid Payments:
\$148,590.0 (source STARS)

Total Division of Behavioral Health Direct Service Costs:
\$118,088.2

Served: 36,113

Contacts: 656,693



* Prevention group includes mental health promotion, substance abuse prevention, fetal alcohol syndrome awareness and diagnoses, and suicide prevention

**Data Sources for Funding and Services:

DBH Program SFY 2012 Expenditures are from Actuals in ABS (MOE as of 11-29-12); Medicaid Payments in SFY 2012 are from STARS (SFY12 Base Datasets 9-25-2013).

Prevention/Early Intervention SFY 2012 contacts/persons are from grantee quarterly reports (FAS counts are from AKAIMS).

Treatment/Long-Term Support SFY 2012 client counts are from AKAIMS/EDI and STARS (SFY12 Base Datasets 9-25-2013).

Division of Behavioral Health

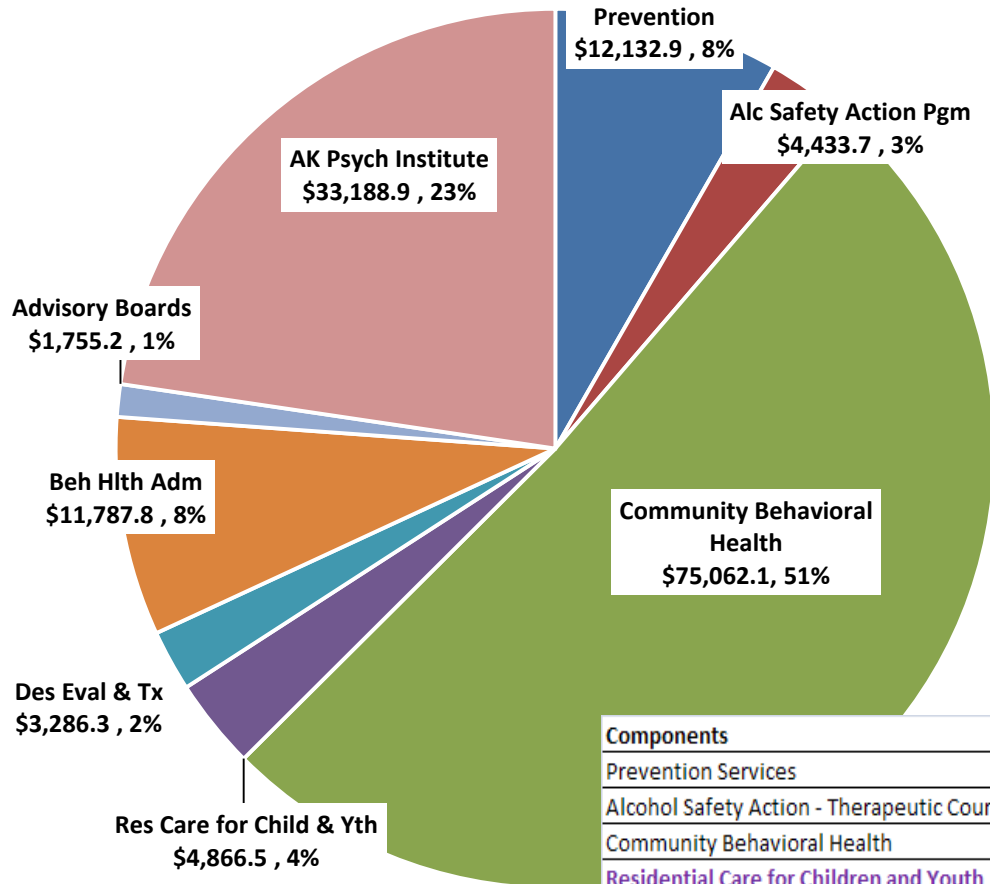
FY2014 Authorized Budget

by Component

FY2014: \$146,513.4; 345 PFT, 28 NP

UGF: \$81,252.9; DGF: \$19,607.5; Fed: \$12,031.7;
Other: \$33,621.3

(Other: I/A Rcpts, CIP Rcpts, MHTAAR, Stat Desig)



- Prevention Services
- Alcohol Safety Action - Therapeutic Courts
- Community Behavioral Health
- Residential Care for Children and Youth
- Designated Eval, Trtmt & Stabilization
- Behavioral Health Administration
- Advisory Boards
- Alaska Psychiatric Services

Components	GF \$s	Fed \$s	Other \$s	Total \$s	FTEs
Prevention Services	6,598,400	3,934,500	1,600,000	12,132,900	-
Alcohol Safety Action - Therapeutic Courts	2,319,300	310,100	1,804,300	4,433,700	22 PFT, 3 NP
Community Behavioral Health	67,250,400	4,504,200	3,307,500	75,062,100	
Residential Care for Children and Youth	4,601,300	265,200		4,866,500	2 PFT
Designated Eval, Trtm't and Stabilizat'n	3,286,300	-	-	3,286,300	
Behavioral Health Administration	8,198,900	2,917,800	671,100	11,787,800	66 PFT, 19 NP
Advisory Boards	1,153,100	99,900	502,200	1,755,200	7 PFT
Alaska Psychiatric Services	7,452,700	-	25,736,200	33,188,900	248 PFT, 6 NP
	100,860,400	12,031,700	33,621,300	146,513,400	345 PFT, 28 NP

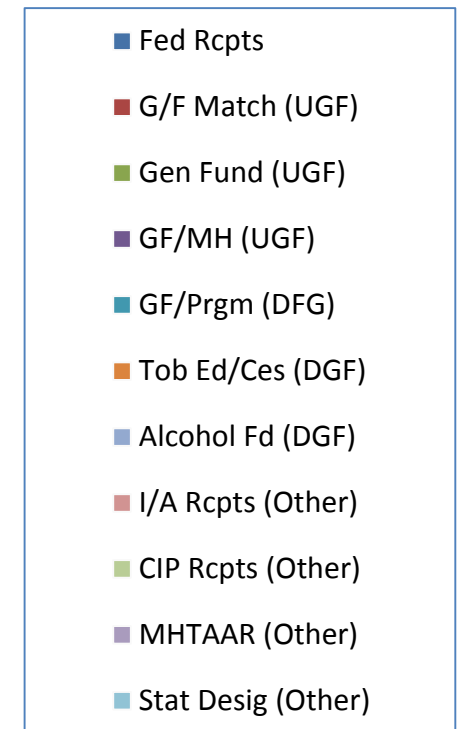
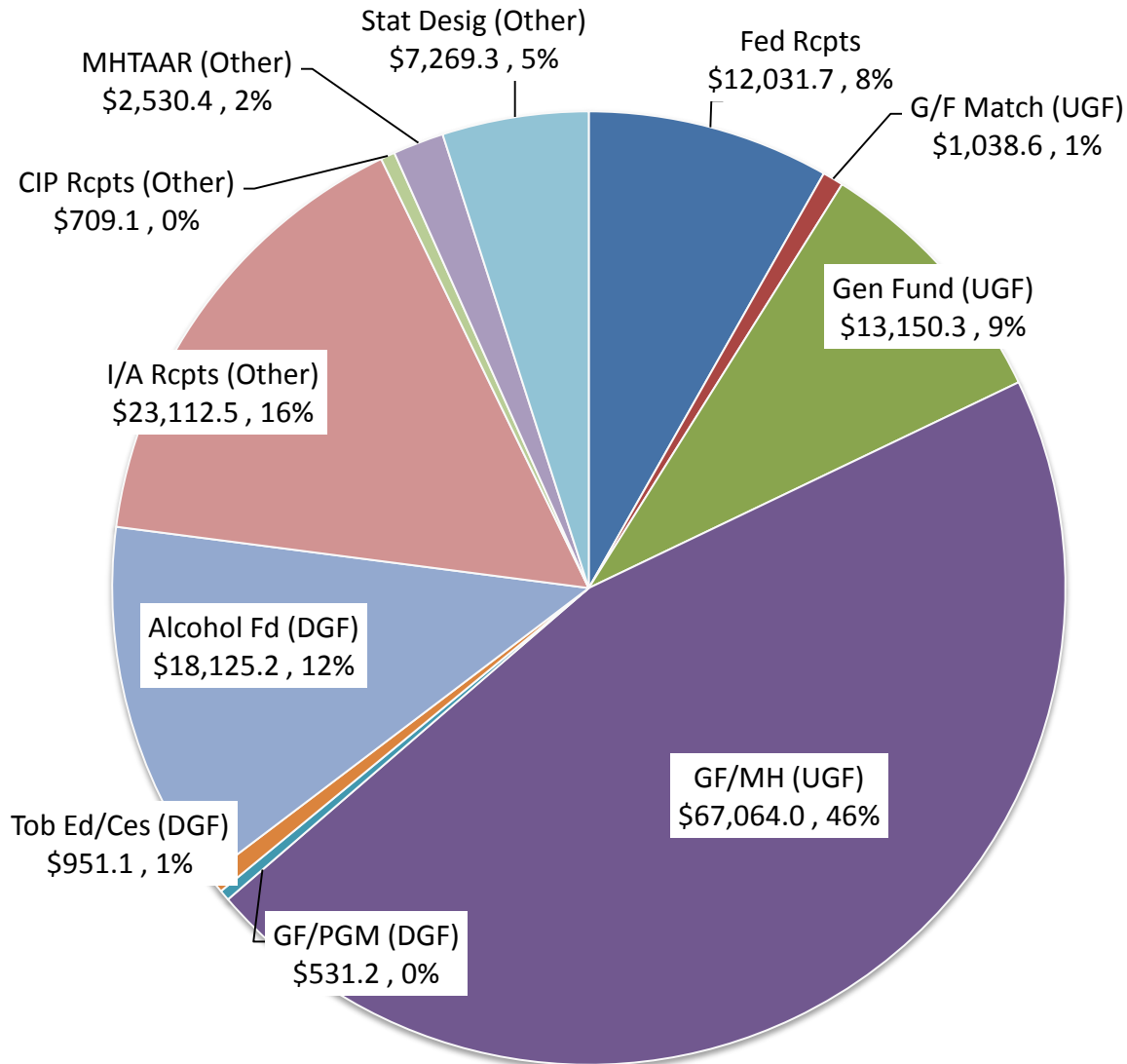
Source: ABS FY2014 Authorized

Division of Behavioral Health

FY2014 Authorized Budget

by Fund Source

FY2014: \$146,513.4; 345 PFT, 28 NP
 UGF: \$81,252.9; DGF: \$19,607.5; Fed:
 \$12,031.7; Other: \$33,621.3
 Source: ABS FY2014 Authorized



Behavioral Health Appropriation Increase (05-14): \$45 million (45.7%) -- An annual increase of 4.3%

Behavioral Health (All Funds) (\$ Thousands)

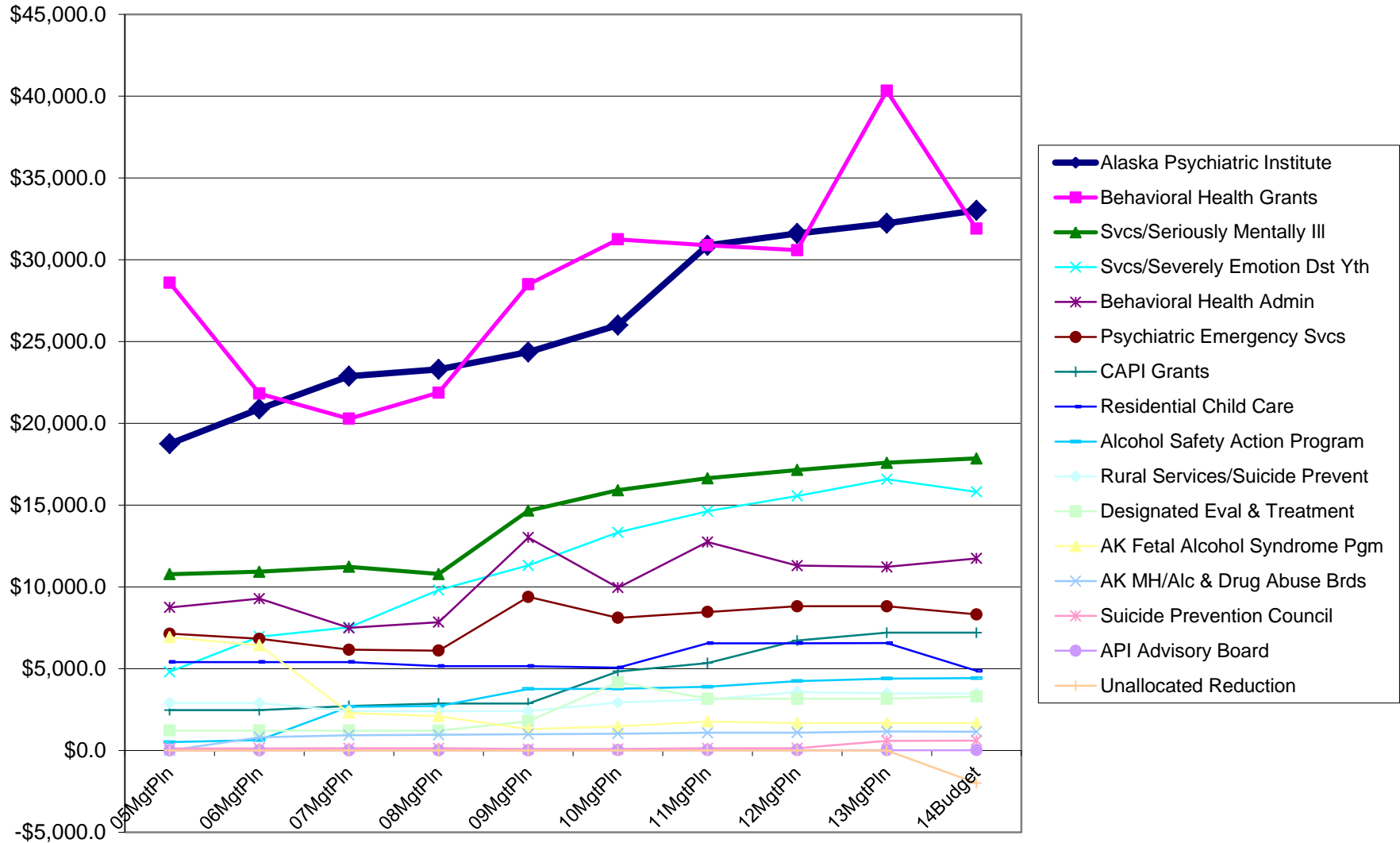
Total FY14 Budget: \$143.3 million

UGF: \$78,160.1

DGF: \$19,602.2

Other: \$33,482.6

Fed: \$12,020.4



Behavioral Health Appropriation GF Increase (05-14): \$45.4 million
(86.6%) -- An annual increase of 7.2%

Total FY14 GF Budget: \$97.8 million

UGF: \$78,160.1

DGF: \$19,602.2

Behavioral Health (GF Only) (\$ Thousands)

Services to Severely & Emotionally Disturbed Youth: Up \$10.6 m (237%)

Major reasons for increases:

--FY06: FY14--BTKH Increments: \$8 million UGF

--FY09: ProShare: \$4.8 million UGF

--FY10: Community BH Centers: \$1.1 million

BH Grants: Up \$11.2m (73%)

--FY09: ProShare: \$4.3 million UGF

--FY10: Grants for BH & Substance Abuse: \$2m

--FY11: Clitheroe: \$1.2m

--FY13: \$3 million Multi-Year funding for grants (FY13-FY15)

Services to the Seriously Mentally Ill: Up \$7.7 m (92%)

Major reasons for increases:

--FY09: ProShare: \$4.2 million UGF

--FY10: Transfer from BH Grants: \$1 million UGF

BH Administration: Up \$5.1 million (170%)

Major reasons for increases:

--Salary Adjustments: \$1.6 million

--FY07-FY14: BTKH increments: \$650.0 UGF

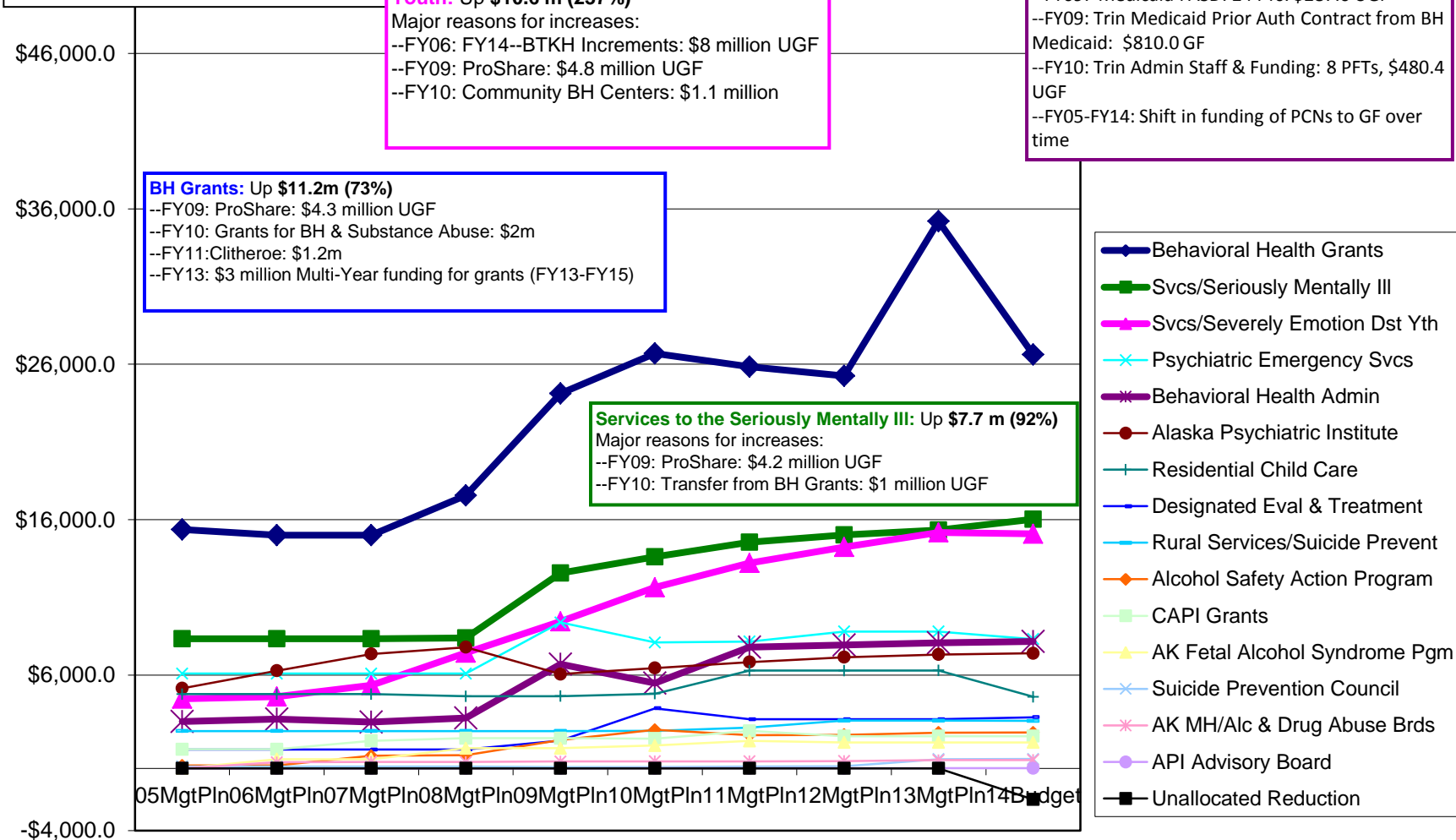
--FY08-FY10: AKAIMS: \$470.0 UGF

--FY09: Medicaid FASD: 2 PFTs: \$287.0 UGF

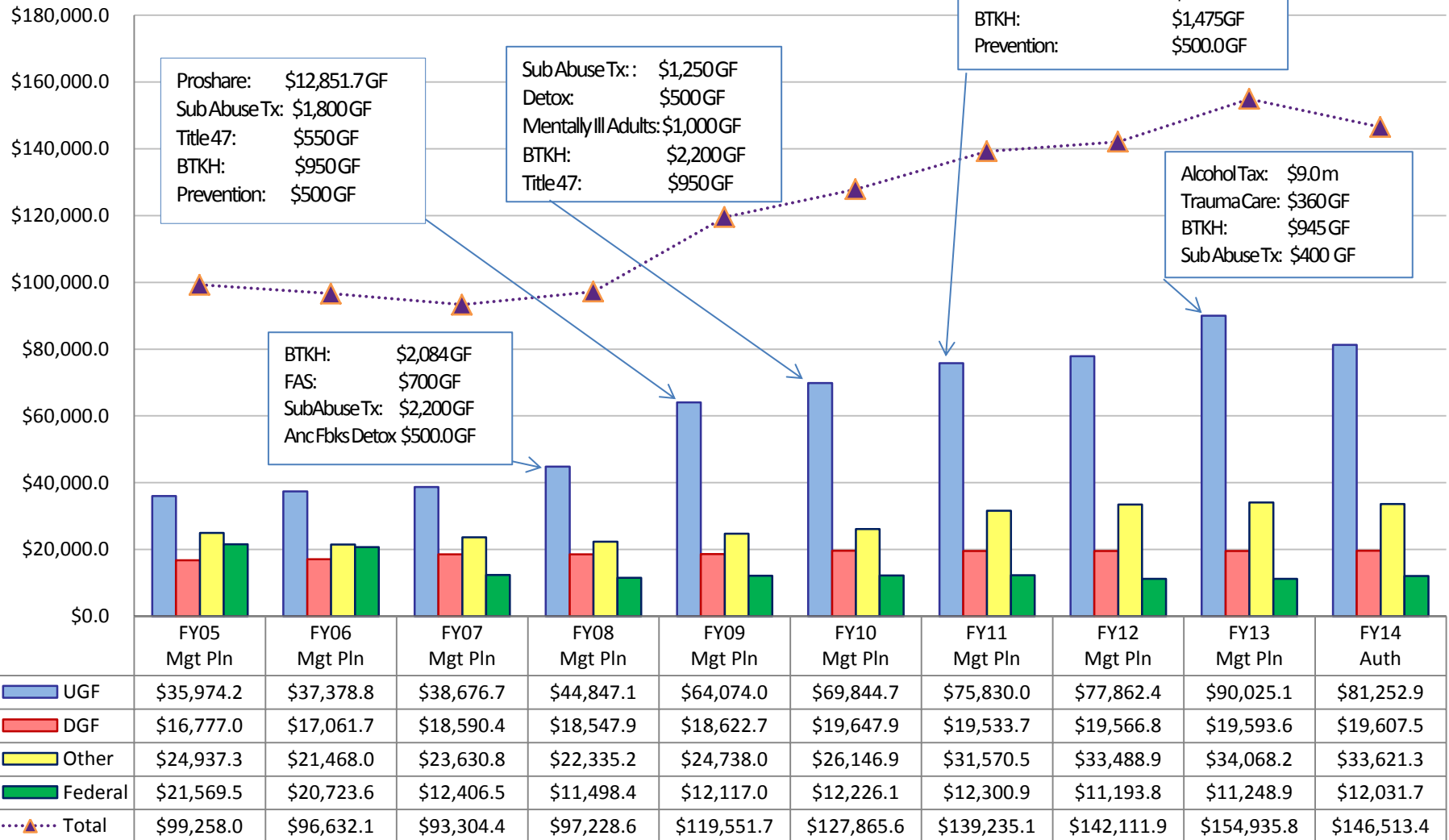
--FY09: Trin Medicaid Prior Auth Contract from BH Medicaid: \$810.0 GF

--FY10: Trin Admin Staff & Funding: 8 PFTs, \$480.4 UGF

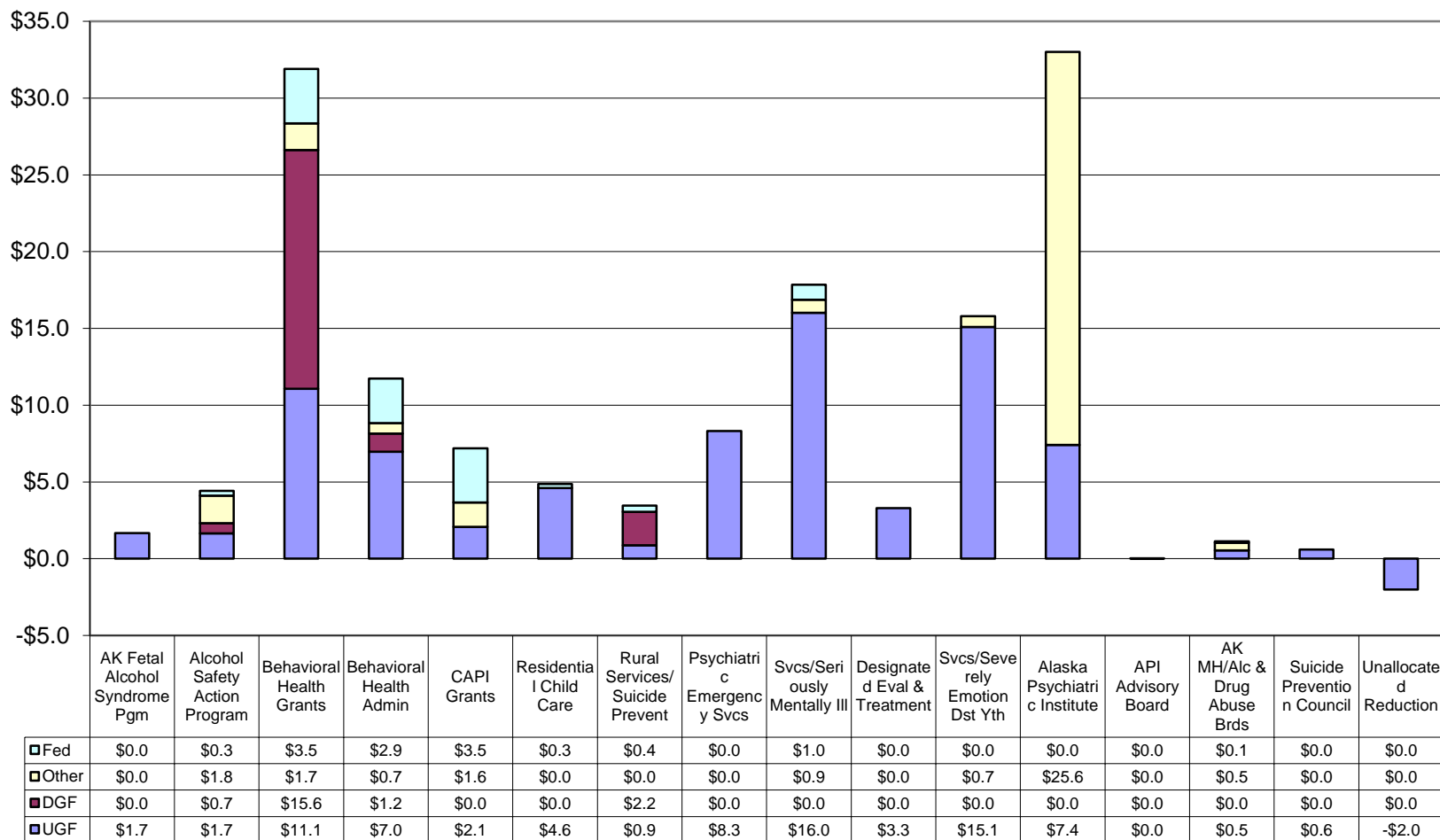
--FY05-FY14: Shift in funding of PCNs to GF over time



**DHSS Behavioral Health
FY2005 - FY2014 Appropriations
by Fund Source
(\$ in Thousands)**



Behavioral Health Allocation Fund Groups (\$ millions)



Authorized positions decreased by 2 since

FY05:

PFTs: 47

PPTs: -18

Temp: -31

Behavioral Health Authorized Positions (FY05-FY14)

FY14 Authorized Positions 373:

Alaska Psychiatric Institute: 248 PFTs, 6 Temps

Behavioral Health Administration: 66 PFTs, 19 Temps

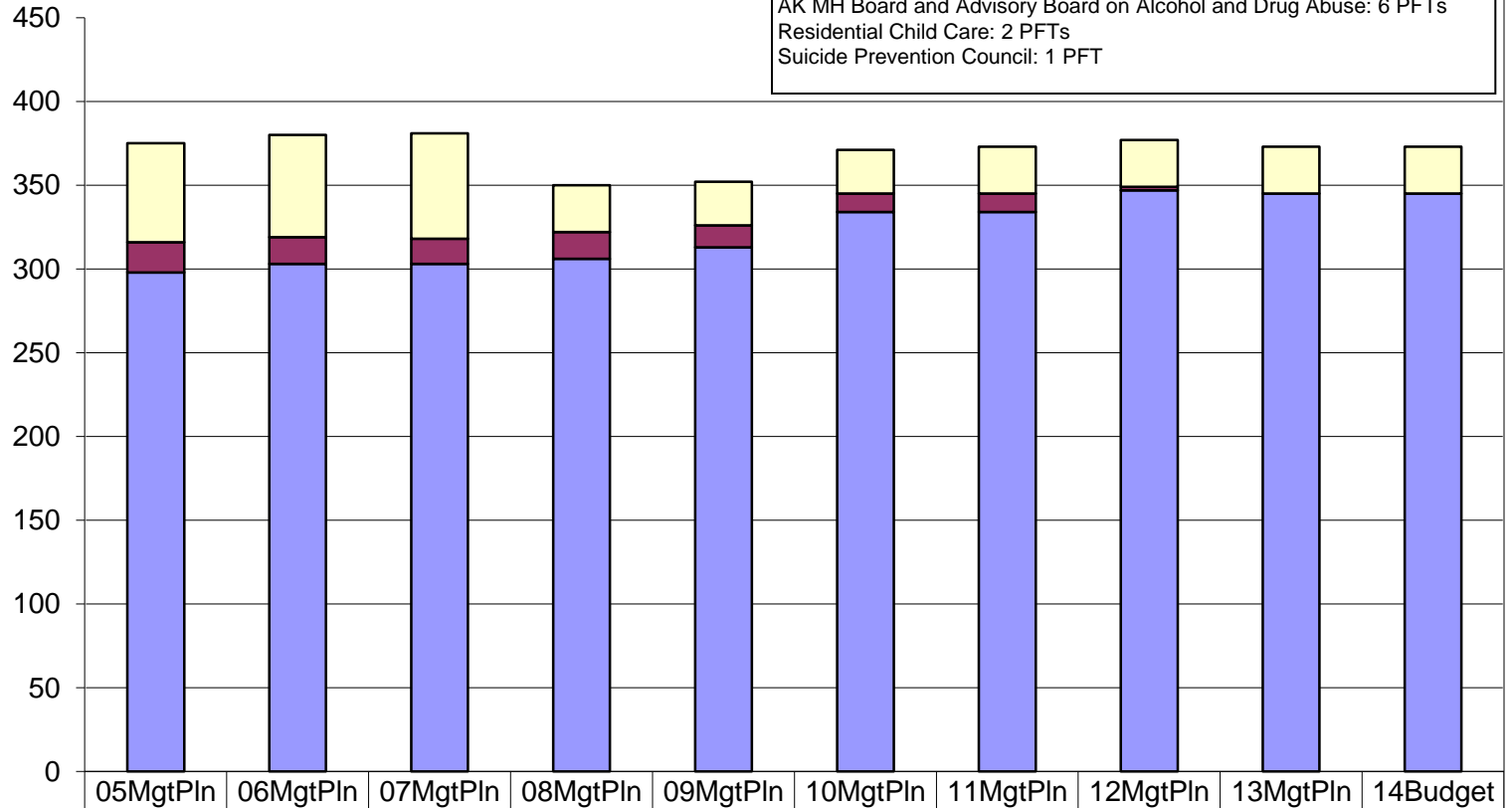
Alcohol Safety Action Program (ASAP): 22 PFTs, 3 Temps

AK MH Board and Advisory Board on Alcohol and Drug Abuse: 6 PFTs

Residential Child Care: 2 PFTs

Suicide Prevention Council: 1 PFT

Alaska Psychiatric Institute experienced the most significant PCN changes:
PFTs: 19
PPTs: -13
Temps: -31



Temporary

Perm Part Time

Perm Full Time

59

61

63

28

26

26

28

28

28

28

18

16

15

16

13

11

11

2

0

0

298

303

303

306

313

334

334

347

345

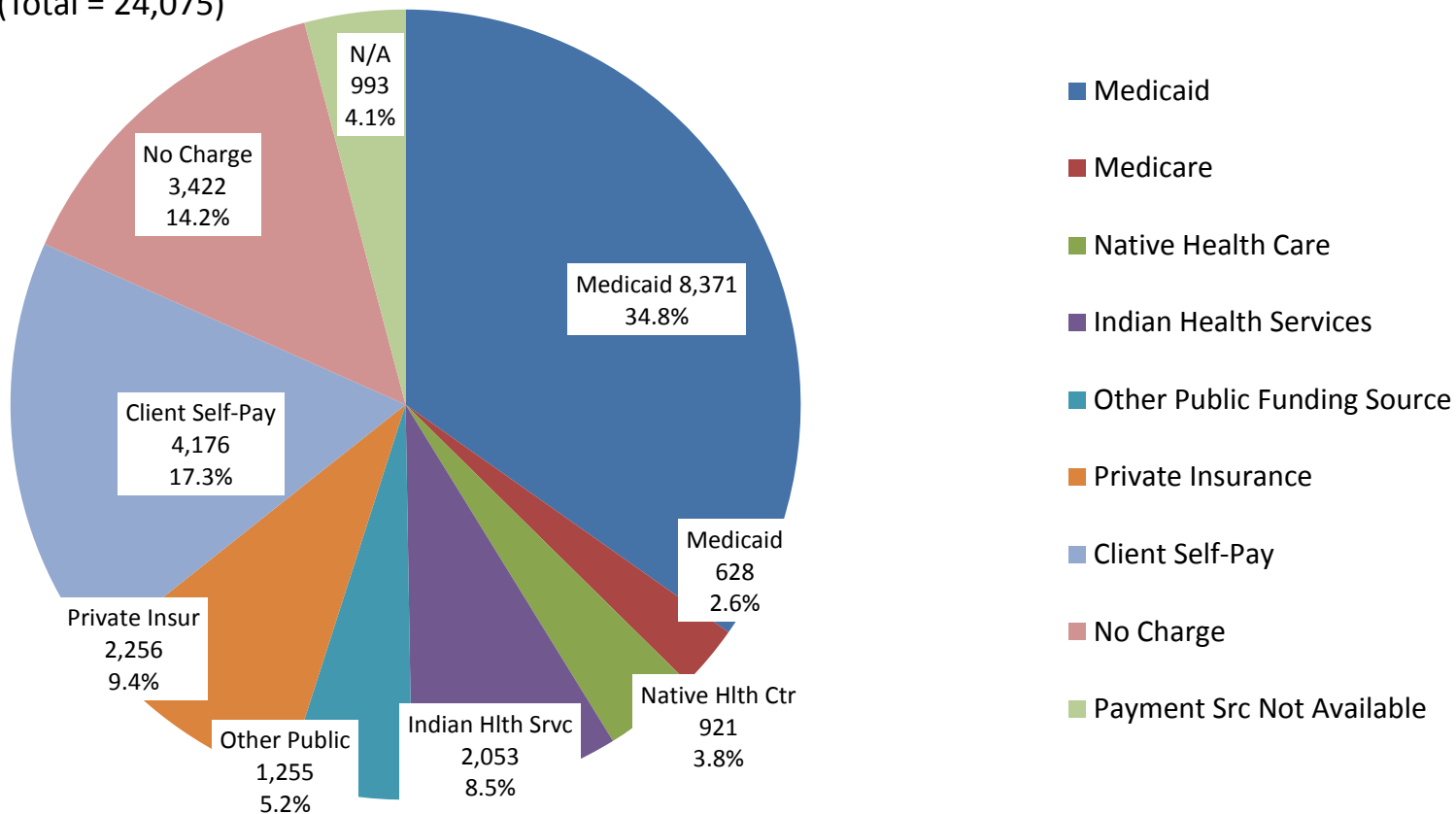
345



Primary Expected Payment Source for Community-Based Behavioral Health Services

SFY2013 (Prelim) Unduplicated Count and Percent of Individuals Who Received Community-Based Behavioral Health Services by Primary Expected Payment Source

Unduplicated Count
(Total = 24,075)





Division of Behavioral Health





Division of Behavioral Health

Alaska Psychiatric Institute

Alaska Psychiatric Institute Budget FY2005 Mgmt Plan – FY2014 Authorized (\$s in thousands)

	FY05 Mgt Pln	FY06 Mgt Pln	FY07 Mgt Pln	FY08 Mgt Pln	FY09 Mgt Pln	FY10 Mgt Pln	FY11 Mgt Pln	FY12 Mgt Pln	FY13 Mgt Pln	FY14 Auth	\$ Increase	% Increase
Personal Services	15,660.4	17,582.9	18,715.3	19,028.6	20,179.5	21,504.1	22,962.1	24,457.9	25,946.3	26,629.0		
Travel	63.3	63.3	63.3	63.3	63.3	53.3	52.0	67.0	67.0	67.0		
Services	1,786.4	2,198.3	2,908.9	3,015.3	2,554.5	1,875.2	3,742.9	3,706.0	3,682.5	3,961.5		
Commodities	1,019.2	819.2	969.2	969.2	990.4	990.4	990.4	990.4	990.4	990.4		
Capital Outlay	47.3	47.3	47.3	47.3	-	-	-	-	-	-		
Grants, Benefits	169.4	169.4	169.4	169.4	569.4	1,569.4	3,119.4	2,386.3	1,541.0	1,541.0		
	18,746.0	20,880.4	22,873.4	23,293.1	24,357.1	25,992.4	30,866.8	31,607.6	32,227.2	33,188.9	14,442.9	77.0%
UGF	5,148.9	6,283.3	7,359.9	7,798.4	6,063.0	6,453.3	6,835.5	7,152.7	7,322.0	7,452.7	2,303.8	44.7%
Other	13,597.1	14,597.1	15,459.4	15,433.4	18,228.7	19,439.6	23,930.9	24,454.9	24,905.2	25,736.2	12,139.1	89.3%
Federal	-	-	54.1	61.3	65.4							
PFT	229	217	221	225	227	240	241	250	248	248	19	
PPT	13	12	12	13	11	9	9	-	-	-	(13)	
NP	37	39	42	7	7	7	6	6	6	6	(31)	

Alaska Psychiatric Institute									
Patient Billable and Paid Days									
	FY2013								
	Billable Days	% of Billable Days by Payer Mix	Paid Days						
Insurance	2012	9.71%	1522						
Medicaid	2641	12.74%	2069						
Medicaid Age/Cama	3627	17.50%	0						
Medicare/MCRA 121	5371	25.92%	3286						
VA	285	1.38%	248						
Uninsured (Self Pay)	3433	16.57%	0						
CT, E&O, T12	3355	16.19%	0						
Total Billable Days	20724		7125						
CT = Court Transfer – non billable									
E&O = Evaluation and Observation – non-billable									
Medicaid Age/CAMA – unable to bill due to ages over 18-under 65 and “uninsured” and CAMA is Chronic and Acute Medical Assistance and claims only related to the Chronic and Acute condition are paid, therefore the data in this category is “non-billable”									
Medicare 121 – patients have exhausted all their Medicare psyc. Inpatient days (190 lifetime days exhausted) – This is under insured									



Alaska Psychiatric Hospital / Alaska Recovery Center

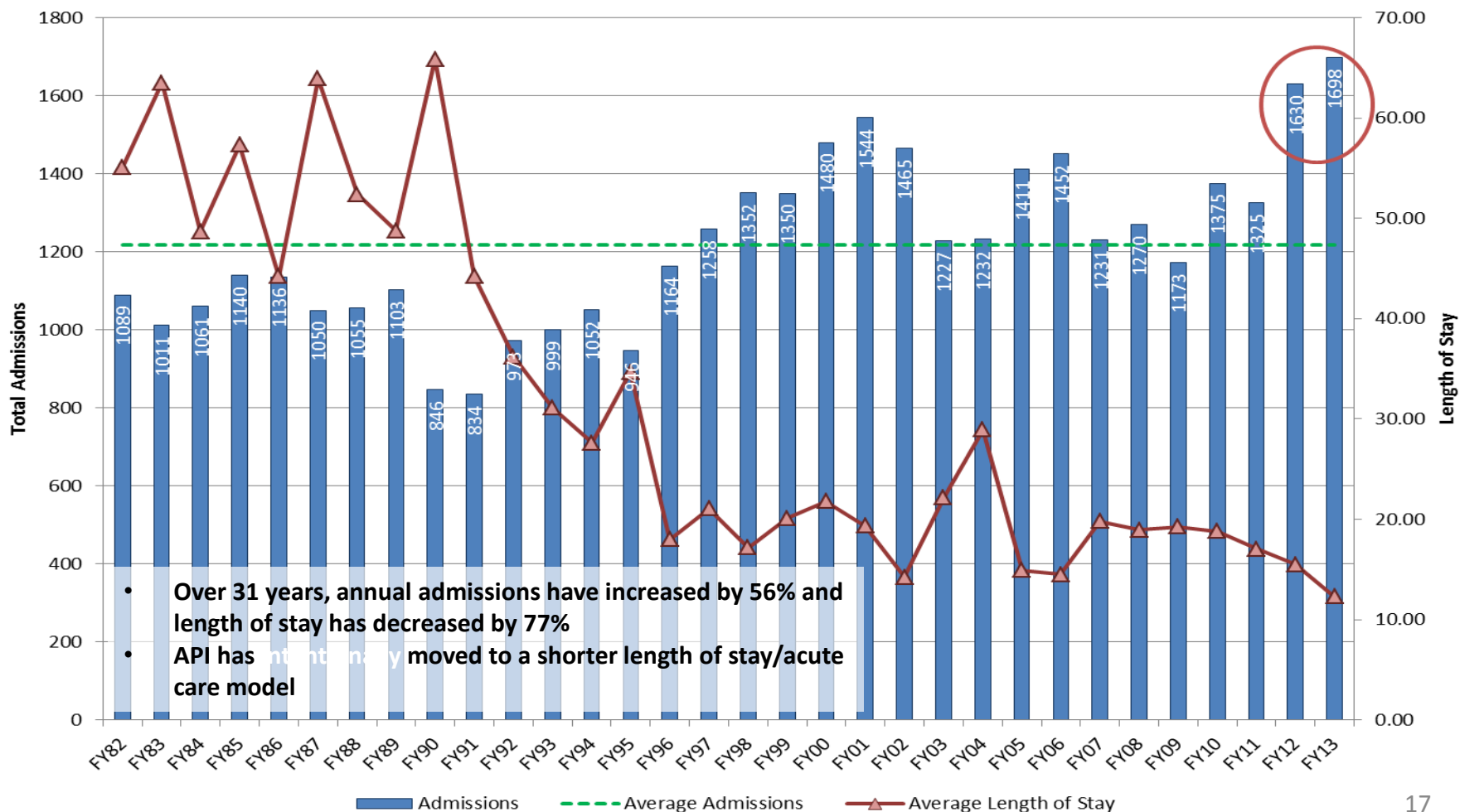
- Only Alaska state operated acute care psychiatric hospital
- Joint Commission Accredited
- 80 bed facility for children, youth and adults
- Provides short-term hospitalization for individuals who need psychiatric care that is not available in their home or community
- Provides telemedicine to nine behavioral health clinics

Unit	Beds	Services
Chilkat	10	Youth 13-18
Susitna	26	Adult Acute Care
Katmai	24	Adult Acute Care
Denali	10	Neuropsychiatric Care
Taku	10	Forensic / Secure Unit



Alaska Psychiatric Hospital / Alaska Recovery Center

31 Years of Admission to the Alaska Psychiatric Institute with Average Length of Stay FY1982 - FY2013

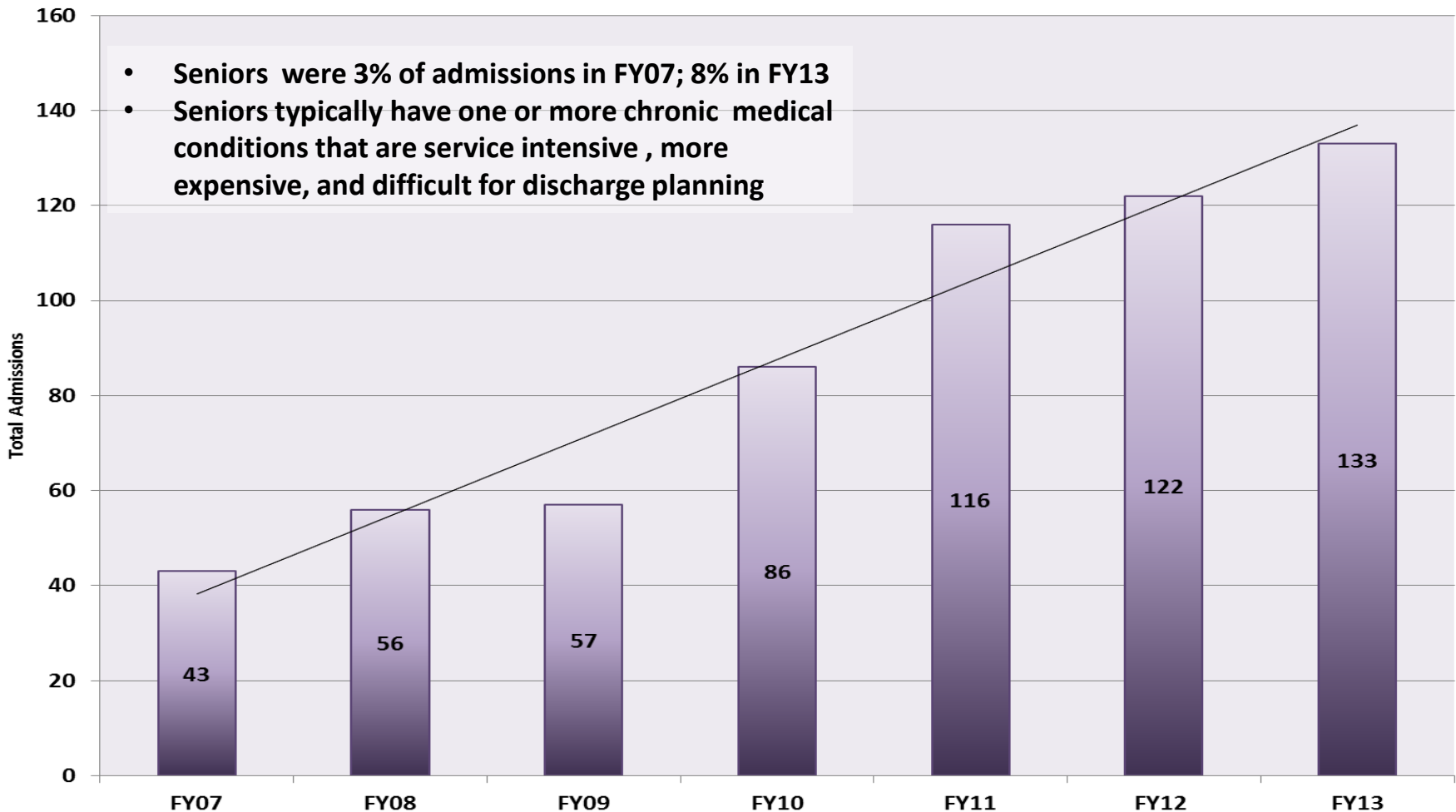




Division of Behavioral Health

Alaska Psychiatric Hospital / Alaska Recovery Center

Total Admissions for Patients Age 60+ by Fiscal Year





Division of Behavioral Health

Fraud, Waste, Abuse

Goal: Address fraud, waste, and abuse and to insure compliance with program rules.

Reviews/Investigations

- Conduct clinical reviews of outpatient service to insure medical necessity.
- Conduct medical reviews for all psychiatric admissions to inpatient care.
- Perform reviews; approve agencies to operate behavioral health /substance use clinics.
- Complete reviews of all Residential Psychiatric Treatment Centers to assure quality of care & compliance with program standards.
- Conduct complaint or quality of care investigations.
- Conduct utilization reviews thru claims analysis and AKAIMS reports.
- Cooperate with other licensing agencies or Medicaid Fraud Control Unit in reviews or complaint investigations.

Corrective Action

- Develop corrective action plans for agencies and monitor progress.
- Facilitate system changes to support compliance.
- Refer suspected overpayments to DHSS Program Integrity Unit.

Technical assistance

- Provide customized, targeted, and general trainings and technical assistance.



Division of Behavioral Health

-Sequestration Impacts

FY2013 Sequestration Impacts - Behavioral Health

Federal Grant Name	Pre-Sequestration Federal Allocation	Sequestration	Final Federal Allocation	Impact to Program
Behavioral Health Administration				
Data Infrastructure Grant	\$ 132,941	\$ -	\$ 132,941	This federal award is not subject to sequestration
Community Behavioral Health Services				
Mental Health Block Grant	\$ 741,538	\$ 37,498	\$ 704,040	Received notice of cut on 6/20/13. Re-purposed funds to cover the \$37,498.
Substance Abuse Block Grant	\$ 4,903,771	\$ 260,229	\$ 4,643,542	Received notice of cut on 8/16/13. FFY ends 9/30/13. Re-coded SFY13 sub-recipient awards during re-appropriation utilizing GF from projects that had closed out the year with an unspent balance.
PATH - Transition from Homelessness	\$ 300,000	\$ -	\$ 300,000	This federal award is not subject to sequestration
Strategic Prevention Framework State Incentive Grant	\$ 2,135,724	\$ -	\$ 2,135,724	This federal award is not subject to sequestration
AK Youth Suicide Prevention	\$ 480,000	\$ -	\$ 480,000	This federal award is not subject to sequestration
Medicare		\$ 8,139		Reduced revenue collection shifts costs to general fund



-Sequestration Impacts

FY2014 Sequestration Estimates - Behavioral Health

Federal Grant Name	Estimated SFY2014 Federal Allocation	Possible Sequestration (5%) During SFY 2014	Est. SFY2014 Federal Allocation w/ Sequestr.	Impact to Program
Behavioral Health Administration				
Data Infrastructure Grant	\$ 132,941	\$ -	\$ 132,941	This federal award is not subject to sequestration
Community Behavioral Health Services				
Mental Health Block Grant	\$ 704,040	\$ 35,202	\$ 668,838	Fewer employment support staff and mentors (1x1 mentoring, personal hygiene coaching, on-time practice) will result in lower employment outcomes for people served.
Substance Abuse Block Grant	\$ 4,643,542	\$ 232,177	\$ 4,411,365	Fewer treatment slots in Anchorage, Barrow, Fairbanks and Palmer, resulting in longer wait time to access services, increased pressure to shorten stay in care. Reduced services to approximately 92 individuals in 4 ASAP communities resulting in increased recidivism. Reduced number of prevention contacts to approximately 6,659 people in the 8 impacted communities. Decreased community coalition activities and school-based substance use prevention strategies.
PATH - Transition from Homelessness	\$ 300,000	\$ -	\$ 300,000	This federal award is not subject to sequestration
Strategic Prevention Framework State Incentive Grant	\$ 2,135,724	\$ -	\$ 2,135,724	This federal award is not subject to sequestration
AK Youth Suicide Prevention	\$ 480,000	\$ -	\$ 480,000	This federal award is not subject to sequestration
Medicare		\$ 74,800		AK Psychiatric Institute revenue shortage. API is obligated by statute to provide care. Loss of revenue cannot impact direct patient care. May require spending freeze, admin recruitment holds.